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**Subsidiary Body for Scientific and  
Technological Advice**

**Fifty-sixth session**

Bonn, 6–16 June 2022

Item 9 of the provisional agenda

**Matters relating to the forum on the impact of the  
implementation of response measures serving the  
Convention, the Kyoto Protocol and the Paris  
Agreement**

**Subsidiary Body for Implementation**

**Fifty-sixth session**

Bonn, 6–16 June 2022

Item 16 of the provisional agenda

**Matters relating to the forum on the impact of the  
implementation of response measures serving the  
Convention, the Kyoto Protocol and the Paris  
Agreement**

**Elements of the midterm review of the workplan of the forum  
on the impact of the implementation of response measures  
and its Katowice Committee on Impacts**

**Summary report by the secretariat**

*Summary*

This report summarizes views submitted by Parties and observer organizations on the elements of the midterm review of the workplan of the forum on the impact of the implementation of response measures and its Katowice Committee of Experts on the Impacts of the Implementation of Response Measures. The subsidiary bodies may wish to use the report to inform the midterm review of the workplan with a view to enhancing the effectiveness of the forum.



## Abbreviations and acronyms

CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP	Conference of the Parties
KCI	Katowice Committee of Experts on the Impacts of the Implementation of Response Measures
SB	sessions of the subsidiary bodies

## I. Introduction

### A. Mandate

1. CMA 1 requested the subsidiary bodies to conduct, starting at SB 56, a midterm review of the workplan of the forum on the impact of the implementation of response measures and its KCI<sup>1</sup> with a view to enhancing the effectiveness of the forum.<sup>2</sup>
2. COP 26, CMP 16 and CMA 3 invited Parties and observers to submit views on the elements of the midterm review of the workplan and requested the secretariat to prepare a summary of the submissions with a view to informing Parties' discussions on the review.<sup>3</sup>

### B. Scope

3. This report provides a summary of the views on the elements of the midterm review of the workplan, and of the views on specific activities, of the forum and its KCI contained in the submissions from four Parties and two observer organizations received by 24 May 2022.<sup>4</sup>
4. The views from the six submissions have been summarized here without making any judgment on them. Owing to the limited number of submissions received, no attempt was made to include qualifiers in this report to indicate the share of Parties and observers that expressed any particular view.

### C. Possible action by the subsidiary bodies

5. The Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation may wish to use this report to inform the midterm review of the workplan of the forum and its KCI with a view to enhancing the effectiveness of the forum.

## II. Background

6. CMA 1:<sup>5</sup>
  - (a) Decided that the forum shall serve the Paris Agreement;
  - (b) Adopted the modalities, work programme and functions of the forum, as did COP 24 and CMP 14;<sup>6</sup>
  - (c) Decided to establish the KCI to support the work of the forum;
  - (d) Decided that the forum shall develop and recommend a six-year workplan in line with its functions, work programme and modalities, taking into account relevant policy issues of concern to Parties, for consideration and adoption at SB 50.
7. COP 25, CMP 15 and CMA 2 adopted the workplan of the forum and its KCI.<sup>7</sup>

<sup>1</sup> Contained in annex II to decisions 4/CP.25, 4/CMP.15 and 4/CMA.2.

<sup>2</sup> Decision 7/CMA.1, para. 10.

<sup>3</sup> Decisions 19/CP.26, para. 11; 7/CMP.16, para. 11; and 23/CMA.3, para. 11.

<sup>4</sup> Available at <https://www4.unfccc.int/sites/submissionsstaging/Pages/Home.aspx> (in the search field type "KCI").

<sup>5</sup> Decision 7/CMA.1, paras. 1, 2, 5 and 9.

<sup>6</sup> Decisions 7/CP.24, para. 2, and 3/CMP.14, para. 2.

<sup>7</sup> Decisions 4/CP.25, para. 3; 4/CMP.15, para. 3; and 4/CMA.2, para. 3.

### **III. Proposed elements of the midterm review of the workplan of the forum and its Katowice Committee on Impacts**

8. The analysis of the submitted views indicated that the workplan should be reviewed against eight criteria as set out below. One Party expressed the view that, prior to conducting the midterm review of the workplan, a set of terms of reference is needed to ensure that the review takes place in a structured and focused manner.

#### **A. Relevance**

9. Parties expressed the view that the workplan should be reviewed in terms of its relevance to the needs and priorities of Parties and stakeholders and to other workstreams and processes under the UNFCCC, including the relevance of its activities, modalities and outputs to the functions of the forum.<sup>8</sup>

10. Parties suggested incorporating the following information during the implementation of the workplan activities to increase their relevance:

(a) Using best available science, including relevant findings of the Intergovernmental Panel on Climate Change;

(b) Considering adaptation and mitigation policies of concern;

(c) Considering impacts such as cross-border impacts, stranded assets and just transition for low-carbon-emission sectors in the analysis of the impacts of the implementation of response measures;

(d) In facilitating the development of tools and methodologies for assessing the impacts of the implementation of response measures, taking countries', in particular developing countries', lack of data into account;

(e) Including scenarios from and information on sectors relevant to developing countries in technical papers prepared;

(f) Considering gender equality, human rights, and knowledge from local communities and indigenous peoples as cross-cutting elements.

11. Further, Parties proposed expanding existing activities for improving their relevance, for example creating a platform for sharing studies of socioeconomic impacts of response measures.

12. Also in relation to ensuring the relevance of the workplan, it was proposed in the submissions to incorporate an activity to facilitate exchange and sharing of experience of financing for activities related to response measures, as the process for setting the new collective quantified goal on climate finance commences. It was also suggested in the submissions to incorporate consideration of the issue of the carbon border adjustment mechanism in the midterm review.

13. Reiterating that relevant policy issues of concern to Parties should be taken into account in developing the workplan,<sup>9</sup> one Party expressed the view that consideration of policy issues of concern for developing countries should be revisited and incorporated into the midterm review.

#### **B. Coherence**

14. According to the submissions, the midterm review should include examining whether the workplan is aligned with Parties' climate change policies and actions, and if there are any interlinkages between the workplan and other workstreams under the UNFCCC.

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<sup>8</sup> Set out in decision 7/CMA.1, annex, para. 1.

<sup>9</sup> As per decision 7/CMA.1, para. 9.

## C. Effectiveness

15. Parties expressed the view that the midterm review should cover to what extent the workplan activities have been implemented, the challenges encountered in implementing the workplan and how lessons learned can be fed back into the workplan. Further, the effectiveness of the workplan should be assessed in terms of the contribution of the work of the forum and its KCI to processes under the UNFCCC, as well as the effectiveness of the modalities used in implementing the workplan and in expanding outreach to stakeholders.

16. One Party proposed that the midterm review should consider the timing and sequencing of activities undertaken by the forum and its KCI, noting that the mandate of the KCI is to support the work of the forum.

17. Parties suggested that the midterm review should consider best practices and strategy for implementing the remaining activities of the workplan, including how to avoid duplication of the work of the forum and its KCI, and how to effectively use the output of the work of the KCI to support the work of the forum. Parties also suggested developing a document modelled on the KCI strategy for implementing activities in its 2022–2023 workplan<sup>10</sup> as the output of the midterm review.

18. The submissions indicated that the workplan modalities should be reviewed, in particular with a view to ensuring that regional workshops and capacity-building are implemented with support from the secretariat and other agencies.

19. Parties suggested that, to enhance the effectiveness of the workplan, the recommendations by the forum and its KCI should be implementable with resources allocated.

20. In order to enhance the effectiveness of workplan activities in the future, observer organizations proposed to:

- (a) Include assessment of social and environmental impacts in activity 5;<sup>11</sup>
- (b) Adjust the timeline for implementation of activity 6<sup>12</sup> to allow adequate time for preparation of nationally determined contributions in the context of the activity, and integrate consideration of gender into the activity;
- (c) Add modalities for activity 8<sup>13</sup> with a view to highlighting the importance and vulnerability of the private sector;
- (d) Add consideration of the needs of children under activity 9.<sup>14</sup>

21. In addition, one observer organization proposed a way of sharing knowledge on and practices of mitigation, and including youth opinion and actions to mainstream climate change across all sectors, as modalities for implementing activity 1<sup>15</sup> of the workplan. It should be noted, however, that the forum and its KCI have already implemented this activity.

<sup>10</sup> KCI document KCI/2021/5/10, annex V.

<sup>11</sup> Build awareness and understanding of Parties and other stakeholders to assess the economic impacts of potential new industries and businesses resulting from the implementation of response measures with a view to maximizing the positive and minimizing the negative impacts of the implementation of response measures.

<sup>12</sup> Promote the availability and use of guidelines and policy frameworks to assist Parties in promoting just transition of the workforce and the creation of decent work and quality jobs within and across sectors, including training, retooling, retraining and reskilling systems and stakeholder engagement strategies.

<sup>13</sup> Identify and exchange experience and best practices in engaging the private sector, including small and medium-sized enterprises and public–private partnerships, to facilitate the creation of decent work and quality jobs in low greenhouse gas emission sectors.

<sup>14</sup> Identify and assess the impacts of the implementation of response measures taking into account intergenerational equity, gender considerations and the needs of local communities, indigenous peoples, youth and other people in vulnerable situations.

<sup>15</sup> Explore approaches to informing the development and implementation of climate change mitigation strategies, plans, policies and programmes, including nationally determined contributions and/or long-term low greenhouse gas emission development strategies, that maximize the positive and minimize the negative impacts of response measures.

## **D. Efficiency**

22. Another element of the midterm review proposed in the submissions is examining whether the workplan activities have so far been implemented in a cost-efficient and timely manner and to what extent the activities are likely to be implemented in such a manner in the future.

## **E. Impact**

23. The submissions indicated that the midterm review should include assessing the impacts of the implementation of the workplan on Parties and stakeholders as well as assessing how they view matters related to the impacts of the implementation of response measures, in particular social, economic and environmental impacts of climate change mitigation policies.

24. In the context of the workplan having impacts on Parties, one submission highlighted the need to enhance the capacity of Parties, in particular developing countries, in many areas relevant to the transition to a low-carbon development pathway and updating their nationally determined contributions.

## **F. Risk management**

25. It was suggested in the submissions that the midterm review should ascertain whether there is any mechanism in place for assessing real and perceived risk, such as the risk of a new wave of the coronavirus disease 2019, that may have an impact on the implementation of the workplan, and how the forum and its KCI could identify risk and implement a risk management strategy.

## **G. Sustainability**

26. A further element of the midterm review proposed in the submissions is examining how the beneficial and positive effects of the workplan will be sustained after its implementation has been completed. A strategy for ensuring continuation of such effects beyond implementation of the workplan may need to be established.

## **H. Opportunities**

27. Examining opportunities identified during implementation of the workplan to execute it more effectively and efficiently in the future was also proposed as an element of the midterm review.

## **IV. Conclusions**

28. No divergent views on the elements of the midterm review of the workplan were observed across the few submissions received.

29. Most of the proposed elements relate to timing and sequencing activities undertaken by the forum and its KCI, developing strategies for implementing the remaining activities of the workplan, using best available science, considering gender equality, human rights, and knowledge from local communities and indigenous peoples as cross-cutting elements in the implementation of the workplan, understanding the impact of the implementation of the workplan on Parties and stakeholders, ensuring sustainability of its positive effects and taking action to ensure that the specific situations and circumstances of countries, especially developing countries, are being taken into account in the activities. Further, Parties expressed the view that the recommendations made by the forum and its KCI should be implementable in order to increase their impact.