

## **Background note**

### **Outcomes of the nineteenth session of the Conference of the Parties and sessions of its subsidiary bodies relevant for the Technology Executive Committee**

#### **I. Introduction**

##### **A. Background**

1. The Conference of the Parties held its nineteenth session (COP 19) in Warsaw, Poland, from 11 to 23 November 2013. The Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA) held their thirty-ninth session, SBI 39 and SBSTA 39 respectively. Also, the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP) held the third part of its second session (ADP 2-3).

2. Technology development and transfer, and the implementation of the Technology Mechanism including the Technology Executive Committee (TEC), were some of the many issues considered during the sessions.

##### **B. Scope of the note**

3. This background note provides an overview of decisions adopted by the COP and conclusions adopted by its subsidiary bodies relevant for the TEC.

#### **II. Overview of Warsaw outcomes related to the Technology Executive Committee**

##### **A. Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2013**

4. The “Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2013” was jointly considered by the SBI and the SBSTA. The COP President welcomed with appreciation the first joint annual report of the TEC and the CTCN, marking the full operationalization of the Technology Mechanism. The COP President informed the COP that the SBSTA and the SBI were unable to reach agreement on their consideration of this joint annual report. The COP, on a proposal by the President, requested the SBSTA and the SBI to continue consideration of this joint annual report at their fortieth sessions with a view to forwarding a draft decision for consideration and adoption at COP 20, pursuant to rules 10(c) and 16 of the draft rules of procedure being applied.

## B. Modalities and procedures of the Climate Technology Centre and Network and its Advisory Board

5. The “Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board” was jointly considered by the SBI and the SBSTA, and conclusions were adopted. On a recommendation by the SBI and the SBSTA, the COP adopted decision 25/CP.19; some of the provisions are presented in the table below:

Decision 25/CP.19 <b>Modalities and procedures of the Climate Technology Centre and Network and its Advisory Board</b>
<b>Paragraph 2</b> <i>Adopts</i> the modalities and procedures of the Climate Technology Centre and Network, contained in annex I;
<b>Paragraph 3</b> <i>Also adopts</i> the rules of procedures of the Advisory Board of the Climate Technology Centre and Network, contained in annex II;
<b>Paragraph 5</b> <i>Requests</i> the Climate Technology Centre and Network, in executing its modalities and procedures, to work in conjunction with the Technology Executive Committee to ensure coherence and synergy within the Technology Mechanism, with the intention of: (a) Accelerating the development and transfer of technology, taking into account gender considerations; (b) Scaling up international collaboration on the development and transfer of technology;
<b>Paragraph 6</b> <i>Recognizes</i> the continuation of the efforts of the Climate Technology Centre and Network and the Technology Executive Committee to ensure coherence and synergy within the Technology Mechanism;

## C. Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention

6. The “Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention” was considered by the SBSTA, and conclusions were adopted. The provisions of the conclusions are presented in the table below:

FCCC/SBSTA/2013/5 <b>Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention</b>
<b>Paragraph 39</b> The SBSTA welcomed with appreciation the information contained in the third synthesis report, prepared by the secretariat, on technology needs identified by Parties not included in Annex I to the Convention. <sup>33</sup>
<b>Paragraph 40</b> The SBSTA agreed to continue its consideration of the report at SBSTA 40.
<sup>33</sup> FCCC/SBSTA/2013/INF.7.

## D. Poznan strategic programme on technology transfer

7. The Poznan strategic programme on technology transfer was considered by the SBI, and conclusions were adopted. Some provisions of the conclusions are presented in the table below:

FCCC/SBI/2013/20 <b>Poznan strategic programme on technology transfer</b>
<b>Paragraph 135</b> The SBI acknowledged the support provided by the GEF to assist 36 non-Annex I Parties in conducting their technology needs assessments and welcomed the financial and technical support that the GEF will provide to 24 non-Annex I Parties for conducting or updating their technology needs assessments.
<b>Paragraph 137</b> The SBI invited the GEF to continue to consult with the CTCN, through its Advisory Board and the United Nations Environment Programme as the host of the Climate Technology Centre, on the support that the GEF will provide for the work of the CTCN and to report on the concrete results of the consultations at SBI 40.
<b>Paragraph 138</b> The SBI reiterated the need to align <sup>98</sup> the further implementation of the element of the Poznan strategic programme on support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, taking into account decision 2/CP.17, paragraph 140.
<sup>98</sup> FCCC/SBI/2012/33, paragraph 123.

## III. Overview of the main outcomes of the third part of the second session of Ad Hoc Working Group on the Durban Platform for Enhanced Action

8. The objective of ADP 2-3 was to significantly advance the work of ADP in both workstreams:<sup>1</sup>

- a) Adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties, at COP 21, and for it to come into effect and be implemented from 2020;
- b) Identifying and exploring options for a range of actions that can close the pre-2020 ambition gap with a view to ensuring the highest possible mitigation efforts by all Parties.

9. Discussions were conducted in an open-ended consultations format (plenary setting, no contact group), complemented by in-session workshops, round-table discussions and briefings.

10. ADP adopted conclusions, defining the type of activities to be conducted for its work in 2014, and agreeing on the need to hold at least one session in 2014 in addition to its sessions to be held in conjunction with SBI/SBSTA 40 and COP 20. It also noted that it will hold its first additional session in Bonn, from 10 to 14 March 2014. Some provisions of the conclusions are presented in the table below:

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<sup>1</sup> Workstreams are identified in decisions 2/CP.18 and 1/CP.17.

**Report of the Ad Hoc Working Group on the Durban Platform for Enhanced Action on the third part of its second session, held in Warsaw from 12 to 23 November 2013**

**Paragraph 30**

The ADP requested the secretariat to conduct the following activities in order to implement decision 1/CP.19:

- (a) Under the guidance of the Co-Chairs of the ADP, organize in-session workshops, as appropriate;
- (b) In relation to paragraph 4 of that decision, enhance the visibility on the UNFCCC website of quantified economy-wide emission reduction targets, quantified emission limitation and reduction commitments and nationally appropriate mitigation actions;
- (c) In relation to paragraph 5(a) of that decision:
  - (i) Organize, under the guidance of the Co-Chairs of the ADP, technical expert meetings at the sessions of the ADP in 2014 to share policies, practices and technologies and address the necessary finance, technology and capacity-building, with a special focus on actions with high mitigation potential, taking note of those identified in the technical paper “Updated compilation of information on mitigation benefits of actions, initiatives and options to enhance mitigation ambition”,<sup>12</sup> with the participation of Parties, civil society, the private sector and cities and other subnational authorities, where appropriate;

...

<sup>12</sup> FCCC/TP/2013/8 and Add.1 and 2.

11. Following ADP 2.3, the Co-Chairs of the ADP issued an information note on 4 February 2014 presenting their reflections on the progress made at ADP 2.3 and on its work in 2014.<sup>2</sup> Among others, they informed Parties and stakeholders that they will launch the technical expert meetings in March, beginning with opportunities regarding renewable energy and energy efficiency with a view to addressing other areas in subsequent sessions during 2014.

12. In Warsaw, on a recommendation by the ADP, the COP adopted decision 1/CP.19, entitled “Further advancing the Durban Platform”. Some provisions of the decision are presented in the table below:

Decision 1/CP.19

**Further advancing the Durban Platform**

**Paragraph 2**

*Decides*, in the context of its determination to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session (December 2015) and for it to come into effect and be implemented from 2020:

- (a) To request the Ad Hoc Working Group on the Durban Platform for Enhanced Action to further elaborate, beginning at its first session in 2014, elements for a draft negotiating text, taking into consideration its work, including, inter alia, on mitigation, adaptation, finance, technology development and transfer, capacity-building and transparency of action and support;
- (b) To invite all Parties to initiate or intensify domestic preparations for their intended nationally determined contributions, without prejudice to the legal nature of the contributions, in the context of adopting a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties towards achieving the objective of the Convention as set out in its Article 2 and to communicate them well in advance of the twenty-first session of the Conference of the Parties (by the first quarter of 2015 by those Parties ready to do so) in a manner that facilitates the clarity, transparency and understanding of the intended

<sup>2</sup> ADP.2014.1.InformalNote.

contributions, without prejudice to the legal nature of the contributions;

...

**Paragraph 3**

*Resolves* to accelerate the full implementation of the decisions constituting the agreed outcome pursuant to decision 1/CP.13 (Bali Action Plan),<sup>1</sup> in particular in relation to the provision of means of implementation, including technology, finance and capacity-building support for developing country Parties, recognizing that such implementation will enhance ambition in the pre-2020 period;

<sup>1</sup> Decisions 1/CP.18 (Agreed Outcome pursuant to the Bali Action Plan), 2 /CP.17 (Outcome of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention), 1/CP.16 (Cancun Agreements) and other relevant decisions.

**Paragraph 5**

*Decides* to accelerate activities under the workplan on enhancing mitigation ambition in accordance with decision 1/CP.17, paragraphs 7 and 8, by:

(a) Intensifying, as from 2014, the technical examination of opportunities for actions with high mitigation potential, including those with adaptation and sustainable development co-benefits, with a focus on the implementation of policies, practices and technologies that are substantial, scalable and replicable, with a view to promoting voluntary cooperation on concrete actions in relation to identified mitigation opportunities in accordance with nationally defined development priorities;

(b) Facilitating the sharing among Parties of experiences and best practices of cities and subnational authorities, where appropriate, in identifying and implementing opportunities to mitigate greenhouse gas emissions and adapt to the adverse impacts of climate change, with a view to promoting the exchange of information and voluntary cooperation;

...

#### IV. Overview of other Warsaw outcomes of relevance to the Technology Executive Committee

<b>LOSS AND DAMAGE</b>	Decision 2/CP.19 <b>Warsaw international mechanism for loss and damage associated with climate change impacts</b>
<b>Paragraph 4</b> <i>Decides</i> that, as an interim measure, the executive committee shall consist of two representatives from each of the following bodies under the Convention; ensuring that there is a balanced representation between developed and developing country Parties: the Adaptation Committee, the Least Developed Countries Expert Group, the Standing Committee on Finance, the Technology Executive Committee and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;	
<b>WORK PROGRAMME ON LONG-TERM FINANCE</b>	Decision 3/CP.19 <b>Long-term climate finance</b>
<b>Paragraph 2</b> <i>Underlines</i> the urgency of implementing commitments related to financing and transfer of technology under the Convention;	

<b>GREEN CLIMATE FUND (GCF)</b>	Decision 5/CP.19 <b>Arrangements between the Conference of the Parties and the Green Climate Fund</b>
<b>Annex - Arrangements between the Conference of the Parties and the Green Climate Fund</b> <b>Reports from the Green Climate Fund to the Conference of the Parties</b> <b>Paragraph 15</b> The GCF will also include information on the development and implementation of mechanisms to draw on appropriate expert and technical advice, including from the relevant thematic bodies established under the Convention, as appropriate.	
<b>GLOBAL ENVIRONMENT FACILITY (GEF)</b>	Decision 6/CP.19 <b>Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility</b>
<b>Paragraph 11</b> <i>Also requests</i> the Global Environment Facility to support, within its mandate, the implementation of country-driven projects identified in the technology needs assessments prepared by developing country Parties;	
<b>STANDING COMMITTEE ON FINANCE (SCF)</b>	Decision 7/CP.19 <b>Report of the Standing Committee on Finance to the Conference of the Parties</b>
<b>Paragraph 10</b> <i>Calls on</i> the Standing Committee on Finance to further enhance its linkages with the Subsidiary Body for Implementation and the thematic bodies of the Convention as mandated by decision 2/CP.17, paragraph 121(b);  Decision 2/CP.17 <b>Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention</b> 121. Also decides that the Standing Committee shall assist the Conference of the Parties in exercising its functions with respect to the financial mechanism of the Convention in terms of improving coherence and coordination in the delivery of climate change financing, rationalization of the financial mechanism, mobilization of financial resources, and measurement, reporting and verification of the support provided to developing country Parties through activities, such as the following: (a) ... (b) Maintaining linkages with the Subsidiary Body for Implementation and the thematic bodies of the Convention;	
<b>FINANCIAL MECHANISM</b>	Decision 8/CP.19 <b>Fifth review of the financial mechanism</b>
<b>Paragraph 3</b> <i>Requests</i> the Standing Committee on Finance to continue to provide expert input to the fifth review of the financial mechanism, with a view to the review being finalized by the Conference of the Parties at its twentieth session (December 2014).	
<b>ADAPTATION COMMITTEE (AC)</b>	Decision 16/CP.19 <b>Work of the Adaptation Committee</b>
<b>Paragraph 3</b> <i>Encourages</i> the Adaptation Committee to continue its work on providing technical support and guidance to the Parties, in particular in the area of national adaptation plans, and to seek further coherence and synergy with other relevant bodies and programmes under the Convention in implementing its workplan;  <b>Paragraph 9</b> <i>Welcomes</i> the efforts made by the Adaptation Committee to make more efficient use of existing resources, including through enhancing collaboration with other constituted bodies under the Convention;	

<b>NAIROBI WORK PROGRAMME</b>	Decision 17/CP.19 <b>Nairobi work programme on impacts, vulnerability and adaptation to climate change</b>
<p><b>Paragraph 2</b>  <i>Also decides</i> that the relevance of the Nairobi work programme should be enhanced, on the basis of, inter alia:</p> <p>...</p> <p>(b) The development of linkages with other relevant workstreams, including the national adaptation plan process, research and systematic observation and bodies under the Convention, including the Adaptation Committee, the Least Developed Countries Expert Group and the Technology Mechanism;</p>	
<b>CONSULTATIVE GROUP OF EXPERTS (CGE)</b>	Decision 19/CP.19 <b>Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention</b>
<p><b>Annex - Terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention</b></p> <p><b>Paragraph 3</b>  The Consultative Group of Experts shall, in defining and implementing its work programme, take into account other relevant work by expert groups under the Convention in order to avoid duplication of work.</p>	
<b>LEAST DEVELOPED COUNTRIES EXPERT GROUP (LEG)</b>	FCCC/SBI/2013/15 <b>Report of the Subsidiary Body for Implementation on its thirty-ninth session, held in Warsaw from 11 to 18 November 2013 Matters relating to the least developed countries</b> <b>Conclusions</b>
<p><b>Paragraph 98</b>  The SBI welcomed the LEG's engagement with the Adaptation Committee and other relevant bodies under the Convention in support of its work on the NAP process, in particular it encouraged the LEG to further enhance its collaboration with the Technology Executive Committee (TEC) on the topic of adaptation technology.</p>	