



Technology Executive Committee

02 April 2024

Twenty-eighth meeting

16–19 April 2024 (19 April TEC-CTCN Advisory Board Joint session)

## **Draft concept note for the SBI 60 in-session workshop on linkages between the Technology Mechanism and Financial Mechanism**

### **Cover note**

### **I. Background**

1. At its twenty-eighth session, the Conference of the Parties (COP) invited Parties, the UNFCCC constituted bodies, the operating entities of the Financial Mechanism and other relevant stakeholders to submit, via the submission portal, by 1 February 2024, their views on maintaining and enhancing collaboration and cooperation between the Technology Mechanism and the Financial Mechanism, including on linkages between the Mechanisms, taking into account the guiding questions contained in the annex to Decision 10/CP.28.<sup>1</sup>

2. COP 28 requested the secretariat to prepare a synthesis report on the submissions referred to in paragraph 1 for consideration at SBI 60 (June 2024).<sup>2</sup>

3. COP 28 also requested the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN), in consultation with the Chair of the Subsidiary Body for Implementation (SBI), to organize an in-session workshop at SBI 60 to take stock of the linkages between the Technology Mechanism and the Financial Mechanism taking into account the views expressed in the submissions referred to in paragraph 1 above.<sup>3</sup>

4. COP 28 further requested the SBI to initiate at SBI 60 discussion on the submissions, synthesis report and workshop referred to in paragraphs 1–3 above with a view to recommending a draft decision thereon for consideration and adoption at COP 29 (November 2024).

5. In response to the request from the COP referred to in paragraph 3 above, the TEC established an ad-hoc activity group on the organization of the workshop together with the CTCN Secretariat and prepared, in consultation with the SBI Chair, a draft concept note for the workshop as contained in the Annex.

6. Pursuant to the request from the COP referred to in paragraph 3 above, the draft concept takes into account the views expressed in the submissions referred to in paragraph 1 above, including on logistical arrangements as regards the scheduling of the workshop and recommended participants to be invited to the workshop.

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<sup>1</sup> Decision 10/CP.28, para. 10.

<sup>2</sup> Decision 10/CP.28, para. 11.

<sup>3</sup> Decision 10/CP.28, para. 12.

## **II. Scope of the note**

7. The annex to this note contains the draft concept note for the SBI 60 in-session workshop on linkages between the Technology Mechanism and Financial Mechanism.

## **III. Expected action by the Technology Executive Committee**

8. The TEC will be invited to consider the draft concept note for the workshop on linkages between the Technology Mechanism and Financial Mechanism and provide guidance to the ad-hoc activity group to finalize the preparation of the workshop for SBI 60.

## Annex

### **SBI 60 in-session workshop on linkages between the Technology Mechanism and Financial Mechanism**

Room (tbc), World Conference Center Bonn  
Bonn, Germany

XX June 2024, 10:00–13:00 and 15:00–18:00 CET

[Livestream link will be provided]

#### **Draft concept note**

## **I. Background**

The Technology Mechanism, comprising the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN), was established in 2010 to facilitate implementation of enhanced action on technology development and transfer to support action on mitigation and adaptation (Decision 1/CP.16). The TEC, as the policy arm of the Technology Mechanism, hosted by UNFCCC, analyses technology policy issues and provides recommendations. The CTCN, as the implementation arm of the Technology Mechanism, hosted by United Nations Environment Programme (UNEP), supports developing countries on a demand-driven basis in deploying transformative climate technologies. The Financial Mechanism was established under Article 11 of the Convention to provide financial resources on a grant or concessional basis, including for technology transfer. It functions under the guidance of, and is accountable to, the Conference of Parties (COP), which decides on its policies, programme priorities and eligibility criteria for funding (Article 11.1). Three entities, the Green Climate Fund (GCF) and the Global Environment Facility (GEF) and, since COP 28, the Lost and Damage Fund (2/CP.28), are entrusted with the operation of the Financial Mechanism. The Technology Mechanism and the Financial Mechanism also serve the Paris Agreement.

Consideration of linkages between the Technology Mechanism and the Financial Mechanism started in 2012, when COP 18 agreed to elaborate on them (Decision 1/CP.18). The consideration, including through consultations of the TEC, the CTCN, the GCF and the GEF, continued until COP 21 (Decision 13/CP.21), when the linkages were for the first time considered under a dedicated agenda item. COP 22 (Decision 14/CP.22) COP 24 (Decision 14/CP.24) provided guidance to the TEC, the CTCN, the GCF and the GEF on strengthening the linkages, as did Subsidiary Body for Implementation (SBI) 52–55 and COP 28. In response to guidance and mandates from the COP, the TEC, the CTCN, the GCF and the GEF have made progress in establishing and strengthening institutional and operational linkages between the Technology Mechanism and the Financial Mechanism.

## **II. Mandate**

Parties continued considering the linkages at SBI 56, SBI 57 and SBI 58 and adopted [decision 10/CP.28](#) on the matter at COP 28:

- Inviting Parties, the UNFCCC constituted bodies, the operating entities of the Financial Mechanism and other relevant stakeholders to submit via the UNFCCC submission portal by 1 February 2024 views on maintaining and enhancing

collaboration and cooperation between the Technology Mechanism and the Financial Mechanism, including on linkages between the Mechanisms;

- Requesting the secretariat to prepare a synthesis report on the submissions;
- Requesting the TEC and the CTCN, in consultation with the SBI Chair, to organize an in-session workshop SBI 60 (June 2024) to take stock of the linkages between the Technology Mechanism and the Financial Mechanism taking into account the views expressed in the submissions; and
- Requesting the TEC, in consultation with the CTCN, to prepare a summary report on the in-session workshop for consideration by SBI 61 (November 2024).

### **III. Objectives**

The in-session workshop will take stock of the linkages between the Technology Mechanism and the Financial Mechanism and explore ways to maintain and enhance linkages. More specifically the workshop aims to:

- Take stock of successful approaches, lessons learned, and gaps in cooperation and collaboration between the Technology Mechanism and Financial Mechanism;
- Identify ways and opportunities for increasing stakeholder engagement to strengthen linkages between the Technology Mechanism and Financial Mechanism;
- Identify ways for strengthening communication and collaboration between national focal points;
- Identify ways for enhancing linkages between the Technology Mechanism and the Financial Mechanism to support the implementation of technology priorities of developing countries, identified through technology needs assessments (TNAs) and technical assistance provided by the CTCN.

### **IV. Guiding questions for the submission of views on maintaining and enhancing collaboration and cooperation between the Technology Mechanism and the Financial Mechanism, including linkages between them**

As guided by decision 10/CP.28, the workshop will consider views expressed in the submissions responding to guiding questions contained in the annex to the decision as follows:

1. What approaches have been successful in enhancing the linkages? Are these approaches maintained effectively, and what are the lessons learned?
2. What are the gaps in the linkages between the two Mechanisms and how could these gaps be addressed in order to maintain and enhance the linkages?
3. How can the TEC and the CTCN and the operating entities of the Financial Mechanism cooperate in engaging with stakeholders in order to maintain and enhance the linkages?
4. What is the potential role of stakeholders in enhancing the relationship between the Technology Mechanism and the Financial Mechanism and how can this be further strengthened?
5. What are the means for enhancing communication and cooperation among national designated entities, national designated authorities of the GCF and operational focal points of the GEF and how can the Technology Mechanism and the Financial Mechanism enhance collaboration between their respective focal points?
6. To what extent do Parties use the outcomes of the technology needs assessment and technology action plans to access funding from the GEF and GCF? How can Parties

better utilize the results of events and products of the TEC, technical assistance of the CTCN, and outcomes of the TNAs and technology action plans (TAPs) to mobilize funding from the operating entities of the Financial Mechanism?

7. How can the linkages between the Technology Mechanism and the Financial Mechanism be enhanced to better support the implementation of the results of the technical assistance from the CTCN, and outcomes of the TNAs and TAPs?

## V. Draft agenda

<b>Session 1: Opening</b>		
10:00–10:15	Welcome and scene-setting	SBI Chair
<b>Session 2: Taking stock of linkages between the Technology Mechanism and the Financial Mechanism</b>		
Session description: During this session, the constituted bodies of the Technology Mechanism (TEC and CTCN Advisory Board) and two of the operating entities of the Financial Mechanism (GCF and GEF) will present linkages between the two mechanisms from their respective perspectives and reflect on ways to enhance those linkages. The presentation will be followed by an open discussion by all participants guided by a set of questions presented below.		
Moderator: SBI Chair		
10:15–11:00	<u>Presentations:</u> Taking stock of linkages between the Technology Mechanism and the Financial Mechanism and reflecting on ways to enhance linkages	TEC Chair
		CTCN Advisory Board Chair
		GCF Board Co-Chair
		GEF Council Co-Chair
11:00–12:00	<u>Open discussion:</u> 1. What is the purpose of linkages between the Technology Mechanism and the Financial Mechanism? 2. Which linkages between the two mechanisms have been successful and how can this success be measured? 3. What are lessons learned and gaps regarding linkages between the two mechanisms?	
<b>Session 3: Strengthening linkages between the Technology Mechanism and the Financial Mechanism at the national level</b>		
Session description: During this session, national designated entities (NDEs), in their capacity as national focal points for technology development and transfer of the Technology Mechanism and national focal points of operating entities of the Financial Mechanism will discuss successful approaches, lessons learned and gaps regarding linkages between the two mechanisms at the national level.		
Moderator: CTCN Advisory Board Member		
12:00–12:40	<u>Panel discussion:</u> 1. What are successful approaches and lessons regarding linkages between the two mechanisms at the national level? 2. What are gaps regarding linkages of the two mechanisms at the national level?	NDE from a developing country
		NDE from a developed country
		GCF national designated authority (NDA)
		GEF operational focal point
12:40–13:00	<u>Open discussion:</u> How can linkages between the two mechanisms be enhanced at the national level?	
13:00–15:00	<b>Lunch Break</b>	
<b>Session 4: Strengthening linkages between the Technology Mechanism and the Financial Mechanism to enhance support for the implementation of prioritized climate technologies</b>		
Session description: During this session, the CTCN, as the implementation arm of the Technology Mechanism and the operating and implementing entities of the Financial Mechanism will explore ways to enhance linkages at the strategic, programmatic and operational levels to increase support for the implementation of prioritized climate technologies including with outcomes of TNAs and CTCN technical assistance.		
Moderator: TEC Member		

15:00–16:00	<p><u>Panel discussion:</u></p> <p>1. What can the Financial Mechanism do to enhance its support for the implementation of outcomes of the TNA process and CTCN technical assistance?</p> <p>2. What can the Technology Mechanism do to ensure that outcomes of the TNA process and CTCN technical assistance can be better supported by the Financial Mechanism and its implementing entities?</p>	CTCN Director
		Global TNA Project Secretariat Head
		GCF Executive Director
		GEF CEO and Chairperson
		West African Development Bank Senior Representative (GEF IA, GCF AE & CTCN Member)
UNIDO Senior Representative (GEF IA, GCF AE)		
16:00–16:30	<p><u>Open discussion:</u> How can linkages between the two mechanisms be enhanced at the strategic, programmatic and operational levels?</p>	
<p><b>Session 5: Strengthening linkages between the Technology Mechanism and the Financial Mechanism through the engagement and experience of other actors</b></p>		
<p>Session description: This session has two parts. Part one will feature presentations by the Standing Committee on Finance, the Loss and Damage Fund and the Adaptation Fund to showcase current and possible future roles of these entities for strengthening linkages between the two mechanisms. Part two will feature a panel discussion on current and possible future roles of other actors for strengthening linkages between the two mechanisms, including multilateral development banks, the private sector and civil society.</p> <p>Moderator: CTCN Advisory Board Chair</p>		
16:30–16:45	<p><u>Presentations:</u> Current and possible future roles in strengthening linkages between the Technology Mechanism and the Financial Mechanism</p>	Standing Committee on Finance Co-Chair
		Loss & Damage Fund Interim Secretariat
		Adaptation Fund Board Secretariat
16:45–17:30	<p><u>Panel discussion:</u> What can the Technology Mechanism and the Financial Mechanism do to ensure that outcomes of the TNA process and CTCN technical assistance can be better supported by other actors?</p>	EBRD or World Bank on joint facility/project with GCF to facilitate private investment, e.g. <a href="#">FP025</a> or <a href="#">FP204</a>
		ADB or DBSA
		AIIB or AfDB
		BINGO
		YOUNGO
17:30–17:55	<p><u>Open discussion:</u> How can the engagement and experiences of other actors support the enhancement of linkages between the Technology Mechanism and the Financial Mechanism?</p>	
17:55–18:00	Closing remarks	TEC Vice-Chair