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## **Subsidiary Body for Implementation**

**Fifty-second to fifty-fifth session**

**Glasgow, 31 October to 6 November 2021**

Item 2(a) of the provisional agenda

**Organizational matters**

**Adoption of the agenda**

## **Provisional agenda and annotations<sup>†</sup>**

### **Note by the Executive Secretary<sup>1</sup>**

#### **I. Provisional agenda<sup>2</sup>**

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session;
  - (c) Election of officers other than the Chair;
  - (d) Multilateral assessment under the international assessment and review process;
  - (e) Facilitative sharing of views under the international consultation and analysis process;
  - (f) Other mandated events.
3. Reporting from and review of Parties included in Annex I to the Convention:
  - (a) Status of submission and review of seventh national communications and third and fourth biennial reports from Parties included in Annex I to the Convention;
  - (b) Compilations and syntheses of second, third and fourth biennial reports from Parties included in Annex I to the Convention;
  - (c) Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for 1990–2016, 1990–2017, 1990–2018 and 1990–2019;
  - (d) Revision of the modalities and procedures for international assessment and review.
4. Reporting from Parties not included in Annex I to the Convention:

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<sup>†</sup> This document was scheduled for publication after the standard publication date owing to circumstances beyond the submitter's control.

<sup>1</sup> The [abbreviations and acronyms list](#) can be found at the end of the document.

<sup>2</sup> Joint SBI–SBSTA agenda items are marked with an asterisk.

- (a) Information contained in national communications from Parties not included in Annex I to the Convention; (in abeyance)
  - (b) Report (for 2020 and 2021) and terms of reference of the Consultative Group of Experts;
  - (c) Provision of financial and technical support;
  - (d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention;
  - (e) Revision of the modalities and guidelines for international consultation and analysis.
5. Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement.
  6. Matters relating to the mechanisms under the Kyoto Protocol:
    - (a) Review of the modalities and procedures for the clean development mechanism;
    - (b) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism;
    - (c) Report of the administrator of the international transaction log under the Kyoto Protocol (for 2020 and 2021).
  7. Second periodic review of the long-term global goal under the Convention and of overall progress towards achieving it.\*
  8. Koronivia joint work on agriculture.\*
  9. Report of the Adaptation Committee (for 2019, 2020 and 2021).\*
  10. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (for 2020 and 2021).\*
  11. Matters relating to the least developed countries.
  12. National adaptation plans.
  13. Development and transfer of technologies and implementation of the Technology Mechanism:
    - (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021);\*
    - (b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21;
    - (c) Poznan strategic programme on technology transfer;
    - (d) Linkages between the Technology Mechanism and the Financial Mechanism of the Convention.
  14. Matters relating to the Adaptation Fund:
    - (a) Membership of the Adaptation Fund Board;
    - (b) Fourth review of the Adaptation Fund.
  15. Matters relating to capacity-building:
    - (a) Capacity-building under the Convention;
    - (b) Capacity-building under the Kyoto Protocol;
    - (c) Annual technical progress report of the Paris Committee on Capacity-building (for 2020 and 2021).

16. Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement.\*
17. Gender and climate change.
18. Matters related to Action for Climate Empowerment:
  - (a) Review of the Doha work programme on Article 6 of the Convention;
  - (b) Report on activities related to Action for Climate Empowerment (for 2020 and 2021).
19. Arrangements for intergovernmental meetings.
20. Administrative, financial and institutional matters:
  - (a) Budget performance for the biennia 2018–2019 and 2020–2021;
  - (b) Programme budget for the biennium 2022–2023;
  - (c) Audit report and financial statements (for 2019 and 2020);
  - (d) Other financial and budgetary matters;
  - (e) Continuous review of the functions and operations of the secretariat;
  - (f) Annual report (for 2019 and 2020);
  - (g) Implementation of the Headquarters Agreement.
21. Other matters.
22. Closure of and report on the session.

## II. Annotations to the provisional agenda

### 1. Opening of the session

1. The first part of the 2021 session of the SBI was held from 31 May to 17 June 2021 in a virtual setting. It was opened by the SBI Chair, Marianne Karlsen (Norway).
2. The second part of the session (SBI 52–55) will be opened on Sunday, 31 October 2021.<sup>3</sup>

### 2. Organizational matters

#### (a) Adoption of the agenda

3. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption on 31 October. It reflects the SBI mandates for 2020–2021 (SBI 52–55).

<i>FCCC/SBI/2021/9</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>Further information</i>	<a href="https://unfccc.int/event/sbi-52-55">https://unfccc.int/event/sbi-52-55</a>

#### (b) Organization of the work of the session

4. After deciding to postpone SBI 52 to 2021, the COP Bureau, to enable work mandated for 2020 and 2021 to be delivered at COP 26 with a view to bringing the UNFCCC process

<sup>3</sup> As agreed at the meeting of the Bureau of the COP, the CMP and the CMA on 15 June 2021; see <https://unfccc.int/documents/279103>.

back on track by the end of 2021, to the extent possible, requested the secretariat to proceed in operationalizing the following criteria:<sup>4</sup>

(a) Maintaining the original timelines for mandates and submission deadlines, and informally advancing work mandated to be initiated in 2020, particularly if mandated to conclude in 2020 or 2021;

(b) Maintaining the mandated number of meetings and delivering reports of the constituted bodies as originally planned in 2020–2021;

(c) Extending the existing membership of the Convention, Kyoto Protocol and Paris Agreement bodies as needed until successors can be appointed or elected;

(d) Holding events in 2020 that were scheduled to be held in 2020, to the extent possible.

5. In May–June 2021, the SBI convened work informally on several issues<sup>5</sup> on the draft provisional agenda for 2021.<sup>6</sup>

6. The SBI Chair prepared, with the assistance of co-facilitators,<sup>7</sup> informal notes<sup>8</sup> to capture the progress of that work. The elements outlined in the informal notes are not exhaustive, have no formal status and should not be considered final in any way. They have been prepared to assist Parties in advancing the discussion and do not prejudice further work or prevent Parties from expressing their views at any time.

7. Detailed information on the work at this session will be made available on the session web page.<sup>9</sup> Delegates are invited to refer to the schedule and any programme or calendar of events on the UNFCCC website<sup>10</sup> and to regularly consult the closed-circuit television screens and the virtual conference platform for an up-to-date schedule of the work of the SBI. The presiding officers will propose cut-off times for group work and deadlines for the submission of conclusions so they can be made available in all six official languages of the United Nations for the closing plenary.

(c) Election of officers other than the Chair

8. *Background:* The SBI will elect its Vice Chair and Rapporteur for 2022.<sup>11</sup> When the SBI exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a Party to the Convention but, at that time, not a Party to the Kyoto Protocol, shall be replaced by an additional member to be elected by and among the Parties to the Kyoto Protocol. This applies mutatis mutandis to the Paris Agreement.

9. *Action:* The SBI will be invited to elect its Vice Chair and Rapporteur.

<i>Further information</i>	<a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>
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(d) Multilateral assessment under the international assessment and review process

10. *Background:* A total of 10 Parties were multilaterally assessed during the UNFCCC Climate Dialogues 2020 and 20 virtually in the first sessional period in 2021 as part of the fourth IAR cycle.

11. The cycle will conclude at this session with 10 Parties being multilaterally assessed at this session in a working group session open to all Parties. Interested Parties can submit written questions in advance.

<sup>4</sup> See <https://unfccc.int/documents/249956>.

<sup>5</sup> See the SBI Chair's scenario note of 7 May 2021 at <https://unfccc.int/documents/274035>.

<sup>6</sup> Draft provisional agenda issued on 27 April 2021; available at <https://unfccc.int/documents/273743>.

<sup>7</sup> See the full list of co-facilitators at <https://unfccc.int/event/SBI-may-june-2021>.

<sup>8</sup> See <https://unfccc.int/documents/279106> (the links to the informal notes are in the annex).

<sup>9</sup> <https://unfccc.int/event/sbi-52-55>.

<sup>10</sup> <https://unfccc.int/process-and-meetings/conferences/glasgow-climate-change-conference>.

<sup>11</sup> See <https://unfccc.int/sites/default/files/resource/Notification%20to%20Parties%20on%202020%20elections%20Signed%20by.pdf>.

12. Draft summary reports on the assessments held in 2020–2021 will be published on the UNFCCC website. The final reports will be published in an addendum to the report on the 2021 SBI session.

*Further information* <https://unfccc.int/ma>

(e) Facilitative sharing of views under the international consultation and analysis process

13. *Background:* A total of 17 Parties took part in the ninth FSV<sup>12</sup> held during the Climate Dialogues and 12 in the tenth FSV<sup>13</sup> held in May–June 2021.

14. The eleventh FSV will be convened at this session for up to seven developing country Parties that submitted a BUR and for which a summary report was finalized by 31 August 2021. It will take the form of a workshop open to all Parties. Interested Parties can submit written questions in advance.

15. The FSV records for the participating Parties are published on the UNFCCC website.

*Further information* <https://unfccc.int/FSV>

(f) Other mandated events

16. In line with the guidance of the Bureau to minimize delays and maximize progress, many events mandated to take place in conjunction with the SBI sessions in 2020 were convened in a virtual setting in 2020–2021.<sup>14</sup>

17. The following mandated events will be convened in conjunction with this session:

(a) Dialogue to consider the findings of the second independent review of the effective implementation of the CTCN;<sup>15</sup>

(b) The second meeting of SED2 (see para. 50 below);

(c) The 5<sup>th</sup> meeting of the KCI (see para. 106 below);

(d) The second part of the workshop on the role of national gender and climate change focal points (see para. 112 below).

### 3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of seventh national communications and third and fourth biennial reports from Parties included in Annex I to the Convention

18. *Background:* At SBI 51 it was agreed to continue consideration of the status of submission and review of NC7s and BR3s at SBI 52.<sup>16</sup> Developed countries were also to submit their BR4s by 1 January 2020.

19. As at the time of preparation of this document, the secretariat had received 42 of each of the following: NC7s, BR3s, BR3 common tabular format submissions, BR4s and BR4 common tabular format submissions.

20. *Action:* The SBI will be invited to take note of the status of submission and review of BR4s.

<sup>12</sup> See <https://unfccc.int/process-and-meetings/transparency-and-reporting/reporting-and-review-under-the-convention/national-communications-and-biennial-update-reports-non-annex-i-parties/the-facilitative-sharing-of-views-under-the-ica-process/9th-workshop-of-the-facilitative>.

<sup>13</sup> See <https://unfccc.int/process-and-meetings/transparency-and-reporting/reporting-and-review-under-the-convention/national-communications-and-biennial-update-reports-non-annex-i-parties/the-facilitative-sharing-of-views-under-the-ica-process/10th-workshop-of-the-facilitative>.

<sup>14</sup> See <https://unfccc.int/documents/299554>.

<sup>15</sup> Decision 12/CP.24, para. 7.

<sup>16</sup> FCCC/SBI/2019/20, para. 12.

*FCCC/SBI/2021/INF.5 Status of submission and review of national communications and biennial reports. Note by the secretariat*

*Further information* <https://unfccc.int/NC7> and <https://unfccc.int/BRs>

(b) Compilations and syntheses of second, third and fourth biennial reports from Parties included in Annex I to the Convention

21. *Background:* The consideration of the compilation and synthesis report on the information reported by Annex I Parties in their BR2s<sup>17</sup> was initiated at SBI 45 and continued at subsequent sessions without any conclusions being reached.<sup>18</sup> The secretariat also prepared compilations and syntheses of BR3s<sup>19</sup> and BR4s.<sup>20</sup>

22. *Action:* The SBI will be invited to conclude its consideration of this matter and to recommend a draft decision, as appropriate, to COP 26.

*Further information* <https://unfccc.int/CandS-report-2020>

(c) Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for 1990–2016, 1990–2017, 1990–2018 and 1990–2019

23. *Background:* The 2018 report<sup>21</sup> on national greenhouse gas inventory data from Annex I Parties covers 1990–2016 and was considered at SBI 49, 50 and 51, while the 2019 report<sup>22</sup> covering 1990–2017 was considered at SBI 51. The SBI agreed to continue its consideration of this matter at SBI 52.<sup>23</sup> The reports for 2020 and 2021 covering 1990–2018 and 1990–2019, respectively, will be presented at this session.

24. *Action:* The SBI will be invited to take note of the information contained in the reports referred to in paragraph 23 above.

*FCCC/SBI/2018/17 National greenhouse gas inventory data for the period 1990–2016. Report by the secretariat*

*FCCC/SBI/2019/17 National greenhouse gas inventory data for the period 1990–2017. Report by the secretariat*

*FCCC/SBI/2020/11 National greenhouse gas inventory data for the period 1990–2018. Report by the secretariat*

*FCCC/SBI/2021/11 National greenhouse gas inventory data for the period 1990–2019. Report by the secretariat*

*Further information* <https://unfccc.int/ghg-inventories-annex-i-parties/2021>

(d) Revision of the modalities and procedures for international assessment and review

25. *Background:* By decision 18/CP.22, the COP requested the SBI to revise the IAR modalities and procedures taking into account any submissions thereon from Parties, with a view to recommending revised IAR modalities and procedures for adoption at COP 23. Only one submission was received.

26. At SBI 46, it was agreed to continue discussing the revision of the IAR modalities and procedures at SBI 50, taking note of the work on the modalities, procedures and guidelines

<sup>17</sup> FCCC/SBI/2016/INF.10 and Add.1.

<sup>18</sup> As footnote 16 above.

<sup>19</sup> FCCC/SBI/2018/INF.8 and Corr.1 and Add.1 and Add.1/Corr.1.

<sup>20</sup> FCCC/SBI/2020/INF.10 and Corr.1 and Add.1 and Add.1/Corr.1.

<sup>21</sup> FCCC/SBI/2018/17.

<sup>22</sup> FCCC/SBI/2019/17.

<sup>23</sup> As footnote 16 above.

for the enhanced transparency framework.<sup>24</sup> SBI 50 agreed that consideration of this matter would continue at SBI 54.<sup>25</sup>

27. *Action:* The SBI will be invited to conclude its consideration of the revision of this matter with a view to recommending a draft decision to COP 26.

#### 4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

28. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all their NCs.<sup>26</sup> There was no agreement among Parties at that session, and this agenda sub-item has since been held in abeyance.

29. *Action:* The SBI will be invited to provide guidance on how to consider the information contained in the NCs of non-Annex I Parties taking into account decisions 1/CP.21, 1/CP.24 and 18/CMA.1.

(b) Report (for 2020 and 2021) and terms of reference of the Consultative Group of Experts

30. *Background:* SBI 51 could not conclude the review and revision of the terms of reference of the CGE and forwarded the matter to COP 25 for further guidance.<sup>27</sup> COP 25 requested SBI 52 to conclude its work on this matter taking into account the informal note on the report and terms of reference of the CGE.<sup>28</sup>

31. The CGE meetings in 2020 and 2021 were convened in a virtual format. In May–June 2021, the SBI conducted work informally on the terms of reference of the CGE. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>29</sup> to capture progress.

32. *Action:* The SBI will be invited to complete the review and revision of the terms of reference of the CGE with a view to recommending a draft decision to COP 26. The SBI will also be invited to consider the progress reports of the CGE on its work in 2020–2021 and to provide guidance, as appropriate, to the CGE.

<i>FCCC/SBI/2020/15</i>	<i>Report of the Consultative Group of Experts</i>
<i>FCCC/SBI/2020/16</i>	<i>Virtual regional hands-on training workshops on the existing measurement, reporting and verification arrangements under the Convention and the enhanced transparency framework under the Paris Agreement. Report by the secretariat</i>
<i>FCCC/TP/2020/1</i>	<i>Problems, constraints, lessons learned and capacity-building needs in preparing national communications and biennial update reports. Updated technical paper by the Consultative Group of Experts</i>
<i>FCCC/SBI/2021/12</i>	<i>Report of the Consultative Group of Experts</i>
<i>FCCC/SBI/2021/14</i>	<i>Regional hands-on training workshops on the existing measurement, reporting and verification arrangements and the enhanced transparency framework, including data management and national greenhouse gas inventories. Report by the secretariat</i>

<sup>24</sup> Adopted by decision 18/CMA.1.

<sup>25</sup> FCCC/SBI/2019/9, para. 19.

<sup>26</sup> FCCC/SBI/2006/11, para. 32.

<sup>27</sup> FCCC/SBI/2019/20, para. 18.

<sup>28</sup> FCCC/CP/2019/13, para. 56.

<sup>29</sup> Available at <https://unfccc.int/documents/277999>.

<i>FCCC/TP/2021/2</i>	<i>Problems, constraints, lessons learned and capacity-building needs in implementing the existing measurement, reporting and verification arrangements and preparing for the enhanced transparency framework</i>
<i>COP 25 informal note</i>	<a href="https://unfccc.int/documents/204423">https://unfccc.int/documents/204423</a>
<i>Further information</i>	<a href="https://unfccc.int/CGE">https://unfccc.int/CGE</a>

(c) Provision of financial and technical support

33. *Background:* At SBI 51 the Global Environment Facility presented updated information on its activities relating to the preparation of NCs and BURs<sup>30</sup> and information on its progress in developing and implementing the Capacity-building Initiative for Transparency.<sup>31</sup> SBI 51 agreed that consideration of this matter would continue at SBI 52.<sup>32</sup>

34. In May–June 2021, the SBI conducted work informally on the provision of financial and technical support to assist developing country Parties in implementing the existing measurement, reporting and verification arrangements under the Convention and the enhanced transparency framework. An informal note<sup>33</sup> was prepared by the Chair, with the assistance of the co-facilitators, to capture the progress of this work.

35. *Action:* The SBI will be invited to continue its consideration of this matter and to agree on any further action, as appropriate.

<i>FCCC/SBI/2020/INF.12</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of national communications and biennial update reports. Note by the secretariat</i>
<i>FCCC/SBI/2021/INF.6</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of national communications and biennial update reports. Note by the secretariat</i>
<i>FCCC/CP/2020/1 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/9 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/process-and-meetings/transparency-and-reporting/support-for-developing-countries/financial-and-technical-support-for-non-annex-i-parties">https://unfccc.int/process-and-meetings/transparency-and-reporting/support-for-developing-countries/financial-and-technical-support-for-non-annex-i-parties</a>

(d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

36. *Background:* Nineteen rounds of technical analysis covering 114 BURs had been conducted as at 15 August 2021. The twentieth round of technical analysis will be conducted from 29 November to 3 December 2021.

37. *Action:* The SBI will be invited to take note of the summary reports finalized in the period up to 15 August 2021.

<i>Further information</i>	<a href="https://unfccc.int/ICA-cycle1">https://unfccc.int/ICA-cycle1</a> , <a href="https://unfccc.int/ICA-cycle2">https://unfccc.int/ICA-cycle2</a> and <a href="https://unfccc.int/ICA-cycle3">https://unfccc.int/ICA-cycle3</a>
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<sup>30</sup> FCCC/SBI/2019/INF.10.

<sup>31</sup> See document FCCC/CP/2019/5 and Add.1.

<sup>32</sup> FCCC/SBI/2019/20, para. 19.

<sup>33</sup> Available at <https://unfccc.int/documents/278000>.



(e) Revision of the modalities and guidelines for international consultation and analysis

38. *Background:* SBI 50 agreed that consideration of the revision of the modalities and guidelines for international consultation and analysis would continue at SBI 54.<sup>34</sup>

39. *Action:* The SBI will be invited to conclude its consideration of this matter with a view to recommending a draft decision to COP 26.

Further information	<a href="https://unfccc.int/ICA">https://unfccc.int/ICA</a>
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## 5. Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement

40. *Background:* CMA 1 decided that Parties shall apply common time frames to their nationally determined contributions to be implemented from 2031 onward.<sup>35</sup> SBI 50<sup>36</sup> and 51 considered this matter, but could not conclude their consideration.<sup>37</sup> In accordance with rule 16 of the draft rules of procedure being applied, the matter has been included in the provisional agenda for this session.

41. In May–June 2021, the SBI conducted work informally on this matter. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>38</sup> to capture progress.

42. *Action:* The SBI will be invited to continue its consideration of this matter with a view to recommending a draft decision to the CMA.

Further information	<a href="https://unfccc.int/process/the-paris-agreement/nationally-determined-contributions/ndc-registry">https://unfccc.int/process/the-paris-agreement/nationally-determined-contributions/ndc-registry</a>
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## 6. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

43. *Background:* SBI 39 initiated consideration of possible changes to the clean development mechanism modalities and procedures.<sup>39</sup> The SBI has not yet reached any conclusions on this matter and at SBI 51 agreed to defer consideration thereof to SBI 52.<sup>40</sup>

44. *Action:* The SBI will be invited to conclude its work on this matter and to recommend a draft decision for consideration and adoption by the CMP or to take any other action it deems appropriate.

(b) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

45. *Background:* SBI 50 continued consideration of the procedures, mechanisms and institutional arrangements for appeals against decisions by the Executive Board of the clean development mechanism on the basis of, inter alia, the draft text contained in document FCCC/SBI/2012/33/Add.1,<sup>41</sup> and agreed that consideration of the matter would continue at SBI 52.<sup>42</sup>

46. *Action:* The SBI will be invited to conclude its consideration of this matter and to recommend a draft decision to the CMP or to take any other action it deems appropriate.

<sup>34</sup> FCCC/SBI/2019/9, para. 30.

<sup>35</sup> Decision 6/CMA.1, para. 2.

<sup>36</sup> FCCC/SBI/2019/9, paras. 31–34.

<sup>37</sup> FCCC/SBI/2019/20, para. 21.

<sup>38</sup> Available at <https://unfccc.int/documents/279077>.

<sup>39</sup> In accordance with decision 5/CMP.8.

<sup>40</sup> FCCC/SBI/2019/20, para. 22.

<sup>41</sup> FCCC/SBI/2016/20, para. 50.

<sup>42</sup> FCCC/SBI/2019/9, para. 36.

- (c) Report of the administrator of the international transaction log under the Kyoto Protocol (for 2020 and 2021)

47. *Background:* CMP 1 requested the SBI to consider annual reports of the international transaction log administrator with a view to the SBI requesting the CMP to provide guidance in relation to the operation of registry systems.<sup>43</sup>

48. *Action:* The SBI will be invited to take note of the 2020 and 2021 reports of the international transaction log administrator.

<i>FCCC/SBI/2020/INF.11</i>	<i>Report of the administrator of the international transaction log under the Kyoto Protocol</i>
<i>FCCC/SBI/2021/15</i>	<i>Report of the administrator of the international transaction log under the Kyoto Protocol</i>
<i>Further information</i>	<a href="https://unfccc.int/process/the-kyoto-protocol/registry-systems/international-transaction-log">https://unfccc.int/process/the-kyoto-protocol/registry-systems/international-transaction-log</a>

## 7. Second periodic review of the long-term global goal under the Convention and of overall progress towards achieving it\*

49. *Background:* COP 25 decided that the second periodic review of the long-term global goal and of overall progress towards achieving it shall start in the second half of 2020 and conclude in 2022, with SED2 to be held in conjunction with sessions of the subsidiary bodies, starting at SB 53 and being completed at SB 55.<sup>44</sup>

50. The first session of the first meeting of SED2 took place during the Climate Dialogues. The second session of the first meeting was convened in May–June 2021.<sup>45</sup> The second meeting will be convened in conjunction with this session.

51. In May–June 2021 the SBI and SBSTA Chairs conducted work informally on the second periodic review and prepared, with the assistance of the co-facilitators, an informal note to capture progress.<sup>46</sup>

52. *Action:* The SBSTA and the SBI will be invited to continue their consideration of matters relating to the second periodic review and to determine any follow-up action.

<i>Further information</i>	<a href="https://unfccc.int/topics/science/workstreams/periodic-review">https://unfccc.int/topics/science/workstreams/periodic-review</a>
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## 8. Koronivia joint work on agriculture\*

53. *Background:* Under the Koronivia joint work on agriculture, the SBI and the SBSTA jointly address issues related to agriculture, including through workshops and expert meetings, by working with constituted bodies under the Convention and taking into consideration the vulnerabilities of agriculture to climate change and approaches to addressing food security.<sup>47</sup>

54. The SBI and SBSTA Chairs convened informal consultations virtually on 13 April 2021 and invited Parties to share views on possible next steps in preparing a report to COP 26 on the progress and outcomes of the Koronivia joint work on agriculture.<sup>48</sup>

<sup>43</sup> Decision 12/CMP.1, para. 11.

<sup>44</sup> Decision 5/CP.25, para. 7.

<sup>45</sup> <https://unfccc.int/event/first-meeting-of-the-structured-expert-dialogue-of-the-second-periodic-review-session-2>.

<sup>46</sup> Available at <https://unfccc.int/documents/278132>.

<sup>47</sup> As per decision 4/CP.23, para. 1.

<sup>48</sup> See <https://unfccc.int/process-and-meetings/bodies/subsidiary-bodies/sbi-chair-lobby/january-june-2021-activities#eq-6>.

55. Workshops under the Koronivia road map<sup>49</sup> have been conducted on topic 2(d) during SB 51 and on topics 2(e) and 2(f) virtually during the Climate Dialogues. The first part of the workshop mandated to take place between SB 51 and 52 was held virtually in May–June 2021 with a focus on element (a), while the second part, with a focus on element (b), will be held before this session.

56. In May–June 2021, the SBI and SBSTA Chairs conducted work informally and prepared, with the assistance of the co-facilitators, four informal notes to capture progress of the work<sup>50</sup> and discussions on the outcomes from the three mandated workshops conducted in the sessional period (on topics 2(d), 2(e) and 2(f)).

57. *Action:* The SBI and the SBSTA will be invited to continue their joint work on issues relating to agriculture, drawing on the workshop reports prepared for the session and taking into account submissions from Parties and observers, with a view to reporting thereon at COP 26.

<i>FCCC/SB/2020/1</i>	<i>Improved nutrient use and manure management towards sustainable and resilient agricultural systems. Workshop report by the secretariat</i>
<i>FCCC/SB/2021/1</i>	<i>Improved livestock management systems, including agropastoral production systems and others. Workshop report by the secretariat</i>
<i>FCCC/SB/2021/2</i>	<i>Socioeconomic and food security dimensions of climate change in the agricultural sector. Workshop report by the secretariat</i>
<i>FCCC/SB/2021/3</i>	<i>Sustainable land and water management, including integrated watershed management strategies, to ensure food security. Workshop report by the secretariat</i>
<i>Submissions</i>	<a href="https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx">https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx</a> (in the search field type “Koronivia” and select years 2019, 2020 or 2021 or type “voluntary submissions 2021”)
<i>Further information</i>	<a href="https://unfccc.int/topics/land-use/workstreams/agriculture">https://unfccc.int/topics/land-use/workstreams/agriculture</a>

## 9. Report of the Adaptation Committee (for 2019, 2020 and 2021)\*

58. *Background:* SB 51 could not conclude consideration of the 2019 report of the AC.<sup>51</sup> In accordance with rule 16 of the draft rules of procedure being applied, the matter has been included in the provisional agenda for this session.

59. The AC held its 17<sup>th</sup>, 18<sup>th</sup> and 19<sup>th</sup> meetings virtually. The 20<sup>th</sup> meeting will also be held virtually, from 7 to 10 September 2021.

60. Information on progress of the AC since 2019 in implementing its workplan, including fulfilling relevant mandates from the subsidiary and governing bodies, is contained in the reports of the AC for 2020 and 2021.

61. *Action:* The SBI and the SBSTA will be invited to continue their consideration of the 2019 report of the AC, consider its reports for 2020 and 2021 and recommend a draft decision to COP 26 and CMA 3.

<i>FCCC/SB/2019/3</i>	<i>Report of the Adaptation Committee</i>
<i>FCCC/SB/2020/2</i>	<i>Report of the Adaptation Committee</i>
<i>FCCC/SB/2021/6</i>	<i>Report of the Adaptation Committee</i>

<sup>49</sup> FCCC/SBI/2018/9, annex I.

<sup>50</sup> Available at <https://unfccc.int/documents/278837>.

<sup>51</sup> See documents FCCC/SBI/2019/20, para. 38, and FCCC/SBSTA/2019/5, para. 10.

Further information <https://unfccc.int/Adaptation-Committee>

## 10. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (for 2020 and 2021)\*

62. *Background:* COP 19 and 20 requested the Executive Committee to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.<sup>52</sup> Subsequently, Article 8, paragraph 2, of the Paris Agreement provided that the Warsaw International Mechanism for Loss and Damage associated with Climate Change shall be subject to the authority and guidance of the CMA.

63. The 2020 report of the Executive Committee highlights the Committee's progress in implementing its five-year rolling workplan, including the work of its expert groups and recommendations thereon.

64. The Executive Committee held its 11<sup>th</sup>, 12<sup>th</sup> and 13<sup>th</sup> meetings virtually. The 14<sup>th</sup> meeting will also be held virtually, in separate sessions between 7 and 24 September 2021. In addition, all five expert groups held meetings.<sup>53</sup>

65. The 2021 report of the Executive Committee is expected to inform on the progress in the work of the expert groups and organizations providing technical assistance to developing countries under the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change.

66. *Action:* The SBI and the SBSTA will be invited to consider the 2020 and 2021 reports of the Executive Committee and recommend a draft decision to the appropriate body or bodies.<sup>54</sup>

<i>FCCC/SB/2020/3</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
<i>FCCC/SB/2021/4 and Add. 1–2</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
<i>Further information</i>	<a href="https://unfccc.int/wim-excom">https://unfccc.int/wim-excom</a>

## 11. Matters relating to the least developed countries

67. *Background:* The 37<sup>th</sup> meeting of the LEG was held from 6 to 8 February 2020 in Antananarivo, Madagascar. The 38<sup>th</sup> and 39<sup>th</sup> meetings of the LEG were both held virtually.

68. The COP decided to review, at COP 26, the progress, need for continuation and terms of reference of the LEG with a view to adopting a decision thereon, taking into account, as appropriate, new processes and needs that may arise prior to that session.<sup>55</sup>

69. In May–June 2021, the SBI conducted work informally on matters relating to the least developed countries. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>56</sup> to capture progress.

<sup>52</sup> Decision 2/CP.19, para. 3.

<sup>53</sup> See <https://unfccc.int/topics/adaptation-and-resilience/workstreams/loss-and-damage-ld/workshop-and-meetings>.

<sup>54</sup> Noting the ongoing consideration of the governance of the Warsaw International Mechanism.

<sup>55</sup> Decision 19/CP.21, para. 13.

<sup>56</sup> Available at <https://unfccc.int/documents/279101>.

70. *Action:* The SBI will be invited to consider the documents prepared as input to the review of the LEG with a view to recommending a draft decision. It will also be invited to consider the LEG meeting reports and to take further action, as appropriate.

<i>FCCC/SBI/2020/6</i>	<i>The 37<sup>th</sup> meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SBI/2020/14</i>	<i>The 38<sup>th</sup> meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SBI/2021/6</i>	<i>The 39<sup>th</sup> meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SBI/2021/13</i>	<i>The 40<sup>th</sup> meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SBI/2020/7</i>	<i>Stocktaking meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SBI/2020/8</i>	<i>Progress, need for continuation and terms of reference of the Least Developed Countries Expert Group. Synthesis report by the secretariat</i>
<i>Submissions</i>	<a href="https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx">https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx</a> (in the search field type “work of the Least Developed Countries Expert Group” and select year “2020”)
<i>Further information</i>	<a href="https://unfccc.int/ldc-portal">https://unfccc.int/ldc-portal</a> and <a href="https://unfccc.int/LEG">https://unfccc.int/LEG</a>

## 12. National adaptation plans

71. *Background:* COP 25 requested SBI 53 to discuss information from the reports of the AC and the LEG, including on gaps and needs and the implementation of national adaptation plans, and to take further action as appropriate.<sup>57</sup>

72. In May–June 2021, the SBI conducted work informally on national adaptation plans. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>58</sup> to capture progress.

73. *Action:* The SBI will be invited to continue its consideration of this matter and to recommend a draft decision for consideration and adoption at COP 26.

<i>FCCC/SBI/2020/INF.13</i>	<i>Progress in the process to formulate and implement national adaptation plans. Note by the secretariat</i>
<i>FCCC/SBI/2021/INF.7</i>	<i>Progress in the process to formulate and implement national adaptation plans. Note by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/topics/adaptation-and-resilience/workstreams/national-adaptation-plans">https://unfccc.int/topics/adaptation-and-resilience/workstreams/national-adaptation-plans</a>

## 13. Development and transfer of technologies and implementation of the Technology Mechanism

- (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)\*

74. *Background:* COP 20 decided that the TEC and the CTCN shall continue to prepare a joint annual report to the COP, through the subsidiary bodies, on their respective activities

<sup>57</sup> Decision 7/CP.25, para. 11.

<sup>58</sup> Available at <https://unfccc.int/documents/278003>.

and the performance of their respective functions.<sup>59</sup> COP 21 decided that the TEC and the CTCN shall report to the CMA, through the subsidiary bodies, on their activities to support the implementation of the Paris Agreement.<sup>60</sup> CMA 1 took note of the recommendation of the TEC and the CTCN to prepare and submit their joint annual report to both the COP and the CMA.<sup>61</sup>

75. In 2020–2021, the TEC and the CTCN convened several meetings virtually to advance the implementation of activities agreed in their workplans and programmes of work.

76. *Action:* The SBI and the SBSTA will be invited to consider the joint annual reports of the TEC and the CTCN for 2020 and 2021 and to recommend a draft decision to COP 26 and CMA 3.

<i>FCCC/SB/2020/4</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2020</i>
<i>FCCC/SB/2021/5</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2021</i>
<i>Further information</i>	<a href="https://unfccc.int/ttclear">https://unfccc.int/ttclear</a> and <a href="http://www.ctc-n.org">www.ctc-n.org</a>

(b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21

77. *Background:* SBI 51 initiated consideration<sup>62</sup> of the alignment between processes pertaining to the review of the CTCN<sup>63</sup> and the periodic assessment of the Technology Mechanism.

78. SBI 51 considered the concept of alignment, the timelines for the independent review of the CTCN and the periodic assessment of the Technology Mechanism, and possible options, and their implications, for aligning processes pertaining to the independent review of the CTCN and the periodic assessment of the Technology Mechanism and agreed that consideration of this matter would continue at SBI 52.<sup>64</sup>

79. In May–June 2021, the SBI conducted work informally on this matter. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>65</sup> to capture progress.

80. *Action:* The SBI will be invited to consider the document prepared for the session and continue its consideration of this matter with a view to recommending a draft decision to CMA 3.

<i>FCCC/SBI/2020/INF.5</i>	<i>Possible options, and their implications, for aligning processes pertaining to the independent review of the Climate Technology Centre and Network and the periodic assessment of the Technology Mechanism. Note by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/ttclear">https://unfccc.int/ttclear</a>

(c) Poznan strategic programme on technology transfer

81. *Background:* SBI 34 invited the GEF to provide reports on progress in carrying out its activities under the Poznan strategic programme on technology transfer for consideration by the SBI at its sessions for the duration of the programme.<sup>66</sup> SBI 51 invited the TEC and the CTCN to include in their joint annual report for 2020 information on the outcomes of

<sup>59</sup> Decision 17/CP.20, para. 4.

<sup>60</sup> Decision 1/CP.21, para. 68.

<sup>61</sup> Decision 15/CMA.1, para. 4.

<sup>62</sup> As per decision 16/CMA.1, para. 6.

<sup>63</sup> In accordance with decisions 2/CP.17, 14/CP.18 and 12/CP.24.

<sup>64</sup> FCCC/SBI/2019/20, para. 61.

<sup>65</sup> Available at <https://unfccc.int/documents/279031>.

<sup>66</sup> FCCC/SBI/2011/7, para. 137.



their actions in response to the relevant recommendations in the report on the updated evaluation of the Poznan strategic programme.<sup>67</sup>

82. As requested at SBI 50,<sup>68</sup> the secretariat prepared a report on the fourth synthesis of technology needs identified by non-Annex I Parties.<sup>69</sup>

83. *Action:* The SBI will be invited to consider documents prepared for the session with a view to determining further actions arising from them.

<i>FCCC/SB/2020/4</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2020</i>
<i>FCCC/SBI/2020/INF.1</i>	<i>Fourth synthesis of technology needs identified by Parties not included in Annex I to the Convention. Report by the secretariat</i>
<i>FCCC/CP/2020/1 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/9 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>Further information</i>	<a href="https://www.thegef.org/content/poznan-strategic-program">https://www.thegef.org/content/poznan-strategic-program</a> ; <a href="https://unfccc.int/tclear">https://unfccc.int/tclear</a> and <a href="http://www.ctc-n.org">www.ctc-n.org</a>

(d) Linkages between the Technology Mechanism and the Financial Mechanism of the Convention

84. *Background:* COP 22 invited the TEC, the CTCN and the operating entities of the Financial Mechanism to provide information on their actions in strengthening the linkages between the Technology Mechanism and the Financial Mechanism in their annual reports to the COP for guidance on further actions if needed.<sup>70</sup> COP 24 requested SBI 53 to take stock of progress in strengthening these linkages with a view to recommending a draft decision on this matter, including on the consideration of a conclusion on this matter.<sup>71</sup>

85. *Action:* The SBI will be invited to take stock of progress in strengthening the linkages between the two mechanisms with a view to recommending a draft decision to COP 26.

<i>FCCC/CP/2019/3 and Add.1</i>	<i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2020/5</i>	<i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/8</i>	<i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2019/5 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2020/1 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/9 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/SB/2019/4</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2019</i>

<sup>67</sup> FCCC/SBI/2019/20, para. 71.

<sup>68</sup> FCCC/SBI/2019/9, para. 84.

<sup>69</sup> FCCC/SBI/2020/INF.1.

<sup>70</sup> See decision 14/CP.22, para 9.

<sup>71</sup> See decision 14/CP.24, para 9.

<i>FCCC/SB/2020/4</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2020</i>
<i>FCCC/SB/2021/5</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2021</i>
<i>Further information</i>	<a href="https://unfccc.int/ttclear">https://unfccc.int/ttclear</a>

## 14. Matters relating to the Adaptation Fund

### (a) Membership of the Adaptation Fund Board

86. *Background:* CMP 14 requested the SBI to consider the matter of ensuring that developing country Parties and developed country Parties that are Parties to the Paris Agreement are eligible for membership on the Adaptation Fund Board and to forward a recommendation to CMP 15.<sup>72</sup> SBI 51 agreed that consideration would continue at SBI 52.<sup>73</sup>

87. *Action:* The SBI will be invited to continue its consideration of this matter and to forward a recommendation to CMP 16.

<i>Further information</i>	<a href="https://unfccc.int/process/bodies/funds-and-financial-entities/adaptation-fund">https://unfccc.int/process/bodies/funds-and-financial-entities/adaptation-fund</a>
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### (b) Fourth review of the Adaptation Fund

88. *Background:* CMP 13 requested SBI 52 to initiate the fourth review of the Adaptation Fund in accordance with the terms of reference contained in the annex to decision 1/CMP.12 or as amended.<sup>74</sup>

89. The SBI Chair convened informal consultations on 14 April 2021<sup>75</sup> on advancing technical work on this matter and invited Parties to submit their views thereon.

90. In May–June 2021, the SBI conducted work informally on this matter. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>76</sup> to capture progress.

91. *Action:* The SBI will be invited to continue its consideration of the fourth review of the Adaptation Fund and to report back to its governing body at the session of that body to be convened in conjunction with COP 27.

<i>Further information</i>	<a href="https://unfccc.int/Adaptation-Fund">https://unfccc.int/Adaptation-Fund</a>
<i>Submissions</i>	<a href="https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx">https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx</a> (in the search field type “voluntary submissions 2021”) or <a href="https://unfccc.int/topics/climate-finance/funds-entities-bodies/adaptation-fund/submissions-related-to-the-fourth-review-of-the-adaptation-fund">https://unfccc.int/topics/climate-finance/funds-entities-bodies/adaptation-fund/submissions-related-to-the-fourth-review-of-the-adaptation-fund</a>

<sup>72</sup> Decision 1/CMP.14, para. 5.

<sup>73</sup> FCCC/SBI/2019/20, para. 74.

<sup>74</sup> Decision 2/CMP.13, para. 9.

<sup>75</sup> See <https://unfccc.int/process-and-meetings/bodies/subsidiary-bodies/sbi-chair-lobby/january-june-2021-activities#eq-3>.

<sup>76</sup> Available at <https://unfccc.int/documents/279083>.



## 15. Matters relating to capacity-building

### (a) Capacity-building under the Convention

92. *Background:* COP 23 completed the fourth review of the implementation under the Convention of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7 and requested SBI 52 to initiate the fifth review.<sup>77</sup>

93. The 9<sup>th</sup> and 10<sup>th</sup> meetings of the Durban Forum on capacity-building were convened virtually.

94. In addition, the SBI Chair convened informal consultations on 5 May 2021<sup>78</sup> on opportunities for streamlining UNFCCC capacity-building arrangements and on the process for monitoring and reviewing the implementation of the capacity-building frameworks.

95. In May–June 2021, the SBI conducted work informally on matters related to capacity-building. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>79</sup> to capture progress.

96. *Action:* The SBI will be invited to conclude its consideration of the fifth review of the implementation of the framework for capacity-building in countries with economies in transition.

<i>FCCC/SBI/2020/2 and Add.1</i>	<i>Capacity-building work of bodies established under the Convention and its Kyoto Protocol. Compilation and synthesis report by the secretariat</i>
<i>FCCC/SBI/2021/2 and Add.1</i>	<i>Capacity-building work of bodies established under the Convention and its Kyoto Protocol. Compilation and synthesis report by the secretariat</i>
<i>FCCC/SBI/2020/5</i>	<i>Implementation of the framework for capacity-building in developing countries. Synthesis report by the secretariat</i>
<i>FCCC/SBI/2021/3</i>	<i>Implementation of the framework for capacity-building in developing countries. Synthesis report by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/topics/capacity-building/the-big-picture/capacity-in-the-unfccc-process">https://unfccc.int/topics/capacity-building/the-big-picture/capacity-in-the-unfccc-process</a>

### (b) Capacity-building under the Kyoto Protocol

97. *Background:* CMP 12 completed the third review of the implementation under the Kyoto Protocol of the framework for capacity-building in developing countries and decided that SBI 52 would initiate the fourth review.<sup>80</sup>

98. CMP 13 completed the fourth review of the implementation under the Kyoto Protocol of the framework for capacity-building in countries with economies in transition and requested SBI 52 to initiate the fifth review.<sup>81</sup>

99. The 9<sup>th</sup> and 10<sup>th</sup> meetings of the Durban Forum on capacity-building were convened virtually.

100. The SBI Chair convened informal consultations on 5 May 2021 on opportunities for streamlining UNFCCC capacity-building arrangements and on the process for the monitoring and review of the implementation of the capacity-building frameworks.

<sup>77</sup> Decision 17/CP.23, para. 4.

<sup>78</sup> See <https://unfccc.int/process-and-meetings/bodies/subsidiary-bodies/sbi-chair-lobby/january-june-2021-activities#eq-8>.

<sup>79</sup> Available at <https://unfccc.int/documents/279044>.

<sup>80</sup> Decision 6/CMP.12, para. 6.

<sup>81</sup> Decision 4/CMP.13, para. 4.

101. In May–June 2021, the SBI conducted work informally on matters related to capacity-building. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>82</sup> to capture progress.

102. *Action:* The SBI will be invited to conclude its consideration of the fourth comprehensive review of the implementation of the framework for capacity-building in developing countries and the fifth review of the implementation of the framework for capacity-building in countries with economies in transition.

*See documents under sub-item 15(a)*

*Further information* <https://unfccc.int/topics/capacity-building/the-big-picture/capacity-in-the-unfccc-process>

(c) Annual technical progress report of the Paris Committee on Capacity-building (for 2020 and 2021)

103. *Background:* The 4<sup>th</sup> and 5<sup>th</sup> meetings of the PCCB were convened virtually. The PCCB prepared annual technical progress reports on its work in 2020 and 2021 for submission to the COP and the CMA<sup>83</sup> through the SBI.

104. *Action:* The SBI will be invited to consider the annual technical progress reports of the PCCB for 2020 and 2021, including its recommendations to the COP and the CMA, and to recommend a draft decision to COP 26 and CMA 3.

*FCCC/SBI/2020/13* *Annual technical progress report of the Paris Committee on Capacity-building*

*FCCC/SBI/2021/10* *Annual technical progress report of the Paris Committee on Capacity-building*

*Further information* <https://unfccc.int/pccb>

## 16. Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement\*

105. COP 25, CMP 15 and CMA 2 decided that the forum on the impact of the implementation of response measures may consider, as needed, additional modalities for the workplan activities, consistent with the modalities identified in decision 7/CMA.1, and recommend such additional modalities for the workplan for consideration and adoption by the subsidiary bodies.<sup>84</sup>

106. The KCI held its 3<sup>rd</sup> and 4<sup>th</sup> meetings virtually and will hold its 5<sup>th</sup> meeting in conjunction with this session. The KCI annual report for 2020–2021 is available on the UNFCCC website.<sup>85</sup>

107. On 18 May 2021, the SBI and SBSTA Chairs convened an informal technical expert meeting on tools and methodologies for assessing the impacts of the implementation of response measures.<sup>86</sup>

108. In May–June 2021, the SBI and the SBSTA conducted work informally on matters relating to the forum. The Chairs prepared, with the assistance of the co-facilitators, an informal note<sup>87</sup> to capture progress.

<sup>82</sup> Available at <https://unfccc.int/documents/279044>.

<sup>83</sup> As per decision 3/CMA.2, para. 8.

<sup>84</sup> Para. 4 of decisions 4/CP.25, 4/CMP.15, and 4/CMA.2, respectively.

<sup>85</sup> [https://unfccc.int/sites/default/files/resource/KCI%20Annual%20report%202020-2021\\_0.pdf](https://unfccc.int/sites/default/files/resource/KCI%20Annual%20report%202020-2021_0.pdf).

<sup>86</sup> The summary note is available at <https://unfccc.int/documents/278876>.

<sup>87</sup> Available at <https://unfccc.int/documents/279078>.

109. *Action:* The SBI and the SBSTA will be invited to convene the forum to implement agreed workplan activities and provide its recommendations with a view to the SBI and the SBSTA making recommendations, as appropriate, to COP 26, CMP 16 and CMA 3.

<i>Further information</i>	<a href="https://unfccc.int/topics/mitigation/workstreams/response-measures">https://unfccc.int/topics/mitigation/workstreams/response-measures</a> and <a href="https://unfccc.int/KCI">https://unfccc.int/KCI</a>
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## 17. Gender and climate change

110. *Background:* The workshops on the role of national gender and climate change focal points and on gender integration in national climate policies were held virtually – regionally and during the Climate Dialogues.<sup>88</sup>

111. As part of the gender action plan activity A4, Parties, relevant organizations and the research community were invited to make submissions on the dimensions and examples of the gender-differentiated impacts of climate change, the role of women as agents of change and opportunities for women.

112. *Action:* The second part of the workshop on the role of national gender and climate change focal points will be organized in conjunction with this session so the recommendations on this matter can be finalized.

<i>Submissions</i>	<a href="https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx">https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx</a> (in the search field type “gender” and select year “2020”)
<i>Further information</i>	<a href="https://unfccc.int/gender">https://unfccc.int/gender</a>

## 18. Matters related to Action for Climate Empowerment

### (a) Review of the Doha work programme on Article 6 of the Convention

113. *Background:* The SBI Chair convened a series of activities virtually between 17 March and 19 May 2021 on the review of the Doha work programme and future work to enhance the implementation of ACE under Article 6 of the Convention and Article 12 of the Paris Agreement.<sup>89</sup>

114. In May–June 2021, the SBI conducted work informally on the review of the Doha work programme. The Chair prepared, with the assistance of the co-facilitators, an informal note<sup>90</sup> to capture progress.

115. *Action:* The SBI will be invited to consider the documents prepared for the session, and, taking into account the submissions,<sup>91</sup> launch the review of the implementation of the Doha work programme and recommend a draft decision to COP 26.

<i>FCCC/SBI/2020/9</i>	<i>Progress and effectiveness, as well as emerging gaps, needs and recommendations, in relation to the Doha work programme and Action for Climate Empowerment. Synthesis report by the secretariat</i>
<i>FCCC/SBI/2020/INF.4</i>	<i>Options and ways for future work to enhance the implementation of Article 6 of the Convention and Article 12 of the Paris Agreement, following the review of the Doha work programme. Note by the secretariat</i>

<sup>88</sup> Mandated in decision 3/CP.25.

<sup>89</sup> See <https://unfccc.int/process-and-meetings/bodies/subsidiary-bodies/sbi-chair-lobby/january-june-2021-activities#eq-1>.

<sup>90</sup> Available at <https://unfccc.int/documents/279082>.

<sup>91</sup> See decision 15/CP.25, paras. 2–3.

<i>Submissions</i>	<a href="https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx">https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx</a> (in the search field type “Doha work programme” and select year “2020”, or type “voluntary submissions 2021”)
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(b) Report on activities related to Action for Climate Empowerment (for 2020 and 2021)

116. *Background:* The 8<sup>th</sup> Dialogue on ACE<sup>92</sup> was held virtually – regionally and during Climate Dialogues – to advance the discussions on recommendations and views on future work to enhance the implementation of Article 6 of the Convention and Article 12 of the Paris Agreement.<sup>93</sup>

117. *Action:* The SBI will be invited to take note of the summary report on the 8<sup>th</sup> Dialogue on ACE and of other relevant activities.

<i>FCCC/SBI/2021/1</i>	<i>The 8<sup>th</sup> Dialogue on Action for Climate Empowerment. Summary report by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/ACE-Dialogues">https://unfccc.int/ACE-Dialogues</a>

## 19. Arrangements for intergovernmental meetings

118. *Background:* In keeping with the principle of rotation among the regional groups, the President of COP 27, CMP 17 and CMA 4 should come from the African States. COP 25 requested SBI 52 to consider the issue of the host of those sessions and to recommend a draft decision on the matter for consideration and adoption at COP 26.<sup>94</sup> In a letter dated 11 August 2021, the Chair of the African Group communicated to the secretariat that Egypt had been nominated to host COP 27.

119. SBI 50 agreed that consideration of the approaches and initiatives to increase the efficiency of the UNFCCC process towards enhancing ambition and strengthening implementation would continue at SBI 52.<sup>95</sup>

120. COP 25 requested SBI 52 to review the approach to admitting observer organizations and to provide any relevant guidance to the secretariat.<sup>96</sup>

121. SBI 40 recalled the conclusions of SBI 34 on various ways to engage observer organizations in the intergovernmental process and requested the secretariat to provide, subject to the availability of resources, a report every two years on the implementation of those conclusions.<sup>97</sup> In May–June 2021, the SBI conducted work informally on the implementation of the conclusions. The Chair facilitated the discussions and prepared an informal note<sup>98</sup> to capture progress.

122. *Action:* The SBI will be invited to consider these matters and to make recommendations to COP 26, as appropriate.

<i>FCCC/SBI/2020/10</i>	<i>Arrangements for intergovernmental meetings. Note by the secretariat</i>
<i>FCCC/SBI/2020/INF.8</i>	<i>Process of admission of observer organizations to the UNFCCC. Note by the secretariat</i>

<sup>92</sup> See <https://unfccc.int/topics/education-youth/events-meetings/ace-dialogues/8th-dialogue-on-action-for-climate-empowerment>.

<sup>93</sup> As per decision 15/CP.25, para. 5.

<sup>94</sup> Decision 16/CP.25, para. 7.

<sup>95</sup> FCCC/SBI/2019/9, para. 134.

<sup>96</sup> FCCC/CP/2019/13, para. 18.

<sup>97</sup> FCCC/SBI/2014/8, para. 225.

<sup>98</sup> Available at <https://unfccc.int/documents/278488>.

## 20. Administrative, financial and institutional matters

### (a) Budget performance for the biennia 2018–2019 and 2020–2021

123. *Background:* Reports on budget performance and programme delivery for 2018–2019 and 2020–2021 and a report on the status of contributions as at 22 October 2021 will have been prepared for the session.

124. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session and any relevant oral information provided by the Executive Secretary and to recommend draft decisions on administrative and financial matters to COP 26 and CMP 16.

<i>FCCC/SBI/2020/3 and Add.1–2</i>	<i>Budget performance for the biennium 2018–2019 as at 31 December 2019. Note by the Executive Secretary</i>
<i>FCCC/SBI/2021/5 and Add.1</i>	<i>Budget performance for the biennium 2020–2021 as at 31 December 2020. Note by the Executive Secretary</i>
<i>FCCC/SBI/2021/INF.8</i>	<i>Status of contributions and fees as at 22 October 2021. Note by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/about-us/budget/financial-and-budgetary-matters#documents-and-decisions">https://unfccc.int/about-us/budget/financial-and-budgetary-matters#documents-and-decisions</a>

### (b) Programme budget for the biennium 2022–2023

125. *Background:* In May–June 2021, the SBI conducted work informally on the programme budget for 2022–2023 and Parties reached a common understanding of the possible elements of the draft conclusions and decisions thereon. The Chair prepared, with the assistance of the facilitator, an informal note<sup>99</sup> to capture progress.

126. *Action:* The Executive Secretary has proposed a programme budget for 2022–2023. The SBI will be invited to consider it and to recommend a draft decision for consideration and adoption at COP 26 and endorsement at CMP 16. The SBI will also be invited to consider the proposed budget for the international transaction log and a methodology for the collection of its fees with a view to recommending a draft decision to CMP 16.

<i>FCCC/SBI/2021/4 and Add.1–2</i>	<i>Programme budget for the biennium 2022–2023. Proposal by the Executive Secretary</i>
<i>Further information</i>	<a href="https://unfccc.int/about-us/budget">https://unfccc.int/about-us/budget</a>

### (c) Audit report and financial statements (for 2019 and 2020)

127. *Background:* The financial statements for 2019 and 2020 have been audited by the United Nations Board of Auditors.

128. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session.

<i>FCCC/SBI/2020/INF.9 and Add.1</i>	<i>Financial report and audited financial statements for 2019 and report of the United Nations Board of Auditors. Note by the secretariat</i>
<i>FCCC/SBI/2021/INF.4 and Add.1</i>	<i>Financial report and audited financial statements for 2020 and report of the United Nations Board of Auditors. Note by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/about-us/budget/financial-and-budgetary-matters#documents-and-decisions">https://unfccc.int/about-us/budget/financial-and-budgetary-matters#documents-and-decisions</a>

<sup>99</sup> Available at <https://unfccc.int/documents/279036>.

(d) Other financial and budgetary matters

129. *Background:* Notes on standard costs and efforts to improve the efficiency and transparency of the UNFCCC budget process have been prepared for the session.

130. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session. The SBI will also be invited to recommend draft decisions on administrative and financial matters to COP 26, CMP 16 and CMA 3.

<i>FCCC/SBI/2020/INF.2</i>	<i>Efforts to further improve the efficiency and transparency of the UNFCCC budget process. Note by the secretariat</i>
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<i>FCCC/SBI/2020/INF.3</i>	<i>Budgetary implications of UNFCCC mandates: standard costs. Note by the Executive Secretary</i>
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<i>Further information</i>	<a href="https://unfccc.int/about-us/budget/financial-and-budgetary-matters#documents-and-decisions">https://unfccc.int/about-us/budget/financial-and-budgetary-matters#documents-and-decisions</a>
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(e) Continuous review of the functions and operations of the secretariat

131. *Background:* SBI 21 decided that the SBI would review the functions and operations of the secretariat annually.<sup>100</sup> A background note on the legal status of the UNFCCC secretariat will be provided by the secretariat.

132. *Action:* The SBI will be invited to consider the information provided to Parties and to decide on further action, as appropriate.

<i>Further information</i>	<a href="https://unfccc.int/about-us/budget/review-of-the-secretariat-structure-and-operations">https://unfccc.int/about-us/budget/review-of-the-secretariat-structure-and-operations</a>
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(f) Annual report (for 2019 and 2020)

133. *Background:* SBI 50 agreed that any matters relating to the annual report for 2019 would be considered at SBI 52.<sup>101</sup>

134. *Action:* The SBI will be invited to take note of the annual reports for 2019 and 2020.

<i>FCCC/SBI/2020/4</i>	<i>2019 secretariat activities, programme delivery highlights and financial performance. Annual report by the secretariat</i>
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<i>FCCC/SBI/2021/7</i>	<i>2020 secretariat activities, programme delivery highlights and financial performance. Annual report by the secretariat</i>
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<i>Further information</i>	<a href="https://unfccc.int/annualreport">https://unfccc.int/annualreport</a>
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(g) Implementation of the Headquarters Agreement

135. *Background:* SBI 50 encouraged the Host Government and the secretariat to remain in close and regular consultation and invited the Host Government and the UNFCCC Executive Secretary to report on any new developments in the implementation of the Headquarters Agreement at SBI 54.<sup>102</sup>

136. *Action:* The SBI will be invited to take note of progress on this matter.

**21. Other matters**

137. Any other matters that arise during the session will be taken up under this agenda item.

<sup>100</sup> FCCC/SBI/2004/19, para. 105.

<sup>101</sup> FCCC/SBI/2019/9, para. 153.

<sup>102</sup> FCCC/SBI/2019/9, paras. 157–158.

## 22. Closure of and report on the session

138. On Saturday, 6 November, after the draft report on the session has been presented to the SBI for consideration and adoption, the Chair will declare the session closed.

### Abbreviations and acronyms

AC	Adaptation Committee
ACE	Action for Climate Empowerment
Annex I Party	Party included in Annex I to the Convention
BR3	third biennial report
BR4	fourth biennial report
BUR	biennial update report
CGE	Consultative Group of Experts
CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP	Conference of the Parties
CTCN	Climate Technology Centre and Network
FSV	facilitative sharing of views
IAR	international assessment and review
KCI	Katowice Committee of Experts on the Impacts of the Implementation of Response Measures
LEG	Least Developed Countries Expert Group
NC7	seventh national communication
non-Annex I Party	Party not included in Annex I to the Convention
PCCB	Paris Committee on Capacity-building
SB	sessions of the subsidiary bodies
SBI	Subsidiary Body for Implementation
SBSTA	Subsidiary Body for Scientific and Technological Advice
SED2	structured expert dialogue under the second periodic review of the long-term global goal
TEC	Technology Executive Committee