



附属履行机构

第六十届会议

2024年6月3日至13日，波恩

临时议程项目19

行政、财务和体制事项

截至2023年12月31日的2022-2023两年期预算执行情况

执行秘书的说明*

概要

本文件报告了截至2023年12月31日取得的成果和实际支出以及2022-2023年的方案交付情况，应将之与FCCC/SBI/2024/7/Add.1号文件一并审议，后者对照业绩指标和具体目标详细说明了进展情况以及两年期内计划的和交付的产出。

本两年期内，秘书处按要求需执行来自缔约方会议第二十六届和第二十七届会议的决定的超出核心预算活动的任务。虽然秘书处已充分交付了关键活动，但预算实际零增长和补充资金不足的情况影响了活动实施的范围和时机，由此突出了供资模式的不可持续性和不可预测性。

截至2023年12月31日，2022-2023年核心预算支出占99%(6,250万欧元中的6,190万欧元)。这一时期所有供资来源的支出总额为2.036亿欧元(综合预算总额2.699亿欧元的75%)，由于补充资金不足或供应不及时，这一数额仍低于预期。本文件提供了所有预算的支出率和相应的解释。

* 由于定稿需要进行大量内部协商，本文件逾期提交会议服务部门处理。



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简称和缩略语

AC		适应委员会
ACE		气候赋权行动
Annex I Party	附件一缔约方	《公约》附件一所列缔约方
ASG		助理秘书长
Bonn Fund	波恩基金	德国政府年度特别捐款信托基金
CDM		清洁发展机制
CGE	专家咨询小组	非《公约》附件一所列缔约方国家信息通报问题专家咨询小组
CMA	《协定》/《公约》缔约方会议	作为《巴黎协定》缔约方会议的《公约》缔约方会议
CMP	《议定书》/《公约》缔约方会议	作为《京都议定书》缔约方会议的《公约》缔约方会议
COP	缔约方会议	《联合国气候变化框架公约》缔约方会议
ETF	强化透明度框架	《巴黎协定》之下的强化透明度框架
exp.**		支出
FWG		促进工作组
GHG		温室气体
GS		一般事务职等
ICT		信息和通信技术
IPCC	气专委	政府间气候变化专门委员会
ITL		国际交易日志
JI		联合执行
JISC		联合执行监督委员会
LCIPP		地方社区和土著人民平台
LEG		最不发达国家专家组
LT-LEDS		长期低排放发展战略
MRV		衡量、报告和核实
NAP		国家适应计划
NDC		国家自主贡献
non-Annex I Party	非附件一缔约方	非《公约》附件一所列缔约方
NWP	内罗毕工作方案	关于气候变化影响、脆弱性和适应的内罗毕工作方案
P**		专业人员职等
PCCB		巴黎能力建设委员会
REDD+		减少毁林所致排放量；减少森林退化所致排放量；养护森林碳储存；可持续森林管理；以及加强森林碳储存(第 1/CP.16 号决定，第 70 段)

** 仅用于表中。

SB		附属机构届会
SBI	履行机构	附属履行机构
SBSTA	科技咨询机构	附属科学技术咨询机构
SCF		资金问题常设委员会
TEC		技术执行委员会
Transitional Committee	过渡委员会	落实应对损失和损害的新供资安排及第 2/CP.27 号和第 2/CMA.4 号决定第 3 段所设基金的过渡 委员会
USG		副秘书长
WIM	华沙国际机制	气候变化影响相关损失和损害华沙国际机制

一. 引言

A. 任务授权

1. 缔约方会议第二十六届会议批准了 2022-2023 两年期方案预算，《议定书》/《公约》缔约方会议第十六届会议核可了缔约方会议的决定，因为该决定适用于《京都议定书》。¹
2. 缔约方会议第二十六届会议请执行秘书分别编写涵盖两年期 12 个月和 24 个月的年度预算执行情况报告。²

B. 本文件的范围

3. 本文件中的资料说明了 2022-2023 年工作方案的交付情况以及截至 2023 年 12 月 31 日秘书处管理的信托基金和特别账户的预算执行情况。本文件应与 FCCC/SBI/2024/7/Add.1 号文件一并阅读，后者对照业绩指标和具体目标评估了进展情况，并详细说明了 2022-2023 两年期内计划的和交付的产出。
4. 本文件还提供详细资料说明了秘书处管理的预算及其执行情况。附件一载有每个基金和特别账户的预算、收入和可用现金的一般信息，以便利解读附件三所载每个基金的预算和支出详情。附件二载有《气候公约》各基金 2022-2023 两年期预算和截至 2023 年 12 月 31 日的支出情况的概况，按经费门类分列。此外，附件四载有关于人力资源的详细信息，附件五载有关于补充活动信托基金资助的项目和活动的详细支出信息。

C. 附属履行机构可采取的行动

5. 请履行机构注意本文件提供的信息，并就拟列入供缔约方会议第二十九届会议和《议定书》/《公约》缔约方会议第十九届会议审议通过的关于行政和财务事项的决定草案的行动作出决定。

二. 内容提要

A. 方案交付亮点

6. FCCC/SBI/2021/4/Add.1 号文件和 2022 年 1 月 1 日、³2022 年 7 月 1 日、⁴2023 年 1 月 1 日、⁵2023 年 7 月 1 日⁶ 的更新版工作方案概述了 2022-2023 年两年期秘书处的工作方案活动和产出。

¹ 第 22/CP.26 号决定，第 1 段，以及第 8/CMP.16 号决定，第 1 段。

² 第 23/CP.26 号决定，第 10 段。

³ FCCC/SBI/2022/INF.3。

⁴ FCCC/SBI/2022/INF.11。

⁵ FCCC/SBI/2023/INF.2。

⁶ FCCC/SBI/2023/INF.11。

7. 秘书处在报告期内开展的活动有助于实现其总体目标和职能，并加强了气候行动方面的透明度和问责制。秘书处充分交付了关键活动，但补充资金难以预测且有所不足，对实施工作的范围和时机产生了影响。这影响了整个秘书处的活动，致使工作人员承担了不可持续的工作量从而影响了他们的福利，并突出了第四章所述的依赖不可持续和不可预测的供资模式的情况。

8. 由四个司(适应、减缓、执行手段和透明度)组成的方案部门在报告所述期间开展了战略和实务活动。方案协调对四个司的工作进行战略指导和监督，重点是加强跨司沟通和互动并代表秘书处出席重要活动和论坛。方案协调还管理了阿拉伯联合酋长国公正转型工作方案的实施，加强了与设在波恩的组织的合作，并协调了区域气候周的工作。在管理和行政方面，方案协调为现有程序提供支持，同时确保遵守联合国的条例和政策。

9. 适应司为政府间参与提供了便利，并支持了《气候公约》各组成机构(包括华沙国际机制)在适应、损失和损害以及国家适应计划方面的工作，帮助缔约方会议第二十七届和第二十八届会议通过了关键成果。适应司在以下方面提供了支持：实施格拉斯哥—沙姆沙伊赫全球适应目标工作方案，管理与适应相关的数据，拓宽利益相关方在气候变化的影响、脆弱性和适应方面的参与，加快国家适应计划的制定和实施，以及组织与适应相关的研讨会以推进知识共享和行动。

10. 减缓司促进并拓宽政府间互动，并支持各组成机构之下的工作，从而支持缔约方实施有力度的气候行动。减缓司的工作领域包括《巴黎协定》第六条、《京都议定书》机制、沙姆沙伊赫减缓力度和实施工作方案、国家自主贡献、长期低排放发展战略、实施应对措施的影响问题论坛及其实施应对措施的影响问题卡托维兹专家委员会，以及国际空运和海运引起的排放。减缓司还与其他联合国实体和国际组织就与减缓力度和碳定价等问题相关的专题开展合作，并继续协调区域合作中心的工作。

11. 执行手段司落实了措施，以便最大限度地提高效率，包括为此加强与合作伙伴和利益相关方的协作。执行手段司通过组织关于气候融资目标的对话为资金问题常设委员会提供了支持，并通过基于需求的融资项目协助发展中国家缔约方评估其气候行动的需求和优先事项。执行手段司还在支持技术开发和转让方面发挥了关键作用，例如支持技术执行委员会及气候技术中心和网络。

12. 透明度司继续在衡量、报告和核实安排以及实施强化透明度框架方面提供实务支助。透明度司协助了实质性谈判，对国家报告进行了技术审查，并根据缔约方会议第二十七届会议的授权开始开发新的强化透明度框架报告工具。透明度司还维护了透明度系统和工具以及透明度数据枢纽，并组织了会议、培训讲习班和区域对话，以提升对透明度相关进程的理解并加强这些进程的实施。

13. 业务部门在本报告所述期间开展了重要活动，由业务协调为本部门的三个司(会议事务司、法律事务司以及行政事务、人力资源和信息通信技术司)提供战略指导和有效协调。具体包括：促进与缔约方和非缔约方利益相关方形成有影响力的伙伴关系以获取资源；处理捐助伙伴关系协定；完成现有伙伴关系安排梳理。业务协调还管理记录的移交，保管档案，并确保秘书处进行有效的资金和人力资源管理。

14. 法律事务司为政府间谈判进程提供了以解决方案为导向的法律和程序顾问和支持，并为《气候公约》选举进程以及《京都议定书》和《巴黎协定》之下的履约委员会提供了支持。法律事务司还在缔约方会议第二十七届和第二十八届会议，包括在《沙姆沙伊赫实施计划》方面提供了法律顾问，就特权、豁免、责任和人力资源事项提供了顾问，并协调了正在进行的加强秘书处法律框架的工作。

15. 会议事务司为理事机构和附属机构届会、研讨会和各项活动提供了优化的会议服务，为缔约方和观察员，包括全世界领导人的参与提供了便利，包括提供签证协助，安排住宿，并为减少碳足迹作出了贡献。此外，会议事务司编辑和处理了 800 多万字的正式和非正式文件，并在缔约方会议第二十七届和第二十八届会议之后进行了客户调查。

16. 行政事务、人力资源和信息通信技术司有效地管理了秘书处的财政资源，并协调了秘书处的预算部门和采购工作。行政事务、人力资源和信息通信技术司为员工队伍规划提供了支持，填补了 149 个职位，并在实施新的清洁发展机制信息系统方面取得了进展。

17. 政府间支助和集体进展司确保了理事机构和附属机构工作的连贯性和透明度，并支持了一些总体进程，例如《公约》之下的长期全球目标的充分性第二次定期审评和《巴黎协定》之下首次全球盘点，从而促进了政府间气候变化进程的进展。

18. 传播和互动司管理《气候公约》的外部 and 内部传播渠道，并为制作和传播关于政府间进程和相关成就的多语种内容提供便利。传播和互动司还在以下方面提供支持：实施全球气候行动；包括观察员组织在内的非缔约方利益相关方参与《气候公约》进程，帮助缔约方会议第二十八届会议接纳了创纪录数量的观察员组织；与气候赋权行动、青年和性别相关的谈判和既定进程，包括在缔约方会议第二十七届和第二十八届会议上组织相关活动。

19. 执行司为秘书处的工作提供战略指导，在缔约方会议第二十七届和第二十八届会议期间领导秘书处，并为关键决策作出了贡献，该司的组织发展和监督股则侧重于本司的三个工作流程：全秘书处的规划和业绩报告、组织发展和文化以及监督。

B. 财务摘要

20. 2022-2023 年综合预算总额为 2.699 亿欧元，截至 2023 年 12 月 31 日，共支出 2.036 亿欧元，占 75%。核心预算、波恩基金、参与《气候公约》进程信托基金和会议特别账户以及其他可收回费用之下的支出率接近 100%，符合预期。然而，参与《气候公约》进程信托基金收到的捐款不足，只能通过从其他资金来源预支款项满足需要，且一大部分预支款尚未付清。补充活动信托基金和《巴黎协定》第六条下事项的工作预算和其他一些信托基金的支出率仍低于预期，原因是补充资金不足或供应不及时。整体支出率为 59%(总支出为 8,770 万欧元，总预算为 1.842 亿欧元)。⁷ 附件五提供了由信托基金资助的项目和活动的详细信息，包括截至 2023 年 12 月 31 日的预算、可用资金和支出。多数项目的可用资金低于需

⁷ 包括第三章中的表格所列《巴黎协定》第六条之下事项的工作和联合执行的预算和支出。

要的水平，有几个项目根本没有收到资金。名为“开发信息中心和强化透明度框架之下使用的相关的报告和审查系统及工具，并精简当前的透明度安排之下使用的现有数据管理系统和工具”的项目的紧急需求只能通过另一资金来源未到归还期的贷款来满足。可用资金的整体支出率为 69.5%(总支出为 8,770 万欧元，可用资金为 1.028 亿欧元)。

21. 尽管秘书处及时努力将 2023 年缴款事项通知缔约方，截至 2023 年 12 月 31 日，仅收到了 2023 年核心预算缴款的 84%。按照惯例，秘书处根据现金的可用情况向各司发放资金，以应对这种情况。

三. 预算执行情况：所有基金和特别账户预算和支出概览⁸

22. 由于核心预算和国际交易日志预算以欧元核准，2022-2023 两年期方案概算文件⁹和 2022 年 1 月 1 日、2022 年 7 月 1 日、2023 年 1 月 1 日、2023 年 7 月 1 日的各个更新版工作方案中的所有预算都以欧元列报，以便利审议该两年期的总体资金需求。因此，本文件所列预算和支出也以欧元列报。

23. 下表为截至 2023 年 12 月 31 日各资金来源的预算和支出总额，以欧元和预算执行百分比列报。2022-2023 两年期预算总额为 2.699 亿欧元，截至 2023 年 12 月 31 日已支出 2.036 亿欧元(75%)。所列数额包括方案支助费用和向各司提供内部费用回收服务的收费，但不包括方案支助费用特别账户和费用回收相关活动特别账户，以免双重计算。这些特别账户的预算详情载于附件三。

24. 下表所列预算数额反映了 2023 年 7 月 1 日更新版工作方案所列截至当日的情况。所有预算均使用更新版工作方案比较两年期初始时的计划情况与两年期结束时的实际预算执行情况。只有两年期核心预算和国际交易日志预算由理事机构核准。随着需求和规划假设的变动，一些预算在本两年期内有所变化。例如，补充活动信托基金下的项目预算由执行秘书核准，并根据需要进行调整。本表格包括未反映在更新版工作方案中的此类追加预算数额，这些预算涉及会议和其他可收回费用特别账户之下阿拉伯联合酋长国政府提供的资金，补充活动信托基金之下为区域气候周提供的资金以及方案支助费用特别账户之下提供的资金。

2022-2023 年预算以及截至 2023 年 12 月 31 日各资金来源的支出
(欧元)

[English only]

<i>Funding source</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Trust fund for the core budget of the UNFCCC	62 450 820 ^b	61 861 240	99
Trust fund for supplementary activities	120 565 538 ^c	81 222 151	67
Budget for work on matters under Article 6 of the Paris Agreement	27 248 865	6 478 024	24
Budget for JI	423 816	16 273	4

⁸ 按照以往惯例，本文件在“修正现金”会计的概念基础上编写，将现金会计与权责发生制会计相结合。在“修正现金”会计中，产生承付款时全额确认费用。

⁹ FCCC/SBI/2021/4 和 Add.1-2。

<i>Funding source</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Trust fund for the international transaction log	3 851 948 ^b	2 497 640	65
Trust fund for the clean development mechanism	27 984 534	25 179 822	90
Trust fund for participation in the UNFCCC process	10 557 397	10 086 911	96
Bonn Fund	3 579 043	3 670 653	103 ^d
Special account for conferences and other recoverable costs	13 218 766 ^e	12 581 516 ^f	95
Total	269 880 727	203 594 232	75

Note: All budgets are shown in euros for consistency of presentation.

^a Amounts are provisional subject to the final audit of 2023.

^b Includes the approved adjustment to the working capital reserve.

^c Includes EUR 1,234,213 allocated to regional climate weeks, which was not included in the updated work programme as at 1 July 2023.

^d The expenditure amounts for the Bonn Fund were converted into euros at the rate applicable on the day on which they were incurred, resulting in an expenditure rate in euros that is greater than the actual rate in United States dollars of 100 per cent.

^e Includes the 2022 budget for COP 27, the 2023 budget for COP 28 and the budget for the ‘split and move’ arrangement for 2022; there was no ‘split and move’ arrangement for 2023.

^f Includes the Host Country Agreement contributions for COP 27 and 28 and the contribution from the Government of Germany under the ‘split and move’ arrangement for 2022.

25. 核心预算、波恩基金、参与《气候公约》进程信托基金和会议特别账户以及其他可收回费用之下的支出率接近 100%，符合预期。其他预算和资金之下的支出率低于计划：

(a) 补充活动信托基金之下的支出率为 67%。按项目分列的预算和支出情况载于附件五。支出率因项目不同而有所不同。支出率低的原因往往是资金不可预测、不充足或到位不及时；

(b) 《巴黎协定》第六条下有关事项的预算支出率为 24%。支出率低的主要原因是为第六条第二和第八款相关工作招募员工的资金不足，以及可用资金情况不确定导致关联的基础设施的开发有所拖延。此外，根据《巴黎协定》第六条第四款所建立机制的监督机构举行会议的数量少，因为该机构 2022 年 7 月才开始工作。支出率低的其他原因包括：虚拟参会的情况增多，因而差旅和后勤费用降低；业务上有所改进，例如将工作或会议与其他活动相结合；会议和讲习班支助的信息和通信技术费用降低；移动通信支出减少；

(c) 联合执行之下的支出率为 4%。支出率低是由于联合执行监督委员会 2022 年只举行了虚拟会议，2023 年没有举行会议；

(d) 国际交易日志信托基金之下的支出率为 65%。支出率低的原因是：数位工作人员离职；登记册日趋成熟，业务支持需求随之减少；独立评估报告程序优化；向《气候公约》云基础设施的过渡已完成；数字证书管理内化；

(e) 清洁发展机制信托基金之下的支出率为 90%。支出率低的原因是：虚拟参会的情况增加，因而差旅和后勤费用减少；会议和讲习班支助的信息和通信技术费用减少；移动通信支出减少。

四. 方案交付

A. 方案部门

1. 方案协调

26. 方案协调为四个方案司(适应、减缓、执行手段和透明度)的工作提供战略指导和监督。

27. 在本报告所述期间,方案协调特别注意在各方案司开展授权活动时保持跨司沟通和互动,特别是在组成机构、附属机构和理事机构会议等跨领域活动方面。方案协调确保秘书处派代表,包括代表执行秘书,出席重要活动和论坛,包括发表演讲、参与小组讨论和对话,并确保就广泛议题与各利益相关方进行战略外联。方案协调还为执行秘书与相关利益相关方的接触提供了战略投入。

28. 2023年,方案协调管理了政府间进程,并开展了与阿拉伯联合酋长国公正转型工作方案有关的技术活动,成果包括,例如,为该方案的实施制定了明确的指导方针。方案协调还在缔约方会议第二十八届会议上在该届会议主席的指导下组织了第一次关于公正转型的高级别部长级圆桌会议。由于没有专门的工作人员资源,方案协调只能依靠其他司的工作人员资源以支持工作方案。

29. 此外,方案协调还监督了区域气候周的交付(2022年在多米尼加共和国、加蓬和阿拉伯联合酋长国,2023年在肯尼亚、马来西亚、巴拿马和沙特阿拉伯)。2023年的区域气候周旨在为《巴黎协定》下的全球盘点提供区域投入,参与者近3万名,其间举行了900多场单独会议。另外,近80项授权活动是在2023年区域气候周期间举办的,从而大幅降低了业务成本。然而,由于资金不足和不可预测,2024年的区域气候周暂停举行,2025年的区域气候周能否继续举办取决于可用资金的情况。

30. 方案协调加强了秘书处与设在波恩的组织的合作,协调并监督联合国系统内部、与其他政府间组织以及与广大非缔约方利益相关方的合作。方案协调继续指导政府间气候变化进程创新内部程序并运用战略性的工作方法。

31. 在行政管理、财政资源管理和活动协调方面,方案协调继续按照联合国条例和规则以及《气候公约》有关政策,支持各当前进程和四个司的工作。

2. 适应司

32. 适应司支持缔约方提高适应能力,增强韧性并降低易受气候变化影响的程度。

33. 适应司支持各组成机构、进程和工作方案之下的工作大体上均按照各自任务授权和工作计划取得了进展。这些工作的成果在整个报告所述期间得以体现,并促成了缔约方会议第二十七届和第二十八届会议上的实质性进展。

34. 与格拉斯哥—沙姆沙伊赫全球适应目标工作方案相关的支持包括:在工作方案之下组织了四次研讨会;编写研讨会概要;编写工作方案执行进展年度报告。

35. 适应司支持适应委员会开展工作，包括履行该委员会的经授权的职能，¹⁰ 其中包括促进总体一致性，向缔约方提供技术支持和开展外联。除其他外，这项支持的成果是：制定了关于适应信息通报的补充指南；完成了关于评估适应需求的授权技术工作；与最不发达国家专家组和资金问题常设委员会合作，在适应和支持的充分性和有效性的审评方法的制定方面¹¹ 取得了进展。

36. 适应司继续为最不发达国家专家组的工作提供便利，从而支持发展中国家缔约方，特别是最不发达国家，加快制定和实施国家适应计划，主要是通过开放式国家适应计划倡议、项目建议书制定指南以及在国家适应计划展览的背景下交流经验教训。由于资源限制，未能按照最不发达国家专家组的工作计划举办区域展览。适应司于 2021 年 8 月启动了国家适应计划联合国行动倡议，以促进联合国和其他政府间组织为国家适应计划提供技术支持，特别是侧重于最不发达国家和小岛屿发展中国家的技术支持。2022-2023 年收到了 96 个支持请求，均已转交相关组织迅速处理。

37. 为华沙国际机制及其执行委员会，包括其五个专题专家组提供的支持有助于加强与损失和损害有关的知识、协调、行动和支持，包括制定执行委员会 2023-2027 年第二个滚动工作计划。适应司还支持组织了第一次和第二次格拉斯哥对话，协助避免、尽量减轻和处理气候变化不利影响相关损失和损害问题圣地亚哥网络促进相关技术援助，并继续与损失和损害联络点接触，以提升华沙国际机制执行委员会及其专题专家组的产出的相关性、有用性和传播。

38. 适应司支持促进工作组实施了地方社区和土著人民平台 2022-2024 年工作计划，包括让七个联合国土著社会文化区域的知识持有者聚集在一起，以便在应对气候变化和恢复生态完整性领域促进与缔约方和相关实体分享经验、文化价值和知识体系。适应司还支持组织了一次亚洲和非洲双区域会议、一次北极的区域会议和一次太平洋的区域会议以及四次促进工作组会议。由于补充资源不足，无法支持其他已获授权的土著区域对话。

39. 在内罗毕工作方案方面，适应司与农业和粮食安全、森林和草原以及海洋、沿海地区和生态系统领域的专题专家组合作，在第 16 次内罗毕工作方案联络点论坛上开展了山区专题领域的初步工作。通过利马适应知识倡议这一内罗毕工作方案之下的联合行动承诺(如果有资源可用于开展授权研讨会，应当可以取得更多成绩)，以及通过借助联合国气候变化与大学合作方案提升与大学的合作，在次区域缩小知识差距方面取得了一定进展。

40. 适应司负责维护重要的信息和数据门户，包括适应知识门户、国家适应计划中心网、斐济风险转移信息交换所、地方社区和土著人民平台门户网站和适应信息通报登记册，尽管资源限制阻碍了进一步升级，适应司还通过社交媒体渠道或网络站点定期分享信息。适应司开展了多项任务，以支持《气候公约》之下的适应信息和透明度安排，包括编写国家自主贡献综合报告中关于适应的章节。

¹⁰ 第 1/CP.16 号决定，第 20 段。

¹¹ 根据第 11/CMA.1 号决定，第 35 段。

3. 减缓司

41. 减缓司支持缔约方便利和促进实施有力度的国家行动并在这方面开展合作，以配合全球努力，将气温升幅控制在低于 2°C 之内，并努力限制在工业化前水平以上 1.5°C 之内。

42. 减缓司支持的所有组成机构、进程和工作方案之下的工作多数按照各自的任务授权和工作计划进展，有些领域由于资源限制而出现了较大延迟。这些工作的成果在整个报告所述期间得以体现，并促成了附属机构第五十六届、第五十七届、第五十八届和第五十九届会议以及缔约方会议第二十七届和第二十八届会议上的实质性进展。

43. 减缓司继续通过支持与《巴黎协定》第六条相关的工作促进政府间参与。具体而言，该司协调了一系列虚拟和混合研讨会，编写了一系列技术报告，以推进和支持实施《巴黎协定》第六条第二和第四款；第六条第二款的一些实施方面出现了重大延迟，特别是在启动与集中审评相关的工作以及落实与国际转让的减缓成果的报告和跟踪相关的基础设施方面。与之类似，第六条第八款方面的工作也被推迟，包括最终确定非市场平台，业务程序以及编写和更新关于在非市场方法平台内提交和记录信息的程序手册。

44. 减缓司的工作还包括：于 2023 年组织了两次全球对话和两次聚焦于投资的活动，以此支持实施沙姆沙伊赫减缓力度和实施工作方案之下的活动，并编写了相关报告；在《协定》/《公约》缔约方会议第四届和第五届会议上组织了 2030 年前力度问题高级别部长级圆桌会议。为推进实施应对措施的影响问题论坛的工作，减缓司编写了技术文件并举行了非正式活动。减缓司还促进开发了一个模型工具，以加强对实施应对措施的影响的认识和分析，并促进在区域气候周期间组织了同行学习活动，以便利知识交流和能力建设。由于资源限制，用于选择应对措施方面的工具和方法的网络版用户界面的开发工作被推迟。

45. 在与既定进程有关的目标方面，减缓司编写了关于根据《京都议定书》第三条第 14 款最大限度地减少不利影响的汇编与综合报告，并在科技咨询机构第五十六届、第五十七届、第五十八届和第五十九届会议上组织了四次格拉斯哥非市场方法委员会的会议。减缓司还编写了在《协定》/《公约》缔约方会议第五届会议上审议的长期低排放发展战略综合报告，并通过分析和综合所提交的减缓相关信息为编写在《协定》/《公约》缔约方会议第四届和第五届会议上审议的国家自主贡献综合报告作出了贡献。

46. 减缓司组织并举办了监督机构、影响问题卡托维兹委员会、清洁发展机制执行理事会、联合执行监督委员会和登记册系统管理人论坛的会议。

47. 在数据和信息管理方面，减缓司继续维护应对措施评估的模型工具数据门户、长期低排放发展战略信息门户、用于计算国家自主贡献总体减缓影响的工具以及作为对国家自主贡献综合报告的投入的国家自主贡献中的缓解措施数据库。减缓司继续为清洁发展机制提供数据和分析支持。处理了 14,300 多项清洁发展机制登记册交易，并维护了与项目活动、活动方案以及清洁发展机制数据和见解有关的数据库。

48. 减缓司与国家自主贡献伙伴关系支助股、联合国开发计划署和其他伙伴合作，实施与国家自主贡献、长期低排放发展战略和具体部门减缓行动有关的能力建设和经验分享举措。减缓司继续利用区域合作中心促进气候行动，以满足各国的需求。区域合作中心在缔约方会议第二十七届和第二十八届会议期间举办了区域气候周、圆桌会议和一次会外活动。

49. 在《京都议定书》方面，减缓司继续为清洁发展机制和联合执行以及相关的报告、审评、履约和利益相关方参与要求提供监督和支持。

4. 执行手段司

50. 执行手段司协助缔约方更好地获得、调动和增加资金、技术以及能力建设支持，以实施《公约》、《京都议定书》和《巴黎协定》。执行手段司支持的各组成机构、进程和工作方案之下的工作大体上均按照各自任务授权和工作计划取得了进展。由于资源限制，需要限制发展中国家代表利用资助参加活动和讲习班的机会，并需要依赖现有工作人员，而无法雇用专职工作人员以支持该司日益增加的工作量。

51. 在本报告所述期间，该司在以下方面为资金问题常设委员会提供了支持：制定实施资金问题常设委员会 2022-2023 年工作计划；举行六次混合会议；编写第五次《气候资金流动两年期评估和概览》、实现每年联合筹集 1,000 亿美元目标进展报告、《巴黎协定》第二条第一款第(三)项(包括其中提及第九条之处)相关现有信息梳理报告、《巴黎协定》第二条第一款第(三)项意见综述、气候资金定义相关工作报告，以及资金机制经营实体指导意见草案；组织资金问题常设委员会关于基于自然的解决方案的论坛的第二期以及资金问题常设委员会关于为公正转型提供资金的论坛。

52. 此外，执行手段司还组织了八次技术专家对话；两次关于气候资金新的集体量化目标的高级别部长级对话。一次关于实现到 2020 年每年共同筹集 1,000 亿美元目标的进展情况的高级别部长级对话；一次关于《巴黎协定》第九条第五款的高级别部长级对话；沙姆沙伊赫对话之下两次关于《巴黎协定》第二条第一款第(三)项的范围及其与《巴黎协定》第九条的互补性的研讨会；一次关于《巴黎协定》第九条第五款的会期研讨会；

53. 执行手段司还与适应司一道，为缔约方会议第二十六届和第二十七届会议主席闭会期间的气候融资工作、首次全球盘点以及损失和损害融资，包括过渡委员会的工作提供了实务支助。

54. 执行手段司编写了在缔约方会议第二十七届会议上启动的技术机制 2023-2027 年第一个联合工作方案，从而支持了关于技术开发和转让的政府间工作；编写了为支持实施《巴黎协定》在与技术开发和转让有关的事项上向技术机制提供的支持的有效性和充足性的首次定期评估报告。执行手段司还为全球盘点技术部分的技术和政治审议阶段提供了支持。

55. 执行手段司继续协助组织技术执行委员会会议和技术执行委员会与气候技术中心和网络的咨询委员会的联合会议、技术执行委员会的会外活动和技术执行委员会出版物。执行手段司为技术执委会实施其 2022 年和 2023 年滚动工作计划提供了支持。

56. 执行手段司支持了关于能力建设的谈判，包括通过组织第十一届和第十二届德班能力建设论坛这样做。执行手段司还支持巴黎能力建设委员会实施了该委员会 2021-2024 年工作计划之下的活动，例如：(1) 协助拟定向缔约方会议和《协定》/《公约》缔约方会议提出的建议；(2) 分别在附属机构第五十六届和第五十八届会议上组织巴黎能力建设委员第六次和第七次会议，在区域气候周期间组织了六次专题对话，分别在缔约方会议第二十七届和第二十八届会议上组织了第四次和第五次能力建设中心活动(包括在活动中举行的线下技术会议)，在履行机构第五十六届会议、缔约方会议第二十七届会议、履行机构第五十八届会议和缔约方会议第二十八届会议期间组织了四次《公约》和《巴黎协定》框架内能力建设非正式协调小组的混合会议，并举行了四次技术网络研讨会；(3) 出版了五份技术文件、两份出版物和六份电子小册子；(4) 协调巴黎能力建设委员会网络之下的各项活动，包括 15 次虚拟研讨会和网络研讨会；(5) 编制巴黎能力建设委员会的宣传和外联产品，通过巴黎能力建设委员会网页、社交媒体渠道以及能力建设门户网站进行传播。

57. 此外，执行手段司继续监督为在一年内提高能力和机构培训水平提供的研究金能力奖方案，根据该方案，来自最不发达国家和小岛屿发展中国家的 8 名研究人员来到秘书处各司工作和培训。

5. 透明度司

58. 透明度司支持与目前的衡量、报告和核实安排以及与强化透明度框架相关的政府间进程。

59. 在本报告所述期间，透明度司继续为政府间参与提供实务支助。透明度司在以下方面提供了支持：开展谈判以推进透明度事项方面的工作，包括与衡量、报告和核实安排以及实施强化透明度框架有关的工作；多边评估和促进性意见交换；非附件一缔约方的报告工作，包括为发展中国家提供资金和技术支持；温室气体数据接口；科罗尼维亚农业联合工作。

60. 透明度司继续在落实强化透明度框架方面开展工作，并协助缔约方从当前的衡量、报告和核实安排转向强化透明度框架。透明度司还通过实施衡量、报告和核实安排支持政府间进程。透明度司完成了对 29 份附件一缔约方国家信息通报和两年期报告、48 份附件一缔约方国家温室气体清单报告、48 份非附件一缔约方两年期更新报告(其中 9 份包含载有 REDD+结果的技术附件，16 份包含国家温室气体清单报告)以及 15 份关于 REDD+森林参考排放水平的材料的技术审评和分析，约 670 名专家参与其中。由于资源限制，一些发展中国家缔约方的两年期更新报告分析和 REDD+评估没有进行，影响了衡量、报告和核实活动的完成和 REDD+努力的按成果支付。

61. 透明度司还进行了首次国家清单报告简化审评。该司为 49 个非附件一缔约方组织了两次促进性意见交换，为 13 个附件一缔约方组织了第五轮国际评估和审评进程的一次多边评估会议。还组织了温室气体清单主任审评员会议以及国家信息通报和两年期报告主任审评员会议。该司发布了多份报告，包括一份附件一缔约方两年期报告的汇编与综合、49 份温室气体清单状况报告、2 份温室气体信息与数据报告、2 份《京都议定书》数据汇编和核算报告、提交科技咨询机构的 4 份《公约》及其《京都议定书》之下的温室气体清单技术审评报告、提交科技

咨询机构的一份两年期报告技术审评报告以及一份国家信息通报和两年期报告提交情况报告。

62. 透明度司发起并支持了强化透明度框架新报告工具的开发，2023年8月向缔约方提供了测试版本，2023年11月发布了更新版本。由于资金短缺，工具的开发被推迟，最终只能通过内部来源的预付款解决，影响了交付成果的时间和质量。透明度司为超过1,250名专家组织了多次测试版培训。

63. 透明度司继续支持专家咨询小组，组织了四次会议和两次非正式论坛，举办了六次关于衡量、报告和核实安排与强化透明度框架的专家咨询小组实操研讨会，参与者达297人，组织了六次网络研讨会，参与的专家达352人，更新并翻译了专家咨询小组培训教材，更新了专家咨询小组强化透明度框架指南。透明度司举办了15次关于强化透明度框架规定的线下、国内或虚拟培训，组织了3次关于向强化透明度框架过渡的愿景的强化透明度框架高级别区域对话。透明度司还支持交付了五个关于适应、强化透明度框架和温室气体清单的专业培训方案，共94人接受了培训。此外，透明度司为发展中国家举办了27次关于国家温室气体清单和能源统计数据质量保证的线下研讨会，以及3次区域网络研讨会、3次远程培训课程和1次侧重于国家温室气体清单关键方面的同行学习区域研讨会。另外，透明度司为参与两年期更新报告技术分析、国家信息通报和两年期报告技术审评以及温室气体清单技术审评的专家提供了培训课程和考试，有1,030名专家获得了认证。由于资源限制，透明度司无法为参加强化透明度框架之下的技术专家审评培训方案的专家组织有指导的培训，而只能提供完全自学的培训方案。此外，为适应信息自愿审评制定培训课程的工作也被推迟。

B. 业务部

1. 业务协调

64. 业务协调为以下三个业务司的工作提供战略指导、有效协调和监督：会议事务司；法律事务司；行政事务、人力资源和信息通信技术司；以及资源调动和伙伴关系处和记录管理组。业务协调确保各司在交付工作方案的过程中在行政上保持一致，处理监督机构的意见，有效管理资金和人力资源，并支持采取行动共同实现《公约》、《京都议定书》和《巴黎协定》的宗旨和目标。

65. 业务协调继续发展、协调和促进有影响力的伙伴关系，以确保秘书处获得工作所需的资金和人力资源。2022-2023年，业务协调：(1) 为补充活动信托基金和参与《气候公约》进程信托基金筹集6,250万欧元提供了便利；(2) 处理了94项捐助和伙伴关系协定；(3) 完成了秘书处内现有伙伴关系安排梳理；(4) 在缔约方会议第二十七届和第二十八届会议期间成功交付了《气候公约》展馆；(5) 开展了八次伙伴关系和捐助者关系访问；(6) 开始起草秘书处资源调动和伙伴关系战略。

66. 业务协调为非常用记录的转让和查阅提供了便利，管理了非常用记录中心和记录管理系统，并维护了秘书处的档案。共处理了114延米的转移记录，完成了3,534项记录检索请求，其中3,402项是视听记录请求，在记录保存系统中录入了30,305项数字记录，并在线上公开提供了6,307项档案记录。

67. 登记、会议管理、记录保存和档案系统等关键系统的保存和维护方面可用资金有限，秘书处依靠的是不可预测的补充资金，因而在增强/维护系统方面仍有困难。

2. 法律事务司

68. 法律事务司为政府间谈判进程及《公约》、《京都议定书》和《巴黎协定》的实施提供以解决方案为导向的独立的法律和程序顾问和支持；并在秘书处的行政、管理和业务以及《气候公约》进程方面提供这种支持。

69. 法律事务司就与理事机构和附属机构召开届会以及这些届会上开展工作相关的程序性和实质性事项提供法律意见。在这方面，法律事务司向以下各方提供了咨询意见：缔约方会议第二十七届和第二十八届会议的主席及附属机构主席、主席团和召集人；谈判小组；执行秘书和秘书处；联合国系统各实体。所提供的咨询意见有助于成功通过缔约方会议第二十七届和第二十八届会议上的重要成果，例如《沙姆沙伊赫实施计划》、首次全球盘点的结论、落实应对损失和损害的新供资安排，包括一个基金以及拟定和通过关于承办圣地亚哥网络秘书处的谅解备忘录。法律事务司共就政府间进程相关各类法律、程序和体制事项提供了 404 次法律咨询。由于缺少资金，无法按设想加强对会议主持者的法律支助。

70. 法律事务司向本报告所述期间举行的 11 次选举磋商会议的主席、各区域集团和类别组的主席和协调员以及各缔约方提供了关于《气候公约》选举程序的支持和信息，由此产生的成果是成功处理了 296 项《气候公约》各机构选举或任命的候选人提名。法律事务司还为提升选举提名门户网站提供了协助，并为主席和协调员举办了六次关于这些提升工作的培训。由于资源限制，选举门户网站的功能有所减少，只有获得资金后才能够提升。

71. 法律事务司为各组成机构的会议提供的法律支持、咨询和服务使这些机构得以遵循良好程序履行各自的指定职能。具体而言，法律事务司作为《京都议定书》履约委员会和《巴黎协定》履约和履约委员会的秘书处组织并支持了《京都议定书》履约委员会的三次会议以及《巴黎协定》履约和履约委员会的八次会议，并分别支持两个委员会编写了提交《议定书》/《公约》缔约方会议和《协定》/《公约》缔约方会议的 2022 年和 2023 年年度报告。法律事务司为主席、缔约方和观察员组织了五次关于气候变化程序性和实质性问题的能力建设培训，并开发了三门电子学习课程，内容分别为《气候公约》进程、《巴黎协定》履约和履约委员会以及《巴黎协定》的实施。

72. 在为秘书处的行政、管理和业务以及《气候公约》进程提供支助方面，法律事务司提供了 232 次法律咨询。法律事务司还为缔约方会议第二十七届和第二十八届会议的 355 项法律文书和协议，包括东道国协定和其他协议的起草、谈判和定稿提供了支持。

73. 为进行有效的管理风险，保护合法权益并遵守《气候公约》监管制度，除其他外，法律事务司就特权和豁免、责任、人力资源事项、潜在利益冲突、数据隐私、知识产权和秘书处的法律人格方面的相关问题提供了咨询意见。在秘书处的法律地位得到澄清并收到审计委员会的建议之后，法律事务司协调了正在进行的加强秘书处法律框架的工作，其中包括制定 24 项新的《气候公约》政策和编纂一份政策汇编。这项工作有助于提高业务灵活性，使做法更加统一和一致，并使

《气候公约》监管框架及其与联合国的体制关联更加明确，但由于缺少资金，这项工作尚未完成。

3. 会议事务司

74. 会议事务司致力于为理事机构和附属机构届会以及为研讨会和各项活动提供最佳的会议服务，并协助缔约方和其他利益相关方筹备这些会议和活动。会议事务司的核心任务没有改变，但需求的规模和复杂性有所增加。

75. 2019 冠状病毒病大流行加快了对虚拟及混合会议的需求，会议事务司得以满足了这一特别需求。对业务和系统进行系统和可持续的现代化的工作由于资金短缺而无法开展，但仍然是优先事项。

76. 会议事务司组织了附属机构第五十六届会议、附属机构第五十八届会议、缔约方会议第二十七届和第二十八届会议这些包含活跃的虚拟参与的线下会议，应对了巨大的后勤挑战和前所未有的线下参与情况。会议事务司还组织了近 200 次研讨会、能力建设会议以及在波恩和波恩之外举行的会议，并组织了会前会议和授权活动，为达成供资协定提供了支持，为区域气候周提供了顾问支持，并为缔约方和观察员参与提供了便利。几年来资源水平没有变化，因而会议事务司只能牺牲工作人员的福利以应对巨大的工作量。

77. 会议事务司与东道国一道为举办缔约方会议第二十七届和第二十八届会议提供了有效支持，以便组织包容、安全和可靠的会议，包括：

(a) 为缔约方和观察员参会提供便利，包括购买会议相关服务和机(车)票，支付每日生活津贴，并做好虚拟会议平台相关安排；

(b) 协助符合条件的缔约方参会代表办理签证，并处理资金支持请求(97%的请求得到及时处理，高于 90%的目标)；

(c) 协助与会者，包括受资助代表在内，安排住宿(缔约方会议第二十七届会议的受资助代表人数较缔约方会议第二十六届会议增加了 77%，缔约方会议第二十八届会议的受资助代表人数较缔约方会议第二十七届会议增加了 77%)；

(d) 支持《东道国协定》、关于资金的换文以及关于数据共享安全与合作的谅解备忘录方面的工作；

78. 会议事务司为 113 名世界领导人和 69,252 名登记参会者参加缔约方会议第二十七届会议提供了便利，为其中 49,716 人，包括政府、民间社会、学术界、工商业和青年的代表以及支助人员制作了证件(比缔约方会议第二十六届会议增加了 29%)，为 149 位世界领导人和创纪录的 116,762 名登记参会者参加缔约方会议第二十八届会议提供了便利，为其中 83,955 人制作了证件(比缔约方会议第二十七届会议增加了 69%)。

79. 此外，会议事务司：

(a) 编辑和处理了超过 800 万字的正式和非正式文件，比 2020-2021 两年期增加了 25%，并在缔约方会议第二十七届会议上成功试行了电子邮件通知系统，在缔约方会议第二十八届会议上成功试行了推送通知系统，使用户能够收到各议程项目和感兴趣的专题之下发布文件的通知，从而改善了会期文件的可获得性；

(b) 继续与缔约方会议第二十七届和第二十八届会议东道国密切合作，实施措施，以减少《气候公约》届会碳足迹并改善无障碍情况(在残疾包容方面)，包括根据国际公认的标准帮助获得可持续活动管理认证并实现碳中和，缔约方会议第二十八届会议是第一届在会期内获得国际标准化组织大型活动可持续标准 20121 认证的届会；加强了利益相关方外联工作，以提高对这些措施的认识；

(c) 在缔约方会议第二十七届和第二十八届会议之后开展了客户调查，以评估和改进会议服务工作，64%的受访者认为缔约方会议第二十七届会议的会议服务“非常好”、“良好”或“一般”，低于缔约方会议第二十六届会议的89%，其中住宿、餐饮和基础设施等领域评价较低，反映出后勤领域面临巨大挑战；87%的受访者认为缔约方会议第二十八届会议的会议服务“非常好”、“良好”或“一般”，与缔约方会议第二十七届会议相比高出36%，签证支持、可持续性和无障碍等领域评分较高。

4. 行政事务、人力资源和信息和通信技术司

80. 行政事务、人力资源和信息和通信技术司负责规划管理秘书处的资金和人力资源，协调秘书处工作方案和预算的编制，并报告执行情况。该司负责秘书处的采购、财产和设施管理，作出旅行安排，着眼于确保秘书处的业务实现环境和社会可持续性。该司提供安全、可靠、可持续和协调一致的信息技术基础设施；运行维护支持政府间进程的现有已授权体系；扩展和改善面向用户的重要服务。该司负责制定组织的政策和准则。

81. 在本报告所述期间，该司日渐达到或超越自身的目标。该司成功地为秘书处的各项方案以及为外部客户提供了财务和预算支助，并继续加强对预算执行情况的监测、财务管理和内部控制，包括与财务权力下放有关的控制以及关于方案支助费用和费用回收的政策。该司根据《国际公共部门会计准则》编制了财务报表，并为联合国审计委员会的审计工作提供了支持。审计委员会对2021年和2022年已审计财务报表出具了无保留意见。该司共准确、及时处理了30,315笔金融交易，包括6,630张商业发票的支付和3,020笔收入相关入账款项。

82. 采购工作继续以有竞争力和符合成本效益的方式进行。该司发出了1,503份订购单，总额为6,130万美元，其中约40%是根据现有长期协议发出的，约40%是通过与联合国系统各实体的合作采购发出的，约20%是基于新的竞争性招标活动发出的。该司为十多年来在两处办公的秘书处安排了在联合国波恩办公区合并办公的事宜，并领导了秘书处减少环境足迹和实现气候中立的努力。秘书处通过购买和取消适应基金的核证减排量以及通过联合国碳抵消平台，帮助联合国系统抵消了2,300千吨二氧化碳当量，占联合国系统2021-2022年温室气体总排放量的85%。在本报告所述期间，为工作人员及与会者参与《气候公约》活动(包括附属机构第五十六届和第五十八届会议以及缔约方会议第二十七届和第二十八届会议)安排了8,477次旅行，与疫情前水平相当。

83. 该司继续支持秘书处内部的员工队伍规划，特别侧重于来自缔约方会议第二十六届和第二十七届会议的任务。在本报告所述期间，填补了149个职位。到2023年底，58%的员工为女性，42%为男性。该司简化了标准流程，特别是与征聘、选任和灵活工作安排相关的流程，从而将平均征聘时间从228天减少至158天。工作人员完成了6,353项学习活动，反映了秘书处致力于工作人员的发展。

84. 该司为附属机构第五十六届和第五十八届会议以及缔约方会议第二十七届和第二十八届会议提供了远程工作和参会所需的服务和信息，在这些会议期间，依靠信通技术的全面支持成功举行了 3,800 多次线上或混合会议。在实施新的强化透明度框架报告工具方面取得了进展，包括两个用户培训版本，最终版本定于 2024 年发布。该司于 2022 年开始寻找清洁发展机制信息系统的替代方案，以期在《巴黎协定》第六条下的工作找到解决办法，选定的提案定于 2024 年开始实施。交付的其他主要工作涉及选举提名门户、全球盘点门户、适应信息通报登记册、《巴黎协定》第六条第八款之下的非市场方法和 Codoc 文件管理系统。由于资源限制，只对公共网站、办公生产力工具、缔约方会议登记和分析平台作了有限的关键的强化。

C. 跨领域

1. 政府间支助和集体进展司

85. 政府间支助和集体进展司确保了理事机构和附属机构工作的连贯性、一致性、透明性和及时性，促成并支持总体进程，例如全球盘点和《公约》之下的长期全球目标的第二次定期审评，从而促进了政府间气候变化进程的进展。

86. 在本报告所述期间，该司支持了缔约方会议第二十七届和第二十八届会议主席以及附属机构主席指导缔约方在缔约方会议第二十七届和第二十八届会议上顺利取得成果。该司还为缔约方会议、《议定书》/《公约》缔约方会议和《协定》/《公约》缔约方会议主席团的 13 次会议提供了程序和实务支助。

87. 该司继续通过若干渠道向缔约方、联合国各组织和利益相关方通报情况，包括发布通知、信息和普通照会。该司在整个两年期内向缔约方提供了礼宾支持，特别是在理事机构和附属机构届会期间，并为区域和谈判集团有效参与《气候公约》进程提供了便利。经与主席协商，该司为筹备和举办沙姆沙伊赫气候实施峰会、阿拉伯联合酋长国世界气候行动峰会以及缔约方会议第二十七届和第二十八届会议上的高级别会议提供了支持。为在肯尼亚、马来西亚、巴拿马和沙特阿拉伯的区域气候周期间组织四次高级别会议提供了支持。该司还持续在更大范围内向秘书处提供支持，组织缔约方和非缔约方利益相关方对波恩秘书处进行正式访问。

88. 该司协助审评了全球盘点以及与研究和系统观测有关的事项，并协助完成了对《公约》之下的长期全球目标和实现该目标方面总体进展情况的第二次定期审评。由于资源限制，受资助参加活动的顾问人数有限。

89. 关于全球盘点，该司组织并支持了三次技术对话会议，支持当选的技术对话联合召集人进行编写总结报告等工作，在区域气候周期间举办特别活动，并组织了一次协商和一次讲习班，以支持对产出的政治审议。关于第二次定期审评，该司支持当选的联合召集人组织了相关有结构的专家对话并编写了对话报告。

90. 关于研究和系统观测，该司组织了两次研究对话会议(附属机构第五十六届和第五十八届会议期间)以及 2022 年和 2023 年地球信息日(缔约方会议第二十七届和第二十八届会议期间)。该司继续支持与众多国际和区域科学组织以及气专委开展合作。通过科技咨询机构—气专委联合工作组为与气专委的合作提供了支

持，与气专委的合作为将来自气专委第六次评估报告的投入系统地纳入科技咨询机构的工作和《气候公约》进程提供了便利。

91. 该司还召开了年度海洋和气候变化对话这一授权活动以审议如何强化基于海洋的适应和减缓行动，并编写了对话报告。由于补充资源有限，这项工作只得由现有工作人员承担。

2. 传播和互动司

92. 传播和互动司确保全球应对气候变化的举措以基于政府间进程成果的连贯的信息作为参考，该司努力提高广大行为体行动的一致性，以便促进并增加气候行动并确保气候行动与《公约》的目标和《巴黎协定》的宗旨保持一致。

93. 在本报告所述期间，该司：

(a) 促进了与气候赋权行动、性别、全球气候行动和观察员参与相关的既定进程中的政府间参与和进程的实施，包括(1) 组织气候赋权行动对话，编写性别行动计划之下的一系列报告并筹备一系列活动，并支持主席和观察员类别组在缔约方会议第二十七届和第二十八届会议上组织与性别、气候赋权行动以及青年有关的活动；(2) 支持谈判和通过格拉斯哥气候赋权行动工作方案以及性别问题行动计划中期审评；(3) 在附属机构第五十六届和第五十八届会议以及缔约方会议第二十七届和第二十八届会议期间促进接纳创纪录数量的观察员组织并促进这些组织参加这些会议，缔约方会议第二十八届会议接纳了 3,800 多个观察员组织；(4) 支持气候行动高级别倡导者参与《气候公约》进程，组织授权的高级别活动，编写《全球气候行动年鉴》，在缔约方会议第二十七届和第二十八届会议上提供多样化的活动方案，以肯定非缔约方利益相关方的成就并找到加强国际合作的机会；(5) 通过与时尚、体育、娱乐和文化领域等多种社会经济部门的利益相关方合作促进气候行动；

(b) 管理值得信赖的数据和信息存储库，以加强关于政府间进程的目标和成就的交流沟通；编制材料，用于通过《气候公约》网站、移动应用程序和社交媒体的渠道向缔约方、非缔约方利益相关方和公众宣传关于《气候公约》进程的信息；借助《气候公约》内联网保持并加强沟通，以促进整个秘书处的信息共享，并为跨部门合作提供支持；管理全球气候行动门户网站，以跟踪非缔约方利益相关方的气候行动；

(c) 加强与缔约方、非缔约方利益相关方和公众的接触，以便加强气候行动，包括(1) 通过沟通和有针对性的宣传促进接触新受众，以提高执行秘书的声音，应对错误信息，并借助全球盘点加速气候行动；(2) 为区域气候周提供交流和实务支助；(3) 以联合国五种语言提供多语种交流(中文网站于 2023 年初上线)，从而帮助提高《气候公约》进程在全球的可见度；(4) 通过媒体参与，为采访请求提供便利，回答媒体询问，并在附属机构第五十六届和第五十八届会议以及缔约方会议第二十七届和第二十八届会议期间为的 6,000 多名媒体代表管理制证、关系、服务和设施事宜；(5) 维护与观察员组织和类别组的关系；(6) 着重强调大量涌现的非缔约方利益相关方的气候承诺和正在世界各地开展的活动；(7) 组织研讨会、提供培训，并在实施性别问题利马工作方案方面提升参与。

94. 该司对依靠补充资金的情况是一个挑战。由于缺少补充资金，该司无法持续或充分地：(1) 展示与全球气候行动有关的成功实例和成就；或(2) 提供多语种内

容，导致全球部分受众无法以自己的语言获得准确和及时的信息。鉴于接纳申请数量日益增加，缺少资金也影响了对观察员组织参加缔约方会议届会的支持。如果不增加资金，将难以确保这一核心工作的质量和交付效率，这反过来又会影响《气候公约》进程内部的透明度和包容性。令这一情况加剧的是，格拉斯哥气候赋权行动工作方案和性别问题利马工作方案及其性别问题行动计划缺少可持续、可预测的供资，由此影响了工作人员的留用，从而也影响了授权工作高质量和有效的交付。

D. 执行司

95. 执行司为秘书处的工作提供战略指导，确保秘书处的各项任务保持总体协调一致并能够及时响应。为此，执行司确保秘书处的工作遵循《公约》第二条、《巴黎协定》第二条以及联合国的价值观和原则。

96. 2022 年，执行司领导秘书处，与缔约方会议第二十六届会议和缔约方会议第二十七届会议主席、附属机构主席以及缔约方会议、《议定书》/《公约》缔约方会议和《协定》/《公约》缔约方会议主席团密切合作，为《沙姆沙伊赫实施计划》的通过作出了贡献。缔约方会议第二十七届会议期间，缔约方设立了新的供资安排以援助特别易受气候变化不利影响的发展中国家，重申了将全球升温控制在 1.5°C 以内的承诺，并呼吁增加对发展中国家的资金支持。

97. 2023 年，执行司继续与缔约方会议第二十七届和第二十八届会议主席、附属机构主席以及缔约方会议、《议定书》/《公约》缔约方会议和《协定》/《公约》缔约方会议主席团密切合作，领导秘书处的工作，为组织缔约方会议第二十八届会议作出了贡献，缔约方会议第二十八届会议上完成了《巴黎协定》之下对全球应对气候变化努力的首次全球盘点。

98. 在缔约方会议第二十八届会议上，缔约方回应首次全球盘点的结果，通过了一套 47 项决定，旨在加快行动，减少温室气体排放，加强对气候变化的适应能力，并在 2030 年前向易受影响的国家提供资金和技术支持。具体包括呼吁各国政府加强气候承诺，从而加快从化石燃料向风能和太阳能等可再生能源转型。

99. 在本报告所述期间，附属机构主席与缔约方会议第二十六届和第二十七届会议主席合作召开了附属机构第五十六届和第五十八届会议。执行司协调秘书处派代表参与各项活动，并协调执行秘书参与活动。

100. 在本报告所述期间，执行秘书与缔约方和其他利益相关方开展了外联活动，以促进和推动《气候公约》之下的工作。

101. 执行司与联合国系统各实体保持了密切合作。具体包括请执行秘书参加高级别决策和协调小组，如行政首长协调理事会、高级管理小组和执行委员会等。

102. 执行司还协助制定并实施秘书长的气候变化战略优先事项，并支持秘书长在缔约方会议第二十七届和第二十八届会议之前和期间开展气候变化外联活动。

103. 执行司于 2022 年 7 月协调临时执行秘书入职，并于 2022 年 9 月协调执行秘书入职。

104. 组织发展和监督股侧重于实施三个新工作流程的活动，即全秘书处规划和业绩报告、组织发展和文化、监督这三个流程的活动。该股承担协调中心的职

责，负责持续的结构性和非结构性变动倡议，并紧密融入秘书处的管理团队，以解决战略问题和优化的需求。该股的目标是在行政事务中加强透明度、外联和包容性。在本报告所述期间，该股协调了四项审计，其中两项由联合国审计委员会进行，两项由联合国内部监督事务厅进行。

附件一

关于《气候公约》各基金的预算、收入和可用现金情况的一般资料

[English only]

1. The UNFCCC funds were established to meet the specific requirements of the intergovernmental process. The rules governing budget administration, sources of income and income structure vary significantly among the funds.

2. The budget for each fund is administered in accordance with the respective decision on its adoption, as follows:

(a) The COP approves the core budget, the CMP endorses it as it applies to the Kyoto Protocol and the CMA endorses it as it applies to the Paris Agreement. Relevant COP decisions provide the regulatory framework for the administration and implementation of the core budget;

(b) The CDM Executive Board adopts the CDM budget through the CDM business and management plans;

(c) The Supervisory Body adopts the budget for the mechanism through the relevant resource allocation plan;¹

(d) The JISC adopts the budget for JI through the JI business and management plans;²

(e) The Government of Germany and the secretariat agree on the budget for the Bonn Fund;

(f) The host of the session of the COP and the secretariat agree on the budget that covers the secretariat's requirements in relation to arrangements for the sessions of the COP;

(g) The Executive Secretary approves the budgets for projects and activities under the trust fund for supplementary activities, the trust fund for participation in the UNFCCC process and the special account for cost recovery related activities and for indirect costs administered under the special account for programme support costs.

3. The sources and structure of income for the UNFCCC funds are defined by various factors, including:

(a) **Budget for the trust fund for the core budget of the UNFCCC:** the COP adopts an indicative scale of core budget contributions from all Parties to the Convention and the Paris Agreement and the CMP adopts an indicative scale of core budget contributions from all Parties to the Kyoto Protocol. Income for the core budget consists mainly of these contributions, in addition to a special annual contribution from the Host Government of the secretariat (the Government of Germany) and any funds carried forward from the previous budget period as approved by the COP. Contributions to the core budget are due on 1 January of the relevant budget year;

(b) **Budget for the trust fund for the international transaction log:** the CMP adopts a scale of fees for users of the ITL for each biennium. Income for the ITL budget consists of the contributions from users of the ITL and unspent balances from previous budget periods as approved by the CMP;

(c) **Budgets for the trust fund for the clean development mechanism and JI:** the CMP generally defines CDM and JI fees and shares of proceeds to be used for managing the two mechanisms. The CDM Executive Board and the JISC appropriate funds received through their respective business and management plans;

¹ The budget for the mechanism is administered under the trust fund for supplementary activities.

² The JI budget is administered under the trust fund for supplementary activities.

(d) **Budget for the Bonn Fund:** income consists entirely of annual contributions from the Host Government;

(e) **Budget for the trust fund for supplementary activities:** income consists of voluntary contributions from Parties and non-Party stakeholders for activities and projects approved by the Executive Secretary to enhance implementation of the UNFCCC work programme;

(f) **Budget for the trust fund for participation in the UNFCCC process:** income consists of voluntary contributions from Parties to support the participation of representatives of eligible Parties in the sessions of the governing and subsidiary bodies;

(g) **Budget for the special account for programme support costs:** income consists of a charge on expenditure made under other UNFCCC funds and special accounts. The charge is in line with the charges for programme support costs applied in the United Nations system and is usually 13 per cent. Exceptions include the 5 per cent charge applied to expenditure under the special account for conferences and other recoverable costs;

(h) **Budget for the special account for conferences and other recoverable costs:** income consists mainly of contributions made under the respective host country agreements for the sessions of the COP and contributions from the Government of Germany as the Host Government of the secretariat;

(i) **Budget for the special account for cost recovery related activities:** income consists of charges applied to activities financed from other UNFCCC funds and special accounts to recover the cost of internal services, including services in the areas of ICT and office equipment for staff.

4. The secretariat can only commit funds and spend them on the basis of actual availability of cash. The availability of cash under the various UNFCCC funds and special accounts is closely linked to their specific income structure:

(a) The amount of cash available for activities under the core budget depends on the timely and full payment of contributions by all Parties. COP 26 decided to maintain the level of the working capital reserve for the biennium 2022–2023 at 8.3 per cent of the estimated expenditure under the core budget per year.³ The total level of outstanding contributions, including those that have been outstanding for several years, has decreased over the biennium 2022–2023 thanks to the secretariat's enhanced efforts to collect those contributions. However, the overall late payment of contributions has negatively affected cash flow, thereby also negatively affecting the timely implementation of the work programme;

(b) The income under the CDM budget was USD 39.3 million as at 31 December 2023, while the reserve under the trust fund for the clean development mechanism amounted to USD 45.0 million as at 31 December 2023;

(c) The full annual contribution from the Government of Germany to the Bonn Fund is consistently made at the beginning of the relevant year, ensuring availability of cash to cover a portion of the costs of holding the sessions of the subsidiary bodies in Bonn;

(d) Payments under the respective host country agreements for sessions of the COP are made in line with the agreed timelines. Early finalization of the agreements is crucial to ensuring timely commitments and effective and efficient use of funding. For example, the cost of air tickets for staff attending the relevant sessions of the COP usually increases close to the travel dates; purchasing the tickets early reduces this cost;

(e) Payments for activities and projects under the trust fund for supplementary activities and the trust fund for participation in the UNFCCC process are made in accordance with the terms of individual contribution agreements. Some agreements include strict conditions on using funding and limit the expenditure period, while others allow greater flexibility. As the contributions are voluntary in nature and unpredictable, there is no related general schedule or due date, although the secretariat does estimate the total funding

³ Decision 22/CP.26, para. 13.

requirement for the biennium. The timing, predictability and level of the contributions are generally foreseen with little degree of confidence. Furthermore, donors often earmark their contributions to selected activities and/or projects. Activities and/or projects for which contributions have not been earmarked can only be undertaken and implemented upon receipt of sufficient levels of unearmarked funds;

(f) The availability of cash under the special account for programme support costs depends on expenditure under the other UNFCCC funds and special accounts. Funding generated in previous years can be used flexibly by carrying it forward. This flexibility ensures that funding gaps resulting from periods in which fewer activities are undertaken under the other funds can be bridged for a certain period of time. This is essential for accounts that cover indirect costs, including for key administrative functions such as human resources and financial management. Related resources (including staff) require continuous funding even when the level of activities involving direct costs is low;

(g) The availability of cash under the special account for cost recovery related activities depends on the availability of cash for related activities and projects under the other UNFCCC funds and special accounts.

附件二

《气候公约》各基金 2022-2023 两年期预算和截至 2023 年 12 月 31 日的支出，按经费门类分列

[English only]

Budgets for the UNFCCC funds for the biennium 2022–2023 and expenditure as at 31 December 2023, by appropriation line

(Thousands of euros)

Appropriation line	Trust fund for the core budget of the UNFCCC		Trust fund for supplementary activities		Trust fund for the clean development mechanism		Trust fund for participation in the UNFCCC process		Trust fund for the international transaction log		Bonn Fund		Special account for conferences and other recoverable costs		Article 6 of the Paris Agreement		JI		Total budget	Total exp. ^a	
	Budget ^a	Exp. ^b	Budget	Exp. ^{b, c}	Budget ^d	Exp. ^b	Budget	Exp. ^{b, c}	Budget	Exp. ^b	Budget ^e	Exp. ^a	Budget ^f	Exp. ^{b, c}	Budget ^d	Exp. ^b	Budget ^d	Exp. ^{b, c}			
Executive	4 005	3 971	1 797	1 108	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	5 803	5 080
Programmes																					
Coordination	485	478	1 187 ^g	94	280	511	–	–	–	–	–	–	–	–	–	–	–	–	–	1 952	1 084
Adaptation	6 665	6 652	17 846	11 980	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	24 511	18 632
Mitigation	3 351	3 339	19 317	8 724	20 341	19 871	–	–	697	358	–	–	–	–	24 114	5 754	375	14	68 195	38 061	
Means of Implementation	5 645	5 640	13 525	6 820	161	184	–	–	–	–	–	–	–	–	–	–	–	–	–	19 331	12 644
Transparency	13 168	13 140	29 669	20 847	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	42 837	33 987
Operations																					
Coordination	1 394	1 392	3 854	2 415	145	159	–	–	–	–	–	–	–	–	–	–	–	–	–	5 393	3 966
Secretariat-wide operating costs	3 119	2 950	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	3 119	2 950
Administrative Services, Human Resources, and ICT	3 690	3 662	2 825	350	239	213	–	–	2 740	1 837	338	405	416	403	–	–	–	–	–	10 247	6 871
Conference Affairs	2 891	2 889	–	6 506	–	–	9 343	8 626	–	–	2 830	2 853	12 174	11 574	–	–	–	–	–	27 237	32 449
Legal Affairs	2 176	2 172	1 269	1 227	321	69	–	–	–	–	–	–	–	–	–	–	–	–	–	3 766	3 468
Intergovernmental Support and Collective Progress	4 043	4 019	3 681	1 155	–	–	–	336	–	–	–	–	–	–	–	–	–	–	–	7 724	5 509
Communications and Engagement	4 053	4 036	11 724	11 457	3 279	1 304	–	–	–	–	–	–	–	–	–	–	–	–	–	19 055	16 798

Appropriation line	Trust fund for the core budget of the UNFCCC		Trust fund for supplementary activities		Trust fund for the clean development mechanism		Trust fund for participation in the UNFCCC process		Trust fund for the international transaction log		Bonn Fund		Special account for conferences and other recoverable costs		Article 6 of the Paris Agreement		JI		Total budget	Total exp. ^a
	Budget ^a	Exp. ^b	Budget	Exp. ^{b,c}	Budget ^d	Exp. ^b	Budget	Exp. ^{b,c}	Budget	Exp. ^b	Budget ^e	Exp. ^a	Budget ^f	Exp. ^{b,c}	Budget ^d	Exp. ^b	Budget ^d	Exp. ^{b,c}		
IPCC ^h	490	490	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	490	490
Total	55 175	54 831	106 695	72 685	24 765	22 310	9 343	8 962	3 437	2 195	3 167	3 259	12 590	11 976	24 114	5 754	375	14	239 660	181 987
Programme support costs	7 173	7 030	13 870	8 537	3 219	2 870	1 215	1 125	447	303	412	412	629	605	3 135	724	49	2	30 148	21 608
Adjustment to the working capital reserve	103	–	–	–	–	–	–	–	(31)	–	–	–	–	–	–	–	–	–	72	–
Grand total	62 451	61 861	120 566	81 222	27 985	25 180	10 557	10 087	3 852	2 498	3 579	3 671	13 219	12 582	27 249	6 478	424	16	269 881	203 594
Exp. rate (%)	–	99	–	67	–	90	–	96	–	65	–	103	–	95	–	24	–	4	–	75

Notes: (1) All budgets and expenditures are presented in euros, although several budgets were approved and accounted for in United States dollars (see footnote c); (2) budgets refer either to budgets approved by the respective governing body (see annex I) or to funding requirements determined in the integrated budget for 2022–2023; (3) owing to rounding, the figures presented in the table may not sum precisely; (4) brackets indicate a negative figure.

^a Budget as reallocated by the Executive Secretary.

^b Amounts are provisional and based on unaudited accounting data available in the enterprise resource planning system at the time of preparation of this document.

^c Includes adjustments to the working capital reserve.

^d Budget approved in United States dollars but converted into euros to ensure consistency of presentation across funding sources.

^e Budget as per the annual contribution to the UNFCCC by the Government of Germany.

^f Budget as per the Host Country Agreements for COP 27 and 28, converted into euros using the official United Nations exchange rate on the dates on which the funds were received.

^g Includes EUR 107,350 for the United Arab Emirates just transition work programme, approved in August 2023.

^h Provision for an annual grant to the IPCC.

附件三

《气候公约》各基金的预算和支出

[English only]

I. Trust fund for the core budget of the UNFCCC

1. COP 26 approved a core budget of EUR 62.3 million for the biennium 2022–2023 (see table III.1).¹ CMA 3 and CMP 16 endorsed the decision adopted at COP 26.²

2. COP 26 authorized the Executive Secretary to make transfers between each of the approved appropriation lines of the core budget, up to an aggregate limit of 15 per cent of total estimated expenditure for those appropriation lines, provided that a further limitation of up to –25 per cent of each such appropriation line shall apply, while ensuring no negative impacts on the activities under each division.³ The Executive Secretary used this authority to ensure that requirements were met, with such requirements higher than originally budgeted for under 6 (Executive, Adaptation, Transparency, Operations Coordination, secretariat-wide operating costs and Conference Affairs) of the 14 appropriation lines approved by the COP, lower under 7 (Programmes Coordination, Mitigation, Means of Implementation, Administrative Services, Human Resources, and ICT, Legal Affairs, Intergovernmental Support and Collective Progress, and Communications and Engagement) and at the same level under 1 (IPCC). The Executive Secretary therefore reallocated funds from lines with significantly lower requirements to those with higher requirements within the range authorized by the COP.

3. Table III.1 shows the core budget for 2022–2023, as approved by the governing bodies, the reallocations made to that core budget, as approved by the Executive Secretary, and expenditure as at 31 December 2023, by appropriation line.

Table III.1

Approved and reallocated core budget for 2022–2023 and expenditure as at 31 December 2023, by appropriation line

(Euros)

<i>Appropriation line</i>	<i>Approved budget for 2022–2023</i>	<i>Reallocated budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>
Executive	3 833 560	4 005 560	3 971 323
Programmes Coordination	513 880	485 380	478 361
Adaptation	6 510 077	6 664 877	6 651 884
Mitigation	3 740 182	3 350 982	3 338 751
Means of Implementation	6 284 160	5 645 460	5 639 763
Transparency	12 846 603	13 168 101	13 140 461
Operations Coordination	1 224 356	1 393 856	1 392 067
Secretariat-wide operating costs ^b	2 870 586	3 119 286	2 950 272
Administrative Services, Human Resources, and ICT	3 754 211	3 689 712	3 662 269
Conference Affairs	2 601 200	2 890 500	2 889 297
Legal Affairs	2 373 920	2 176 120	2 172 255
Intergovernmental Support and Collective Progress	4 042 724	4 042 724	4 018 773
Communications and Engagement	4 089 677	4 052 577	4 036 238

¹ Decision 22/CP.26.

² FCCC/PA/CMA/2021/10, para. 107, and decision 8/CMP.16.

³ Decision 22/CP.26, para. 12.

<i>Appropriation line</i>	<i>Approved budget for 2022–2023</i>	<i>Reallocated budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>
IPCC ^c	489 510	489 510	489 510
Programme support costs	7 172 704	7 172 704	7 030 017
Total	62 347 351^d	62 347 351^d	61 861 241

^a Amounts are provisional and based on unaudited accounting data available in the enterprise resource planning system at the time of preparation of this document.

^b Managed by the Administrative Services, Human Resources, and ICT division.

^c Provision for an annual grant to the IPCC.

^d Excludes adjustment to the working capital reserve.

4. Table III.2 presents the core budget for 2022–2023 and expenditure as at 31 December 2023, by object of expenditure. Total expenditure in 2022–2023, including programme support costs, amounted to EUR 61.9 million, representing 99 per cent of the core budget for 2022–2023, excluding adjustment to the working capital reserve.

5. Despite timely efforts by the secretariat to notify Parties of their contributions for 2022 and 2023 and remind them of their outstanding contributions for prior years, the level of late and outstanding core budget contributions remained high in 2022–2023. Many Parties made partial or full payments of their contributions for prior years. However, only 84 per cent of core budget contributions for 2023 had been received as at 31 December 2023. The secretariat managed the situation by releasing funding to the divisions on the basis of the availability of cash.

Table III.2

Core budget for 2022–2023 and expenditure as at 31 December 2023, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	43 752 511	42 710 104	98
Non-staff costs	10 932 626	11 631 610	106
IPCC	489 510	489 510	100
Programme support costs	7 172 704	7 030 017	98
Total	62 347 351^b	61 861 241	99

^a Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

^b Excludes adjustment to the working capital reserve.

II. Trust fund for supplementary activities, including joint implementation and Article 6 of the Paris Agreement

6. Many mandated activities continue to be funded from the trust fund for supplementary activities through voluntary contributions from Parties and non-Party stakeholders, enabling the secretariat to implement its work programme more effectively.

7. Table III.3 shows the budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for supplementary activities, including JI and Article 6 of the Paris Agreement, by object of expenditure. The overall expenditure rate was 59 per cent and varied significantly between projects (see annex V). The expenditure rate for some supplementary projects was low for various reasons, including funding not being available or not being available on time. Funding received but not used in 2022–2023 will in most cases be used in 2024 to cover costs related to relevant project activities.

Table III.3

Budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for supplementary activities, including joint implementation and Article 6 of the Paris Agreement, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	41 855 573	27 223 239	65
Non-staff costs	89 328 691	51 230 491	57
Programme support costs	17 053 954	9 262 718	54
Total	148 238 218	87 716 448	59

^a

^a Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

III. Trust fund for the international transaction log

8. CMP 16 approved the ITL budget for the biennium 2022–2023, amounting to EUR 3,851,948.⁵ Table III.4 presents the budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for the international transaction log, by object of expenditure. The overall expenditure rate was 65 per cent owing to several staff departures and a decrease in operational support needs due to registries reaching maturity.

Table III.4

Budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for the international transaction log, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023^a</i>	<i>Expenditure in 2022–2023^b</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	1 309 480	921 865	70
Non-staff costs	2 127 031	1 272 640	60
Programme support costs	446 746	303 135	68
Total	3 883 257	2 497 640	64

^a

^a Excludes adjustment to the working capital reserve.

^b Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

IV. Trust fund for the clean development mechanism

9. The CDM Executive Board adopted the CDM business and management plan for 2022–2023 at its 113th meeting, held in October 2021. The budget approved by the Executive Board for 2022–2023 amounted to EUR 27.9 million. Although the Board approved the budget in United States dollars, it is presented in this document in euros, as in the updated versions of the work programme, to enhance transparency of overall budget implementation. Expenditure rates presented as a percentage of the budget allocation may, however, be greater than the actual rates because of the conversion to euros.

10. Table III.5 presents the budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for the clean development mechanism, by object of expenditure. The overall expenditure rate was 90 per cent.

11. The expenditure rate of 90 per cent for non-staff costs is attributable to lower spending on travel as a result of virtual participation in meetings by experts and representatives, a reduction in the number and duration of meetings, the integration of work and meetings with

⁵ Decision 9/CMP.16, para. 1.

other events, lower logistical costs associated with some meetings being held virtually, lower ICT costs for meeting and workshop support and lower spending on mobile communications.

Table III.5

Budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for the clean development mechanism, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	16 511 040	14 912 261	90
Non-staff costs	8 254 035	7 397 983	90
Programme support costs	3 219 460	2 869 578	89
Total	27 984 534	25 179 822	90

^a Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

V. Trust fund for participation in the UNFCCC process

12. The 2022–2023 budget for the trust fund for participation in the UNFCCC process amounted to EUR 10.6 million. Table III.6 shows the budget for 2022–2023 and expenditure as at 31 December 2023, by object of expenditure. The overall expenditure rate was 96 per cent:

(a) For SB 56, the overall expenditure rate was low. Of the 144 Parties eligible for funding, 133 (92 per cent) requested for funding, of which 8 subsequently cancelled their nominations, and 11 (8 per cent) did not request funding;

(b) For COP 27, of the 144 Parties eligible for funding, 141 (98 per cent) requested funding and 3 (2 per cent) did not;

(c) For SB 58, of the 144 Parties eligible for funding, 137 (95 per cent) requested funding, of which 4 subsequently cancelled their nominations, and 7 (5 per cent) did not request funding;

(d) For COP 28, of the 144 Parties eligible for funding, 141 (98 per cent) requested funding and 3 (2 per cent) did not.

Table III.6

Budget for 2022–2023 and expenditure as at 31 December 2023 under the trust fund for participation in the UNFCCC process, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	117 600	110 216	94
Non-staff costs	9 225 230	8 851 482	96
Programme support costs	1 214 568	1 125 214	93
Total	10 557 397	10 086 912	96

^a Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

VI. Trust fund for the special annual contribution from the Government of Germany

13. The 2022–2023 budget for the Bonn Fund amounted to EUR 3.6 million. Table III.7 presents the budget for 2022–2023 and expenditure as at 31 December 2023 under the Bonn Fund, by budget line, as agreed with the Government of Germany. The overall expenditure

rate was 103 per cent as at 31 December 2023. As the expenditure amounts were converted at the rate applicable on the day they were incurred, the implementation rate in United States dollars amounted to 100 per cent.

Table III.7

Budget for 2022–2023 and expenditure as at 31 December 2023 under the Bonn Fund, by budget line

(Euros)

<i>Budget line</i>	<i>Budget^a for 2022–2023</i>	<i>Expenditure in 2022–2023^b</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Organization and servicing of intergovernmental meetings	2 289 056	2 335 725	102
Addressing the needs of the secretariat	798 239	810 153	101
Documentation and information for meetings	80 000	112 916	141
Programme support costs	411 748	411 859	100
Total	3 579 043	3 670 653	103

a

^a As per the annual contribution to the UNFCCC from the Government of Germany.

^b Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

VII. Special account for conferences and other recoverable costs

14. The secretariat manages funding relating to the cost associated with the consolidation of the secretariat's premises on the United Nations Campus in Bonn under the special account for conferences and other recoverable costs. The funding is provided by the Government of Germany. The special account receives further funding under agreements with the host countries of the sessions of the COP.

15. Table III.8 shows the budget for 2022–2023 and expenditure as at 31 December 2023 under the special account for conferences and other recoverable costs, by object of expenditure. While the expenditure rate for COP 27 was 103 per cent, the overall expenditure rate for 2022–2023 under this fund was 95 per cent as at 31 December 2023 owing to lower expenditure rates for COP 28 and the 'split and move' arrangement (90 and 97 per cent respectively).

Table III.8

Budget for 2022–2023 and expenditure as at 31 December 2023 under the special account for conferences and other recoverable costs, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
'Split and move' arrangement^b			
Staff and other personnel costs	286 276	273 785	96
Non-staff costs	129 654	128 914	99
Programme support costs	20 441	19 223	94
Total	436 372	421 923	97
COP 27			
Staff and other personnel costs	1 318 621	312 518 ^c	24
Non-staff costs	3 549 658	4 677 201 ^c	132
Programme support costs	243 414	255 427	100
Total	5 111 694	5 245 147	103^d
COP 28			
Staff and other personnel costs	2 071 049	209 758 ^c	24

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Non-staff costs	5 234 380	6 374 180 ^c	122
Programme support costs	365 271	330 508	86
Total	7 670 701	6 914 447	90
Grand total	13 218 766	12 581 516	95

^a Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

^b The ‘split and move’ arrangement was in place in 2022 only.

^c Expenditure for temporary personnel provided by other United Nations entities accounted for under non-staff costs.

^d Budget as per the Host Country Agreement contribution for COP 27; the budget was approved in United States dollars but converted into euros using the official United Nations exchange rate on the dates on which the funds were received. The expenditure rate in United States dollars amounted to 99.5 per cent.

VIII. Special account for programme support costs

16. Table III.9 shows the budget for 2022–2023 and expenditure as at 31 December 2023 under the special account for programme support costs, by object of expenditure. The overall expenditure rate was 109 per cent compared with the budget stated in the updated work programme as at 1 July 2023. The budget was increased by EUR 1.6 million during the biennium to reflect the increased requirements for the period, which resulted mainly from increased staff costs and the impact of high inflation levels on non-staff costs.

Table III.9

Budget for 2022–2023 and expenditure as at 31 December 2023 under the special account for programme support costs, by object of expenditure

(Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023^a</i>	<i>Expenditure in 2022–2023^b</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	15 960 280	16 927 006	106
Non-staff costs	5 140 692	4 237 285	82
Total	21 100 972	21 164 291	100

^a Includes an upward adjustment of EUR 1.6 million not reflected in the updated work programme as at 1 July 2023.

^b Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

IX. Special account for cost recovery related activities

17. Table III.10 shows the budget for 2022–2023 and expenditure as at 31 December 2023 under the special account for cost recovery related activities, by object of expenditure. The overall expenditure rate was 167 per cent. The costs of ICT services delivered under the Administrative Services, Human Resources, and ICT division in response to specific requests from other divisions increased during the biennium 2022–2023 and were higher than stated in the work programme and its updates.

Table III.10
Budget for 2022–2023 and expenditure as at 31 December 2023 under the special account for cost recovery related activities, by object of expenditure
 (Euros)

<i>Object of expenditure</i>	<i>Budget for 2022–2023</i>	<i>Expenditure in 2022–2023^a</i>	<i>Expenditure of 2022–2023 budget (%)</i>
Staff and other personnel costs	6 529 680	5 875 562	90
Non-staff costs	6 814 354	16 380 853	240 ^b
Total	13 344 034	22 256 415	167

^a

^a Amounts are provisional and based on unaudited accounting data available at the time of preparation of this document.

^b The costs of ICT services delivered under the Administrative Services, Human Resources, and ICT division in response to specific requests from other divisions increased during the biennium 2022–2023 and were higher than stated in the work programme and its updates.

附件四

秘书处职位和人员

[English only]

1. This annex contains information on secretariat posts under all funding sources and the distribution of staff members among geographical regions, between Annex I and non-Annex I Parties and by gender. Post numbers are counted in full-time equivalents as some posts are not fully budgeted or filled. Information on the distribution of staff members is presented in terms of head count. A staff member is therefore counted as one even if employed on a part-time basis. The total number of staff members is therefore higher than the total number of posts. The numbers of filled posts and staff members consider staff on fixed-term, temporary and other contracts.

I. Posts

2. The total number of budgeted secretariat posts for the biennium 2022–2023 as presented in the updated work programme as at 1 July 2023 is 577. As at 31 December 2023, 440 (76 per cent) of the budgeted posts for 2022–2023 had been filled by staff.

3. Table IV.1 shows the number of budgeted and filled posts as at 31 December 2023, by grade and source of funding. The share of filled posts in the total number of budgeted posts ranges from 50 per cent under the trust fund for the clean development mechanism to 100 per cent under the Bonn Fund.

4. In 2022–2023, 235 posts under all funding sources were advertised and recruitment was completed for 187 positions (80 per cent).

Table IV.1

Number of budgeted and filled posts as at 31 December 2023, by grade and source of funding

Source of funding	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Subtotal	GS	Total
<i>Trust fund for the core budget of the UNFCCC</i>												
Budgeted	1	1	2	8	18	35	44	19	–	128	53	181
Filled	1	–	2	8	15	33	39	17	–	115	42	157
<i>Trust fund for supplementary activities</i>												
Budgeted	–	–	–	–	5	26	64	48	–	143	44	187
Filled	–	–	–	–	4	18	42	38 ^a	–	102	28	130
<i>Trust fund for the clean development mechanism</i>												
Budgeted	–	–	–	–	2	10	23	23	–	58	20	78
Filled	–	–	–	–	2	4	12	7	–	25	14	39
<i>Trust fund for the international transaction log</i>												
Budgeted	–	–	–	–	–	1	1	3	–	5	1	6
Filled	–	–	–	–	–	1	1	1	–	3	–	3
<i>Trust fund for participation in the UNFCCC process</i>												
Budgeted	–	–	–	–	–	–	–	–	–	–	1	1
Filled	–	–	–	–	–	–	–	–	–	–	–	–
<i>Bonn Fund</i>												
Budgeted	–	–	–	–	–	–	1	–	–	1	9	10
Filled	–	–	–	–	–	–	1	–	–	1	9	10
<i>Special account for cost recovery related activities</i>												
Budgeted	–	–	–	–	–	5	8	7	–	20	12	32
Filled	–	–	–	–	–	6	5	7	–	18	11	29

Source of funding	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Subtotal	GS	Total
<i>Programme support costs</i>												
Budgeted	–	–	–	1	2	8	16	9	–	36	46	82
Filled	–	–	–	1	1	7	15	7	–	31	41	72
Total												
Budgeted	1	1	2	9	27	85	157	109	–	391	186	577
Filled	1	–	2	9	22	69	115	77	–	295	145	440

Note: The number of filled posts is based on full-time equivalents. The total number of staff members with contracts under all funding sources includes 32 staff members in temporary positions.

^a Includes one position filled at P-1 level.

5. Table IV.2 shows the number of posts under the trust fund for the core budget of the UNFCCC and filled posts, by grade and division. As at 31 December 2023, 157 of the 181 core budget posts had been filled.

Table IV.2

Number of core budget and filled posts as at 31 December 2023, by grade and division

Division	<i>P and above</i>		<i>GS</i>		<i>Total budgeted</i>	<i>Total filled</i>
	<i>Budgeted</i>	<i>Filled</i>	<i>Budgeted</i>	<i>Filled</i>		
Executive	8	5	7	5	15	10
Programmes Coordination	1	1	1	1	2	2
Adaptation	17	15	5	3	22	18
Mitigation	10	9	4	4	14	13
Means of Implementation	16	16	6	6	22	22
Transparency	33	32	12	7	45	39
Operations Coordination	3	3	2	2	5	5
Administrative Services, Human Resources, and ICT	6	6	1	1	7	7
Conference Affairs	7	7	3	3	10	10
Legal Affairs	5	3	3	2	8	5
Intergovernmental Support and Collective Progress	12	10	4	4	16	14
Communications and Engagement	10	8	5	4	15	12
Total	128	115	53	42	181	157

Note: The number of filled posts is based on full-time equivalents.

II. Staff

6. The total number of staff members with contracts under all funding sources was 440 as at 31 December 2023.

7. Table IV.3 provides information on the geographical distribution of the staff appointed at the Professional level and above. As at 31 December 2023, Western European and other States accounted for the highest percentage of staff appointed at the Professional level and above (40.0 per cent, compared with 42.4 per cent as at 31 December 2022), whereas Eastern European States accounted for the lowest (9.2 per cent, compared with 9.7 per cent as at 31 December 2022).

8. The secretariat has continued its efforts to achieve good geographical distribution and gender balance among staff at the Professional level and above.

Table IV.3

Geographical distribution of staff members appointed at the Professional level and above as at 31 December 2023

<i>Grade</i>	<i>African States</i>	<i>Asia-Pacific States</i>	<i>Latin American and Caribbean States</i>	<i>Eastern European States</i>	<i>Western European and other States</i>	<i>Total</i>
USG	–	–	1	–	–	1
ASG	–	–	–	–	–	–
D-2	–	–	–	–	2	2
D-1	2	–	1	–	6	9
P-5	2	7	3	3	7	22
P-4	7	17	10	4	31	69
P-3	8	36	10	13	48	115
P-2	10	28	8	7	24	76
Total	29	88	33	27	118	295
Percentage of total	9.9	29.8	11.2	9.2	40.0	100.0

9. Table IV.4 highlights the distribution of staff members appointed at the Professional level and above between Annex I and non-Annex I Parties. As at 31 December 2023, the percentage of staff from non-Annex I Parties at the Professional and higher levels was 49.5 per cent, compared with 50.5 per cent for Annex I Parties. For comparison, as at 31 December 2022, the percentage of staff from non-Annex I Parties was 46.7 per cent and from Annex I Parties was 53.3 per cent.

Table IV.4

Distribution of staff members appointed at the Professional level and above between Annex I and non-Annex I Parties as at 31 December 2023

<i>Grade</i>	<i>Annex I Parties</i>	<i>Non-Annex I Parties</i>
USG	–	1
ASG	–	–
D-2	2	–
D-1	6	3
P-5	10	12
P-4	34	35
P-3	60	55
P-2	37	40
Total	149	146
Percentage of total	50.5	49.5

10. Table IV.5 highlights the distribution of staff members by gender. As at 31 December 2023, the percentage of female staff appointed at the Professional and higher levels was 49.5 per cent, while the percentage of male staff was 50.5 per cent. This represents a change since 31 December 2022, when 46.7 per cent of staff at the Professional and higher levels were female and 53.3 per cent were male.

Table IV.5

Distribution of staff members as at 31 December 2023, by gender

<i>Grade</i>	<i>Male</i>	<i>Female</i>
USG	1	–
ASG	–	–
D-2	1	1
D-1	4	5
P-5	13	9

<i>Grade</i>	<i>Male</i>	<i>Female</i>
P-4	42	27
P-3	59	56
P-2	29	48
Subtotal	149	146
Percentage of P and above	50.5	49.5
Number of GS	40	105
Total	189	251
Percentage of total	43.0	57.0

附件五

截至 2023 年 12 月 31 日的 2022-2023 年由补充活动信托基金资助的项目和活动¹

[English only]

The table below presents budget, available funding and expenditure for projects and events funded from the trust fund for supplementary activities in 2022–2023 as at 31 December 2023. Project expenditure was limited, inter alia, by available funding, which in most cases was less than the amount budgeted for the biennium.

Projects and events funded from the trust fund for supplementary activities in 2022–2023 as at 31 December 2023
(Euros)

<i>Project number</i>	<i>Project/subproject</i>	<i>2022–2023 budget</i>	<i>Available funding in 2022–2023^a</i>	<i>Expenditure in 2022–2023^b</i>
SB101-000	Intergovernmental engagement			
SB101-002	Enhanced support for negotiations on SBSTA and CMA agenda items on mitigation, the Sharm el-Sheikh mitigation ambition and implementation work programme, cooperative approaches and mechanisms under Article 6, paragraphs 2 and 8, of the Paris Agreement; design of and support for the capacity-building programme related to Article 6, paragraph 2, of the Paris Agreement in relation to developing institutional arrangements, reporting, supporting ambition and assisting the least developed countries and small island developing States in participating in Article 6, paragraph 2	15 743 000	3 848 273	2 186 040
SB101-003	Consultancies to support the periodic assessment of the Technology Mechanism	158 000	55 429	55 284
SB101-005	Enhanced coordination and operational support for intergovernmental engagement	1 535 000	866 052	793 657
SB101-006	Delivery of mandated activities relating to observer engagement, high-level engagement on climate action, the Marrakech Partnership, and gender, ACE and other stakeholder engagement activities	1 203 000	446 969	446 470
SB101-007	Enhanced legal support for presiding officers	547 000		–
SB101-009	Enhanced capacity for conferences and workshops	68 000	64 233	62 575
SB101-011	Support for the United Arab Emirates just transition work programme	107 350	38 906	7 704
SB101-012	Support for the digital platform for climate change events	3 800 000	2 703 738	1 802 087
SB102-000	Intergovernmental processes			
SB102-001-1	Enhanced support for established work programmes, including the NWP, NAPs and adaptation-related work on transparency and the global stocktake	2 679 000	1 824 203	1 716 606
SB102-001-2	Support for the Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation	1 930 000	1 545 698	1 563 512
SB102-001-3	Support for the Santiago network	2 839 000	3 072 417 ^c	1 919 959
SB102-001-4	Support for the operationalization of funding arrangements for loss and damage, including the Transitional Committee	3 029 000	2 558 882	2 539 681
SB102-002	Enhanced support for activities relating to the impact of the implementation of response measures and workshops on LT-LEDS	1 401 000	1 387 622	1 154 864
SB102-003-1	Enhanced support, engagement and outreach in support of the development of the biennial assessment and overview of climate finance flows, including in relation to Article 2, paragraph 1(c), of the Paris Agreement, and determination of the needs of developing	3 582 000	1 216 692	1 013 827

¹ Excludes JI.

<i>Project number</i>	<i>Project/subproject</i>	<i>2022–2023 budget</i>	<i>Available funding in 2022–2023^a</i>	<i>Expenditure in 2022–2023^b</i>
	country Parties related to implementing the Convention and the Paris Agreement			
SB102-003-2	Support for the new collective quantified goal on climate finance	4 275 000	1 407 657	1 285 627
SB102-003-3	Support related to long-term climate finance	571 000	363 226	364 192
SB102-004	Full support for the technical reviews of national reports (including REDD+) under the MRV/transparency processes, and training of review experts	10 790 000	3 888 420	3 652 806
SB102-005	Enhanced support for the second periodic review under the Convention, the global stocktake, and research and systematic observation and ocean-based action	1 462 000	991 833	482 416
SB102-006	Delivery of mandated climate action events at sessions of the COP and organization of events, interviews and publications showcasing annual updates and highlights of thematic and sectoral climate action	1 397 000	481 077	512 991
SB102-008	Enhanced document services during conferences and meetings for effective engagement of Parties	110 000	377 008	64 843
SB102-008-2	COP of the future, for the future	1 831 000	–	–
SB200-000	Constituted bodies			
SB200-001	Support for the full extent of activities envisaged in the workplans of the AC, the FWG, the LEG and the WIM Executive Committee	5 234 000	4 297 555	4 164 505
SB200-002	Support for the full extent of activities envisaged in the workplans of the Katowice Committee on Impacts	600 000	906 549	270 598
SB200-003	Support for the full extent of activities envisaged in the workplans of the PCCB, the SCF and the TEC	1 563 000	1 819 921	1 390 085
SB200-004	Support to developing countries for implementing MRV arrangements and the ETF, including through the work of the CGE	7 119 000	7 218 879	5 884 871
SB200-007	Support for the full extent of activities of the Compliance Committees under the Kyoto Protocol and the Paris Agreement	590 000	596 810	375 110
SB300-000	Data and information management			
SB300-001	Development and enhancement of adaptation-related data portals	740 000	601 043	518 490
SB300-002	Development and enhancement of mitigation-related data portals and data management systems, including an information portal on LT-LEDS	51 000	–	–
SB300-004	Development of the information hub and related reporting and review systems and tools used under the ETF and streamlining of the existing data management systems and tools used under the current transparency arrangements	11 240 000	12 315 550 ^d	12 315 550
SB300-006-1	Enhancement of digital communication capabilities for effective engagement with Parties, non-Party stakeholders and the public	2 493 000	1 025 840	889 044
SB300-006-2	Facilitation, enhancement and recognition of participation in climate action through the global climate action portal (NAZCA)	2 672 000	1 232 659	1 165 775
SB300-007	Enhancement of the membership and elections portal and database	342 000	171 849	47 282
SB300-009	Enhancement and modernization of platforms and strengthened security of infrastructure and platforms	2 373 000	173 451	96 547
SB300-012	Enhanced digital access to UNFCCC archives	1 335 000	311 442	249 236
SB400-000	Enhanced engagement			
SB400-001-1	Enhanced engagement with respect to climate change impacts, vulnerability and adaptation	221 000	260 971	260 532
SB400-001-2	Enhanced cross-cutting support for the application of anticipatory approaches to attaining long-term resilience, including by assessing and implementing frontier technologies	2 120 000	745 491	825 861
SB400-002	Engagement of stakeholders through capacity-building to enhance regional action towards implementation of the Paris Agreement; launch of the second phase of the Global Innovation Hub; support for the Collaborative Instruments for Ambitious Climate Action	22 589 000	20 638 908	8 316 440
SB400-003	Enhanced engagement with Parties and other stakeholders to strengthen the capacities of developing countries with respect to the implementation of NDCs and NAPs	5 959 000	5 133 185	3 487 981

<i>Project number</i>	<i>Project/subproject</i>	<i>2022–2023 budget</i>	<i>Available funding in 2022–2023^a</i>	<i>Expenditure in 2022–2023^b</i>
SB400-004	Additional support and enhanced engagement in relation to the development and implementation of the ETF	3 612 000	1 704 541	1 405 920
SB400-006-1	Strategic external and internal communication of substantive work under the UNFCCC process and facilitation of efficient information flows within the secretariat and enhanced transparency to external audiences; region- and language-specific multilingual content for the website, mobile application and social media	2 964 000	2 729 779	2 040 769
SB400-006-2	Catalysation of and support for climate action among Parties and non-Party stakeholders through sectoral partnerships, facilitation of climate action initiatives and support for new tools and standards to ensure alignment with UNFCCC process requirements (including the Fashion Industry Charter for Climate Action)	4 044 000	5 243 139	4 217 792
SB400-006-3	Facilitation of observer engagement through planning and organization of side events, exhibits and Climate Action Studio interviews by Parties and observer organizations, including their live broadcasting and web posting	652 000	715 646	694 082
SB400-007	Enhanced engagement with and support for legislators and policymakers through information exchange and knowledge management with respect to climate change legislation	587 000	151 409	92 244
SB400-010	Enhanced engagement by the Executive Secretary and Deputy Executive Secretary in United Nations-wide management and coordination activities; enhanced coordination and data management within the office of the Executive Secretary	1 281 000	932 399	819 501
SB500-000	Oversight and administration			
SB500-007-1	Provision of institutional legal review and advice with respect to all activities and operations of the secretariat	434 000	449 451	369 408
SB500-007-2	Strengthening of the secretariat’s institutional legal framework	563 000	590 448	504 652
SB500-009	Enhancement and modernization of infrastructure, networks and end-user equipment and productivity tools	791 000	252 538	218 083
SB500-010-1	Fostering of an agile, inclusive and diverse secretariat post-COP 26	758 000	530 630	454 487
SB500-012	Strengthening of partnership due diligence and innovation in conference services	1 163 000	1 059 443	653 111
SB500-013	Substantive support for the Office of the Senior Director within Programmes Coordination	279 000	–	–
SB600-000	Cross-cutting projects supporting multiple objectives			
SB600-006-1	Facilitation of and support for implementation of established processes and work related to ACE, including enhancement of inclusive stakeholder engagement	1 552 000	1 912 220	1 723 615
SB600-006-2	Facilitation of and support for implementation of established processes and work related to gender, including enhancement of inclusive stakeholder engagement	1 359 000	1 041 305	837 934
SB600-011	Support for regional climate weeks	1 234 000	93 402	99 006
SB600-012	Harmonization of UNFCCC operations with new mandates on the transition from Paris rule book negotiations to implementation, and the global stocktake	915 000	–	–
Total		148 486 350	102 512 788	76 013 652^c

^a Funding available for supplementary projects in 2022–2023, excluding unspent balances from expired grants that were returned to donors and funding reallocated to other projects during 2022–2023.

^b Amounts are provisional and based on unaudited accounting data available in the enterprise resource planning system at the time of preparation of this document.

^c In addition, two contributions amounting to a combined total of EUR 6.8 million were received in the trust fund for supplementary activities for transfer to the host of the Santiago Network Secretariat.

^d The available balance includes funding made available as loans from the trust fund for the clean development mechanism. As at 31 December 2023, USD 6.3 million had yet to be repaid to the trust fund for the clean development mechanism.

^e Total expenditure amounted to EUR 87,716,448 (see table III.3) including costs accounted for in 2022–2023 relating to support for the JI mechanism and the Supervisory Body.