



Subsidiary Body for Implementation

Fifty-first session

Santiago, 2–9 December 2019

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations¹

Note by the Executive Secretary^{*,2}

I. Provisional agenda

1. Opening of the session.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session;
 - (c) Election of officers other than the Chair;
 - (d) Multilateral assessment working group session under the international assessment and review process;
 - (e) Facilitative sharing of views under the international consultation and analysis process;
 - (f) Other mandated events.
3. Reporting from and review of Parties included in Annex I to the Convention:
 - (a) Status of submission and review of seventh national communications and third biennial reports from Parties included in Annex I to the Convention;
 - (b) Compilations and syntheses of second and third biennial reports from Parties included in Annex I to the Convention;
 - (c) Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the periods 1990–2016 and 1990–2017.
4. Reporting from Parties not included in Annex I to the Convention:

¹ Joint SBSTA 51–SBI 51 agenda items are marked with an asterisk.

^{*} This document was scheduled for publication after the standard publication date owing to circumstances beyond the submitter's control

² The [abbreviations and acronyms list](#) can be found at the end of the document.



- (a) Information contained in national communications from Parties not included in Annex I to the Convention;
 - (b) Report and terms of reference of the Consultative Group of Experts;
 - (c) Provision of financial and technical support;
 - (d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention.
5. Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement.
6. Matters relating to the mechanisms under the Kyoto Protocol:
 - (a) Review of the modalities and procedures for the clean development mechanism;
 - (b) Report of the administrator of the international transaction log under the Kyoto Protocol.
7. Scope of the next periodic review of the long-term global goal under the Convention and of overall progress towards achieving it.*
8. Koronivia joint work on agriculture.*
9. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts and the 2019 review of the Mechanism.*
10. Report of the Adaptation Committee.*
11. Matters relating to the least developed countries.
12. National adaptation plans.
13. Development and transfer of technologies:
 - (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network;*
 - (b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21;
 - (c) Poznan strategic programme on technology transfer.
14. Matters relating to the Adaptation Fund: membership of the Adaptation Fund Board.
15. Matters relating to capacity-building for developing countries:
 - (a) Annual technical progress report of the Paris Committee on Capacity-building;
 - (b) Matters relating to capacity-building under the Convention, including enhancing institutional arrangements and the review of the Paris Committee on Capacity-building.
16. Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement.*
17. Gender and climate change.
18. Report on activities related to Action for Climate Empowerment.
19. Administrative, financial and institutional matters:
 - (a) Audit report and financial statements for 2018;
 - (b) Budget performance for the biennium 2018–2019;
 - (c) Other budgetary matters;
20. Other matters.

21. Closure of and report on the session.

II. Annotations to the provisional agenda

1. Opening of the session

1. SBI 51 will be opened by the Chair of the SBI, Emmanuel Dumisani Dlamini (Eswatini), on Monday, 2 December 2019.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption. The SBI will be informed of any matters referred to it by the governing bodies.

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| <i>FCCC/SBI/2019/10</i> | <i>Provisional agenda and annotations. Note by the Executive Secretary</i> |
| <i>Further information</i> | https://unfccc.int/event/sbi-51 |

(b) Organization of the work of the session

3. Detailed information on the work of the session will be posted on the SBI 51 web page.³ Delegates are invited to refer to the overview schedule and the in-session Daily Programme⁴ and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. Five bodies will be meeting in parallel. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant SBI conclusions.⁵ In this context, the presiding officers of the SBI and the SBSTA will propose cut-off times for group work and deadlines for the submission of conclusions to ensure that they are available in all six official languages of the United Nations for the closing plenary.

(c) Election of officers other than the Chair

4. *Background:* The SBI will elect its Vice-Chair. When the SBI exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and among the Parties to the Kyoto Protocol. This applies *mutatis mutandis* to the Paris Agreement.

5. *Action:* The SBI will be invited to elect its Vice-Chair.

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| <i>Further information</i> | https://unfccc.int/process-and-meetings/bodies/election-and-membership |
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³ <https://unfccc.int/event/sbi-51>.

⁴ Available at <https://unfccc.int/Santiago>.

⁵ FCCC/SBI/2014/8, paras. 218–221.

(d) Multilateral assessment working group session under the international assessment and review process

6. The third round of the multilateral assessment process for developed countries (part of the international assessment and review process)⁶ is taking place in 2018–2019. At this session up to 10 Parties will be multilaterally assessed in a working group session open to all Parties. Interested Parties will be able to submit written questions in advance.

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| <i>Further information</i> | https://unfccc.int/MA |
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(e) Facilitative sharing of views under the international consultation and analysis process

7. The eighth facilitative sharing of views under the international consultation and analysis process for BURs,⁷ will be convened at this session for the developing country Parties that submitted a BUR and for which a summary report was finalized by 30 September 2019. It will take the form of a workshop open to all Parties. Interested Parties will be able to submit written questions in advance.

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| <i>Further information</i> | https://unfccc.int/FSV |
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(f) Other mandated events

8. The following events are mandated to take place in conjunction with this session:

- (a) The WIM review event (see para. 38 below);
- (b) The 2nd meeting of the KCI (see para. 68 below);
- (c) A workshop under the Koronivia joint work on agriculture (see para. 35 below).

3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of seventh national communications and third biennial reports from Parties included in Annex I to the Convention

9. *Background:* COP 17 requested developed country Parties to submit full NCs every four years, and BRs starting in 2014 as an annex to the NC or as a separate report.⁸ Accordingly, developed countries were to submit their NC7s and BR3s by 1 January 2018.

10. As at 16 September 2019, the secretariat had received 42 NC7s, 41 BR3s and 41 BR3 common tabular format submissions and has coordinated the reviews of these submissions.

11. *Action:* The SBI will be invited to take note of the status of submission and review of the NC7s and BR3s.

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| <i>FCCC/SBI/2019/INF.2</i> | <i>Status of submission and review of seventh national communications and third biennial reports. Note by the secretariat</i> |
| <i>Further information</i> | http://unfccc.int/10267 |

⁶ See decision 2/CP.17, annex II.

⁷ See decision 2/CP.17, annex IV.

⁸ Decision 2/CP.17, paras. 14–15.

(b) Compilations and syntheses of second and third biennial reports from Parties included in Annex I to the Convention

12. *Background:* The secretariat prepared a compilation and synthesis report⁹ on the information reported by Annex I Parties in their BR2s for consideration at COP 22.¹⁰ The consideration of the report was initiated at SBI 45 and continued at subsequent sessions without any conclusions being reached. The secretariat also prepared a compilation and synthesis report¹¹ on the information reported by Annex I Parties in their BR3s for consideration at COP 24. At SBI 50 it was agreed to continue consideration of this matter at SBI 51.¹²

13. *Action:* The SBI will be invited to conclude its consideration of the compilations and syntheses of BR2s and BR3s and to recommend a draft decision on the matter, as appropriate, for consideration and adoption by the COP.

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| <i>Further information</i> | http://unfccc.int/2736 |
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(c) Reports on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the periods 1990–2016 and 1990–2017

14. *Background:* The secretariat prepares an annual report containing the latest information on greenhouse gas inventory data submitted by Annex I Parties for consideration by the COP and its subsidiary bodies.¹³ The 2018 report¹⁴ covers the period 1990–2016 and was considered at SBI 49 and 50. The SBI agreed to continue its consideration of this matter at SBI 51.¹⁵ The 2019 report, prepared for this session, covers the period 1990–2017.

15. *Action:* The SBI will be invited to take note of the information contained in the reports.

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| <i>FCCC/SBI/2019/17</i> | <i>National greenhouse gas inventory data for the period 1990–2017. Report by the secretariat</i> |
| <i>Further information</i> | https://unfccc.int/node/194264 https://unfccc.int/node/64728 |

4. Reporting from Parties not included in Annex I to the Convention(a) Information contained in national communications from Parties not included in Annex I to the Convention

16. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all their NCs.¹⁶ There was no agreement among Parties at that session, and this agenda sub-item has been held in abeyance since SBI 25, including at SBI 50. On a proposal by the Chair, the SBI decided to include it on the provisional agenda for SBI 51.¹⁷

17. *Action:* The SBI will be invited to provide guidance on how to consider the information contained in the NCs of non-Annex I Parties taking into account decisions 1/CP.21, 1/CP.24 and 18/CMA.1.

⁹ FCCC/SBI/2016/INF.10 and Add.1.

¹⁰ As per decision 2/CP.17, para. 21.

¹¹ FCCC/SBI/2018/INF.8 and Corr.1 and Add.1 and Add.1/Corr.1.

¹² FCCC/SBI/2019/9, para. 14.

¹³ As per decision 13/CP.20, para. 10.

¹⁴ FCCC/SBI/2018/17.

¹⁵ FCCC/SBI/2019/9, para. 14.

¹⁶ FCCC/SBI/2006/11, para. 32.

¹⁷ FCCC/SBI/2019/9, para. 14.

(b) Report and terms of reference of the Consultative Group of Experts

18. *Background:* COP 24 decided to extend the term of the CGE for eight years, from 1 January 2019 to 31 December 2026, and requested SBI 50 to review and revise the terms of reference of the CGE taking into account the functions referred to in the annex to decision 19/CP.19, the annual reports of the CGE and decision 18/CMA.1, paragraph 15.¹⁸ The SBI initiated its work on this matter at SBI 50 and agreed to continue at SBI 51.¹⁹ The CGE, as requested by the COP,²⁰ will submit a progress report on its work for consideration at SBI 51.

19. *Action:* The SBI will be invited to continue to review and revise the terms of reference of the CGE and recommend a draft decision on this matter for consideration and adoption at COP 25 (December 2019). In addition, as requested at SBI 50, the Chair will convene an informal discussion to promote a better understanding of Parties' views and positions on the review and revision of the terms of reference of the CGE.²¹ Further, the SBI will be invited to consider the progress report of the CGE on its work in 2019, and to provide guidance, as appropriate, to the CGE.

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| <i>FCCC/SBI/2019/18</i> | <i>Regional hands-on training workshops on institutionalization of data management for national greenhouse gas inventories. Report by the secretariat</i> |
| <i>FCCC/SBI/2019/19</i> | <i>Report of the Consultative Group of Experts</i> |
| <i>FCCC/TP/2019/4</i> | <i>Problems, constraints and lessons learned as well as capacity-building needs for the preparation of national communications and biennial update reports. Technical paper by the Consultative Group of Experts</i> |
| <i>Further information</i> | https://unfccc.int/CGE |

(c) Provision of financial and technical support

20. *Background:* The GEF, as an operating entity of the Financial Mechanism, provides financial support for the preparation of NCs and BURs by non-Annex I Parties. At SBI 50 the GEF presented updated information on its activities relating to the preparation of NCs and BURs, including dates of requests for funding, approval of funding and disbursement of funds and approximate dates of submission of NCs and BURs to the secretariat,²² and information on its progress in developing and implementing the Capacity-building Initiative for Transparency.²³ Further, the secretariat orally reported on progress made on its efforts, made in coordination with United Nations Environment Programme and United Nations Development Programme, to ensure that further technical support is aimed at improving the domestic capacity of non-Annex I Parties for continuity in meeting reporting requirements.²⁴

21. CMA 1.3 urged and requested the GEF, as an operating entity of the Financial Mechanism, throughout its replenishment cycles to support developing country Parties in preparing their first and subsequent biennial transparency reports.²⁵

22. *Action:* As agreed at SBI 50,²⁶ the SBI will be invited to continue its consideration of this matter and to agree on any further action, as appropriate.

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| <i>FCCC/SBI/2019/INF.10</i> | <i>Information provided by the Global Environment Facility on its activities relating to the preparation of</i> |
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¹⁸ Decision 11/CP.24, paras. 1 and 5.

¹⁹ FCCC/SBI/2019/9, para. 22.

²⁰ Decision 19/CP.19, para. 7.

²¹ FCCC/SBI/2019/9, para. 23.

²² See document FCCC/SBI/2018/INF.9.

²³ See document FCCC/CP/2018/6.

²⁴ FCCC/SBI/2016/20, paragraph 36.

²⁵ Decision 18/CMA.1, para. 8.

²⁶ FCCC/SBI/2019/9, para. 24.

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| | <i>national communications and biennial update reports. Note by the secretariat</i> |
| FCCC/SBI/2019/INF.13 | <i>Regional training workshops on sustainable national greenhouse gas inventory management systems and the use of the 2006 IPCC Guidelines for National Greenhouse Gas Inventories. Report by the secretariat</i> |
| Further information | http://unfccc.int/6921 |

(d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

23. *Background:* The summary report on the technical analysis of each BUR submitted under the international consultation and analysis process (see para. 7 above) is made available on the UNFCCC website²⁷ and presented to the SBI.²⁸

24. Thirteen rounds of technical analysis covering 72 BURs had been conducted as at 16 September 2019. Three rounds were organized in 2015, four rounds in 2016, and two each in 2017, 2018 and 2019, covering 14, 20, 12, 11 and 15 BURs, respectively. The fourteenth round of technical analysis will be conducted from 2 to 6 September 2019, covering seven BURs submitted between 1 February 2019 and 30 June 2019.

25. *Action:* The SBI will be invited to take note, in its conclusions, of the summary reports finalized in the period up to 16 September 2019.

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| Further information | http://unfccc.int/10054 , https://unfccc.int/ICA-cycle1 , and https://unfccc.int/ICA-cycle2 |
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5. Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement

26. *Background:* CMA 1.3 decided that Parties shall apply common time frames to their NDCs to be implemented from 2031 onward, and requested that the consideration of common time frames continue at SBI 50.²⁹ The SBI welcomed the progress³⁰ on this matter and agreed to continue its consideration at SBI 51.³¹

27. *Action:* The SBI will be invited to continue the consideration of common time frames for NDCs with a view to recommending a draft decision thereon for consideration and adoption by the CMA.

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| Further information | https://unfccc.int/process/the-paris-agreement/nationally-determined-contributions/ndc-registry |
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6. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

28. *Background:* SBI 39 initiated consideration of possible changes to the clean development mechanism modalities and procedures.³² The consultations at SBI 50 did not

²⁷ <http://unfccc.int/10054>.

²⁸ As per decision 2/CP.17, annex IV, para. 5, and decision 20/CP.19, annex, para. 11.

²⁹ Decision 6/CMA.1, paras. 2–3.

³⁰ The most recent informal document containing elements of a draft decision on this matter is available at <https://unfccc.int/documents/197342>. The ideas expressed in that document are not exhaustive, do not reflect consensus or all views, and do not prejudice the views of Parties.

³¹ FCCC/SBI/2019/9, para. 34.

³² In accordance with decision 5/CMP.8.

result in any conclusions, and, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied, the matter is included in the provisional agenda for SBI 51.³³

29. *Action:* The SBI will be invited to conclude its work on this matter and to recommend a draft decision or conclusions thereon for consideration and adoption by the CMP or to take any other action it deems appropriate.

(b) Report of the administrator of the international transaction log under the Kyoto Protocol

30. *Background:* CMP 1 requested the SBI to consider annual reports of the international transaction log administrator with a view to the SBI requesting the CMP to provide guidance in relation to the operation of registry systems.³⁴

31. *Action:* The SBI will be invited to take note of the 2019 report of the international transaction log administrator.

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| <i>FCCC/SBI/2019/INF.14</i> | <i>Report of the administrator of the international transaction log under the Kyoto Protocol</i> |
| <i>Further information</i> | http://unfccc.int/4065 |

7. Scope of the next periodic review of the long-term global goal under the Convention and of overall progress towards achieving it

32. *Background:* As requested at COP 23,³⁵ the SBI and the SBSTA resumed the consideration of the scope of the next periodic review at SB 50 and took note of decision 19/CMA.1 on the global stocktake, of the Talanoa Dialogue undertaken in 2018³⁶ and of the ongoing technical examination processes.³⁷ The SBI and the SBSTA agreed at SB 50 to continue their consideration of this matter at SB 51.³⁸

33. *Action:* The SBI and the SBSTA will be invited to continue their consideration of the scope of the next periodic review with a view to forwarding a recommendation for consideration at COP 25.

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| <i>Further information</i> | http://unfccc.int/6998 |
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8. Koronivia joint work on agriculture

34. *Background:* At SB 50, the SBI and the SBSTA welcomed the two Koronivia road map workshops held at those sessions, on topic 2(b) (methods and approaches for assessing adaptation, adaptation co-benefits and resilience) and 2(c) (improved soil carbon, soil health and soil fertility under grassland and cropland as well as integrated systems, including water management), and looked forward to considering the reports on the workshops at SB 51.³⁹

35. The SBI and the SBSTA recalled their invitation to Parties and observers to submit via the submission portal⁴⁰ by 30 September 2019 their views on topic 2(d) of the Koronivia road map (improved nutrient use and manure management towards sustainable and resilient agricultural systems), on which a workshop will be held in conjunction with SB 51.⁴¹

³³ FCCC/SBI/2019/9, para. 35.

³⁴ Decision 12/CMP.1, para. 11.

³⁵ Decision 18/CP.23.

³⁶ Decision 1/CP.24, section V.

³⁷ FCCC/SBI/2019/9, para. 40, and FCCC/SBSTA/2019/2, para. 52.

³⁸ FCCC/SBI/2019/9, para. 41, and FCCC/SBSTA/2019/2, paras. 51 and 53.

³⁹ FCCC/SBI/2019/9, para. 45, and FCCC/SBSTA/2019/2, para. 43.

⁴⁰ <https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx>.

⁴¹ FCCC/SBI/2019/9, para. 48, and FCCC/SBSTA/2019/2, para. 46.

36. *Action:* The SBI and the SBSTA will be invited to continue their joint work on agriculture, drawing on the workshop reports prepared for the session, with a view to reporting thereon at COP 26 (November 2020).

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| <i>FCCC/SB/2019/1</i> | <i>Methods and approaches for assessing adaptation, adaptation co-benefits and resilience. Workshop report by the secretariat</i> |
| <i>FCCC/SB/2019/2</i> | <i>Improved soil carbon, soil health and soil fertility under grassland and cropland as well as integrated systems, including water management. Workshop report by the secretariat</i> |
| <i>Submissions</i> | https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx (under “sessions”, select SBI 51) |
| <i>Further information</i> | http://unfccc.int/8793 |

9. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts and the 2019 review of the Mechanism

37. *Background:* COP 19 and 20 requested the Executive Committee of the WIM to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.⁴² Subsequently, Article 8, paragraph 2, of the Paris Agreement provided that the WIM shall be subject to the authority and guidance of the CMA.

38. COP 22 recommended that there be a process to periodically review the WIM, and that the next review be held in 2019.⁴³ At SB 50, the SBI and the SBSTA finalized the terms of reference for the 2019 review of the WIM,⁴⁴ and agreed to undertake the review at SB 51.⁴⁵ The SBI and the SBSTA invited Parties and other stakeholders to submit via the submission portal by 16 October 2019 their views on the review of the WIM, on the basis of the terms of reference, for consideration at SB 51.⁴⁶ In line with the terms of reference referred to above, the review will be informed, inter alia, by an event to be held in conjunction with these sessions under the guidance of the Chairs of the subsidiary bodies.

39. Two regular meetings of the Executive Committee of WIM were to take place in Bonn in 2019: the 9th meeting from 9 to 11 April and the 10th meeting from 23 to 25 October. The 2019 report of the Executive Committee reflects the outcomes of those meetings and of the Committee’s intersessional work.

40. *Action:* The SBI and the SBSTA will be invited to consider the report of the Executive Committee and to recommend any further action. The SBI and the SBSTA will also be invited to undertake the review of the WIM based on the terms of reference referred to in paragraph 38 above and recommend a draft decision to the appropriate body or bodies.⁴⁷

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| <i>FCCC/SB/2019/5 and Add.1</i> | <i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i> |
| <i>Submissions</i> | https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx (under “sessions”, select SBI 51) |

⁴² Decision 2/CP.19, para. 3, and decision 2/CP.20, para. 4.

⁴³ Decision 4/CP.22, para. 2.

⁴⁴ FCCC/SBI/2019/9, annex I, and FCCC/SBSTA/2019/2, annex.

⁴⁵ FCCC/SBI/2019/9, para. 53, and FCCC/SBSTA/2019/2, para. 37.

⁴⁶ FCCC/SBI/2019/9, para. 54, and FCCC/SBSTA/2019/2, para. 38.

⁴⁷ SBI 50 and SBSTA 50 noted that nothing in their conclusions or the terms of reference for the 2019 WIM review prejudices Parties’ views or prejudices outcomes on matters related to the governance of the WIM.

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| <i>Further information</i> | http://unfccc.int/6056 |
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10. Report of the Adaptation Committee

41. *Background:* COP 17 requested the AC to report annually to the COP through the subsidiary bodies.⁴⁸ Two regular meetings of the AC took place in Bonn in 2019: the 15th meeting from 19 to 21 March and the 16th meeting from 9 to 12 September. The 2019 report of the AC reflects the outcomes of those meetings and of intersessional work of the AC.

42. *Action:* The SBI and the SBSTA will be invited to consider the 2019 report of the AC and to recommend draft conclusions and/or a draft decision resulting from the implementation of the workplan of the AC in 2019 for consideration and adoption at COP 25.

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| FCCC/SB/2019/3 | <i>Report of the Adaptation Committee</i> |
| <i>Further information</i> | https://unfccc.int/Adaptation-Committee |

11. Matters relating to the least developed countries

43. *Background:* The LEG is mandated to develop a two-year rolling programme of work for consideration by the SBI at its first sessional meeting of each year and reports on its work to the SBI at each of its sessions.⁴⁹ The 36th meeting of the LEG took place from 27 to 30 August 2019 in Nairobi.

44. *Action:* The SBI will be invited to consider the work of the LEG and to take further action, as appropriate.

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| FCCC/SBI/2019/16 | <i>The 36th meeting of the Least Developed Countries Expert Group. Report by the secretariat</i> |
| <i>Further information</i> | https://unfccc.int/ldc-portal and https://unfccc.int/LEG |

12. National adaptation plans

45. *Background:* COP 24 requested the LEG, within its existing mandate and workplan, to consider gaps and needs related to the process to formulate and implement NAPs that have been identified through the relevant work of the LEG and the AC and how to address them, and to include relevant information thereon in its report to SBI 51.⁵⁰

46. COP 24 also requested the AC, through its task force on NAPs and within its existing mandate and workplan, to consider gaps and needs that have been identified through relevant work of the LEG and the AC and how to address them, and include relevant information thereon in its annual report for 2019.⁵¹

47. As part of its work programme for 2019–2020, the LEG prepared recommendations – including those based on consultations with Parties – on the implementation of NAPs for consideration by SBI 51, in order to identify the needs and challenges related to implementing policies, projects and programmes identified in the NAPs.

48. In its efforts to support the assessment of progress in the process to formulate and implement NAPs, as part of its rolling work programme, the LEG continues to prepare an annual progress report on NAPs to support the assessments by the SBI.⁵² The GCF and the

⁴⁸ Decision 2/CP.17, para. 96.

⁴⁹ Decision 6/CP.16, para. 3.

⁵⁰ Decision 8/CP.24, para. 17.

⁵¹ Decision 8/CP.24, para. 18.

⁵² Annex to FCCC/SBI/2019/5.

GEF include, in their respective reports to the COP, information on how they are addressing mandates related to providing support to the process to formulate and implement NAPs.

49. *Action:* The SBI will be invited to consider the documents prepared for the session in assessing progress on the process to formulate and implement NAPs with a view to making recommendations thereon.

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| <i>FCCC/SBI/2019/INF.15</i> | <i>Progress in the process to formulate and implement national adaptation plans. Note by the secretariat</i> |
| <i>FCCC/SBI/2019/16</i> | <i>The 36th meeting of the Least Developed Countries Expert Group. Report by the secretariat</i> |
| <i>FCCC/SB/2019/3</i> | <i>Report of the Adaptation Committee</i> |
| <i>FCCC/CP/2019/5</i> | <i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i> |
| <i>FCCC/CP/2019/3</i> | <i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i> |
| <i>Further information</i> | http://unfccc.int/7500 and http://unfccc.int/7279 |

13. Development and transfer of technologies

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

50. *Background:* COP 20 decided that the TEC and the CTCN shall continue to prepare a joint annual report to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.⁵³ COP 21 decided that the TEC and the CTCN shall report to the CMA, through the subsidiary bodies, on their activities to support the implementation of the Paris Agreement.⁵⁴ CMA 1.3 took note of the recommendation of the TEC and the CTCN to prepare and submit their joint annual report to both the COP and the CMA.⁵⁵

51. In 2019 the TEC convened its 18th meeting from 25 to 27 March in Copenhagen, and its 19th meeting from 16 to 19 September in Bonn. The Advisory Board of the CTCN held its 13th meeting from 27 to 29 March in Copenhagen and its 14th meeting from 11 to 13 September in Paris. The TEC and the CTCN held their first joint meeting on 27 March 2019 in Copenhagen.

52. *Action:* The SBI and the SBSTA will be invited to consider the joint annual report of the TEC and the CTCN and to recommend a draft decision thereon for consideration and adoption at COP 25 and CMA 2 (December 2019).

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| <i>FCCC/SB/2019/4</i> | <i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2019</i> |
| <i>Further information</i> | http://unfccc.int/ttclear |

(b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21

53. *Background:* CMA 1.3 adopted the scope of and modalities⁵⁶ for the periodic assessment of the effectiveness and adequacy of the support provided to the Technology

⁵³ Decision 17/CP.20, para. 4.

⁵⁴ Decision 1/CP.21, para. 68.

⁵⁵ Decision 15/CMA.1, para. 4.

⁵⁶ Annex to decision 16/CMA.1.

Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer, in accordance with decision 1/CP.21.⁵⁷

54. CMA 1.3 decided that the first periodic assessment referred to in paragraph 53 above would be initiated at CMA 4 (November 2021) with a view to CMA 5 completing it (November 2022).⁵⁸ CMA 1.3 requested SBI 51 to initiate the consideration of the alignment between processes pertaining to the review of the CTCN⁵⁹ and the periodic assessment, with a view to recommending a draft decision for consideration and adoption at CMA 3 (November 2020).⁶⁰

55. *Action:* The SBI will be invited to initiate its consideration of this matter.

(c) Poznan strategic programme on technology transfer

56. *Background:* SBI 34 invited the GEF to provide reports⁶¹ on progress in carrying out its activities under the Poznan strategic programme on technology transfer for consideration by the SBI at its sessions for the duration of the programme.⁶²

57. SBI 50 noted the report of the TEC on the updated evaluation of the Poznan strategic programme,⁶³ undertaken with the aim of enhancing the effectiveness of the Technology Mechanism. It invited the CTCN and the TEC to include in their joint annual report for 2019 information on how they will address the key messages and relevant recommendations contained in the evaluation report, and agreed to continue to consider these recommendations at SBI 51.⁶⁴

58. *Action:* The SBI will be invited to consider the progress report on the Poznan strategic programme and to continue to consider the recommendations contained in the evaluation report with a view to determining further actions arising from the reports, as appropriate.

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| <i>FCCC/SB/2019/4</i> | <i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2019</i> |
| <i>FCCC/CP/2019/5</i> | <i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i> |
| <i>Further information</i> | https://www.thegef.org/content/poznan-strategic-program and http://unfccc.int/ttclear |

14. Matters relating to the Adaptation Fund: membership of the Adaptation Fund Board

59. *Background:* CMA 1.3 decided that the Adaptation Fund shall serve the Paris Agreement under the guidance of, and be accountable to, the CMA with respect to all matters relating to the Paris Agreement, effective 1 January 2019.⁶⁵ CMP 14 took note of that decision and decided, inter alia, to ensure that developing and developed country Parties that are Parties to the Paris Agreement are eligible for membership on the Adaptation Fund Board.⁶⁶ CMP 14 requested that SBI 50 consider this matter.⁶⁷

⁵⁷ Decision 16/CMA.1, para. 1.

⁵⁸ Decision 16/CMA.1, para. 3.

⁵⁹ In accordance with decisions 2/CP.17, 14/CP.18 and 12/CP.24.

⁶⁰ Decision 16/CMA.1, para. 6.

⁶¹ The GEF reports on the progress of the Poznan strategic programme in its annual report to the COP.

⁶² FCCC/SBI/2011/7, para. 137.

⁶³ FCCC/SBI/2019/7.

⁶⁴ FCCC/SBI/2019/9, para. 83.

⁶⁵ Decision 13/CMA.1, para. 1.

⁶⁶ Decision 1/CMP.14, para. 4.

⁶⁷ Decision 1/CMP.14, para. 5.

60. At SBI 50, the consultations did not result in any conclusions, and, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied, the matter will be included on the provisional agenda for SBI 51.⁶⁸

61. *Action:* The SBI will be invited to continue its consideration of the matter referred to in paragraph 59 above and to forward a recommendation thereon for consideration and adoption at CMP 15 (December 2019).

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| <i>Further information</i> | https://unfccc.int/Adaptation-Fund |
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15. Matters relating to capacity-building for developing countries

(a) Annual technical progress report of the Paris Committee on Capacity-building

62. *Background:* The 3rd meeting of the PCCB was convened in conjunction with SBI 50, and continued to focus on the area of capacity-building activities for the implementation of NDCs in the context of the Paris Agreement.⁶⁹ In accordance with its terms of reference⁷⁰ the PCCB prepared an annual technical progress report on its work in 2019 for submission to the COP through the SBI.

63. *Action:* The SBI will be invited to consider the annual technical progress report of the PCCB, including its recommendations to the COP arising from the implementation of its workplan, and to recommend draft conclusions or a draft decision thereon for consideration and adoption at COP 25.

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| FCCC/SBI/2019/13 | <i>Annual technical progress report of the Paris Committee on Capacity-building</i> |
| <i>Further information</i> | https://unfccc.int/node/181789 , https://unfccc.int/node/29036 and https://unfccc.int/node/9993 |

(b) Matters relating to capacity-building under the Convention, including enhancing institutional arrangements and the review of the Paris Committee on Capacity-building

64. *Background:* SBI 49 initiated work on the review of the progress, need for extension, effectiveness and enhancement of the PCCB,⁷¹ and agreed that work on this matter would continue at SBI 50.⁷² SBI 50 developed terms of reference for the review,⁷³ on the basis of which it agreed that deliberations on the review of the PCCB would continue at SBI 51. SBI 50 invited Parties and observers to submit their views on the review of the PCCB, taking into consideration the terms of reference, via the submission portal by 31 October 2019, and requested the secretariat to prepare a synthesis of the submissions referred to above.⁷⁴

65. SBI 50 also initiated the fourth comprehensive review of the implementation of the framework for capacity-building in developing countries under the Convention,⁷⁵ and developed terms of reference for the review,⁷⁶ on the basis of which it agreed that deliberations on the fourth comprehensive review would continue at SBI 51. SBI 50 invited Parties and observers to submit their views on the fourth comprehensive review, taking into consideration the terms of reference, via the submission portal by 30 September 2019, and requested the secretariat to prepare a synthesis of the submissions referred to above.⁷⁷

⁶⁸ FCCC/SBI/2019/9, para. 85.

⁶⁹ FCCC/SBI/2018/15, para. 8(a).

⁷⁰ Decision 2/CP.22, annex, para. 17.

⁷¹ FCCC/SBI/2018/22, para. 80.

⁷² FCCC/SBI/2018/22, para. 84.

⁷³ FCCC/SBI/2019/9, annex III.

⁷⁴ FCCC/SBI/2019/9, paras. 88–90.

⁷⁵ Decision 16/CP.22, para. 12.

⁷⁶ FCCC/SBI/2019/9, annex II.

⁷⁷ FCCC/SBI/2019/9, paras. 93–95.

66. *Action:* The SBI will be invited to conclude its consideration of these matters with a view to recommending a draft decision on enhancing institutional arrangements for capacity-building for consideration and adoption at COP 25, taking into account Article 11, paragraph 5, of the Paris Agreement.⁷⁸ The SBI will also be invited to take note of the summary report on the 8th meeting of the Durban Forum on capacity-building that had been convened in conjunction with SBI 50.

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| <i>FCCC/SBI/2019/13</i> | <i>Annual technical progress report of the Paris Committee on Capacity-building</i> |
| <i>FCCC/SBI/2019/11</i> | <i>The 8th Durban Forum on capacity-building. Summary report by the secretariat</i> |
| <i>FCCC/SBI/2019/INF.11</i> | <i>Views on the review of the Paris Committee on Capacity-building and on enhancing existing institutional arrangements for capacity-building under the Convention. Synthesis report by the secretariat</i> |
| <i>FCCC/SBI/2019/INF.17</i> | <i>Views on the fourth comprehensive review of the implementation of the framework for capacity-building in developing countries under the Convention. Synthesis report by the secretariat</i> |
| <i>Submissions</i> | https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx (under "sessions", select SBI 51) |
| <i>Further information</i> | https://unfccc.int/node/181789 , https://unfccc.int/node/28953 and https://unfccc.int/node/9993 |

16. Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement

67. *Background:* COP 24, CMP 14 and CMA 1.3 acknowledged that the forum on the impact of the implementation of response measures (hereinafter referred to as the forum) covers the work of the COP, the CMP and the CMA on all matters relating to the impact of the implementation of response measures.⁷⁹

68. At SB 50, the forum welcomed the 1st meeting of the KCI, held in conjunction with those sessions, which supports the work of the forum in accordance with decision 7/CMA.1.⁸⁰ The SBI and the SBSTA agreed that the KCI, at its 2nd meeting, to be held in conjunction with SB 51, will exchange lessons learned and best practices on analysis and assessment of positive and negative impacts of the implementation of response measures by Parties and report to the forum in accordance with paragraph 4(j) of the annex to decision 7/CMA.1.

69. At SB 50, as requested at CMA 1.3,⁸¹ the forum initiated its work to develop a six-year workplan in line with the forum's functions, work programme and modalities,⁸² taking into account relevant policy issues of concern to Parties. At these sessions the forum will finalize the workplan of the forum and the KCI.

⁷⁸ In accordance with decision 1/CP.21, para. 81.

⁷⁹ Decisions 7/CP.24, 3/CMP.14 and 7/CMA.1, respectively.

⁸⁰ FCCC/SBI/2019/9, para. 104 and FCCC/SBSTA/2019/2, para. 89.

⁸¹ Decision 7/CMA.1, para. 9.

⁸² Annex to decision 7/CMA.1.

70. At these sessions the forum will also consider the annual report of the KCI. As invited by the SBI and the SBSTA at SB 50, the KCI will append to its annual report the draft rules of procedure⁸³ it prepared at its 1st meeting.⁸⁴

71. *Action:* The SBI and the SBSTA will convene the forum and will be invited to consider and adopt its six-year workplan. They will also be invited to consider the forum's recommendations, including on the report of the KCI, with a view to recommending actions for consideration and adoption by the COP, the CMP and the CMA.⁸⁵

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| <i>Submissions</i> | https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx (under "sessions", select SBI 50) |
| <i>Further information</i> | http://unfccc.int/4908 and https://unfccc.int/KCI |

17. Gender and climate change

72. *Background:* COP 22 decided that the Lima work programme on gender should be continued for a period of three years and that a review of the work programme should be conducted at COP 25.⁸⁶ COP 23 adopted a gender action plan⁸⁷ to support the implementation of gender-related decisions and mandates in the UNFCCC process, and requested the secretariat to prepare, for consideration at SBI 51, a synthesis report on the implementation of the gender action plan, identifying areas of progress, areas for improvement and further work to be undertaken in subsequent action plans, so as to elaborate recommendations for consideration at COP 25.⁸⁸

73. SBI 50 advanced its consideration⁸⁹ of the review of the Lima work programme and its gender action plan, and agreed that consideration⁹⁰ would continue at SBI 51 on the basis of all submissions received and reports produced under the Lima work programme and its gender action plan, including the informal note prepared by the co-facilitators of the informal consultations on this agenda item.⁹¹ SBI 50 recalled its encouragement to Parties and observers to submit their views by 30 August 2019 so as to inform the synthesis report referred to in paragraph 72 above.⁹²

74. *Action:* The SBI will be invited to conclude its work on the review of the Lima work programme and its gender action plan with a view to forwarding a recommendation on the outcome of the review for consideration and adoption at COP 25.

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| <i>FCCC/SBI/2019/15</i> | <i>Implementation of gender action plan. Synthesis report by the secretariat</i> |
| <i>Submissions</i> | https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx (under "sessions", select SBI 51) |
| <i>Further information</i> | https://unfccc.int/gender and https://unfccc.int/workshop-on-gender-and-climate-change-june-2019 |

⁸³ Available at https://unfccc.int/sites/default/files/resource/for%20web_KCIROP_KCI_Clean.pdf.

⁸⁴ FCCC/SBI/2019/9, para. 105, and FCCC/SBSTA/2, para. 90.

⁸⁵ As per decision 7/CMA.1, para. 12.

⁸⁶ Decision 21/CP.22, para. 6.

⁸⁷ Annex to decision 3/CP.23.

⁸⁸ Decision 3/CP.23, para. 5.

⁸⁹ In accordance with document FCCC/CP/2018/10, para. 131.

⁹⁰ FCCC/SBI/2019/9, para. 114.

⁹¹ Available at <https://unfccc.int/documents/197318>. The ideas expressed in that document are not exhaustive, do not reflect consensus or all views, and do not prejudice the views of Parties.

⁹² FCCC/SBI/2018/22, para. 104.

18. Report on activities related to Action for Climate Empowerment

75. *Background:* COP 18 adopted the Doha work programme on Article 6 of the Convention and established an annual dialogue to enhance work in this area.⁹³ COP 22 decided that efforts related to the implementation of Article 6 of the Convention shall be referred to as ACE,⁹⁴ and CMA 1.3 decided that efforts related to the implementation of Article 12 of the Paris Agreement will also be referred to as ACE.⁹⁵ CMA 1.3 invited the COP, when reviewing the Doha work programme on Article 6 of the Convention in accordance with decision 15/CP.18, to also include efforts related to the implementation of Article 12 of the Paris Agreement.⁹⁶

76. As requested at CMA 1.3,⁹⁷ the 7th Dialogue on ACE was held during SBI 50 to advance the discussions on the final review of the Doha work programme and ways of enhancing the implementation of education, training, public awareness, public participation, public access to information, and international and regional cooperation so as to also enhance actions under Article 12 of the Paris Agreement.⁹⁸

77. *Action:* The SBI will be invited to take note of the summary report on the 7th Dialogue on ACE and of the other activities recently undertaken.

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| <i>FCCC/SBI/2019/12</i> | <i>The 7th Dialogue on Action for Climate Empowerment. Summary report by the secretariat</i> |
| <i>Further information</i> | https://unfccc.int/ACE-Dialogues |

19. Administrative, financial and institutional matters

(a) Audit report and financial statements for 2018

78. *Background:* The financial statements for 2018 have been audited by the United Nations Board of Auditors.

79. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session.

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| <i>FCCC/SBI/2019/INF.9</i> | <i>Financial report and audited financial statements for the year 2018 and report of the United Nations Board of Auditors. Note by the secretariat</i> |
| <i>FCCC/SBI/2019/INF.9/Add.1</i> | <i>Financial report and audited financial statements for the year 2018 and report of the United Nations Board of Auditors. Note by the secretariat. Addendum. Comments by the secretariat</i> |
| <i>Further information</i> | http://unfccc.int/1065 |

(b) Budget performance for the biennium 2018–2019

80. *Background:* A report on budget performance and programme delivery for the first 18 months of the biennium and a report on the status of contributions as at 15 November 2019 to the Trust Fund for the Core Budget of the UNFCCC and the other trust funds of the UNFCCC will be prepared for the session.

⁹³ Decision 15/CP.18, para. 9.

⁹⁴ Decision 17/CP.22, para. 14.

⁹⁵ Decision 17/CMA.1, para. 1.

⁹⁶ Decision 17/CMA.1, para. 2.

⁹⁷ Decision 17/CMA.1, para. 12(b).

⁹⁸ SBI 50 recommended a draft decision on the terms of reference for the review of the Doha work programme for consideration and adoption at COP 25, contained in document FCCC/SBI/2019/9/Add.1, pp.34–37.

81. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session and any additional relevant oral information provided by the Executive Secretary and to decide on actions that may need to be included in draft decisions on administrative and financial matters to be recommended for consideration and adoption at COP 25 and CMP 15.

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| <i>FCCC/SBI/2019/14</i> | <i>Budget performance for the biennium 2018–2019 as at 30 June 2019. Note by the Executive Secretary</i> |
| <i>FCCC/SBI/2019/14/Add.1</i> | <i>Budget performance for the biennium 2018–2019 as at 30 June 2019. Note by the Executive Secretary. Addendum. Programme performance data for the period from 1 January 2018 to 30 June 2019</i> |
| <i>FCCC/SBI/2019/14/Add.2</i> | <i>Budget performance for the biennium 2018–2019 as at 30 June 2019. Note by the Executive Secretary. Addendum. Planned programme activities and outputs for the biennium compared with activities undertaken and outputs delivered during the period 1 January 2018 to 30 June 2019</i> |
| <i>FCCC/SBI/2019/INF.16</i> | <i>Status of contributions as at 15 November 2019. Note by the secretariat</i> |
| <i>Further information</i> | http://unfccc.int/1065 |

(c) Other budgetary matters

82. *Background:* COP 24 requested the Executive Secretary to prepare, regularly update and publish before each session of the subsidiary bodies brief reports on standard costs and, if available, options for reducing the cost of activities where practicable.

83. *Action:* The SBI will be invited to take note of the information provided.

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| <i>FCCC/SBI/2019/INF.12</i> | <i>Budgetary implications of UNFCCC mandates: standard costs. Note by the Executive Secretary</i> |
| <i>Further information</i> | http://unfccc.int/1065 |

20. Other matters

84. Any other matters that arise during the session will be taken up under this agenda item.

21. Closure of and report on the session

85. After the draft report on the session has been presented to the SBI for consideration and adoption, the Chair will declare the session closed.

Abbreviations and acronyms

| | |
|-------------------|---|
| AC | Adaptation Committee |
| ACE | Action for Climate Empowerment |
| Annex I Party | Party included in Annex I to the Convention |
| BR | biennial report |
| BUR | biennial update report |
| CGE | Consultative Group of Experts |
| CMA | Conference of the Parties serving as the meeting of the Parties to the Paris Agreement |
| CMP | Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol |
| COP | Conference of the Parties |
| CTCN | Climate Technology Centre and Network |
| GCF | Green Climate Fund |
| GEF | Global Environment Facility |
| KCI | Katowice Committee of Experts on the Impacts of the Implementation of Response Measures |
| LEG | Least Developed Countries Expert Group |
| NAP | national adaptation plan |
| NC | national communication |
| NDC | nationally determined contribution |
| non-Annex I Party | Party not included in Annex I to the Convention |
| PCCB | Paris Committee on Capacity-building |
| SB | sessions of the subsidiary bodies |
| SBI | Subsidiary Body for Implementation |
| SBSTA | Subsidiary Body for Scientific and Technological Advice |
| TEC | Technology Executive Committee |
| WIM | Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts |
