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## Subsidiary Body for Implementation

### **Budget performance for the biennium 2020–2021 as at 31 December 2020**

**Note by the Executive Secretary**

#### **Addendum**

#### **Programme performance data for 1 January to 31 December 2020**

##### *Summary*

This document contains performance data for all objectives presented in the updated work programme of the secretariat for the biennium 2020–2021. It also provides details of the outputs delivered by the secretariat. It should be considered in conjunction with document FCCC/SBI/2021/5, which contains the report on budget performance and programme delivery for the biennium 2020–2021 as at 31 December 2020.

The year 2020 was characterized by the coronavirus disease 2019 pandemic, which affected the UNFCCC intergovernmental process in many ways. Most significantly, the sessional meetings of the governing and subsidiary bodies were postponed. However, international collaboration on climate change continued in the absence of physical meetings.



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## Abbreviations and acronyms

2006 IPCC Guidelines	<i>2006 IPCC Guidelines for National GHG Inventories</i>
AC	Adaptation Committee
ACE	Action for Climate Empowerment
BA	biennial assessment and overview of climate finance flows
Bonn Fund	Trust Fund for the Special Annual Contribution from the Government of Germany
BR	biennial report
BUR	biennial update report
CDM	clean development mechanism
CDM-MAP	clean development mechanism business and management plan
CER	certified emission reduction
CGE	Consultative Group of Experts
CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
CO <sub>2</sub>	carbon dioxide
CO <sub>2</sub> eq	carbon dioxide equivalent
COP	Conference of the Parties
COVID-19	coronavirus disease 2019
CTCN	Climate Technology Centre and Network
D*	Director level
DTU	Technical University of Denmark
ETF	enhanced transparency framework under the Paris Agreement
FAO	Food and Agriculture Organization of the United Nations
FSV	facilitative sharing of views
FWG	Facilitative Working Group
GCA	global climate action
GCA portal	global climate action portal (NAZCA)
GCF	Green Climate Fund
GEF	Global Environment Facility
GHG	greenhouse gas
ICT	Information and Communication Technology
IPCC	Intergovernmental Panel on Climate Change
IT	information technology
ITL	international transaction log
JI	joint implementation
JISC	Joint Implementation Supervisory Committee
KCI	Katowice Committee of Experts on the Impacts of the Implementation of Response Measures
LAKI	Lima Adaptation Knowledge Initiative
LCIPP	Local Communities and Indigenous Peoples Platform
LDC	least developed country
LEG	Least Developed Countries Expert Group
LT-LEDS	long-term low-emission development strategy(ies)
MA	multilateral assessment
MPGs	modalities, procedures and guidelines
MRV	measurement, reporting and verification

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NA	not applicable
NAMA	nationally appropriate mitigation action
NAP	national adaptation plan
NC	national communication
NDC	nationally determined contribution
NWP	Nairobi work programme on impacts, vulnerability and adaptation to climate change
P*	Professional level
PAICC	Paris Agreement Implementation and Compliance Committee
PCCB	Paris Committee on Capacity-building
RCC	regional collaboration centre
REDD+	reducing emissions from deforestation; reducing emissions from forest degradation; conservation of forest carbon stocks; sustainable management of forests; and enhancement of forest carbon stocks (decision 1/CP.16, para. 70)
RM forum	forum on the impact of the implementation of response measures
SB	sessions of the subsidiary bodies
SBI	Subsidiary Body for Implementation
SBSTA	Subsidiary Body for Scientific and Technological Advice
SCF	Standing Committee on Finance
TEC	Technology Executive Committee
TT:CLEAR	technology information clearing house
UNEP	United Nations Environment Programme
UNIDO	United Nations Industrial Development Organization
UN-SWAP	United Nations System-wide Action Plan on Gender Equality and the Empowerment of Women
WIM	Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

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\* Used exclusively in tables.

## Programme performance data for 1 January to 31 December 2020<sup>1</sup>

This document contains performance data for all objectives presented in the updated work programme of the secretariat for the biennium 2020–2021. It also provides details of the outputs delivered by the secretariat, budget implementation and posts. Post numbers are counted in full-time equivalent as some posts are not fully budgeted or filled. The total number of staff members is higher than the total number of posts as some posts are budgeted at 50 per cent only or filled with staff working part time.

### A. Programmes department

Table 1

**2020–2021 objectives, planned and delivered outputs, and performance indicators and data for Programmes Coordination**

<i>Objective and expected result</i>	<i>Outputs planned for the biennium per funding source</i>	<i>Outputs delivered in 2020 per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement on responding to the threat of climate change by ensuring the provision of effective, coherent and synergistic support for the operation of processes related to adaptation, mitigation, means of implementation and transparency <b>ER101-011-1</b> Parties are provided with comprehensive and coherent support to facilitate their implementation of agreed UNFCCC provisions	<b>Core</b> Comprehensive and strategic oversight and coordination of the planning, implementation and monitoring of the Programmes divisions' support for intergovernmental processes	<b>Core</b> Provided coordination and oversight of the four substantive Programmes divisions, including through weekly meetings with the four division directors Represented the secretariat, on behalf of the Executive Secretary, through a range of speeches, panel discussions and conversations, both virtually and in person, including work related to ACE, the Organisation for Economic Co-operation and Development Climate Change Expert Group and Global Forum on the Environment and Climate Change, and the United Nations Decade on Ecosystem Restoration (2021–2030); a lecture at the University of Leeds; a conference organized by the North Rhine–Westphalia Environment Agency; and a workshop at the University of Oxford on the future of the international climate regime	Number of complementary activities identified and resource needs minimized through coordination of delivery Baseline: NA Target: four activities Actual: two activities

<sup>1</sup> Performance data for all objectives are presented in the updated work programme of the secretariat for the biennium 2020–2021, available at <https://unfccc.int/documents/230892>.

<i>Objective and expected result</i>	<i>Outputs planned for the biennium per funding source</i>	<i>Outputs delivered in 2020 per funding source</i>	<i>Performance indicator and performance data</i>
		<p>Provided coordination and oversight of collaboration with the NDC Partnership</p> <p>Supported the secretariat's networks with Bonn-based organizations, including the German Agency for International Cooperation and the German Development Institute, and with the city of Bonn (e.g. a joint project with the Beethoven Orchestra Bonn and the project to make Bonn a United Nations hub)</p>	
	<b>Supplementary</b>	<b>Supplementary</b>	
	NA	NA	
<b>Objective 2: constituted bodies</b>	<b>Core</b>	<b>Core</b>	Number of complementary activities identified and resource needs minimized through coordination of delivery
Enable constituted bodies working in the areas of adaptation, mitigation, means of implementation and transparency to fulfil their mandates in an effective, coherent and synergistic way	Comprehensive and strategic oversight and coordination of the planning, implementation and monitoring of the Programmes divisions' support for constituted bodies	Provided comprehensive and strategic oversight and coordination of the planning, implementation and monitoring of the Programmes divisions' support for constituted bodies	Baseline: NA
<b>ER200-011-1</b>			Target: four activities
Constituted bodies are provided with quality support in the delivery and implementation of their mandates and work programmes			Actual: two activities
<b>Objective 5: oversight and administration</b>	<b>Programme support costs and the CDM</b>	<b>Programme support costs and the CDM</b>	Expenditure levels compared with the approved budget and available cash
Effectively manage and administer the Programmes department	Effective and efficient financial and human resource management of the four divisions	Coordinated cross-divisional input to meetings of the Management Team and the SCF on supplementary activities	Baseline: 99 per cent
<b>ER500-011-1</b>		Provided advice on preparing the annual report of the secretariat for 2019	Target: 99 per cent
The human and financial resources and other assets of the Programmes divisions are effectively managed in accordance with relevant United Nations regulations		Provided guidance on the planning and delivery of regional climate weeks	Actual: 58 per cent
		Contributed to Management Team meetings, update meetings with the	

<i>Objective and expected result</i>	<i>Outputs planned for the biennium per funding source</i>	<i>Outputs delivered in 2020 per funding source</i>	<i>Performance indicator and performance data</i>
		Executive Secretary, directors and the Bureau of the COP, the CMP and the CMA, and meetings with the Board of Auditors	

Table 2  
**2020–2021 resource requirements for Programmes Coordination**  
(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	513 880	149 713	29
Supplementary	–	–	–
Programme support costs	1 591 920	622 501	39
CDM-MAP	268 080	135 436	51
<b>Total resources</b>	<b>2 373 880</b>	<b>907 650</b>	<b>38</b>

Table 3  
**2020–2021 post requirements for Programmes Coordination**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>2</b>	<b>1</b>	<b>50</b>
Professional level staff and above	1	0	0
General Service level staff	1	1	100
<b>Supplementary</b>	<b>–</b>	<b>–</b>	<b>–</b>
<b>Programme support costs</b>	<b>8</b>	<b>7</b>	<b>88</b>
<b>CDM-MAP</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Total</b>	<b>11</b>	<b>9</b>	<b>82</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

## 1. Adaptation division

Table 4

### 2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Adaptation division

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement on adaptation by providing support to ongoing intergovernmental oversight of established processes and negotiation of new, revised or enhanced processes, as appropriate <b>ER101-001-1</b> Parties' consideration of the progress of established processes and bodies related to adaptation is facilitated	<b>Core</b> 16 expected/recurring agenda items supported through the preparation of documents, reports and governing body decisions/conclusions: <ul style="list-style-type: none"> <li>• NAPs (four SBI sessions)</li> <li>• NWP (two SBSTA sessions)</li> <li>• AC (two COP/CMA sessions and two sessions of the subsidiary bodies)</li> <li>• Matters relating to the LDCs (four SBI sessions)</li> <li>• WIM Executive Committee (two COP/CMA sessions and two sessions of the subsidiary bodies)</li> <li>• LCIPP (two COP sessions and two sessions of the subsidiary bodies)</li> </ul>	<b>Core</b> NWP: <ul style="list-style-type: none"> <li>• Two NWP events (held virtually at the UNFCCC Climate Dialogues 2020)</li> </ul> AC: <ul style="list-style-type: none"> <li>• One event on the annual report of the AC (held virtually at the Climate Dialogues)</li> </ul> Matters relating to the LDCs: <ul style="list-style-type: none"> <li>• One event on enhancing support for the LDCs: insights from a recent stocktaking meeting on the work of the LEG (organized virtually at the UNFCCC June Momentum for Climate Change)</li> <li>• One update on LEG support provided to the LDCs under the Convention (held virtually at the Climate Dialogues)</li> <li>• One technical dialogue with Parties and observers organized by the SBI Chair to inform the review of progress, need for continuation and terms of reference of the LEG (decision 19/CP.21, para. 13) (held virtually at the Climate Dialogues)</li> </ul> WIM Executive Committee: <ul style="list-style-type: none"> <li>• Three events on loss and damage (one held virtually at the June Momentum and two at the Climate Dialogues)</li> </ul> LCIPP: <ul style="list-style-type: none"> <li>• One LCIPP stakeholder dialogue (held virtually at the June Momentum)</li> <li>• One LCIPP special event (held virtually at the Climate Dialogues)</li> </ul>	Percentage of presiding officers expressing satisfaction with the level of support provided Baseline: NA Target: 100 per cent Actual: owing to the postponement of sessional meetings, no feedback was obtained



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1b: established processes</b> Facilitate intergovernmental engagement on adaptation by supporting the operation of established processes, including the NAP process and the NWP <b>ER102-001-1</b> The operation of established intergovernmental processes related to adaptation matters is facilitated	<b>Core</b> Two progress reports on NAPs One NAP Expo with 72 funded country representatives Two progress reports on the NWP One NWP Focal Point Forum Planning for the technical examination process on adaptation 14 technical reports  <b>Supplementary</b> One NAP Expo with 72 funded country representatives One NAP technical meeting with 25 funded participants Six regional NAP Expos with a total of 30 funded participants	<b>Core</b> NAP process: <ul style="list-style-type: none"> <li>• One progress report on NAPs</li> <li>• Three NAP virtual events to advance selected technical components of the NAP Expo with corresponding documentation: <ul style="list-style-type: none"> <li>• Advancing NAPs and climate-resilient recovery under COVID-19 (June 2020)</li> <li>• NAP country platform (October 2020)</li> <li>• NAP champions webinar: advancing adaptation through NAPs (October 2020)</li> </ul> </li> </ul> NWP: <ul style="list-style-type: none"> <li>• One progress report on the NWP</li> <li>• One policy brief on the ocean</li> </ul> Second virtual expert group meeting on oceans First virtual expert group meeting on biodiversity and climate change adaptation LAKI phase 2: virtual technical expert meeting for the Middle East and North Africa (first in the series) Technical examination process on adaptation Planning of technical expert meetings  <b>Supplementary</b> NWP: <ul style="list-style-type: none"> <li>• Three LAKI knowledge outputs developed in partnership with graduate students and NWP partners (Hindu Kush Himalayan subregion, Peru (Andes) and Seychelles (Indian Ocean island countries))</li> </ul>	Implementation status of the activities under NAPs and the NWP Baseline: number of planned activities Target: 100 per cent implementation of planned activities Actual: 60 per cent implementation of planned activities because the physical 2020 NAP Expo, NWP Focal Point Forum and regional meetings had to be postponed owing to the COVID-19 pandemic

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>One NWP Focal Point Forum</p> <p>Five LAKI meetings with a total of 75 funded participants</p> <p>One technical expert meeting and one technical paper</p> <p>Four technical reports</p>	<ul style="list-style-type: none"> <li>• Three NWP newsletters (eUpdates)</li> </ul> <p>Technical examination process on adaptation:</p> <ul style="list-style-type: none"> <li>• Five global and one regional technical expert meetings on adaptation (held virtually)</li> <li>• Launch of the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change (as mandated by COP 25)</li> </ul>	
<p><b>Objective 2: constituted bodies</b></p> <p>Enable the AC, the LCIPP, the LEG and the WIM Executive Committee to fulfil their mandates</p> <p><b>ER200-001-1</b></p> <p>Constituted bodies are enabled to meet and perform their assigned functions through substantive and organizational support, and process-related advice</p>	<p><b>Core</b></p> <p>12 regular meetings of the constituted bodies; meeting agenda and outcomes made available for each</p> <p><b>Supplementary</b></p> <p>Four regular meetings of the constituted bodies; meeting agenda and outcomes made available for each</p>	<p><b>Core</b></p> <p>AC 17 (March 2020) and AC 18 (November 2020, held virtually)</p> <p>WIM ExCom 11 (March 2020) and WIM ExCom 12 (October 2020, held virtually)</p> <p>FWG 3 (October 2020) and FWG 4 (December 2020, held virtually)</p> <p>LEG 38 (August 2020, held virtually)</p> <p>Meeting agenda and outcomes made available for each</p> <p><b>Supplementary</b></p> <p>LEG 37 (February 2020); meeting agenda and outcomes made available</p>	<p>Number of meetings of bodies</p> <p>Baseline: minimum number of mandated meetings</p> <p>Target: minimum number of mandated meetings</p> <p>Actual: eight out of eight meetings for 2020</p> <p>Agenda and documentation of meeting outcomes made available in accordance with the rules of procedure of the bodies</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>
<p><b>ER200-001-2</b></p> <p>Constituted bodies are provided with quality support in the delivery and implementation of their mandates and work programmes</p>	<p><b>Core</b></p> <p>10 annual/biannual reports of the constituted bodies</p> <p>One Adaptation Forum</p> <p>Reports/documents/technical papers as per work programmes</p>	<p><b>Core</b></p> <p>Two biannual reports (LEG 37 and 38)</p> <p>Two annual reports (AC, WIM Executive Committee)</p> <p>Technical documentation as per work programmes:</p>	<p>Implementation status of activities under the work programmes</p> <p>Baseline: number of planned activities</p> <p>Target: 100 per cent implementation of planned activities</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
		<ul style="list-style-type: none"> <li>• AC: six background documents, two concept notes, one inventory</li> <li>• WIM Executive Committee: one policy brief, one technical summary</li> <li>• FWG: 10 activity concept notes, one technical paper, one synthesis document, one summary of submissions, three background documents</li> <li>• LEG: one synthesis report on the LEG review, one report on the stocktaking meeting of the LEG</li> </ul>	Actual: reports and technical papers: 100 per cent; technical meetings and workshops: around 50 per cent owing to the COVID-19 pandemic (some events were organized virtually, while others were postponed)
	<b>Supplementary</b> One Adaptation Forum Five regional NAP training workshops with 145 national experts trained 25 technical meetings and workshops: <ul style="list-style-type: none"> <li>• AC (4)</li> <li>• LCIPP (4)</li> <li>• LEG (1)</li> <li>• WIM Executive Committee (4), its task force on displacement (4) and regional workshops (8)</li> </ul> 10 Open NAP case studies Reports/documents by constituted bodies	<b>Supplementary</b> LEG/NAP activities: <ul style="list-style-type: none"> <li>• Stocktaking meeting on the work of the LEG (February 2020)</li> <li>• NAP country dialogues with 18 LDCs (February 2020)</li> </ul> Focused support provided to 4 of the 18 LDCs in drafting their NAPs (Open NAP case studies) Gender webinar in collaboration with the National Adaptation Plan Global Network and the AC (October 2020) LCIPP: <ul style="list-style-type: none"> <li>• One training webinar organized by the LCIPP</li> <li>• One event to showcase the development of the LCIPP web portal</li> </ul> WIM Executive Committee: <ul style="list-style-type: none"> <li>• 2<sup>nd</sup> meeting of the technical expert group on comprehensive management under the WIM Executive Committee and report</li> </ul>	

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<p><b>Objective 3: data and information management</b></p> <p>Manage a trusted repository of adaptation data and information, including the adaptation registry, NAP Central, the adaptation knowledge portal, the LCIPP web portal and the Fiji Clearing House for Risk Transfer</p> <p><b>ER300-001-1</b></p> <p>Parties and the public are provided with authoritative, up-to-date and readily accessible adaptation-related information</p>	<p><b>Core</b></p> <p>Five data portals maintained:</p> <ul style="list-style-type: none"> <li>• Adaptation registry</li> <li>• NAP Central</li> <li>• LCIPP web portal</li> <li>• Adaptation knowledge portal</li> <li>• Fiji Clearing House for Risk Transfer</li> </ul> <p>Web pages on adaptation processes/bodies maintained</p> <p><b>Supplementary</b></p> <p>Five data portals enhanced:</p> <ul style="list-style-type: none"> <li>• Adaptation registry</li> <li>• NAP Central</li> <li>• LCIPP web portal</li> <li>• Adaptation knowledge portal</li> <li>• Fiji Clearing House for Risk Transfer</li> </ul>	<ul style="list-style-type: none"> <li>• 4<sup>th</sup> meeting of the task force on displacement under the WIM Executive Committee and report</li> </ul> <p><b>Core</b></p> <p>Maintenance and improved layout of web pages on adaptation processes/bodies</p> <p>Maintenance of NAP Central, the adaptation knowledge portal and the Fiji Clearing House for Risk Transfer</p> <p>The adaptation registry for adaptation communications could not be adopted in 2020 owing to the postponement of COP 26. As a transitional arrangement, the secretariat published submitted adaptation communications on a dedicated web page</p> <p><b>Supplementary</b></p> <p>NAP blogger element added to NAP Central</p> <p>Navigation and content of the adaptation knowledge portal enhanced</p> <p>Web portal for the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change established</p> <p>New LCIPP web portal developed and final testing commenced</p>	<p>Information on the adaptation databases and web pages is regularly updated</p> <p>Baseline and target: main web pages are updated within two weeks after major conferences and other events, such as meetings of groups or committees, workshops or expert meetings</p> <p>Actual: all main web pages were updated within two weeks after major conferences and other events</p> <p>Adaptation communications and NAP documents submitted are uploaded to the corresponding registry and web page and made publicly accessible</p> <p>Baseline: number of submissions</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 4: enhance engagement</b> Facilitate engagement on adaptation to promote action towards the achievement of the objectives and goals of the Convention and the Paris Agreement <b>ER400-001-1</b> Policymakers are supported in understanding and aligning their adaptation actions with the established objectives and goals of the UNFCCC and the Paris Agreement	<b>Core</b> Outreach materials, including speeches, infographics, brochures, videos, presentations for all adaptation processes/bodies  <b>Supplementary</b> Maintenance of three social media platforms and enhanced outreach materials	<b>Core</b> 28 UNFCCC Newsroom articles (10 in English, 7 in French, 1 in Russian and 10 in Spanish) Social media postings (in English, French, Russian and Spanish) in relation to UNFCCC Newsroom articles were produced on channels with more than 2 million followers  <b>Supplementary</b> Daily tweets/posts on Facebook, the Adaptation Exchange, the NWP Twitter account (@AdaptXchange) and the NAP Central Twitter account Enhanced outreach materials: <ul style="list-style-type: none"> <li>• AC: one video, one infographic, three issues of the Adaptation Finance Bulletin, two outreach publications</li> <li>• Adaptation and ETF: six presentations at regional workshops to provide information to Parties on adaptation communications</li> <li>• NWP: several infographics</li> <li>• Technical examination process on adaptation: seven policy briefs, two competitions, one summary video</li> <li>• WIM Executive Committee: one video, two outreach materials (flyers) and various presentations for events related to loss and damage</li> </ul>	Number of outreach materials Baseline: NA Target: eight per process/body Actual: six per process/body, on average

Table 5

**2020–2021 resource requirements for the Adaptation division**

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	6 035 381 <sup>a</sup>	2 182 324	36
Supplementary <sup>b</sup>	5 902 280	1 088 038	18
<b>Total resources</b>	<b>11 937 661</b>	<b>3 270 362</b>	<b>27</b>

<sup>a</sup> As reallocated by the Executive Secretary pursuant to the authority given to her by the COP to make transfers between appropriation lines (decision 17/CP.25, para. 13). The amount stated in table 5 of the updated work programme of the secretariat for the biennium 2020–2021 is EUR 6,221,800.

<sup>b</sup> Supplementary budget does not translate to funding available for spending.

Table 6

**2020–2021 post requirements for the Adaptation division**

<i>Funding source</i>	<i>Posts required</i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>21</b>	<b>15.6</b>	<b>74</b>
Professional level staff and above	16 <sup>a</sup>	10.8	68
General Service level staff	5	4.8	96
<b>Supplementary</b>	<b>11<sup>b</sup></b>	<b>3</b>	<b>27</b>
<b>Total</b>	<b>32</b>	<b>18.6</b>	<b>58</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021, with the exception of one post at P-4 level. The funding for this post was shifted from the Adaptation division to the Intergovernmental Support and Collective Progress division after publication of the updated work programme.

<sup>b</sup> One position was shifted from the Intergovernmental Support and Collective Progress division to the Adaptation division after publication of the updated work programme.

**2. Mitigation division**

Table 7

**2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Mitigation division**

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b>	<b>Core</b> 15 expected/recurring agenda items supported through the preparation of	<b>Core</b>	Percentage of presiding officers expressing satisfaction with the level of support provided

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
Facilitate intergovernmental engagement in relation to NDCs, the design of the NDC registry under Article 4, paragraph 12, of the Paris Agreement, response measures, the Kyoto Protocol mechanisms and Article 6 of the Paris Agreement <b>ER101-002-1</b> Parties' consideration of the progress of established processes and bodies related to mitigation, response measures and cooperative implementation is facilitated	documents, reports and governing body decisions/conclusions: <ul style="list-style-type: none"> <li>• Contact group on the RM forum (four sessions of the subsidiary bodies)</li> <li>• Report of the RM forum (two COP/CMP/CMA sessions)</li> <li>• Guidance on CDM (two CMP sessions)</li> <li>• Guidance on JI (two CMP sessions)</li> <li>• Emissions from international bunkers (four sessions of the subsidiary bodies)</li> <li>• Mitigation elements of the report on NAMAs (one COP session)</li> </ul>	Two informal events held virtually to advance the technical work of the RM forum The CDM Executive Board considered its annual report to CMP 16 and agreed to continue consideration of the matter at its next meeting The JISC report to CMP 16 adopted at JISC 43 (held virtually) Engagement with the International Civil Aviation Organization and International Maritime Organization in relevant committees and working groups Mitigation elements of the report on NAMAs planned for 2021	Baseline: NA Target: 100 per cent Actual: NA
<b>ER101-002-2</b> Parties' development and consideration of new/additional MPGs related to cooperative implementation is facilitated	<b>Core</b> Three CMA decisions with new or updated MPGs with respect to the implementation of Article 6 of the Paris Agreement	<b>Core</b> Planned for 2021	Percentage of presiding officers expressing satisfaction with the level of support provided Baseline: NA Target: 100 per cent Actual: NA
<b>Objective 1b: established processes</b> Facilitate the intergovernmental processes in relation to response measures, NDCs and LT-LEDS <b>ER102-002-1</b> The operation of established mitigation, response measures and cooperative implementation intergovernmental processes is facilitated	<b>Core</b> Four RM forums (including preparing documents and reports) One regional workshop on response measures and one workshop report Four inputs from experts, practitioners and international organizations in support of the activities of the RM forum's workplan/technical papers on response measures Two compilation and synthesis reports on Article 3, paragraph 14, of the Kyoto Protocol Planning of the technical examination process on mitigation	<b>Core</b> Two informal events held virtually to advance on the technical work of the RM forum Regional workshop on response measures and report planned for 2021 One informal event held virtually to gather inputs from experts, practitioners and international organizations on the workplan of the RM forum One compilation and synthesis report on Article 3, paragraph 14, of the Kyoto Protocol prepared and published	Documents and reports are delivered and delivered on time Baseline: all documents and reports published four weeks prior to start of meeting Target: 100 per cent of documents published on time Actual: 100 per cent of documents published on time

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	One LT-LEDS global peer-exchange and lessons-learned workshop and one workshop report	Held one virtual TEM and published a meeting report and technical paper LT-LEDS workshop planned for 2021	
	<b>Supplementary</b> One technical expert meeting (including technical inputs) and one technical paper	<b>Supplementary</b> One virtual technical expert meeting to provide inputs for preparing the technical paper	
<b>Objective 2: constituted bodies</b> Enable the KCI, the CDM Executive Board, the JISC and bodies agreed in the context of Article 6 of the Paris Agreement to fulfil their mandates	<b>Core</b> Four regular meetings of the KCI; meeting agenda and outcomes made available for each	<b>Core</b> One meeting of the KCI; meeting agenda and outcomes published	Number of meetings of bodies Baseline: minimum number of mandated meetings Target: minimum number of mandated meetings Actual: 10 meetings
<b>ER200-002-1</b> Constituted bodies, including their panels and working groups, are enabled to meet and perform their assigned functions through substantive and organizational support, and process-related advice	<b>Other (CDM and JI)</b> 22 regular meetings of the CDM Executive Board, its panels and working groups and the JISC; meeting agenda and outcomes made available for each	<b>Other (CDM and JI)</b> Nine regular meetings of the CDM Executive Board, its panels and working groups and the JISC; meeting agenda and outcomes published for each	Agenda and meeting outcomes made available in accordance with the rules of procedure of the bodies Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent
<b>ER200-002-2</b> Constituted bodies are provided with quality support in the delivery and implementation of their mandates and work programmes	<b>Core</b> Two annual reports of the KCI Four meeting reports of the KCI Documents/technical papers as per the work programme of the KCI	<b>Core</b> One annual report of the KCI One meeting report of the KCI Agenda, provisional agenda and relevant documents published, including a concept note for the technical paper on enhancing capacity-building of Parties on assessment and analysis of impacts of the implementation of response measures, and a strategy note for implementation of the workplan of the KCI	Implementation status of activities under the CDM Executive Board work programmes <sup>a</sup> Baseline: 96 per cent of planned activities Target: 100 per cent of planned activities Actual: 91 per cent of planned activities (42 out of 46) Proportion of the 14 key performance indicators included in the CDM annual key performance indicator reports <sup>b</sup> that record performance of at least 90 per cent of target Baseline: 100 per cent
	<b>Supplementary</b> Four inputs from experts, practitioners and international organizations in support of the	<b>Supplementary</b> Two calls for input from experts, practitioners and international	



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>activities in the RM forum's workplan/technical papers on response measures</p> <p><b>Other (CDM and JI)</b></p> <p>As per the CDM-MAPs,<sup>c</sup> including:</p> <ul style="list-style-type: none"> <li>• 116 CDM Executive Board mandates to the secretariat</li> <li>• 210 reports, standards and regulatory documents</li> </ul> <p>As per the JI management plan,<sup>d</sup> including:</p> <ul style="list-style-type: none"> <li>• Two JISC mandates to the secretariat</li> <li>• 12 reports, standards and regulatory documents</li> </ul>	<p>organizations received, processed, synthesized and presented to the KCI for consideration at its 3<sup>rd</sup> meeting</p> <p><b>Other (CDM and JI)</b></p> <p>54 CDM Executive Board workplan items were originally planned to be considered in 2020. In total, 43 items were considered. Owing to time restrictions relating to virtual meeting settings, consideration of 11 items was moved to 2021</p> <p>Six items were completed as per the JI management plan</p>	<p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>
<p><b>ER200-002-3</b></p> <p>Parties and the public are provided with up-to-date and readily accessible information on the UNFCCC process, climate change and climate action</p>	<p><b>Other (ITL)</b></p> <p>Two annual reports of the ITL administrator to the CMP</p> <p>Two Registry System Administrators Forums</p> <p>The data exchange standards and common operational procedures are maintained</p>	<p><b>Other (ITL)</b></p> <p>One annual report of the ITL administrator to the CMP</p> <p>22nd Registry System Administrators Forum held virtually.</p> <p>Data exchange standards and common operational procedures were kept up-to-date</p>	<p>Documents and reports are delivered and delivered on time</p> <p>Baseline: all documents and reports published four weeks prior to start of the Registry System Administrators Forum</p> <p>Target: 100 per cent of documents published on time</p> <p>Actual: 100 per cent of documents published on time</p> <p>Agenda and documentation of the Registry System Administrators Forum outcomes made available in accordance with the rules of procedure of the bodies</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>
<p><b>Objective 3: data and information management</b></p>	<p><b>Core</b></p> <p>Three data portals/tools are maintained and operated:</p>	<p><b>Core</b></p> <p>Portal on response measures modelling tools maintained</p>	<p>Portals/tools/hubs are updated in a timely manner</p> <p>Baseline: updated within one day of receipt of submissions</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<p>Manage a trusted repository of data and information in support of mitigation efforts and cooperative implementation</p> <p><b>ER300-002-1</b></p> <p>Parties and the public are provided with up-to-date and readily accessible information on UNFCCC processes, climate actions and associated impacts</p>	<ul style="list-style-type: none"> <li>• Portal on response measures modelling tools</li> <li>• LT-LEDS IT tool and knowledge hub</li> <li>• Tool for calculating aggregate mitigation effects of NDCs</li> </ul> <p>Mitigation elements of one NDC synthesis report are prepared</p> <p><b>Other (CDM)</b></p> <p>10,000+ transactions are processed in the CDM registry</p> <p>CDM registry data are synthesized and analysed to support further consideration by intergovernmental negotiations and other established processes</p>	<p>LT-LEDS IT tool and knowledge hub maintained</p> <p>Tool for calculating aggregate mitigation effects of NDCs updated</p> <p><b>Other (CDM)</b></p> <p>6,333 transactions processed in the CDM registry</p> <p>Database on programmes of activities, CDM data and insights, and CDM registry reports were prepared</p>	<p>Target: 100 per cent</p> <p>Actual: 100 per cent</p> <p>Biannual CDM reports are submitted to the regulatory body and published on the UNFCCC website<sup>e</sup></p> <p>Baseline: eight reports</p> <p>Target: eight reports</p> <p>Actual: four reports</p> <p>CDM registry transactions are processed on time as per rules and regulations</p>
<p><b>Objective 4: enhance engagement</b></p> <p>Facilitate engagement on mitigation to promote action towards the achievement of the objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement</p> <p><b>ER400-002-1</b></p> <p>Policymakers are supported in understanding and aligning their mitigation actions with the established objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement</p>	<p><b>Core</b></p> <p>One technical guidance document on NDC accounting and tracking of the progress of implementation and achievement</p> <p>One NDC accounting methodological guidance</p> <p>Ongoing availability of NDC help desk</p> <p>Direct engagement with a variety of international and intergovernmental organizations with respect to the implementation of mitigation actions and cooperative approaches</p> <p><b>Supplementary</b></p> <p>Three regional workshops per year relating to raising awareness and peer learning with respect to response measures</p> <p>Eight NDC regional dialogues/technical training sessions to promote implementation</p>	<p><b>Core</b></p> <p>NA: guidance document on NDC account and tracking (owing to decisions taken at COP 25)</p> <p>NA: NDC accounting guidance (owing to decisions taken at COP 25)</p> <p>NDC help desk maintained</p> <p>Ongoing direct engagement with international and intergovernmental organizations with respect to the implementation of mitigation actions and cooperative approaches</p> <p><b>Supplementary</b></p> <p>The three regional workshops were not held owing to the COVID-19 pandemic</p> <p>Six events to engage NDC regional experts</p>	<p>Technical guidance documents prepared</p> <p>Baseline: NA</p> <p>Target: two</p> <p>Actual: zero</p> <p>Number of regional NDC dialogues organized</p> <p>Baseline: six</p> <p>Target: eight</p> <p>Actual: six</p> <p>Network peer-learning meetings organized</p> <p>Baseline: NA</p> <p>Target: two</p> <p>Actual: zero</p> <p>Number of policy design workshops organized</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>and increase ambition, and on NDC accounting and tracking progress</p> <p>Two peer-learning meetings on LT-LEDs for preparation and submission by Parties</p> <p>10 workshops per biennium in the context of climate weeks and the Marrakech Partnership for Global Climate Action to support countries in designing policy instruments to enhance incentives for deploying private capital for mitigation activities. Green investment incubators established, and work monitored</p> <p><b>Other (CDM)</b></p> <p>11 Designated National Authorities Forum meetings and CDM workshops and round tables</p> <p>10 events/meetings in cooperation with international business and sector organizations</p> <p>Four Nairobi Framework coordination and regional activities</p>	<p>Two peer-learning meetings on LT-LEDs planned for 2021</p> <p>11 virtual events held in the context of climate weeks and the Marrakech Partnership for Global Climate Action</p> <p><b>Other (CDM)</b></p> <p>Four Designated National Authorities Forum meetings, three Designated Operational Entity Forum events and four training sessions for designated national authorities held virtually</p> <p>16 events/meetings held virtually in in cooperation with international business and sector organizations</p> <p>Regional activities under the Nairobi Framework postponed to 2021. Two virtual Nairobi Framework coordination meetings held. Virtual platform for regional events<sup>g</sup> launched</p>	<p>Baseline: NA</p> <p>Target: 10</p> <p>Actual: 11</p> <p>Implementation status of activities under the work programmes<sup>f</sup></p> <p>Baseline: NA</p> <p>Target: 100 per cent</p> <p>Actual: 90 per cent</p>

<sup>a</sup> Approved workplans are available at <http://cdm.unfccc.int/Reference/Notes/index.html>.

<sup>b</sup> The annual key performance indicator report is available at <https://cdm.unfccc.int/EB/report/>.

<sup>c</sup> Outputs are documented in detail in the annual workplans of the CDM Executive Board, CDM Methodologies Panel and CDM Accreditation Panel, available at <http://cdm.unfccc.int/Reference/Notes/index.html>.

<sup>d</sup> The JI two-year management plans are available at <https://ji.unfccc.int/Ref/Docs.html>.

<sup>e</sup> Outputs of the operations of the CDM registry and voluntary cancellation platform are documented in the two-year CDM-MAPs, available at <http://cdm.unfccc.int/EB>, and reported on in the CDM-MAP midyear review, CDM-MAP annual review and voluntary cancellation platform reports, available at <https://cdm.unfccc.int/EB/report/>.

<sup>f</sup> The current two-year CDM-MAPs are available at <http://cdm.unfccc.int/EB/>.

<sup>g</sup> <https://unfccc.int/about-us/partnerships/current-calls-for-partnerships/regional-collaboration-centres/regional-virtual-platform>.

Table 8  
2020–2021 resource requirements for the Mitigation division

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	3 581 560	1 370 983	38
Supplementary <sup>a</sup>	5 966 562	1 063 269	18
ITL (fees from the Kyoto Protocol)	711 206	159 885	22
CDM-MAP	21 924 539	11 865 836	54
JI management plan	946 635	457 012	48
<b>Total resources</b>	<b>33 130 502</b>	<b>14 916 985</b>	<b>45</b>

<sup>a</sup> Supplementary budget does not translate to funding available for spending.

Table 9  
2020–2021 post requirements for the Mitigation division

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>14</b>	<b>7</b>	<b>50</b>
Professional level staff and above	10	4	40
General Service level staff	4	3	75
<b>Supplementary</b>	<b>6</b>	<b>4</b>	<b>67</b>
<b>ITL (fees from the Kyoto Protocol)</b>	<b>2</b>	<b>1</b>	<b>50</b>
<b>CDM-MAP<sup>b</sup></b>	<b>67</b>	<b>57</b>	<b>85</b>
<b>JI management plan</b>	<b>3</b>	<b>1</b>	<b>33</b>
<b>Total</b>	<b>92</b>	<b>70</b>	<b>76</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

<sup>b</sup> For the budget, it was assumed that the currently open items on methodological issues related to the CDM (land use, land-use change and forestry, forests in exhaustion, and review of modalities and procedures) and the Convention mechanisms (framework for various approaches, new market-based mechanism and non-market-based approaches under decision 1/CP.18) would be finalized in 2020 and that agenda items on these matters would not be needed in the biennium 2020–2021. As these items remain open for consideration by Parties in the biennium, they are funded through the Trust Fund for the Clean Development Mechanism. It is currently estimated that the final decisions with respect to Article 6 of the Paris Agreement are likely to make 14 additional posts necessary. These posts will remain vacant until final CMA decisions on these matters have been adopted.

### 3. Means of Implementation division

Table 10

2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Means of Implementation division

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement on climate finance, technology transfer and development, and capacity-building <b>ER101-003-1</b> Parties' consideration of the progress of established mechanisms, processes, bodies and goals related to climate finance is facilitated	<b>Core</b> 16 expected/recurring agenda items supported through the preparation of documents, reports and governing body decisions/conclusions: <ul style="list-style-type: none"> <li>• Long-term climate finance and biennial communications on Article 9, paragraph 5, of the Paris Agreement (two COP/CMA sessions)</li> <li>• Report of the SCF (two COP/CMA sessions)</li> <li>• Setting a new collective quantified goal on finance (two CMA sessions)</li> <li>• Report of the Adaptation Fund Board (two CMP/CMA sessions)</li> <li>• Fourth review of the Adaptation Fund (two sessions of the subsidiary bodies/two CMP sessions)</li> <li>• Membership of the Adaptation Fund Board (one session of the subsidiary bodies/one CMP session)</li> <li>• Seventh review of the Financial Mechanism (two COP sessions)</li> <li>• Initiation of the review of the mandates of the SCF (one COP/CMA session)</li> </ul> <b>Core and the CDM</b> Guidance to the GCF (two COP/CMA sessions) Guidance to the GEF, including the Least Developed Countries Fund and Special Climate Change Fund (two COP/CMA sessions)	<b>Core</b> Informal consultations with 10 negotiating groups on climate finance agenda items under the COP and the CMA organized under the auspices of the Presidency of COP 25 and the incoming Presidency of COP 26 on issues relating to long-term finance, setting a new collective goal, reviews of the financial mechanism and Adaptation Fund Supported the Chairs of the SBI and the SBSTA in their consultations with Parties on matters related to transparency of climate finance and the Adaptation Fund  <b>Core and the CDM</b> Informal consultations on guidance to the GCF and the GEF organized as part of the support provided for informal consultations on climate finance agenda items under the COP and the CMA convened under the auspices of the Presidency of COP 25 and the incoming Presidency of COP 26	Percentage of presiding officers expressing satisfaction with the level of support provided Baseline: NA Target: 100 per cent Actual: 100 per cent

Objective and expected result	Outputs planned for the biennium 2020–2021 per funding source	Outputs delivered in the reporting period per funding source	Performance indicator and performance data
<b>ER101-003-2</b> Parties' consideration of the progress of established mechanisms and processes related to technology is facilitated	<p><b>Core</b></p> <p>11 expected/recurring agenda items supported through the preparation of documents, reports and governing body decisions/conclusions:</p> <ul style="list-style-type: none"> <li>• Joint annual report of the TEC and the CTCN (two COP/CMA sessions and two sessions of the subsidiary bodies)</li> <li>• Linkages between the Technology Mechanism and the Financial Mechanism (one COP session)</li> <li>• Independent review of the CTCN (one COP session)</li> <li>• Periodic assessment of the Technology Mechanism (one CMA session and two sessions of the subsidiary bodies)</li> <li>• Review of the CTCN Advisory Board constitution (one COP session)</li> <li>• Poznan strategic programme on technology transfer (two sessions of the subsidiary bodies)</li> <li>• Synthesis report on technology needs (one session of the subsidiary bodies)</li> </ul> <p><b>Supplementary</b></p> <p>Official documents to support the independent review of the CTCN and the periodic assessment of the Technology Mechanism</p>	<p><b>Core</b></p> <p>Provided support to the Chairs of the SBI and the SBSTA on technology development and transfer matters during the June Momentum and the Climate Dialogues</p> <p><b>Supplementary</b></p> <p>Prepared and published an official document on options for aligning processes pertaining to the independent review of the CTCN and the periodic assessment of the Technology Mechanism</p>	<p>Percentage of presiding officers expressing satisfaction with the level of support provided</p> <p>Baseline: NA</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>
<b>ER101-003-3</b> Parties' consideration of the progress of established processes and bodies related to capacity-building is facilitated	<p><b>Core</b></p> <p>Eight expected/recurring agenda items supported through the preparation of documents, reports and governing body decisions/conclusions:</p> <ul style="list-style-type: none"> <li>• Reviews of the capacity-building frameworks under the Convention and the Kyoto Protocol for developing</li> </ul>	<p><b>Core</b></p> <p>Provided support to the SBI Chair on capacity-building matters during the June Momentum and the Climate Dialogues</p> <p>Prepared and published the following official documents:</p>	<p>Percentage of presiding officers expressing satisfaction with the level of support provided</p> <p>Baseline: NA</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>countries and economies in transition (two SBI/COP/CMP sessions)</p> <ul style="list-style-type: none"> <li>• Annual technical progress report of the PCCB (two SBI/COP sessions)</li> </ul> <p>Regular monitoring of the capacity-building framework under the Convention and the Kyoto Protocol (four SBI/COP/CMP sessions)</p>	<ul style="list-style-type: none"> <li>• Synthesis report on the implementation of the framework for capacity-building in developing countries</li> <li>• Compilation and synthesis report on, and an addendum to, the capacity-building work of bodies established under the Convention and its Kyoto Protocol</li> <li>• Compilation of capacity-building activities undertaken by bodies established under the Convention and its Kyoto Protocol</li> <li>• Report on the 9<sup>th</sup> Durban Forum on capacity-building</li> </ul>	
<p><b>Objective 1b: established processes</b></p> <p>Support the implementation of established intergovernmental processes in relation to climate finance, technology transfer and capacity-building</p> <p><b>ER102-003-1</b></p> <p>The operation of mandated activities and processes related to climate finance goals under the Convention and the Paris Agreement is facilitated</p>	<p><b>Core and the CDM</b></p> <p>Organization of a high-level ministerial dialogue on climate finance, including a summary report</p> <p>In-session workshop on long-term climate finance, biennial in-session workshop on Article 9, paragraph 5, of the Paris Agreement, and summary reports thereon</p> <p>Compilation and synthesis of biennial submissions on updated strategies and approaches for mobilizing scaled-up climate finance from 2014 to 2020 and biennial communications from 2021 onward</p> <p>Scoping of the fourth BA (2020)</p> <p>Scoping of the first report on the determination of needs of developing countries (2020)</p> <p>Compilation and synthesis of information on financial support contained in NCs and BR common tabular format tables 7, 7(a) and 7(b)</p> <p>Data sets for BA and the report on the determination of needs of developing countries</p>	<p><b>Core and the CDM</b></p> <p>First part of the in-session workshop on long-term climate finance held virtually in November 2020</p> <p>Scoping of the fourth BA (2020) and the first report on the determination of needs of developing countries (2020) completed. Work on the respective data sets is ongoing</p> <p>Compilation and synthesis of information on financial support contained in NCs and BR common tabular format tables 7, 7(a) and 7(b) completed</p>	<p>Proportion of mandated outputs delivered and delivered on time</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p> <p>In-session workshops on long-term climate finance and Article 9, paragraph 5, and high-level ministerial dialogues</p> <p>Baseline: two</p> <p>Target: two</p> <p>Actual: first part of the in-session workshop on long-term climate finance held virtually</p> <p>Outputs and activities on the fourth BA and the report on the determination of needs of developing countries delivered and delivered on time</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 75 per cent</p>

Objective and expected result	Outputs planned for the biennium 2020–2021 per funding source	Outputs delivered in the reporting period per funding source	Performance indicator and performance data
<b>ER102-003-2</b>	<p><b>Supplementary</b></p> <p>Comprehensive technical support for the preparation and delivery of the fourth BA:</p> <ul style="list-style-type: none"> <li>• Two BA technical meetings</li> <li>• Mapping of information relevant to Article 2, paragraph 1(c), of the Paris Agreement</li> <li>• Web-based aggregate-level data on climate finance flows and related outreach products</li> </ul> <p>Comprehensive technical support for the preparation and delivery of the first report on the determination of needs of developing countries:</p> <ul style="list-style-type: none"> <li>• Two technical meetings</li> <li>• Methodological issues</li> <li>• Web-based information and related outreach products</li> </ul>	<p><b>Supplementary</b></p> <p>Draft technical reports on the fourth BA completed</p> <p>Two virtual technical meetings organized in conjunction with the 22<sup>nd</sup> and 23<sup>rd</sup> SCF meetings, supplemented with three BA webinars</p> <p>Draft technical reports of the first report on the determination of needs of developing countries completed</p> <p>Two virtual technical meetings organized in conjunction with the 22<sup>nd</sup> and 23<sup>rd</sup> SCF meetings, supplemented with three regional webinars on the first report on the determination of needs of developing countries completed</p>	<p>Number of developing countries supported in preparing and implementing their technology needs assessments through regional trainings and workshops</p> <p>Baseline: 22</p> <p>Target: 22</p> <p>Actual: 22</p>
<b>ER102-003-3</b>	<p><b>Core</b></p> <p>Compilation and synthesis report on technology needs assessment</p> <p>Collaboration in the implementation of the global technology needs assessment project</p>	<p><b>Core</b></p> <p>Prepared and published a report on the fourth synthesis of technology needs identified by Parties not included in Annex I to the Convention</p> <p>Organized three regional webinars on technology needs assessments in collaboration with the UNEP DTU Partnership and one virtual event on technology needs assessments during the Climate Dialogues</p>	<p>Durban Forum on capacity-building meetings</p> <p>Baseline: two</p> <p>Target: two</p> <p>Actual: one</p>



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 2: constituted bodies</b> Enable the SCF, the TEC and the PCCB to fulfil their mandates <b>ER200-003-1</b> Constituted bodies are enabled to meet and perform their assigned functions through substantive and organizational support, and process-related advice	<b>Core</b> Regular meetings of the constituted bodies; meeting agenda and outcomes made available for each: <ul style="list-style-type: none"> <li>• Three for the SCF</li> <li>• Three for the TEC</li> <li>• Two for the PCCB</li> </ul> <b>Supplementary</b> Regular meetings of the constituted bodies; meeting agenda and outcomes made available for each: <ul style="list-style-type: none"> <li>• One for the SCF</li> <li>• One for the TEC</li> </ul>	<b>Core</b> Two SCF meetings held virtually, including preparation of meeting agenda, background papers and meeting reports. Two additional informal meetings organized virtually. Two TEC meetings held virtually, including preparation of meeting agenda, background papers and meeting reports One meeting of the PCCB held virtually, including preparation of meeting agenda, background papers and meeting report  <b>Supplementary</b> Planned for 2021	Number of meetings of bodies Baseline: minimum number of mandated meetings Target: minimum number of mandated meetings Actual: 100 per cent Agenda and documentation of meeting outcomes made available in accordance with the rules of procedure of the bodies Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent
<b>ER200-003-2</b> Constituted bodies are provided with quality support in the delivery and implementation of their mandates and work programmes	<b>Core</b> Six annual reports of the constituted bodies Two sets of annual TEC key messages and recommendations to the COP Two SCF Forums and summary reports thereon Reports/documents/technical papers/draft guidance as per work programmes, including: <ul style="list-style-type: none"> <li>• Four TEC policy briefs/technical papers/knowledge products</li> <li>• Concrete areas of collaboration established by the PCCB with other constituted bodies to enhance coherence and coordination</li> <li>• Technical papers/knowledge products and joint events by the PCCB related to</li> </ul>	<b>Core</b> One annual report of the SCF on activities undertaken in 2020 to the COP and the CMA One annual report of the TEC on performance and activities undertaken in 2020 to the COP and the CMA One set of TEC key messages and recommendations for 2020 to the COP and the CMA Annual report of the Adaptation Fund Board on activities undertaken in 2020 to the CMP and the CMA Annual report of the GEF on activities undertaken in 2020 to the COP and the CMA	Implementation status of activities under the work programmes Baseline: number of planned activities Target: 100 per cent implementation of planned activities Actual: 100 per cent implementation of planned activities Draft guidance to the operating entities, including the processing of reports by the operating entities to the COP Baseline: 12 Target: 12 Actual: 2 SCF Forums, including summary reports Baseline: two

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>the identification of capacity-building needs and gaps and collaboration with non-Party stakeholders</p> <ul style="list-style-type: none"> <li>• Two annual Capacity-building Hubs at COP sessions</li> </ul>	<p>One annual technical progress report of the PCCB on activities undertaken in 2020 to the COP and the CMA</p> <p>The Capacity-building Hub could not take place in 2020 owing to the postponement of COP 26</p> <p>The PCCB established an informal coordination group comprised of representatives of other constituted bodies and the operating entities of the Financial Mechanism to promote coherence and coordination of capacity-building activities</p> <p>In the context of the PCCB Network, five webinars were organized, comprising one on capacity-building for recovering better together and a series of four webinars on maintaining momentum on capacity-building for climate action</p> <p>The 2020 SCF Forum was postponed owing to the COVID-19 pandemic. Informal consultations with stakeholders on the programme of the Forum were organized in a virtual format</p> <p>Two TEC policy briefs on coastal zone technologies and enhancing implementation of technology needs assessments and one publication on uptake of existing technologies</p>	<p>Target: two</p> <p>Actual: NA</p> <p>Annual Capacity-building Hubs</p> <p>Baseline: two</p> <p>Target: two</p> <p>Actual: zero</p>
	<p><b>Supplementary</b></p> <p>Two TEC policy briefs/technical papers/knowledge products</p> <p>One TEC workshop and two thematic dialogues</p> <p>Targeted outreach activities to foster exchange on capacity-building at the national and regional level</p>	<p><b>Supplementary</b></p> <p>Four regional technical expert meetings on climate-smart cooling solutions for sustainable buildings held virtually in 2020</p> <p>One TEC event innovative approaches for climate adaptation technologies at the Global Sustainable Technology and Innovation Community conference organized virtually in 2020</p>	

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 3: data and information management</b> Manage a trusted repository of climate finance, technology and capacity-building data and information <b>ER300-003-1</b> Parties and the public are provided with authoritative, up-to-date and readily accessible information pertaining to climate finance, technology transfer and capacity-building	<b>Core</b> Dedicated online portal for posting and recording the biennial communications on Article 9, paragraph 5, of the Paris Agreement <b>Supplementary</b> Comprehensive climate finance portals/websites Up-to-date information platform on technology-related matters (on TT:CLEAR) A functioning web-based capacity-building portal and social media tools allowing a dynamic and constant exchange between Party and non-Party stakeholders dealing with capacity-building	<b>Core<sup>a</sup></b> Online portal for posting biennial communications on Article 9, paragraph 5, of the Paris Agreement developed and made available Climate finance online information resources have been updated to include national/regional needs assessments. Information repository of the SCF has also been updated Maintained and updated information on TT:CLEAR, including all meetings, events, reports and TEC publications Information on capacity-building was regularly disseminated through the capacity-building portal, web pages on the UNFCCC website and social media tools, including materials for all virtual events organized during the reporting period	Online portal for biennial communications on Article 9, paragraph 5, updated regularly Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent Proportion of online data in the modules in the climate finance data portal Baseline: 100 per cent Target: 100 per cent Actual: 25 per cent Proportion of data and information that are posted online and regularly updated on TT:CLEAR Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent Proportion of data and information that are posted online and regularly updated on the capacity-building portal and web pages on the UNFCCC website dedicated to capacity-building Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent
<b>Objective 4: enhance engagement</b> Facilitate engagement on means of implementation to promote action towards the achievement of the objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement	<b>Core</b> Engagement with the GEF, the GCF, the Adaptation Fund, the CTCN and the UNEP/UNIDO consortium as host of the Climate Technology Centre and capacity-building institutions	<b>Core</b> Convened joint UNFCCC–GEF virtual retreat. Five virtual meetings organized between senior officials and technical staff of the UNFCCC and GCF secretariats. The UNFCCC was represented in six meetings of the GEF Council and the GCF Board	Regular engagement and effective collaboration with the GEF, the GCF, the Adaptation Fund, the CTCN and the UNEP/UNIDO consortium as host of the Climate Technology Centre and stakeholders supporting capacity-building activities

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>ER400-003-1</b> Engagement in climate finance-, technology- and capacity-building related activities is enabled, enhanced and recognized		<p>Continuous engagement and collaboration with the CTCN in developing joint activities between the TEC and the CTCN, gender-related work, and monitoring and evaluation of the activities of the TEC and the CTCN; collaboration with the UNEP/UNIDO consortium as host of the Climate Technology Centre</p> <p>Organized the TEC Technology Day in collaboration with FAO and the Global Alliance on Climate-Smart Agriculture in conjunction with the Climate Dialogues</p> <p>Published a joint bulletin on adaptation and capacity-building in collaboration with the Adaptation Fund and the CTCN</p> <p>Engagement with the GEF, the GCF, the Adaptation Fund and the CTCN in the activities of the PCCB pertaining to enhancing coherence and coordination of capacity-building actions among stakeholders within and outside the UNFCCC process</p> <p>Organized capacity-building events during the Climate Dialogues with the participation of institutions supporting capacity-building activities in the context of the work of the PCCB and on monitoring and evaluation of capacity-building</p>	<p>The total number of UNFCCC climate finance-, technology- and capacity-building related activities and processes benefiting from stakeholder engagement</p> <p>Baseline: NA</p> <p>Target: 20</p> <p>Actual: 24</p> <p>Number of fellows from developing countries supporting the activities of the secretariat</p> <p>Baseline: NA</p> <p>Target: 15</p> <p>Actual: 8</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>ER400-003-2</b>  Policymakers are supported in understanding and aligning their actions pertaining to climate finance, technology transfer and capacity-building with established objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement	<b>Supplementary and the CDM</b>  Collaboration and engagement with relevant organizations and stakeholders on the implementation of the workplans of the SCF, the TEC and the PCCB, and on matters related to finance, technology and capacity-building	<b>Supplementary and the CDM</b>  Organized TEC events during the June Momentum and the Climate Dialogues and an event in July 2020 to launch three publications (two TEC policy briefs on coastal zone technologies and enhancing implementation of technology needs assessments and one publication on uptake of existing technologies) and promote the work of the TEC to a broad range of stakeholders and a diverse audience  Launched and operationalized the PCCB Network, which reached over 200 members from a diverse range of organizations	
	<b>Supplementary</b>  Fellows from developing countries are supporting the activities of the secretariat	<b>Supplementary</b>  Owing to the COVID-19 pandemic, no new fellows could be recruited, but the current eight fellows actively contributed to the work of several secretariat divisions	
	<b>Core</b>  Outreach materials, including speeches, infographics, brochures, videos and presentations for all processes and bodies related to the means of implementation	<b>Core</b>  49 UNFCCC Newsroom articles  Social media posts (in English, Spanish and French) in relation to UNFCCC Newsroom articles were produced on channels with more than 2 million followers	Number of outreach materials  Baseline: NA  Target: 8 per process and body  Actual: 49 per process and body  Number of activities to support developing country climate finance strategies  Baseline: NA  Target: 10  Actual: 15  Number of concrete activities undertaken in collaboration with multilateral development banks, bilateral and United Nations agencies, and the NDC Partnership  Baseline: NA  Target: 5
	<b>Supplementary and the CDM</b>  Facilitated actions through collaboration with relevant stakeholders to support developing countries in their efforts to develop climate finance strategies, including through high-level partner dialogues	<b>Supplementary and the CDM</b>  Produced regional and subregional technical assessments of climate finance and climate finance mobilization and access strategies  Supported the activities established under the collaboration agreement between the UNFCCC and the NDC Partnership	
	Effective collaboration between the secretariat and multilateral and bilateral		

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	agencies, international financial institutions, United Nations agencies and the NDC Partnership to facilitate implementation of national-level climate action  Enhanced outreach materials, including social media use, on matters related to finance, technology and capacity-building	Produced nine flyers for TEC events, one video for Technology Day and three promotional/social media videos, articles and social media posts related to TEC and technology on the UNFCCC website, Twitter and LinkedIn  Produced several outreach materials on capacity-building, including a series of five videos on capacity-building stories, four issues of the PCCB Network newsletter, and multiple Facebook and YouTube posts on PCCB activities and flyers for the 9 <sup>th</sup> Durban Forum	Actual: 20

<sup>a</sup> Delivery of outputs under ER300-001 was planned under supplementary however delivered under core resources.

Table 11  
2020–2021 resource requirements for the Means of Implementation division

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	6 037 200	2 552 841	42
Supplementary <sup>a</sup>	5 103 070	1 771 068	35
CDM-MAP	303 360	167 049	55
<b>Total resources</b>	<b>11 443 630</b>	<b>4 490 958</b>	<b>39</b>

<sup>a</sup> Supplementary budget does not translate to funding available for spending.

Table 12  
2020–2021 post requirements for the Means of Implementation division

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>22</b>	<b>19</b>	<b>86</b>
Professional level staff and above	16	13	81
General Service level staff	6	6	100
<b>Supplementary</b>	<b>9</b>	<b>3</b>	<b>33</b>

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>CDM-MAP</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Total</b>	<b>32</b>	<b>23</b>	<b>72</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

#### 4. Transparency division

Table 13

#### 2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Transparency division

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement with respect to the consideration of the outputs of the established MRV system, the updating of the established MRV system and the development of the ETF <b>ER101-004-1</b> Parties' consideration of the progress of established processes and bodies related to MRV and transparency is facilitated	<b>Core</b> 18 expected/recurring agenda items supported through the preparation of documents, reports and governing body decisions/conclusions: <ul style="list-style-type: none"> <li>• Methodological issues under the Convention, the Kyoto Protocol and the Paris Agreement under the existing MRV system and the new ETF, such as GHG inventories, GHG data interface, training of review experts, international consultation and analysis, international assessment and review, MA and FSV</li> <li>• Koronivia joint work on agriculture</li> <li>• IPCC guidelines and common metrics</li> <li>• Provision of financial and technical support to developing country Parties</li> </ul>	<b>Core</b> The sessions of the subsidiary bodies, the COP, the CMP and the CMA were postponed in 2020 owing to the COVID-19 pandemic. However, substantive support was provided on all items considered during the June Momentum and the Climate Dialogues, including two mandated workshops on the Koronivia joint work on agriculture scheduled for SB 52	Percentage of presiding officers expressing satisfaction with the level of support provided Baseline: NA Target: 100 per cent Actual: 100 per cent
<b>ER101-004-2</b> Parties' further development of the technical aspects of the MPGs for the ETF, including training of experts, is facilitated	<b>Supplementary</b> Five expected/recurring agenda items under the MPGs for the ETF, supported through the preparation of documents, reports and governing body decisions/conclusions. Arrangements are made for organizing the negotiation sessions under the subsidiary bodies and technical working sessions such as workshops	<b>Supplementary</b> The sessions of the subsidiary bodies, the COP, the CMP and the CMA were postponed in 2020 owing to the COVID-19 pandemic. However, substantive support was provided on all items considered during the June Momentum and the Climate Dialogues, including two information events and three informal	Percentage of presiding officers expressing satisfaction with the level of support provided Baseline: NA Target: 100 per cent Actual: 100 per cent Number of workshops organized, and number of experts participating in training and consultation

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	Regional workshops and training on navigating the transition from the current MRV system to the ETF, and expert consultations on operationalizing the ETF	dialogues on the technical aspects of the MPGs for the ETF  In-person regional workshops and training were postponed owing to the COVID-19 pandemic. Virtual meetings (webinars and town hall events) were organized instead	Baseline: NA  Target: three workshops and 200 experts, if supplementary funding is available  Actual: 25 webinars and town hall meetings
<b>Objective 1b: established processes</b>  Support the established intergovernmental processes with respect to an MRV system under the Convention and the Kyoto Protocol and the ETF under the Paris Agreement  <b>ER102-004-1</b>  The operation of the established intergovernmental MRV system (GHG inventory review, international assessment and review and MA, international consultation and analysis and FSV, and REDD+ technical assessments) continues to function effectively and efficiently	<b>Core</b>  Technical analysis of BURs, including FSV; reviews of NCs/BRs, including MA summary reports; reviews of GHG inventories; and REDD+ technical assessments and analysis  44 BR4 review reports and 43 <sup>a</sup> MA summary reports (100 per cent)  30 BUR technical analysis summary reports and 30 FSV records (50 per cent)  13 reports on the technical assessment of REDD+ reference levels and technical analysis of REDD+ results (50 per cent)  44 for each of the status, assessment and review reports for GHG inventory reviews (50 per cent)  Compilation and synthesis report on the information contained in the BR4s submitted by developed country Parties  <b>Supplementary</b>  30 BUR technical analysis summary reports and 30 FSV records (50 per cent)  13 reports on the technical assessment of REDD+ reference levels and technical analysis of REDD+ results (50 per cent)  44 for each of the status, assessment and review reports for GHG inventory reviews (50 per cent)	<b>Core</b>  Organized virtual technical analysis of BURs, including FSV session; virtual reviews of NCs/BRs, including MA session; virtual reviews of GHG inventories; and virtual REDD+ technical assessments and analysis  Prepared and published the compilation and synthesis report on the information contained in the BR4s submitted by developed country Parties and prepared visual outreach products  <b>Supplementary</b>  Organized virtual technical analysis of BURs, including FSV session; virtual reviews of NCs/BRs, including MA session; virtual reviews of GHG inventories; and virtual REDD+ technical assessments and analysis  Updated BUR analysis practice guidance and technical expert guidance	Number of NC/BR review reports and number of MA summary reports made available  Baseline: 44 BR4 review reports and 43 MA summary reports  Target: 44 BR4 review reports and 43 MA summary reports  Actual: 30 BR4 review reports and 10 MA summary reports (in preparation)  Number of BURs and number of FSV records made available  Baseline: 40 BUR technical analysis summary reports and 40 FSV records  Target: 60 BUR technical analysis summary reports and 60 FSV records, if supplementary funding is available for all  Actual: 21 BUR technical analysis summary reports and 17 FSV records (in preparation)  Number of REDD+ technical assessment and technical analysis reports made available  Baseline: 26 reports  Target: 26 reports, if supplementary funding is available for all  Actual: 5 reports published, 15 REDD+ assessment and technical analysis reports (in preparation)



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>Sets of guidelines, reference materials and tools prepared in order to support the GHG inventory review process; BR4 reviews; BUR technical analyses; REDD+ technical assessment/analysis</p> <p>One update of BR review officer guidance and preparation of analytical review materials</p> <p>One update of BUR analysis practice guidance and technical expert guidance</p> <p>One update of GHG inventory review handbook and review officer guidance</p> <p>One intersessional workshop under the Koronivia joint work on agriculture</p>	<p>Automated the stepwise guide for conducting the BUR analysis through the online platform</p>	<p>Number of GHG inventory status, assessment and review reports made available</p> <p>Baseline: 44 for each of the three sets of reports</p> <p>Target: 88 for each of the three sets of reports, if supplementary funding is available for all</p> <p>Actual: 25 GHG inventory review reports (in preparation); 26 GHG inventory status reports and 25 GHG inventory assessment reports published</p> <p>Compilation and synthesis report on the information contained in BR4s</p> <p>Baseline: one report</p> <p>Target: one report</p> <p>Actual: one report</p>
<p><b>ER102-004-2</b></p> <p>The operation of the established intergovernmental processes with respect to training reviewers under the existing MRV and for the new ETF continues to function effectively and efficiently</p>	<p><b>Core</b></p> <p>Quality and coherence across all UNFCCC reviewer training programmes</p> <p><b>Supplementary</b></p> <p>New experts are trained to meet the increasing demand of the existing MRV process and prepare for the transition to the ETF (50 experts for GHG reviews, 50 experts for NC/BR reviews and 75 experts for BUR technical analyses)</p> <p>Existing training modules for MRV are updated; new training programmes for the ETF are developed and implemented</p>	<p><b>Core</b></p> <p>Continued to organize the UNFCCC reviewer training programmes and certify new experts</p> <p><b>Supplementary</b></p> <p>Provided e-learning training courses with examinations for experts conducting BUR technical analyses, NC/BR reviews and GHG reviews</p> <p>Updated e-learning training course examinations for experts conducting BUR technical analyses</p>	<p>Number of new experts who successfully complete the training requirements to take part in the review or analysis processes under the Convention, the Kyoto Protocol and the Paris Agreement</p> <p>Baseline: 150</p> <p>Target: 175</p> <p>Actual: 132</p>
<p><b>ER102-004-3</b></p> <p>The initiation of the operation of the ETF is facilitated</p>	<p><b>Supplementary</b></p> <p>The technical needs for developing country Parties participating in the MRV process</p>	<p><b>Supplementary</b></p> <p>Ongoing activities to identify the technical needs for developing country Parties</p>	<p>Arrangements are made to reflect the requirements from the MPGs of the ETF in</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	are identified; the database on implementation of climate action and capacity-building needs is enhanced and updated  Technical and implementation guidance for the transition of Parties from MRV to the ETF is developed	Ongoing development of technical and implementation guidance for the transition of Parties from MRV to the ETF	the new systems, tools and training activities undertaken by the secretariat  Baseline: NA Target: 100 per cent Actual: 100 per cent
<b>ER102-004-4</b>  Constituted bodies are enabled to meet and perform their assigned functions through substantive and organizational support, and process-related advice	<b>Core</b> One BR/NC lead reviewers' meeting One update of BR/NC reviewer practice guidelines One GHG inventory lead reviewers' meeting One update of GHG inventory reviewer practice guidelines <b>Supplementary</b> One BR/NC lead reviewers' meeting One GHG inventory lead reviewers' meeting	<b>Core</b> Organized one BR/NC lead reviewers' meeting and one GHG inventory lead reviewers' meeting (held virtually) Updated BR/NC reviewer practice guidelines and GHG inventory reviewer practice guidelines <b>Supplementary</b> To be organized in 2021	Organization of the annual meeting of BR/NC lead reviewers and the annual meeting of lead reviewers of GHG inventories, including preparatory materials, analytical papers, conclusions and recommendations  Baseline: four meetings Target: minimum two meetings; four meetings, if supplementary funding is available Actual: two virtual meetings
<b>Objective 2: constituted bodies</b>  Enable the CGE to fulfil its mandate in assisting developing country Parties to implement MRV arrangements and the new ETF and enable other constituted bodies and expert groups to engage in MRV matters to fulfil their mandates	<b>Core</b> Three regular CGE meetings; meeting agenda and outcomes made available for each  <b>Supplementary</b> One regular CGE meeting; meeting agenda and outcomes made available	<b>Core</b> Organized six CGE meetings (held virtually; meeting agenda and outcomes made available for each)  <b>Supplementary</b> NA (all CGE meetings in 2020 were organized virtually without using supplementary funding)	Agenda and documentation of meeting outcomes made available in accordance with the rules of procedure of the bodies  Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent
<b>ER200-004-1</b>  Constituted bodies are enabled to meet and perform their assigned functions through substantive and organizational support, and process-related advice			
<b>ER200-004-2</b>	<b>Core</b> Two annual reports of the CGE	<b>Core</b>	Implementation status of activities under the work programmes

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
Constituted bodies are provided with quality support in the delivery and implementation of their mandates and work programmes	<p>Reports/documents/technical papers as per the work programmes of the CGE and the Compliance Committee under the Kyoto Protocol</p> <p><b>Supplementary</b></p> <p>Technical guidance materials/tools on the application of MPGs for the ETF</p> <p>A database on the technical needs of developing country Parties to participate in the existing MRV system and the ETF</p> <p>Three regional workshops on the preparation of NCs/BURs</p> <p>Four regional workshops on the MPGs for the ETF</p> <p>Six informal pilot forums of the CGE on the margins of regional climate weeks</p> <p>Updated online CGE e-learning programme and e-network</p>	<p>Published one annual report of the CGE and additional technical papers</p> <p><b>Supplementary</b></p> <p>Published four videos explaining different aspects of the ETF</p> <p>Organized seven virtual workshops on the existing MRV system and the ETF</p> <p>No regional climate weeks were organized owing to the COVID-19 pandemic. Only one informal pilot forum was organized as part of the Climate Dialogues</p> <p>313 participants registered for the CGE e-learning programme</p>	<p>Baseline: number of planned activities</p> <p>Target: 100 per cent implementation of planned activities</p> <p>Actual: 100 per cent implementation of planned activities</p>
<b>ER200-004-3</b>	<b>Supplementary</b>	<b>Supplementary</b>	
Developing country Parties are provided with support and training, as appropriate, to facilitate their implementation of agreed UNFCCC provisions under the existing MRV system and the new ETF	<p>Six regional training sessions and 12 webinars on the existing MRV system, the new ETF and the application of information to facilitate clarity, transparency and understanding of NDCs</p> <p>Six workshops on building sustainable national GHG inventory management systems and the use of the <i>2006 IPCC Guidelines for National Greenhouse Gas Inventories</i></p> <p>40 quality assurance activities of the GHG inventory management systems of developing country Parties</p> <p>Three sets of updated training materials on GHG inventories and an online training programme on themes covered by NCs,</p>	<p>Conducted 18 trainings on information to facilitate clarity, transparency and understanding and tracking progress of NDCs</p> <p>Organized one in-country training for national experts of Bhutan on reporting policy impacts of mitigation in BURs and NCs</p> <p>Organized six regional webinars: three on transitioning between the <i>Revised 1996 IPCC Guidelines for National Greenhouse Gas Inventories</i> and the 2006 IPCC Guidelines, and three on synergies at the national level in data collection for reporting under different multilateral environmental agreements</p>	<p>Number of national experts from developing country Parties trained on MRV and the ETF</p> <p>Baseline: not available</p> <p>Target: 300 experts</p> <p>Actual: 770 experts (90 countries)</p> <p>Number of sets of new training materials developed on MRV and the ETF</p> <p>Baseline: not available</p> <p>Target: at least two sets of new training materials</p> <p>Actual: two sets of new training materials published</p> <p>Number of professional short-term training courses</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>BURs and biennial transparency reports, as well as on institutional arrangements necessary to support MRV and the ETF</p> <p>Pilot projects to assist selected developing countries in enhancing their institutional arrangements to support ETF activities</p> <p>Three professional short-term training courses on transparency of climate action and support</p> <p>Compendium of technical guides on establishing GHG emission baselines and assessing the impacts of mitigation actions</p>	<p>Organized five workshops on the quality assurance of national GHG inventories for developing country Parties, attended by 218 experts</p> <p>Enrolled 754 and certified 311 experts on the use of the 2006 IPCC Guidelines in the first round of training. Enrolled 317 experts in the second round of training to be organized in 2021</p> <p>Developed four sets of additional targeted training materials on national GHG inventories, delivered during 11 webinars</p> <p>Developed and published two sets of technical guidance: one on transitioning to the ETF and one on institutional arrangements to support ETF activities</p> <p>Finalized two technical guides on establishing GHG emission baselines and assessing the impacts of mitigation actions for the building and transport sectors</p>	<p>Baseline: one</p> <p>Target: three</p> <p>Actual: ongoing owing to the COVID-19 pandemic</p> <p>Number of compendiums of technical guides</p> <p>Baseline: two</p> <p>Target: four</p> <p>Actual: two</p>
<p><b>Objective 3: data and information management</b></p> <p>Maintain the transparency data hub as the authoritative and trusted repository of data and information in relation to the existing MRV and the new ETF in supporting climate change action by Parties and other stakeholders</p> <p><b>ER300-004-1</b></p> <p>Parties and the public are provided with up-to-date and readily accessible information on MRV, transparency and REDD+, including the UNFCCC data warehouse</p>	<p><b>Core</b></p> <p>One web platform displaying the latest information on MRV, transparency and REDD+</p> <p>Around 230 expected documents submitted officially by Parties under the current MRV system provided on the UNFCCC website and portals</p> <p>Published reports, such as the NDC synthesis report, the COP annual summary report with trends in GHG emissions by sources and removals by sinks (GHG data report) and detailed data reports on GHG information and accounting units under the Kyoto Protocol</p>	<p><b>Core</b></p> <p>Maintained the web platform displaying the latest information on MRV, transparency and REDD+</p> <p>Managed the receipt, processing, archiving and publication of all documents submitted officially by Parties under the current MRV system</p> <p>Published one GHG information report, one GHG data report and one compilation and accounting report</p> <p>Provided developing countries with access to CRF Reporter, conducted four webinars on CRF Reporter and updated the information on the UNFCCC GHG data</p>	<p>Updated information on the UNFCCC portal and other official media channels on MRV, transparency and REDD+</p> <p>Baseline: not available</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p> <p>Number of submissions (BRs, BURs, GHG inventories, REDD+) processed and made available on the UNFCCC website within three days of receipt</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 98 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	Operational and up-to-date data warehouse linked with reporting tools such as the CRF Reporter software and the submission portal Inputs to the United Nations data portal	interface based on the latest submissions from Parties	Number of mandated reports published in accordance with established deadlines Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent Updated information on the UNFCCC GHG data interface Baseline: six releases (three per year) Target: six releases Actual: three releases Input provided to the United Nations data portal Baseline: one per year Target: one per year Actual: one
<b>ER300-004-2</b> All systems, applications and tools used across the reporting, review, analysis and multilateral consideration processes are maintained and enhanced	<b>Core</b> 24 systems, applications, tools and portals used across the existing MRV system are maintained, including the NDC registry and NAMA registry  <b>Supplementary</b> 24 systems, applications, tools and portals used across the existing MRV system are enhanced or updated as required, including the NDC registry and NAMA registry The data warehouse is enhanced to allow for recording of data provided by all Parties Two to three new reporting tools required to support the ETF are scoped and designed	<b>Core</b> Provided continuous maintenance, operability and access to experts, Parties and public to all systems, applications and tools related to MRV and transparency, including the NDC registry  <b>Supplementary</b> Several tools used for communication, reporting and review enhanced, for example CRF Reporter, the Statistical Outlier Detection Tool, the virtual team room for GHG reviews and the virtual team room for BUR technical analyses  Initiated the scoping and design of new reporting tools required to support the ETF	Number of systems, applications, tools and portals continuously available to users Baseline: 24 Target: 24 systems, applications, tools and portals (including the NDC registry and NAMA registry) Two to three new reporting tools under the new ETF to be initiated Actual: 24 systems, applications, tools and portals (including the NDC registry and NAMA registry). The scoping and design of the new reporting tools to support the ETF have been initiated

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>The REDD+ web platform is maintained and updated, as required</p> <p>Two workshops are organized on developing the new reporting tools under the ETF</p>		
<p><b>Objective 4: enhance engagement</b></p> <p>Facilitate participation to promote action by strengthening collaboration, coordination and partnerships with other organizations working on MRV and ETF matters</p> <p><b>ER400-004-1</b></p> <p>Parties are provided with support and training to facilitate their implementation of agreed MRV and transparency provisions</p>	<p><b>Supplementary</b></p> <p>Collaboration and partnership activities with United Nations and other intergovernmental organizations working on MRV, ETF and data matters; training</p> <p>Four meetings of the MRV/Transparency Group of Friends</p> <p>Four regional MRV/transparency networks for South–South cooperation</p>	<p><b>Supplementary</b></p> <p>Continued collaboration with United Nations and other intergovernmental organizations working on MRV, ETF and data matters, including one technical training workshop for 14 developing countries from the Africa region in collaboration with the German Agency for International Cooperation</p> <p>Organized one virtual meeting of the MRV/Transparency Group of Friends and the four MRV/transparency networks for South–South cooperation</p>	<p>Number of partnerships maintained, meetings attended, presentations made and data sets exchanged</p> <p>Baseline: not available</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p> <p>Number of meetings of the MRV/Transparency Group of Friends and MRV/transparency networks supported</p> <p>Baseline: not available</p> <p>Target: 100 per cent</p> <p>Actual: 50 per cent (only one out of two meetings took place in 2020 owing to the COVID-19 pandemic)</p>

<sup>a</sup> Estimated number of 43 MA summary reports takes into account that Turkey is not subject to MA as per decisions 1/CP.16 and 2/CP.17.

Table 14  
**2020–2021 resource requirements for the Transparency division**

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	12 355 120	5 096 717	41
Supplementary <sup>a</sup>	17 308 078	5 215 295	30
<b>Total resources</b>	<b>29 663 198</b>	<b>10 312 012</b>	<b>35</b>

<sup>a</sup> Supplementary budget does not translate to funding available for spending.

Table 15  
2020–2021 post requirements for the Transparency division

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>45</b>	<b>38.6</b>	<b>86</b>
Professional level staff and above	33	28	85
General Service level staff	12	10.6	88
<b>Supplementary</b>	<b>23</b>	<b>17</b>	<b>74</b>
<b>Total</b>	<b>68</b>	<b>55.6</b>	<b>82</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

## B. Operations department

Table 16  
2020–2021 objectives, planned and delivered outputs, and performance indicators and data for Operations Coordination

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 3: data and information management</b>	<b>Core</b>	<b>Core</b>	Inactive records transferred from divisions
Manage a trusted repository of data and information supported by effective records management	Manage the inactive records centre, facilitate the transfer of inactive records from offices and provide records loan services	Physical records in the inactive records centre, audio-visual recordings of UNFCCC negotiation processes in the digital multimedia repositories and electronic records in the records management system maintained and managed in accordance with the policies and procedures of the UNFCCC	Baseline: 12 transfers in 18 linear metres processed Target: 12 transfers in 18 linear metres processed Actual: 14 transfers in 47 linear metres processed
<b>ER300-011-1</b>	Manage the production and transfer of COP, CMA, CMP and subsidiary body meeting recordings to the digital multimedia repositories, and facilitate access to recordings for both staff and external clients	As there were no sessions of the governing or subsidiary bodies during the reporting period, there were no new meeting recordings produced and transferred to the digital multimedia repositories. Access to existing recordings was facilitated for both staff and external clients	Complete meeting recordings archived in the digital multimedia repositories and in-session audio-visual services facilitated to the subsidiary bodies and the COP
Parties and the public are provided with authoritative, up-to-date and readily accessible information on the UNFCCC process	Manage the electronic records management system and support offices to manage digital records	Electronic records management system managed, and offices supported in managing digital records	Audio-visual access requests fulfilled Baseline: 50 request retrievals Target: 50 request retrievals Actual: 39 request retrievals Electronic records captured in electronic records management system

Objective and expected result	Outputs planned for the biennium 2020–2021 per funding source	Outputs delivered in the reporting period per funding source	Performance indicator and performance data
			Baseline: 3,000 Target: 10,000 Actual: 3,292
	<b>Supplementary, and special contribution from the Host Government</b>  Records of the organization are archived and maintained	<b>Supplementary, and special contribution from the Host Government</b>  Records of the organization were archived and maintained in line with relevant United Nations policies	Number of guided tours facilitated Baseline: 9 Target: 15 Actual: 2 were facilitated before the COVID-19 pandemic, which prevented the organization of further tours
<b>Objective 5: oversight and administration</b>  Effectively manage and administer Operations Coordination to ensure effective coordination, continued operational improvement and effective resource mobilization and partnerships <b>ER500-012-1</b>  Financial resources and partnerships are effectively mobilized in accordance with relevant United Nations regulations and due diligence processes	<b>Core</b>  Secretariat-wide activities to mobilize resources and develop mutually beneficial partnerships  Development and maintenance of strategic engagements with key stakeholders, including within the United Nations system and with Parties, international organizations, civil society, foundations, the private sector, individuals and other stakeholders at the international, regional and national level	<b>Core</b>  Efforts to collect outstanding core contributions were initiated in March 2020. The COVID-19 pandemic caused considerable delays in the receipt of voluntary contributions  Strategic engagements with key stakeholders, including within the United Nations system and with Parties, international organizations, civil society, foundations, the private sector, individuals and other stakeholders at the international, regional and national level were developed and finalized	Number of Party and non-Party donors Baseline: 25 Parties and five non-Parties Target: 35 Parties and 15 non-Parties Actual: 16 Parties and 17 non-Parties Amount of supplementary funding raised from non-Party donors Baseline: USD 2.34 million in 2015–2016 Target: USD 3 million Actual: USD 3.5 million Number of active partnerships Baseline: NA Target: 20 Actual: 24 (plus 23 with United Nations system organizations)
<b>ER500-012-2</b>  The human and financial resources and other assets of Operations Coordination are effectively managed in accordance with relevant United Nations regulations	<b>Programme support costs and the CDM</b>  Administrative support and guidance in internal management, and oversight is provided to ensure Operations Coordination delivers all its mandated activities	<b>Programme support costs and the CDM</b>  Administrative support and guidance in internal management and oversight was provided to the department efficiently and in a timely manner	Expenditure levels compared with the approved budget and available cash Baseline: 99 per cent Target: 99 per cent Actual: 47 per cent



Table 17  
**2020–2021 resource requirements for Operations Coordination**

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	1 177 960	279 728	24
Supplementary <sup>a</sup>	412 680	67 991	16
Special contribution from the Host Government	276 040	122 878	45
Programme support costs	1 143 960	488 333	43
CDM-MAP	144 600	62 904	44
<b>Total resources</b>	<b>3 155 240</b>	<b>1 021 834</b>	<b>32</b>

<sup>a</sup> Supplementary budget does not translate to funding available for spending.

Table 18  
**2020–2021 post requirements for Operations Coordination**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>5</b>	<b>4</b>	<b>80</b>
Professional level staff and above	3	2	67
General Service level staff	2	2	100
<b>Supplementary</b>	<b>2</b>	<b>1</b>	<b>50</b>
<b>Special contribution from the Host Government</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Programme support costs</b>	<b>6</b>	<b>4.5</b>	<b>75</b>
<b>CDM-MAP</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Total</b>	<b>15</b>	<b>11.5</b>	<b>77</b>

<sup>a</sup> Post requirements as per the updated work programme

## 1. Legal Affairs division

Table 19

### 2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Legal Affairs division

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement by providing independent legal and procedural advice and services <b>ER101-007-1</b> Operation of intergovernmental negotiations is facilitated	<b>Core</b> Provision of partial and basic legal, procedural and, where applicable, substantive advice to presiding officers, officers under presiding officers' authority, and Bureau members in respect of the conduct of the negotiation process Provision of accurate information and data in respect of the UNFCCC electoral process  <b>Supplementary</b> Development of a fully automated electoral and membership platform for processing nominations and generating information on nominations and membership Provision of comprehensive legal, procedural and, where applicable, substantive advice to presiding officers, officers under presiding officers'	<b>Core</b> Provided 69 instances of legal advice to the current and incoming Presidencies of the COP, the CMP and the CMA, the Chairs of the subsidiary bodies, members of the Bureau of the COP, the CMP and the CMA, Parties and secretariat programmes on procedural and substantive matters relating to the intergovernmental process, including in relation to the entry into force of the Doha Amendment Provided support and information to the chair of the consultations on elections, to chairs and coordinators of regional groups and constituencies and to Parties on the UNFCCC electoral process, in particular on the guidance from the Bureau of the COP, the CMP and the CMA on extending the membership of bodies under the Convention, the Kyoto Protocol and the Paris Agreement as a result of the postponement of the negotiating sessions  <b>Supplementary</b> In coordination with ICT, work continued to further develop the electoral and membership platform, which will be relaunched in 2021 Comprehensive advice could not be provided under this objective owing to all supplementary-funded posts	Provision of sought advice in respect of all output from presiding officers and Bureau members Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	authority, and Bureau members in respect of the conduct of the negotiation process	remaining vacant during the reporting period	
<b>Objective 2: constituted bodies</b>	<b>Core</b>	<b>Core</b>	Number of meetings of bodies
Enable the Compliance Committee under the Kyoto Protocol, the PAICC and other constituted bodies to fulfil their mandates	Four meetings (including two branches and the plenary of the Compliance Committee under the Kyoto Protocol and the committee under Article 15 of the Paris Agreement); meeting agenda and outcomes made available for each	One meeting of the Compliance Committee under the Kyoto Protocol (including two branches and the plenary). Meeting agenda and reports were made available. Four meeting documents, speaking notes covering four days of meetings and 13 official documents related to questions of implementation were provided. 21 reports of individual reviews of annual submissions and 24 reports of annual inventories were forwarded to the Compliance Committee and published on the UNFCCC website	Baseline: minimum number of mandated meetings Target: minimum number of mandated meetings Actual: all mandated meetings were held, as well as one informal meeting of the PAICC
<b>ER200-007-1</b>		Two meetings and one informal meeting of the PAICC. Meeting agenda and reports were made available for the two meetings. In addition, five information notes, eight meeting documents and additional background materials were made available to the PAICC, and speaking notes covering nine days of meetings and additional background materials were provided to the co-chairs	Meeting agenda and documentation of meeting outcomes are in accordance with the rules of procedure of the bodies Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent
	<b>Supplementary</b>	<b>Supplementary</b>	
	Four meetings (including two branches and the plenary of the Compliance Committee under the Kyoto Protocol and the committee under Article 15 of the Paris Agreement); meeting agenda and outcomes made available for each	No supplementary-funded meetings were held	
<b>ER200-007-2</b>	<b>Core</b>	<b>Core</b>	Implementation status of activities under the work programmes
	Four annual reports of the Compliance Committee under the Kyoto Protocol and	One annual report of the Compliance Committee under the Kyoto Protocol and	

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
Constituted bodies are enabled to perform their assigned functions through sound legal and procedural advice	<p>the PAICC</p> <p>Reports as per the work programmes of the Compliance Committee under the Kyoto Protocol and the PAICC</p> <p>Provision of partial and basic legal and procedural advice to all constituted bodies and institutional arrangements under the Convention, the Kyoto Protocol and the Paris Agreement</p> <p><b>CDM</b></p> <p>Provision of legal and procedural advice on the implementation of the cooperative implementation instruments under the Kyoto Protocol</p>	<p>the PAICC to the CMA and one annual report of the Compliance Committee under the Kyoto Protocol to the CMP were prepared and published</p> <p>Basic and comprehensive legal and procedural advice was provided on relevant matters, as needed, to the Compliance Committee under the Kyoto Protocol and the PAICC, as well as other constituted bodies on request. As all supplementary-funded posts remained vacant during the reporting period, the core-funded staff members servicing the constituted bodies undertook the supplementary activities in addition to their regular workload</p> <p><b>CDM</b></p> <p>Comprehensive confidential legal opinions and legal input to regulatory and policy documents was provided to the CDM Executive Board, including legal advice to the Mitigation division on procedures and standards of the CDM and to the CDM registry on standards, procedures and disputes relating to modalities of communication between CDM project participants</p> <p>Legal and procedural advice was also provided to the JISC in relation to the entry into force of the Doha Amendment and implications for JI</p>	<p>Baseline: number of planned activities</p> <p>Target: 100 per cent implementation of planned activities</p> <p>Actual: 100 per cent implementation of planned activities</p> <p>Proportion of legal and procedural issues raised in the context of the work of the constituted bodies and mechanisms is dealt with within agreed quality and time frames</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>
<p><b>Objective 4: enhance engagement</b></p> <p>Facilitate engagement in the UNFCCC process through the provision of legal advice and capacity-building</p> <p><b>ER400-007-2</b></p>	<p><b>Core</b></p> <p>Provision of legal advice on questions relating to ratification and to implementation of the Convention, the Kyoto Protocol and its amendments, and the Paris Agreement, as well as to the</p>	<p><b>Core</b></p> <p>Prepared and finalized three guides on legal and procedural advice for the President of the COP, other presiding officers, members of the Bureau of the COP, the CMP, and the CMA and</p>	<p>Number of interested Parties trained and/or assisted on legal matters</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<p>Policymakers are supported in understanding and aligning their actions with established objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement</p>	<p>implementation of MPGs and related decisions</p> <p><b>Supplementary</b></p> <p>Provision of assistance to Parties, upon request, to develop legal capacity-building and legal training/education products and tools</p> <p>Research and analysis of issues, and preparation of advice in response to inquiries from stakeholders</p> <p>Upon Parties' request:</p> <ul style="list-style-type: none"> <li>• Provision of advice and other services to Parties to assist them in better understanding the new components of the climate change regime and advance their climate change related legislation</li> <li>• Development of training materials and modules to foster legal knowledge and understanding of the Paris Agreement, related decisions and MPGs, and participation in climate change negotiations</li> </ul>	<p>participants in the UNFCCC negotiating processes, as well as one annotation and compendium of practice on the draft rules of procedure. Training modules were also developed with respect to these materials</p> <p><b>Supplementary</b></p> <p>The Law and Climate Change Toolkit was further developed to support the establishment of national climate change legislation, and two capacity-building modules on assisting countries in developing (1) sustainable investment and finance regulations and (2) legislative best practices for oceans and coastal management</p> <p>An online training course providing guidance on facilitating implementation and promotion of compliance under the Paris Agreement was also developed and made available to the PAICC</p> <p>A three-part climate legislation webinar series was organized in collaboration with external partners, including the Inter-Parliamentary Union and the Centre for International Sustainable Development Law</p>	
<p><b>Objective 5: oversight and administration</b></p> <p>Effectively manage and administer the secretariat by protecting its legal interest, minimizing its liability and maximizing its operational efficiency</p> <p><b>ER500-007-1</b></p>	<p><b>Core</b></p> <p>Provision of comprehensive advice on institutional and personnel matters, administrative and financial rules, policies and procedures, and privileges and immunities and legal aspects of public-private partnership and fundraising activities</p>	<p><b>Core</b></p> <p>Provided 138 instances of legal advice and opinions in support of the administration and operations of the secretariat</p>	<p>The absence of instances in which, unless waived, the status of privileges and immunities of the UNFCCC are not maintained</p> <p>Baseline: zero</p> <p>Target: zero</p> <p>Actual: zero</p> <p>Number of claims filed against the organization</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
The legal interests of the secretariat and the UNFCCC process are protected, and legal liabilities are minimized			<p>Baseline: zero</p> <p>Target: zero</p> <p>Actual: zero</p> <p>Proportion of legal agreements between the secretariat and outside entities that are concluded in a legally sound manner</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p> <p>Number of concerns raised by the Board of Auditors and Office of Legal Affairs of the United Nations on the adequacy and appropriateness of legal arrangements entered into by the secretariat that are not resolved within six months</p> <p>Baseline: zero</p> <p>Target: zero</p> <p>Actual: zero</p>
	<p><b>Programme support costs</b></p> <p>Provision of enhanced advice on and negotiation of a variety of legal agreements to be entered into by the secretariat</p> <p><b>Supplementary</b></p> <p>Provision of comprehensive advice on and negotiation of a variety of legal agreements to be entered into by the secretariat</p>	<p><b>Programme support costs</b></p> <p>Provided support for negotiating, finalizing and concluding 101 legal instruments and agreements</p> <p><b>Supplementary</b></p> <p>Comprehensive advice could not be provided under this objective owing to the supplementary-funded post remaining vacant during the reporting period</p>	

Table 20  
**2020–2021 resource requirements for the Legal Affairs division**  
(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	2 286 080	894 970	39
Supplementary <sup>a</sup>	2 156 800	120 821	6
Programme support costs	303 360	153 067	50
CDM-MAP	303 360	185 737	61
<b>Total resources</b>	<b>5 049 600</b>	<b>1 354 595</b>	<b>27</b>

<sup>a</sup> Supplementary budget does not translate to funding available for spending.

Table 21  
**2020–2021 post requirements for the Legal Affairs division**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>8</b>	<b>8</b>	<b>100</b>
Professional level staff and above	5	5	100
General Service level staff	3	3	100
<b>Supplementary</b>	<b>7</b>	<b>0</b>	<b>0</b>
<b>Programme support costs</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>CDM-MAP</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Total</b>	<b>17</b>	<b>10</b>	<b>59</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

## 2. Conference Affairs division

Table 22  
**2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Conference Affairs division**

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b>	<b>Core and Bonn Fund</b>	<b>Core and Bonn Fund</b>	Percentage of services made available to Parties, observers and other stakeholders on time and within budget
Facilitate intergovernmental engagement on responding to the threat of climate change, including by providing effective	Servicing UNFCCC sessions, in-session meetings and other meetings/workshops/events:	June Momentum (held virtually) Climate Dialogues (held virtually)	Baseline: 90 per cent

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
organizational, logistical and document support <b>ER101-008-1</b> Operation of intergovernmental negotiations is facilitated	<ul style="list-style-type: none"> <li>• Two host country agreements, two funding agreements and two session budgets</li> <li>• Four information products for participants</li> <li>• Four instances of coordination of recruitment and management of local staff during sessions</li> </ul> Processing funding for representatives of Parties for sessions (approximately 1,400 representatives) Visa processing for participants facilitated with the host country (750–1,600 visas) Registration and accreditation of representatives of Parties, observer States, observer organizations and media for sessions (approximately 80,000) Reduced GHG emissions for sessions and events	Two information products for participants in the above-mentioned events Registration and accreditation of 3,810 representatives of Parties, observer States and observer organizations for the Climate Dialogues and workshops (two registration and accreditation manuals were produced for the Climate Dialogues) Organizational, logistical and document support for the June Momentum Unavoidable GHG emissions offset and sustainable events management certifications were obtained for COP 25 One article on sustainability published Certificate provided to all Conference Affairs staff for successfully completing project management training as part of efforts to further strengthen programme planning, implementation and monitoring	Target: >90 per cent Actual: the division responded to the accelerated shift to virtual and hybrid meetings due to the COVID-19 pandemic and adapted its service delivery, as outlined under “Outputs delivered”. Of these services, 90 per cent were made available to Parties, observers and other stakeholders on time and within budget Level of satisfaction among participants with the quality of conference services provided at sessions Baseline: 90 per cent Target: >90 per cent Actual: owing to the postponement and/or cancellation of on-site UNFCCC events due to the COVID-19 pandemic, no external client surveys were administered Available funding fully utilized to maximize number of representatives of eligible Parties attending the conference Baseline: 90 per cent Target: 90 per cent Actual: owing to the postponement and/or cancellation of conferences due to the COVID-19 pandemic, no funding was utilized Percentage of climate-neutral UNFCCC events Baseline: 80 per cent Target: >80 per cent Actual: owing to the postponement and/or cancellation of on-site UNFCCC events due to the COVID-19 pandemic, no offsetting of emissions for events in 2020



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	Provision of documentation: <ul style="list-style-type: none"> <li>• Timely publication of official documents in all six official languages of the United Nations where applicable and possible</li> <li>• Processing of unofficial documents and in-session negotiating drafts and their publication to the UNFCCC website</li> </ul>	Processed, edited and published 171 official documents, containing a total of 2,111,347 words, in all six official languages of the United Nations where applicable and possible Processed and edited 132 non-official documents, with a total of 701,606 words	was implemented  Percentage of documents made available on time Baseline: 90 per cent Target: 90 per cent Actual: owing to the postponement and/or cancellation of negotiating sessions due to the COVID-19 pandemic, it is not possible to provide statistics on timeliness. Every effort was made to make documents available as early as possible  Degree of satisfaction with documentation-related services, as evidenced by the results of external client survey Baseline: 85 per cent Target: >85 per cent Actual: owing to the postponement and/or cancellation of on-site UNFCCC events due to the COVID-19 pandemic, no external client surveys were administered
<b>Objective 3: data and information management</b>  Manage a trusted repository of data and information in support of the intergovernmental response to the threat of climate change by providing innovative conference-related IT systems  <b>ER300-008-1</b>  Authoritative, up-to-date and readily accessible information is made available	<b>Supplementary</b>  Development of efficient and innovative conference-related IT systems, including online registration system, online funding and visa system, online daily badge system and official electronic documentation system	<b>Supplementary</b>  Enhancements implemented for the online registration system, online funding and visa system, online daily badge system and official electronic documentation system An online meeting room booking system for conference sessions is being developed	Number of innovative conference-related IT systems developed Baseline: 80 per cent Target: 80 per cent Actual: owing to the COVID-19 pandemic and the accelerated shift to virtual meetings, as well as budgetary constraints, the approach to the development of conference-related IT systems had to be revisited. Legacy systems were enhanced  100 per cent of the planned enhancements were completed

Table 23  
2020–2021 resource requirements for the Conference Affairs division

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	2 601 200	1 066 947	41
Supplementary <sup>a</sup>	1 644 600	78 581 <sup>b</sup>	5
Trust Fund for Participation in the UNFCCC Process	8 497 345	(293 305) <sup>c</sup>	NA
Bonn Fund	2 878 096	975 736	34
<b>Total resources</b>	<b>15 621 241</b>	<b>1 827 959</b>	<b>12</b>

<sup>a</sup> Supplementary budget does not translate to funding available for spending.

<sup>b</sup> Excludes expenditure and refunds for COP 25 in the amount of EUR 3.2 million.

<sup>c</sup> Negative expenditure indicated in brackets is attributed to the cancellation of commitments made in 2019 and refunds.

Table 24  
2020–2021 post requirements for the Conference Affairs division

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>10</b>	<b>8</b>	<b>80</b>
Professional level staff and above	7	5	71
General Service level staff	3	3	100
<b>Supplementary</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>Bonn Fund</b>	<b>7</b>	<b>5</b>	<b>71</b>
<b>Total</b>	<b>18</b>	<b>13</b>	<b>72</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

### 3. Administrative Services, Human Resources, and Information and Communication Technology division

Table 25  
2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Administrative Services, Human Resources, and Information and Communication Technology division

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b>	<b>Core</b> Four United Nations Climate Change	<b>Core</b> Two virtual sessions of the subsidiary	Compliance with agreed service levels for IT services, systems and support

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
Facilitate intergovernmental engagement on responding to the threat of climate change by providing effective IT services and travel support <b>ER101-009-1</b> The operation of the intergovernmental negotiations is facilitated	Conferences serviced with ICT support, including virtual conferencing and messaging, service desk and conference support systems  Around 100 meetings and workshops serviced with ICT support, including virtual conferencing and messaging, service desk and conference support systems  <b>Supplementary</b> Virtual meeting/participation system maintained and supported  <b>Programme support costs</b> Arrangements for travel of participants	bodies and four workshops were supported 473 meetings were supported, including 1 workshop and 3 meetings for the constituted bodies  <b>Supplementary</b> Integrated the virtual collaboration tool and the Voice over Internet Protocol telephony system with the new solution from Microsoft  <b>Programme support costs</b> Owing to the COVID-19 pandemic, travel activities were suspended from March 2020 onward. 268 bookings were cancelled and recovered, 12 stranded travellers were returned home and 17 participants received financial support for connectivity to online UNFCCC events	Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent          Percentage of participants attending meetings versus nominations Baseline: 85 per cent Target: 90 per cent Actual: NA
<b>Objective 3: data and information management</b> Manage a trusted repository of data and information in support of the intergovernmental response to the threat of climate change <b>ER300-009-1</b> Parties and the public are provided with authoritative, up-to-date and readily	<b>Core</b> Mandated systems supported and maintained  Integral institutional systems and platforms supported and maintained  Information security and governance of infrastructure and applications provided	<b>Core</b> Mandated systems were maintained and supported, as required  The website and collaboration platform were supported and maintained, as required  Information security and governance of infrastructure and applications was provided	Percentage of systems and platforms supported meeting the specified requirements and being delivered on time Baseline: 90 per cent Target: 95 per cent Actual: 95 per cent Compliance with the information security plan

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
accessible data and information	<b>Supplementary</b> Base enterprise stakeholder relationship management capability maintained Sustainable enterprise data analytics capabilities created Information security and governance of infrastructure and applications provided  <b>ITL</b> ITL available to national registries  <b>Cost recovery</b> Manage database/registry	<b>Supplementary</b> No funds were received to maintain base enterprise stakeholder relationship management capability No funds were received to create sustainable enterprise data analytics capabilities Security auditing of infrastructure and applications was carried out  <b>ITL</b> ITL was available, as required  <b>Cost recovery</b> 10 projects and enhancements were delivered, and 7 projects and enhancements are ongoing (46 per cent progress on average; projects will be continued in 2021)	Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent Proportion of ICT services provided in accordance with the defined frameworks Baseline: 100 per cent Target: 100 per cent Actual: 70 per cent ITL service availability to national registries Baseline: 99.97 per cent Target: 99.5 per cent Actual: 100 per cent Mandated systems supported and maintained, meet the specified requirements and are delivered on time Baseline: 80 per cent Target: 90 per cent (20–30 systems) Actual: 90 per cent (20–30 systems)
<b>Objective 5: oversight and administration</b> Manage and administer the secretariat effectively, including its financial, human resources, IT infrastructure and services, as well as managing procurement processes and providing travel services to staff <b>ER500-009-1</b> The financial resources of the secretariat are effectively managed in accordance with relevant United Nations regulations	<b>Programme support costs</b> Four sessional meetings Four status of contributions reports Two audited financial statements Two budget performance and programme delivery reports One budget and workplan document for the biennium 2022–2023 90 reports in line with supplementary funding agreements Implemented financial-related audit recommendations	<b>Programme support costs</b> No sessional meetings were held Two virtual budget briefings were held Report on the status of contributions is scheduled to be published in 2021 One audited financial statement was issued Preparatory work on the budget performance and programme delivery report was initiated 31 donor reports were prepared Six financial-related audit recommendations were implemented, and	Documents and reports are delivered and delivered on time Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent Proportion of donor reports submitted on time Baseline: 80 per cent Target: 90 per cent Actual: 34 per cent Implementation rate of audit recommendations relating to financial and

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
		12 recommendations are still under implementation	administrative matters Baseline: 80 per cent Target: 90 per cent Actual: 34 per cent
<b>ER500-009-2</b>	<b>Core (secretariat-wide costs)</b>	<b>Core (secretariat-wide costs)</b>	Average number of days per year spent by staff members on learning and development activities
The human resources of the secretariat are effectively recruited, administered and trained in accordance with relevant United Nations regulations	Learning, development and career support for core staff Timely processing of core staff entitlements and benefits	Targeted online and face-to-face information, training and coaching was provided, with a particular focus on the secretariat's structural review and the COVID-19 pandemic All staff entitlements and benefits were processed on time and in line with relevant regulations	Baseline for the biennium 2018–2019: 4.1 days (or 2.05 per year) Target: 3.0 days per year Actual: 1.25 days per year
	<b>Programme support costs and the CDM</b>	<b>Programme support costs and the CDM</b>	Percentage of eligible female candidates in the applicant pool for fixed-term appointments Baseline: 40 per cent Target: 45 per cent Actual: subject to finalization of the implementation of the structural review, no fixed-term appointments were advertised in 2020
	Improved talent acquisition outreach initiatives Improved human resources data for decision makers Platform for performance appraisal, support, guidance and advice to managers and staff Learning, development and career support for non-core staff Timely processing of non-core staff entitlements and benefits	Targeted online and face-to-face information, training and coaching was provided, with a particular focus on the secretariat's structural review and the COVID-19 pandemic Implementation of the structural review was supported throughout the reporting period All staff entitlements and benefits were processed on time and in line with relevant regulations for non-core staff	Number of staff promoted within the organization from General Service to Professional level and within Professional level Baseline: one staff member from General Service to Professional level; five staff within Professional level Target: four staff from General Service to Professional level; nine staff within Professional level Actual: subject to finalization of the implementation of the structural review, no staff promotions were awarded in 2020

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>ER500-009-3</b> Procurement processes, travel services and other assets of the secretariat are effectively managed in accordance with relevant United Nations regulations	<p><b>Core (secretariat-wide costs)</b></p> <p>Appropriate premises and facilities, including relocation to new building, for core staff and operations</p> <p>Reduced climate emissions and remaining balance offset for core staff and operations</p> <p><b>Programme support costs, and special contribution from the Host Government</b></p> <p>Goods and services supplied</p> <p>Arrangements for travel of staff</p> <p>Premises and facilities managed, including relocation to new building, for core staff and operations</p> <p>Reduced climate emissions and remaining balance offset for core staff and operations</p>	<p><b>Core (secretariat-wide costs)</b></p> <p>Premises and facilities were maintained, including measures implemented in response to the COVID-19 pandemic. Preparations for the relocation to the new building were initiated</p> <p>Secretariat's GHG emissions in 2019, amounting to 10,452 t CO<sub>2</sub> eq, were offset</p> <p><b>Programme support costs, and special contribution from the Host Government</b></p> <p>381 orders for goods and services were placed, at a value of USD 13.7 million</p> <p>Owing to the COVID-19 pandemic, travel activities were suspended</p> <p>Premises and facilities were maintained, including measures implemented in response to the COVID-19 pandemic. Preparations for the relocation to the new building were initiated</p> <p>Secretariat's GHG emissions in 2019, amounting to 10,452 t CO<sub>2</sub> eq, were offset</p>	<p>Per capita carbon footprint of the secretariat's facility operations</p> <p>Baseline: 9 t CO<sub>2</sub> eq</p> <p>Target: 7 t CO<sub>2</sub> eq</p> <p>Actual: 6.7 t CO<sub>2</sub> eq</p> <p>Proportion of procurement cases processed within established time frames</p> <p>Baseline: 99 per cent</p> <p>Target: 99 per cent</p> <p>Actual: 97 per cent</p> <p>Average number of responses received per tender</p> <p>Baseline: five</p> <p>Target: eight</p> <p>Actual: seven</p> <p>Average percentage difference between the price of offers selected and the highest offers received</p> <p>Baseline: 33 per cent</p> <p>Target: 35 per cent</p> <p>Actual: 32 per cent</p>
<b>ER500-009-5</b> IT infrastructure and services are effectively managed in accordance with relevant United Nations regulations	<p><b>Core</b></p> <p>End-user ICT devices and ICT services provided</p> <p>Information security and governance of infrastructure and applications provided</p> <p>Infrastructure and network services operated and maintained</p>	<p><b>Core</b></p> <p>679 requests for IT services raised via Service Desk were closed during the reporting period</p> <p>Infrastructure services were delivered in accordance with the defined frameworks</p> <p>Infrastructure and network services were operated and maintained</p>	<p>Proportion of requests responded to per defined service levels</p> <p>Baseline: 98 per cent</p> <p>Target: 99 per cent</p> <p>Actual: 81 per cent</p> <p>Proportion of data centre services and support provided per defined service</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<b>Supplementary</b>	<b>Supplementary</b>	levels
	Enhanced enterprise mobility capabilities provided	No funds were received to enhance enterprise mobility capabilities	Baseline: 100 per cent Target: 100 per cent Actual: 98 per cent Compliance with the information security plan
	<b>Bonn Fund, special contribution from the Host Government and cost recovery</b>	<b>Bonn Fund, special contribution from the Host Government and cost recovery</b>	Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent Proportion of ICT services provided in accordance with the defined frameworks
	End-user ICT devices and ICT services provided	8,761 requests for IT services raised via Service Desk were closed during the reporting period	Baseline: 100 per cent Target: 100 per cent Actual: 100 per cent

Table 26

**2020–2021 resource requirements for the Administrative Services, Human Resources, and Information and Communication Technology division**

(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	4 008 748 <sup>a</sup>	1 646 782	41
Core secretariat-wide costs	2 586 670	966 338	37
Supplementary <sup>b</sup>	2 005 570	852 501	43
ITL (fees from the Kyoto Protocol)	3 369 126	1 048 150	31
Programme support costs	12 023 967	5 484 926	46
Bonn Fund <sup>c</sup>	289 200	445 831	154
Special contribution from the Host Government	2 032 294	(2 554 782)	NA
CDM-MAP	221 040	107 484	49
Cost recovery	11 594 082	5 375 468 <sup>d</sup>	45
<b>Total resources</b>	<b>38 130 697</b>	<b>13 372 711</b>	<b>35</b>

*Note:* Negative expenditure indicated in brackets is attributed to the cancellation of commitments made in 2019 and refunds.

<sup>a</sup> As reallocated by the Executive Secretary pursuant to the authority given to her by the COP to make transfers between appropriation lines (decision 17/CP.25, para. 13). The amount stated in table 26 of the updated work programme of the secretariat for the biennium 2020–2021 is EUR 4,231,810.

<sup>b</sup> Supplementary budget does not translate to funding available for spending.

<sup>c</sup> As agreed by the Government of Germany funds were re-programmed for other purposes.

<sup>d</sup> Includes expenditure for one position at D-2 level for a staff member seconded to another United Nations entity; costs are reimbursable under the relevant loan agreement.

Table 27

**2020–2021 post requirements for the Administrative Services, Human Resources, and Information and Communication Technology division**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>8</b>	<b>6</b>	<b>75</b>
Professional level staff and above	6	5	83
General Service level staff	2	1	50
<b>Supplementary</b>	<b>–</b>	<b>–</b>	<b>–</b>
<b>ITL (fees from the Kyoto Protocol)</b>	<b>4.5</b>	<b>3</b>	<b>67</b>
<b>Programme support costs</b>	<b>53</b>	<b>44.8</b>	<b>85</b>
<b>Bonn Fund</b>	<b>2</b>	<b>2</b>	<b>100</b>
<b>Special contribution from the Host Government</b>	<b>5</b>	<b>3</b>	<b>60</b>
<b>CDM-MAP</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Cost recovery</b>	<b>28</b>	<b>23</b>	<b>82</b>
<b>Total</b>	<b>101.5</b>	<b>82.8</b>	<b>82</b>

<sup>a</sup> Post requirements are generally shown as per the updated work programme of the secretariat for the biennium 2020–2021, with the exception of one post at D-1 level. The funding for this post was shifted from the core budget to programme support costs after publication of the updated work programme. A corresponding shift from programme support costs to the core budget was made in the Communication and Engagement division.

## C. Cross-cutting divisions

### 1. Intergovernmental Support and Collective Progress division



Table 28

**2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Intergovernmental Support and Collective Progress division**

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement on responding to the threat of climate change by providing effective organizational and procedural support, as appropriate, to the governing, subsidiary and constituted bodies established under the Convention, the Kyoto Protocol and the Paris Agreement <b>ER101-005-1</b> The governing (COP, CMP, CMA) and subsidiary (SBI, SBSTA) bodies are enabled to operate	<b>Core</b> 14 provisional agendas and their annotations: <ul style="list-style-type: none"> <li>• COP (2)</li> <li>• CMP (2)</li> <li>• CMA (2)</li> <li>• SBSTA (4)</li> <li>• SBI (4)</li> </ul> 14 reports on sessions: <ul style="list-style-type: none"> <li>• COP (2)</li> <li>• CMP (2)</li> <li>• CMA (2)</li> <li>• SBSTA (4)</li> <li>• SBI (4)</li> </ul> Two high-level segments Protocol is observed	<b>Core</b> The SBSTA 52 and SBI 52 provisional agendas and annotations were prepared in anticipation of the first sessional period in June 2020, which was initially postponed to October 2020. All sessions of the subsidiary bodies and governing bodies were subsequently postponed to 2021, including the high-level segment, and therefore no additional provisional agendas and annotations or session reports were prepared The secretariat continued to keep Parties and stakeholders informed through several channels, including the issuance of 76 notifications on the UNFCCC website. The secretariat also provided support for over 100 bilateral consultations between the presiding officers and Parties and managed protocol-related matters, as needed	The annotated agendas for the sessions of the COP, the CMP, the CMA, the SBSTA and the SBI are published in advance of the sessions in accordance with the relevant timelines Baseline: 100 per cent Target: 100 per cent Actual: 28 per cent The reports on the sessions of the COP, the CMP, the CMA, the SBSTA and the SBI are published after the sessions in accordance with the relevant timelines Baseline: 100 per cent Target: 100 per cent Actual: 0 per cent
<b>ER101-005-2</b> Presiding officers and the Bureaux are enabled to perform their mandated functions	<b>Core</b> Strategic overviews, presentations, briefing notes and other relevant material to support intersessional work and preparations for the sessions, for the presiding officers, the incoming Presidency, and UNFCCC management and staff In-session documentation to support the work of the presiding officers of the COP, the CMP, the CMA, the SBSTA and the SBI and their Bureaux, such as inputs to the speaking notes, presentations and briefing materials as required, in close	<b>Core</b> Six meetings of the Bureau and one technical briefing were held. For each meeting, an agenda and summary notes were prepared, as well as supporting documentation to aid the Bureau in its decision-making In the absence of sessions of the governing and subsidiary bodies, the following briefing materials were prepared to help maximize progress and minimize delays in the UNFCCC process: <ul style="list-style-type: none"> <li>• Database of mandates for COP 26 to COP 30</li> </ul>	Presiding officers express appreciation with the level of pre-session and in-session support for their work Baseline: NA Target: 100 per cent Actual: 100 per cent All Bureau meetings requested by the Presidency of the COP are organized; minutes of the Bureau meetings are circulated to members within the agreed timelines Baseline: NA

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>cooperation with the relevant substantive programmes of the secretariat</p> <p>Provision of support to presiding officers for receipt and processing of reports of constituted bodies and expert groups</p> <p>Meetings of the Bureau of the COP, the CMP and the CMA (agenda, logistical arrangements, minutes and provision of procedural support to chair)</p>	<ul style="list-style-type: none"> <li>• Analysis of mandates affected by the postponement of the 2020 sessions of the governing and subsidiary bodies</li> <li>• Speaking notes for the presiding officers for meetings with groups of Parties</li> </ul> <p>Conceptualized and executed the June Momentum, which included 23 events, and the Climate Dialogues, which included 83 events</p> <p>Conceptualized and developed the Climate Hub 360, an online calendar of events in 2020 and 2021 designed to contribute towards a successful outcome at COP 26. In 2020, the Climate Hub 360 showcased approximately 450 events</p>	<p>Target: 100 per cent of requested meetings</p> <p>Actual: 100 per cent of requested meetings</p>
	<p><b>Supplementary</b></p> <p>Draft agendas, overviews, presentations, briefing notes, summaries and other materials to support coordination, outreach activities and a coherent approach by the presiding officers</p>	<p><b>Supplementary</b></p> <p>Supported over 50 meetings of the presiding officers in weekly calls to plan a coherent approach to maximizing progress and minimizing delays in the absence of in-person negotiating sessions</p>	
<p><b>ER101-005-3</b></p> <p>Parties' consideration of research and systematic observation, the review of the long-term global temperature goal and the global stocktake is facilitated</p>	<p><b>Core</b></p> <p>Four agenda items supported through the preparation of documents, reports and governing body decisions/conclusions and organization of in-session events (four sessions of the subsidiary bodies)</p>	<p><b>Core</b></p> <p>Consultations between the Chairs of the subsidiary bodies and interested Parties on the review (held virtually at the June Momentum)</p>	<p>Percentage of presiding officers expressing satisfaction with the level of support provided</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: owing to the postponement of sessional meetings, no feedback was obtained</p>
<p><b>Objective 1b: established processes</b></p> <p>Facilitate intergovernmental engagement on the assessment of collective progress, including consideration of research and</p>	<p><b>Core</b></p> <p>Two SBSTA–IPCC special events</p> <p>Two meetings of the SBSTA–IPCC Joint Working Group</p>	<p><b>Core</b></p> <p>One meeting of the SBSTA–IPCC Joint Working Group (held virtually in September 2020)</p>	<p>Number of international and regional programmes and organizations, including the IPCC, participating</p> <p>Baseline: 15</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<p>systematic observation, periodic reviews of the adequacy of the long-term global goal to limit global warming to well below 2 °C and by preparing for the global stocktake of the implementation of the Paris Agreement and of the progress towards its purpose and long-term goal</p> <p><b>ER102-005-1</b></p> <p>Research and systematic observation is considered and the scientific and technical basis for the periodic review and the global stocktake is improved</p>	<p><b>Supplementary</b></p> <p>Two methodological workshops on the global stocktake</p> <p>Draft plan for Chairs of the subsidiary bodies on the conduct of the global stocktake</p> <p>Two research dialogues</p> <p>Two Earth Information Days</p>	<p><b>Supplementary</b></p> <p>Twelfth meeting of the research dialogue on the theme “Science for global net-zero” (held virtually at the Climate Dialogues)</p> <p>Earth Information Day 2020 (held virtually at the Climate Dialogues)</p>	<p>Target: 15</p> <p>Actual: 48 (21 at the twelfth meeting of the research dialogue; 27 at Earth Information Day 2020)</p> <p>Proportion of mandated outputs delivered on time</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>
<p><b>ER102-005-2</b></p> <p>The second periodic review under the Convention of the adequacy of, and overall progress towards, the long-term global goal is facilitated and supported, and it contributes effectively to the first global stocktake under the Paris Agreement</p>	<p><b>Core</b></p> <p>Two structured expert dialogues</p> <p>Two subsequent summary reports of the dialogues</p> <p>Compilations and synthesis of inputs for the periodic review</p> <p><b>Supplementary</b></p> <p>Two technical workshops on the periodic review</p>	<p><b>Core</b></p> <p>First part of the first meeting of the structured expert dialogue (held virtually at the Climate Dialogues)</p> <p>Briefings provided to the IPCC and constituted bodies on ways in which they can provide support to and engage in the periodic review</p> <p><b>Supplementary</b></p> <p>No technical workshops on the periodic review were organized. Owing to the postponement of the sessions of the governing and subsidiary bodies, the joint contact group has not yet met and agreed on any additional activities</p>	<p>Proportion of mandated outputs delivered on time</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>

Table 29  
**2020–2021 resource requirements for the Intergovernmental Support and Collective Progress division**  
(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	3 745 159 <sup>a</sup>	1 443 997	39
Supplementary <sup>b</sup>	1 571 660	280 488	18

<b>Total resources</b>	<b>5 316 819</b>	<b>1 724 485</b>	<b>32</b>
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*Note:* One Professional level post was to be funded from supplementary funds in 2020 and from the core budget in 2021; however, for the purpose of this table, the position has been included under the core budget for the biennium 2020–2021.

<sup>a</sup> As reallocated by the Executive Secretary pursuant to the authority given to her by the COP to make transfers between appropriation lines (decision 17/CP.25, para. 13). The amount stated in table 29 of the updated work programme of the secretariat for the biennium 2020–2021 is EUR 3,558,740.

<sup>b</sup> Supplementary budget does not translate to funding available for spending.

Table 30

**2020–2021 post requirements for the Intergovernmental Support and Collective Progress division**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>16</b>	<b>12</b>	<b>75</b>
Professional level staff and above	12 <sup>b</sup>	8	67
General Service level staff	4	4	100
<b>Supplementary</b>	<b>4<sup>c</sup></b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>20</b>	<b>12</b>	<b>60</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.

<sup>b</sup> The funding for one post at P-4 level was shifted from the Adaptation division to the Intergovernmental Support and Collective Progress division after publication of the updated work programme.

<sup>c</sup> One post at P-2 level was funded from supplementary funds in 2020 and from the core budget in 2021 in line with paragraph 5 and table 2 of decision 17/CP.25. For the purpose of this table, the position is included in the core budget count.

## 2. Communication and Engagement division

Table 31

**2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Communication and Engagement division**

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement by supporting intergovernmental consideration of matters related to broadening participation and inclusiveness in the response to the threat of climate change <b>ER101-006-1</b>	<b>Supplementary</b> Eight expected/recurring agenda items supported through the preparation of documents, reports, governing body decisions/conclusions: <ul style="list-style-type: none"> <li>• ACE (two SBI sessions)</li> <li>• Gender (four SBI sessions and two COP sessions)</li> </ul>	<b>Supplementary</b> The sessions of the governing and subsidiary bodies were postponed in 2020 owing to the COVID-19 pandemic. However, substantive support was provided on all items mandated, some of which were addressed during the June Momentum and the Climate Dialogues	Percentage of presiding officers expressing satisfaction with the level of support provided Baseline: NA Target: 100 per cent Actual: NA as no sessions of the governing or subsidiary bodies were held in 2020

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
Parties' consideration of the progress of established processes and bodies related to education or public awareness and gender are facilitated			
<b>Objective 1b: established processes</b>	<b>Supplementary</b>	<b>Supplementary</b>	
Facilitate the implementation of established intergovernmental processes related to enhancing participation and inclusion in the UNFCCC process and climate action	Six thematic days at sessions of the COP (two gender, two ACE and two youth)	ACE and youth:	Number of national ACE focal points actively engaged in the secretariat's ACE work
<b>ER102-006-1</b>	Two ACE dialogues and two dialogue reports	<ul style="list-style-type: none"> <li>One guide on integrating ACE into NDCs, co-produced with the United Nations Educational, Scientific and Cultural Organization and translated by the World Green Economy Organization (Arabic), EUROCLIMA (Spanish) and the United Nations Educational, Scientific and Cultural Organization (French)</li> </ul>	Baseline: 108
The established work programmes on education/public awareness and gender are implemented	Two in-session gender workshops and workshop reports thereon	<ul style="list-style-type: none"> <li>Owing to the COVID-19 pandemic, one in-person ACE dialogue event in 2020 was replaced by six virtual events (four regional and two global). Two of the regional events included remote simultaneous interpretation</li> </ul>	Target: 150
	Two gender dialogues	<ul style="list-style-type: none"> <li>One synthesis report and one information note</li> </ul>	Actual: the key performance indicator is not an appropriate indicator and will be adjusted moving forward as the secretariat has no control over the nomination of focal points. 120 focal points nominated as at October 2020
	Four gender side events at sessions	<ul style="list-style-type: none"> <li>One virtual dialogue on the role of youth in climate action</li> </ul>	Number of ACE capacity-building events for ACE focal points, youth and other stakeholder groups
	Six gender/ACE webinars and ongoing support for national focal points	<ul style="list-style-type: none"> <li>16 ACE focal points presented or moderated across the six ACE dialogues</li> </ul>	Baseline: one per year
	Intersessional ACE capacity-building events for ACE focal points, youth and other stakeholder groups	<ul style="list-style-type: none"> <li>ACE-/youth-related information was featured in the newsletters of the RCCs in Bangkok, Dubai, Lomé, Panama and St. George's</li> </ul>	Target: four per year
	Technical guidance and capacity-building for the integration of and reporting on gender considerations in the work of constituted bodies, and one synthesis report on the progress of constituted bodies	<ul style="list-style-type: none"> <li>Two virtual youth climate action events held in the African region (at RCC Lomé and RCC Dubai)</li> </ul>	Actual: nine
	Two reports on gender composition	Gender:	Number of skills and capacity-building events facilitated for national gender and climate change focal points
	Integration of gender, youth and public awareness/education into other elevated UNFCCC events and publications, and effective collaboration with United Nations system and other stakeholders on these matters:	<ul style="list-style-type: none"> <li>One gender composition report</li> </ul>	Baseline: zero
	<ul style="list-style-type: none"> <li>Enhanced communication and information-sharing on gender and climate change</li> </ul>	<ul style="list-style-type: none"> <li>One UN-SWAP report</li> </ul>	Target: six
	<ul style="list-style-type: none"> <li>Contribution to action by Parties and non-Party stakeholders in</li> </ul>		Actual: nine
			Number of relevant United Nations agencies and other stakeholders that collaborate with the secretariat on workshops, training or awareness-raising events related to gender-responsive climate policies and plans
			Baseline: 20

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<p>implementing six ongoing activities under the gender action plan</p> <ul style="list-style-type: none"> <li>• Two UN-SWAP reports and related international strategies and activities to effectively integrate gender considerations into the work of UNFCCC staff and teams</li> <li>• Co-organization of the sixth and seventh Global Youth Video Competitions on Climate Change</li> <li>• Guidelines for promoting public awareness of climate change, climate impacts and climate action, including: <ul style="list-style-type: none"> <li>• A synthesis report on progress in implementing ACE</li> <li>• An information note presenting options and ways on future work to enhance implementation of ACE</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• One capacity-building session for key performance indicator</li> <li>• One gender information pack created for constituted body members</li> <li>• One virtual marketplace document with entries from 15 non-Party stakeholders</li> <li>• Workshop on activity A.2 of the gender action plan on the role of the national gender and climate change focal points (scheduled to take place at SB 52) and support for national gender and climate change focal points delivered via eight virtual workshops, with simultaneous interpretation provided</li> <li>• Workshop on activity D.6 of the gender action plan on gender integration in national climate policies, plans, strategies and actions (scheduled to take place at SB 52) delivered via five virtual regional workshops, three of which included simultaneous interpretation</li> <li>• Social media products and UNFCCC Newsroom articles prepared for all gender events; 15 Newsroom articles referred to gender</li> </ul>	<p>Target: 25</p> <p>Actual: 51</p> <p>Percentage of constituted bodies that have received capacity-building or technical support to report on progress in integrating gender considerations</p> <p>Baseline: 0</p> <p>Target: 100 per cent</p> <p>Actual: 87 per cent</p> <p>Number of UN-SWAP performance indicators that move from “missing” or “approaches” to “meets”</p> <p>Baseline: zero</p> <p>Target: three</p> <p>Actual: four “approaches” and six “meets” indicators</p>
<p><b>ER102-006-2</b></p> <p>The established processes related to observer and non-Party participation in the UNFCCC process are implemented</p>	<p><b>Core</b></p> <p>250 assessments of applications for admission as observers</p> <p>Two memorandums for the Bureau of the COP on organizations recommended for provisional admission</p> <p>Two high-level segments (interventions by observer organizations)</p> <p>Two documents on observer engagement for SBI agenda item on arrangements for</p>	<p><b>Core</b></p> <p>Observer engagement:</p> <ul style="list-style-type: none"> <li>• 220 assessments of applications for admission as observers</li> <li>• Owing to the postponement of the session of the COP and the extension of the deadline for applications for admission as observers to COP 26, no memorandums were sent to the Bureau of the COP</li> </ul>	<p>Number of organizations for which the COP disagrees with the eligibility assessment made by the secretariat</p> <p>Baseline: zero</p> <p>Target: zero</p> <p>Actual: NA as no formal sessions were held in 2020</p> <p>Percentage of facilitated requests to intervene in plenary and contact group meetings and in-session workshops that</p>

Objective and expected result	Outputs planned for the biennium 2020–2021 per funding source	Outputs delivered in the reporting period per funding source	Performance indicator and performance data
<p>intergovernmental meetings</p> <p>One report on the implementation of conclusions relating to observer engagement</p> <p>Partnership with United Nations entities</p> <p>One high-level event on climate action</p> <p>Coordination of GCA programmes of events</p>	<ul style="list-style-type: none"> <li>• Owing to the postponement of the session of the COP, no high-level event was organized. Instead, in the lead-up to and during the June Momentum, over five meetings with the Chairs of the subsidiary bodies and four events during the Climate Dialogues were held in which observer organizations actively participated</li> <li>• Two documents on observer engagement for the SBI agenda item on arrangements for intergovernmental meetings</li> </ul> <p>Support for high-level champions:</p> <ul style="list-style-type: none"> <li>• Partnerships maintained with several United Nations organizations (FAO, International Civil Aviation Organization, International Labour Organization, UNEP, United Nations World Tourism Organization, United Nations Secretariat) including in relation to support for non-Party stakeholder engagement</li> <li>• Owing to the postponement of the session of the COP, no high-level event on climate action was organized. Instead, other events were organized using supplementary funding</li> <li>• Work programme of the high-level champions and Marrakech Partnership for Global Climate Action for 2020–2021 published</li> </ul> <p>Call for input on the Marrakech Partnership for Global Climate Action implemented</p>	<p>are addressed</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: NA as no formal sessions were held in 2020</p> <p>Increasing number of non-Party stakeholders formally engaged directly in secretariat-supported GCA activities</p> <p>Baseline: 350</p> <p>Target: annual increase of 150</p> <p>Actual: 350 signatories to Climate Neutral Now, 275 signatories to sectoral initiatives, 145 Marrakech Partnership for Global Climate Action partners, and 15 for other work (GCA portal, ACE, gender, Momentum for Change)</p> <p>Yearbook of global climate action published before COP session, including narrative description of status and outlook, as well as recommendations for how to further enhance GCA in support of Parties' work</p> <p>Baseline: one per year</p> <p>Target: one per year</p> <p>Actual: Yearbook of global climate action 2020 published</p>	

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	<b>Supplementary</b> One summary for policymakers from the technical examination processes Two yearbooks of global climate action	<b>Supplementary</b> Support for high-level champions: <ul style="list-style-type: none"> <li>• Summary for policymakers on the technical examination process is on track to be published in 2021</li> <li>• Yearbook of Global Climate Action 2020 published, including an analysis of climate action over the past year, the impact of the COVID-19 pandemic and recommendations for the way forward</li> <li>• To complement the yearbook of global climate action, eight climate action pathways were published, as well as eight executive summaries thereon</li> <li>• Race to Zero campaign launched as part of the June Momentum</li> <li>• Race to Zero November Dialogues organized virtually (from 9 to 19 November 2020), which included around 90 events across 10 thematic areas, focusing on climate action by non-Party stakeholders</li> <li>• Consultations between high-level champions and groups of Parties conducted virtually in June 2020</li> </ul>	
<b>Objective 3: data and information management</b> Manage a trusted repository of data and information in support of communicating authoritative, relevant and timely information to Parties, non-Party stakeholders and the public through information management, knowledge-sharing and effective records management <b>ER300-006-1</b> The UNFCCC website, mobile app and social media channels are recognized as	<b>Core</b> Provision of access to official documents Taxonomy is developed and maintained Provision of access to information and data on the website and mobile app Enhanced website and mobile app functionalities	<b>Core</b> Access to official documents provided through the UNFCCC website and its search facilities Enterprise taxonomy further developed (177 terms added to the UNFCCC taxonomy in 2020) and applied for search and retrieval in 2020 to: <ul style="list-style-type: none"> <li>• Official documents submitted to the United Nations Office at Geneva with over 171 new records added on the public website</li> </ul>	Percentage of official documents tagged with UNFCCC taxonomy terms Baseline: 96 per cent Target: 100 per cent Actual: 98 per cent Number of web media files downloaded per year Baseline: 1.4 million Target: 1.7 million Actual: 1.3 million



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
the trusted leading sources for wide-ranging, relevant, timely and comprehensive information on climate change and the UNFCCC process		<ul style="list-style-type: none"> <li>• Over 6,000 documents created or modified in over 93 internal knowledge spaces</li> <li>• Over 400 SharePoint sites maintained across the social intranet</li> </ul> <p>Comparison of the taxonomy and its values implemented on the public website, social intranet and Office 365 environment</p> <p>Support and expertise provided to the Climate Hub 360 project (an online calendar of events), including reviewing and recommending terms for event themes, types and organizers</p> <p>Support provided for the project to overhaul the website user experience</p> <p>Consultations held across secretariat divisions, subdivisions, units and teams in developing, maintaining and updating the enterprise taxonomy</p>	<p>Number of website visits and page views</p> <p>Baseline: 8.7 million visits and 10.7 million-page views</p> <p>Target: 9 million visits and 11 million-page views</p> <p>Actual: 12.5 million visits and 10.1 million-page views</p> <p>Number of mobile app users</p> <p>Baseline: 9,900</p> <p>Target: 14,000</p> <p>Actual: 8,000</p> <p>Number of followers and fans on social media channels</p> <p>Baseline: 0.470 million for Facebook, 0.560 million for Twitter and 0.311 million for Instagram</p> <p>Target: 0.5 million for Facebook, 0.7 million for Twitter and 0.4 million for Instagram</p> <p>Actual: 0.470 million for Facebook, 0.754 million for Twitter and 0.529 million for Instagram</p>
	<b>Supplementary</b> Enhanced internal communication channels	<b>Supplementary</b> Consultations and services provided across secretariat divisions, subdivisions, units and teams relating to publishing news articles or announcements, resulting in: <ul style="list-style-type: none"> <li>• 291 articles and announcements published</li> <li>• A total of 46,386 unique views of articles and announcements</li> <li>• Support provided for digital signage postings</li> </ul>	
<b>ER300-006-2</b> Participation in climate action globally is enabled, enhanced and recognized	<b>Supplementary</b> Reporting and analysis of information in support of the Marrakech Partnership for Global Climate Action through the GCA	<b>Supplementary</b> The GCA portal was strengthened, including by:	<p>Number of commitments reported by non-Party stakeholders on the GCA portal per year</p> <p>Baseline: 24,000</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	portal Further development of the GCA portal, with regular updates on progress and commitments	<ul style="list-style-type: none"> <li>• Aligning reporting standards through consultations with data providers</li> <li>• Improving the tracking of climate actions through technical developments</li> <li>• Processing new data uploaded to the portal</li> <li>• Moving the platform to a new service provider, ensuring alignment with the secretariat's ICT requirements</li> </ul>	Target: +5,000 per year Actual: 27,513
<b>ER300-006-3</b>	<b>Other (CDM and JI)</b>	<b>Other (CDM and JI)</b>	
Transparency and access to regulatory documents and decisions on the CDM and JI	<p>210 official documents of the 22 regular meetings of the CDM Executive Board, its panels and working groups and the JISC are classified, indexed and made available in the CDM catalogue of decisions and/or on the public website</p> <p>CDM and JI taxonomies are developed and maintained</p> <p>2 terabytes of CDM and JI electronic files, including the electronic records, are managed in accordance with the CDM and JI business classification scheme (file plan) and record management life cycles</p> <p>Manage 20 linear metres of inactive physical CDM records to facilitate transfer to UNFCCC archives</p>	<p>144 official documents of the nine regular meetings of the CDM Executive Board, its panels and working groups and the JISC were classified, indexed and made available in the CDM catalogue of decisions and/or on the public website</p> <p>CDM and JI taxonomies were maintained (550 terms) and updated (5 new terms added) and applied to:</p> <ul style="list-style-type: none"> <li>• Over 3,600 searchable documents in the database of the CDM catalogue of decisions</li> <li>• Over 750 searchable documents contained in reference section of the CDM/JI public website</li> <li>• Over 500 searchable SharePoint sites across the internal systems</li> </ul> <p>Of the 2 terabytes of CDM and JI electronic files, 0.3 terabytes were migrated to the cloud and are managed in accordance with the CDM and JI business classification scheme</p> <p>Approximately 10 linear metres of physical records were appraised and managed. Owing to the COVID-19 pandemic, there was limited access to</p>	<p>Percentage of CDM and JI official documents tagged with CDM taxonomy terms</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p> <p>Percentage of CDM official documents indexed and uploaded to the CDM catalogue of decisions and CDM website</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
		the physical records, reducing the volume of on-site physical record appraisals	
<b>Objective 4: enhance engagement</b> Enhance engagement with Parties and non-Party stakeholders to increase climate action <b>ER400-006-1</b> Parties and the public are provided with up-to-date and readily accessible information on the UNFCCC process, climate change and climate action	<b>Core</b> 250 media and outreach products, including speeches, press releases, editorials, statements and interviews by the presiding officers, Executive Secretary and senior officials 500 news items for the UNFCCC Newsroom 5,000 social media postings Four digital campaigns to promote UNFCCC sessions Support knowledge management and internal communication by updating and increasing adoption of the social intranet  <b>Supplementary</b> 1,000 news items for the UNFCCC Newsroom (in Arabic, Chinese, French, Russian and Spanish) 10,000 social media postings (in Arabic, Chinese, French, Russian and Spanish) 20 digital campaigns to promote an increase in major activities UNFCCC-sponsored media workshops for journalists from developing countries	<b>Core</b> 172 products (29 press releases; three editorials; 140 interview requests) 443 news items for the UNFCCC Newsroom Knowledge management and internal communication services and support provided to the social intranet included: <ul style="list-style-type: none"> <li>• 431 pages maintained</li> <li>• 160 pages modified</li> <li>• 47 pages created</li> <li>• 382-page assets (documents, images or videos) uploaded and 1,007 page assets modified</li> <li>• 2,941 documents uploaded and 3,476 documents modified</li> <li>• 93 knowledge spaces maintained, and 12 knowledge spaces created, supporting business processes</li> <li>• 836,715 total unique views of pages</li> <li>• 1,664 total unique advanced searches</li> <li>• 81,164 total users</li> </ul> <b>Supplementary</b> 443 UNFCCC Newsroom articles (in English, French, Russian and Spanish). Insufficient funding was available for posting articles in Arabic or Chinese during the reporting period 3,731 social media postings (in English, French, Russian and Spanish). Insufficient funding was available for social media postings in Arabic or Chinese during the reporting period	Number of news media and outreach products Baseline: 200 Target: 250 Actual: 172 Number of web articles and social media posts on climate change and sustainable development Baseline: 450 Target: 500 Actual: 2,151 Increase of 10 per cent in social media posts from 2018 baseline (315) Actual: 371 Increase in intranet engagement as measured by a composite performance indicator tracking staff content creation, use of social features and updated staff profiles Baseline: 1.9 Target: 3.0 Actual: 2.31 Active knowledge spaces serving business processes related to mandates of the organization Baseline: 79 Target: 95 Actual: 93 knowledge spaces maintained and 12 knowledge spaces created, supporting mandated and non-mandated

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
	Promotional material, such as videos, web briefings, direct reporting, joint outreach products and social media campaigns developed to highlight climate action initiatives	Three social media campaigns, including promoting the Race to Zero initiative, events during the June Momentum and the Sustainable Development Goals Action Zone campaign on the margins of the United Nations General Assembly  Insufficient funding was available in the reporting period for conducting media workshops for journalists from developing countries  Insufficient funding was available in the reporting period for briefing journalists in relation to the cancellation of the sessions of the subsidiary bodies and of all climate weeks	business processes
<b>ER400-006-2</b>  Parties and the public are provided with up-to-date and readily accessible information on the status of the CDM	<b>Other (CDM and JI)</b> As per CDM-MAPs: <sup>a</sup> <ul style="list-style-type: none"> <li>• 48 CDM communication products, including CDM stories, promoted on the UNFCCC website, and stories and in-focus advisories published on the CDM website</li> <li>• Promotion of the CDM through the secretariat's social media accounts</li> <li>• Promotion of climate neutrality and enhancement of using the CDM for voluntary cancellation of CERs</li> <li>• Promotion of the voluntary cancellation platform to suppliers to ensure a high and diversified supply of CERs on the platform</li> </ul>	<b>Other (CDM and JI)</b> 37 CDM communication products were issued, including UNFCCC Newsroom articles, media releases, social media posts and in-focus advisories published on the CDM website, as well as news and updates provided through the RCCs  Events and updates organized at the regional level by RCCs  Promotion of the CDM through the secretariat's social media accounts, including LinkedIn (UN Climate Change), Twitter (@UNFCCC) and Facebook (@UNclimatechange) to advertise news stories and events organized at the regional level and the status of work and updates. YouTube channels (TheCDMvideos and UN Climate Change) were also used to provide multimedia files in the context of the CDM and were promoted through the secretariat's social media channels  In terms of direct outreach to users of	Number of CDM news media and social outreach products Baseline: 48 Target: 48 Actual: 37 Number of organizations/individuals that cancel CERs through the voluntary cancellation platform Baseline: 4,500 Target: 5,000 Actual: 3,018 additional cancellations of some 1 million CERs took place on the voluntary cancellation platform in 2020, bringing the total number of CERs cancelled on the platform to more than 2 million since the platform was launched

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
		<p>CERs, the Climate Neutral Now initiative increased its number of participants to over 400, all of which are using CERs</p> <p>Supply of CERs in the voluntary cancellation platform increased, with 48 projects available as at December 2020</p>	
<b>ER400-006-3</b> Participation in both the UNFCCC process and climate action globally is enabled, enhanced and recognized	<p><b>Supplementary</b></p> <p>Decisions on side events (1,500), exhibits (500) and interviews (350)</p> <p>Web calendars of side events, exhibits and Climate Action Studio interviews</p> <p>Servicing of side events (approximately 600), exhibits (approximately 500) and Climate Action Studio interviews (200) by Parties and observer organizations, including their live broadcasting (500) and web posting (700)</p> <p>Momentum for Change submission reviews (500), award events (four), continual promotion and engagement</p> <p>Relationships maintained with all constituencies</p>	<p><b>Supplementary</b></p> <p>Owing to the postponement of COP 26, no side events, exhibits or interviews were conducted in 2020. Instead, 23 online events, including 19 online special events for observers, were held during the June Momentum and the Climate Dialogues</p> <p>Momentum for Change:</p> <ul style="list-style-type: none"> <li>• 520 submissions received and reviewed</li> <li>• 15 Lighthouse Activities identified and three Lighthouse events and one award ceremony organized online</li> <li>• Four promotional videos, one report and one full media package presenting all the winners produced and published on the UNFCCC website and social media</li> </ul> <p>Support for, and delivery of, outputs under partnerships and collaboration projects, including the Marrakech Partnership for Global Climate Action, Climate Neutral Now, the Fashion Industry Charter for Climate Action, the Sports for Climate Action Framework, the Airport Carbon Accreditation initiative and the Greening the Blue initiative, resulting in:</p> <ul style="list-style-type: none"> <li>• Over 150 global brands, suppliers and supporting organizations signatories to</li> </ul>	<p>Percentage of side event and exhibit applications processed</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: NA as no formal sessions were held in 2020</p> <p>Percentage of confirmed side events and exhibits implemented (excluding those cancelled)</p> <p>Baseline: 100 per cent</p> <p>Target: 100 per cent</p> <p>Actual: NA, as no formal sessions were held in 2020</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
		<p>the Fashion Industry Charter for Climate Action adopting targets aligned with the goal of limiting the global temperature increase to 1.5 °C above pre-industrial levels</p> <ul style="list-style-type: none"> <li>• Over 150 sports organizations signing the Sports for Climate Action Framework, committing to align their work with the targets of the Paris Agreement and promoting and informing their fan base about climate change and climate action</li> <li>• The Climate Neutral Now initiative increasing its number of participants to 400 by the end of 2020 and supporting numerous voluntary climate action initiatives at the national and regional level</li> </ul>	
<p><b>ER400-006-4</b></p> <p>Participation in the gender work programme is enhanced</p>	<p><b>Supplementary</b></p> <p>Workshops, training, expert meetings and enhanced engagement in implementing the gender work programme</p>	<p><b>Supplementary</b></p> <p>The secretariat presented or contributed to 11 events on gender-responsive climate action hosted by CUTS International, FAO, ICLEI – Local Governments for Sustainability, the Organisation for Economic Co-operation and Development, the SLYCAN Trust, Terra, the United Nations Economic Social Commission for Asia and the Pacific, the United Nations Entity for Gender Equality and the Empowerment of Women and the United Nations Population Fund</p>	<p>Number of United Nations agencies and other stakeholders that collaborate with the secretariat on workshops, training or awareness-raising events related to gender-responsive climate policies and plans</p> <p>Baseline: 15 Target: 20 Actual: 51</p>
<p><b>Objective 5: oversight and administration</b></p> <p>Effectively manage and administer the division</p> <p><b>ER500-006-1</b></p>	<p><b>Programme support costs</b></p> <p>Effective and efficient financial and human resource management of the division</p>	<p><b>Programme support costs</b></p> <p>Human and financial resources and other assets of the division were effectively managed in accordance with relevant United Nations regulations</p>	<p>Expenditure levels compared with the approved division budget and available cash</p> <p>Baseline: 99 per cent Target: 99 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
The human and financial resources and other assets of the division are effectively managed in order to ensure communications work and engagement activities are driven by the outcomes of all processes and bodies			Actual: 26 per cent

<sup>a</sup> The current two-year CDM-MAPs are available at <http://cdm.unfccc.int/EB/>.

Table 32  
**2020–2021 resource requirements for the Communication and Engagement division**  
(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	3 329 480 <sup>a</sup>	1 180 933	35
Supplementary <sup>b</sup>	8 438 847	1 339 729	16
Programme support costs	426 400 <sup>c</sup>	66 130	16
CDM-MAP	3 957 773	1 534 455	39
<b>Total resources</b>	<b>16 152 500</b>	<b>4 121 247</b>	<b>26</b>

<sup>a</sup> As reallocated by the Executive Secretary pursuant to the authority given to her by the COP to make transfers between appropriation lines (decision 17/CP.25, para. 13). The amount stated in table 32 of the updated work programme of the secretariat for the biennium 2020–2021 is EUR 3,605,840.

<sup>b</sup> Supplementary budget does not translate to funding available for spending.

<sup>c</sup> The funding for this post was shifted from programme support costs to the core budget after publication of the updated work programme. A corresponding shift from the core budget to programme support costs was made in the Administrative Services, Human Resources, and Information and Communication Technology division.

Table 33  
**2020–2021 post requirements for the Communication and Engagement division**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>15</b>	<b>11.8</b>	<b>79</b>
Professional level staff and above	10	7.8	78
General Service level staff	5	4	80
<b>Supplementary</b>	<b>28</b>	<b>13</b>	<b>46</b>
<b>Programme support costs</b>	<b>–</b>	<b>–</b>	<b>–</b>

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>CDM-MAP</b>	<b>13</b>	<b>8.5</b>	<b>65</b>
<b>Total</b>	<b>56</b>	<b>33.3</b>	<b>59</b>

<sup>a</sup> Post requirements are generally shown as per the updated work programme of the secretariat for the biennium 2020–2021, with the exception of one post at D-1 level. The funding for this post was shifted from programme support costs to the core budget after publication of the updated work programme. A corresponding shift from the core budget to programme support costs was made in the Administrative Services, Human Resources, and Information and Communication Technology division.

## D. Executive division

Table 34

### 2020–2021 objectives, planned and delivered outputs, and performance indicators and data for the Executive division

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 1a: intergovernmental engagement</b> Facilitate intergovernmental engagement on responding to the threat of climate change by ensuring effective organizational, process, technical and substantive support for ongoing intergovernmental oversight of established processes and negotiation of new, revised or enhanced processes, as appropriate <b>ER101-010-1</b> The operation of the intergovernmental process is facilitated	<b>Core</b> Four UNFCCC sessional periods are coherently and efficiently organized 120 internal intergovernmental process planning meetings in preparation of and during sessional periods 50 external events and meetings in preparation of sessions supported through high-level participation	<b>Core</b> The 2020 sessional meetings were postponed to 2021. To maximize progress and minimize delays in the UNFCCC process, the secretariat conceptualized and developed the Climate Hub 360 online calendar of events to showcase approximately 450 events. 50 external and internal meetings and events were held to plan and prepare for the June Momentum and the Climate Dialogues	Level of satisfaction of Parties with the secretariat's support for the intergovernmental process Baseline: 66 per cent (2013 Party satisfaction survey) agreed or strongly agreed with the statement "The secretariat supported the intergovernmental process effectively" Target: 75 per cent Actual: NA
<b>ER101-010-2</b> Presiding officers are enabled to perform their mandated functions	<b>Core</b> 60 coordination meetings with the Presidencies of the COP, the CMP and the CMA and presiding officers	<b>Core</b> Over 50 coordination meetings were held with the Presidencies of the COP, the CMP and the CMA and presiding officers, noting the need for increased coordination resulting from the postponement of the 2020 negotiating sessions	Level of satisfaction of the current and incoming Presidencies of the COP, the CMP and the CMA, and the presiding officers Target: 100 per cent Actual: NA
<b>Objective 4: enhance engagement</b>	<b>Core</b>	<b>Core</b>	



<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<p>Facilitate engagement in the UNFCCC process in order to promote action towards the achievement of the objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement, including through high-level outreach</p> <p><b>ER400-010-1</b></p> <p>Engagement in the UNFCCC process is enabled, enhanced and recognized, including through enhanced support provided by the United Nations, intergovernmental agencies and other stakeholders to Parties in the implementation of the Convention, the Kyoto Protocol and the Paris Agreement</p>	<p>350 expected engagements in high-level events, meetings and media activities:<sup>a</sup></p> <ul style="list-style-type: none"> <li>• To promote the achievement of the objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement (180)</li> <li>• To participate in and provide inputs to meetings of the Secretary-General and senior United Nations coordination mechanisms (20)</li> <li>• To engage in media activities, including interviews and video messages (150)</li> </ul> <p><b>Supplementary</b></p> <p>70 engagements in high-level events, meetings and media activities to promote the achievement of the objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement</p> <p>Enhanced coordination of secretariat activities with the Office of the United Nations Secretary-General and the United Nations system</p>	<p>Following the postponement of the negotiating sessions, the Executive Secretary and the Deputy Executive Secretary embarked on virtual engagements beginning in March 2020 until the end of the year. 491 engagements in high-level events, meetings and media activities were undertaken by the Executive Secretary and the Deputy Executive Secretary in 2020:</p> <ul style="list-style-type: none"> <li>• The Executive Secretary and the Deputy Executive Secretary engaged in 317 events and meetings to promote the objectives and goals of the Convention, the Kyoto Protocol and the Paris Agreement</li> <li>• The Executive Secretary and the Deputy Executive Secretary participated in and provided inputs to 139 meetings of the Secretary-General and senior United Nations coordination mechanisms</li> <li>• The Executive Secretary engaged in 35 media activities, including interviews, articles and media-related video messages</li> </ul> <p><b>Supplementary</b></p> <p>The related outputs are included in the outputs delivered under the core budget (see above)</p>	<p>Participation in meetings of the United Nations system related to climate change to promote and ensure consistency with the objectives of the Convention, the Kyoto Protocol and the Paris Agreement</p> <p>Target: 100 per cent</p> <p>Actual: 100 per cent</p>

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
<b>Objective 5: oversight and administration</b> Ensure the financial and human resources of the organization are managed in accordance with applicable United Nations rules and regulations and are utilized effectively to deliver the expectations established by Parties <b>ER500-010-1</b> The human and financial resources and other assets of the secretariat are effectively managed in accordance with relevant United Nations regulations	<b>Core</b> 40 senior management meetings related to the overall management of the organization and financial oversight A secretariat internal control framework and secretariat-wide coordination of eight audits (two Board of Auditors and six Office of Internal Oversight Services) System for enhanced monitoring and measurement of organizational effectiveness and performance Mechanisms for assessing the operational implementation of strategic change efforts and business processes against institutional objectives and deliverables 4,500–5,000 letters and mission-related documents are processed and the repository of secretariat-wide mission travel approval process is managed Organizational development project plan, implementation reports and related project management documentation  <b>Supplementary</b> Consultancy to support organizational development work	<b>Core</b> The Executive Secretary and the Deputy Executive Secretary organized 19 management team and 84 update meetings with directors. The secretariat provided support for six meetings of the Bureau of the COP, the CMP and the CMA and organized four sessions to brief Parties and observer States on the status of implementation of the budget for the biennium 2020–2021, the new secretariat structure and other institutional matters  <b>Supplementary</b> The secretariat engaged consultants where necessary and appropriate to support the work on the structural review of the secretariat and to recommend a structure that is fit-for-purpose taking into account the new era of the Paris Agreement. As part of the review, the consultants also supported work on non-structural elements to enhance organizational culture. Several consultants were also engaged to review the secretariat's administrative policies on ICT, human resources, procurement, records management and	Number of closed audit recommendations Baseline: 100 per cent Target: 100 per cent Actual: 28 per cent Level of satisfaction of staff with secretariat management Baseline: 73 per cent (2016 staff satisfaction survey) Target: 85 per cent Actual: NA (no survey was conducted for 2020) Expenditure levels compared with the approved budget and available cash Baseline: 99 per cent Target: 99 per cent Actual: 78 per cent

<i>Objective and expected result</i>	<i>Outputs planned for the biennium 2020–2021 per funding source</i>	<i>Outputs delivered in the reporting period per funding source</i>	<i>Performance indicator and performance data</i>
		finance in terms of their consistency with policies established by United Nations Headquarters	
	<b>Programme support costs</b>	<b>Programme support costs</b>	
	Effective and efficient financial and human resource management of the Executive and Cross-cutting divisions	Human and financial resources and other assets of the Cross-cutting divisions were effectively managed in accordance with relevant United Nations regulations	
	Certification transactions related to administrative management of the Executive and Cross-cutting divisions	Transactions related to administrative management of the Executive and Cross-cutting divisions were certified in accordance with relevant United Nations regulations	
	Supporting eight audits (two by the Board of Auditors and six by the Office of Internal Oversight Services)	One external audit of the secretariat was conducted and one pre-audit session took place with the Office of Internal Oversight Services on the internal audit of COP 25	

<sup>a</sup> Including events and activities at and away from secretariat headquarters, remote participation, video messaging, print and online media contributions, and written contributions.

Table 35  
**2020–2021 resource requirements for the Executive division**  
(Euros)

<i>Funding source</i>	<i>Budget for the biennium 2020–2021</i>	<i>Expenditure as at 31 December 2020</i>	<i>Expenditure rate (%)</i>
Core budget	3 623 840	1 531 994	42
Supplementary	558 360	795 962	143
Programme support costs	1 691 000	606 456	36
<b>Total resources</b>	<b>5 873 200</b>	<b>2 934 412</b>	<b>50</b>

Table 36  
**2020–2021 post requirements for the Executive division**

<i>Funding source</i>	<i>Posts required<sup>a</sup></i>	<i>Posts filled as at 31 December 2020</i>	<i>Post occupancy rate (%)</i>
<b>Core budget</b>	<b>14.5</b>	<b>10</b>	<b>69</b>
Professional level staff and above	8	5	63
General Service level staff	6.5	5	77
<b>Supplementary</b>	<b>1</b>	<b>1</b>	<b>100</b>
<b>Programme support costs</b>	<b>6</b>	<b>5</b>	<b>83</b>
<b>Total</b>	<b>21.5</b>	<b>16</b>	<b>74</b>

<sup>a</sup> Post requirements as per the updated work programme of the secretariat for the biennium 2020–2021.