

**Informal note
on**

**SBSTA 60 agenda item 3
SBI 60 agenda item 5(a)**

**Matters relating to the global stocktake: Procedural and logistical elements of the overall
global stocktake process**

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Informal note by the co-facilitators

The purpose of this informal note is to organize the views of Parties, presented during the informal consultations and in writing, on the elements for consideration in refining the procedural and logistical elements of the overall global stocktake process. The list of elements below has been prepared by the co-facilitators for this agenda item under their own responsibility. The list has not been agreed upon, is not exhaustive and has no formal status. It is intended to assist Parties in their discussions and does not prejudge further work or prevent Parties from expressing any further views.

Based on deliberations by Parties, procedural and logistical refinements may be made to:

[1. Components of the global stocktake process

(a) Information gathering and sources of inputs

Context:

Sources of input for the global stocktake outlined in decision 19/CMA.1 paragraph 36, remain sufficient;

Sources of input for the global stocktake outlined in decision 19/CMA.1, paragraph 37, remain sufficient.

Parties consider refining the procedural and logistical elements of the global stocktake process in accordance with decision 19/CMA.1, paragraph 15.

(i) *Timeline*

- Adequate time to be provided for inputs to be synthesised and considered, including inputs by the subsidiary bodies, after reflections from Parties involved.
- Information and knowledge gaps, decision 19/CMA.1, paragraph 25, to be identified and, where necessary and feasible, filled ahead of the start of the second global stocktake, and subsequent global stocktakes, taking into account the cut-off date of information collection and preparation component, and the possible need to consider critical information after the cut-off date.
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(ii) *Balance and availability of inputs*

- Recall the mandate of the global stocktake and scope and process designed to inform Parties in updating and enhancing their nationally determined contributions (NDCs).
- The sources of input for the global stocktake should inform the thematic areas referred in paragraph 6(b) in accordance with decision 19/CMA.1, paragraph 35.
- Maintain sources of input to be as per decision 19/CMA.1.
- Recall that equity and the best available science shall be considered in a party-driven and cross-cutting manner.
- Building on paragraph 36 and 37 of 19/CMA.1, sources of input should reflect thematic areas, as well as other emerging issues and cross-cutting priorities, in a Party-driven manner.

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- Importance of IPCC reports, particularly AR 7 and its three Working Group reports, as critical inputs to the second and subsequent global stocktake.
 - Balance of inputs from IPCC and non-IPCC sources,
 - Reinforce the role of the IPCC in providing the most robust and comprehensive assessment of climate science.
 - Invite the IPCC to consider how best to align its work with the second and subsequent global stocktakes and to provide relevant and timely information for the next global stocktake, in accordance with decision 1/CMA.5, paragraph 184.
 - Recognises that the IPCC is an independent body and therefore it is important to respect the integrity of the science including its own processes, timelines and policy neutrality.
 - Focus on inputs that focus on national perspectives, experiences, best practices, circumstances and needs.
 - Encourage geographical representation of inputs.
 - Encourage inputs from all Parties.
 - Encourage and provide support for inputs from developing countries.
 - Encourage and provide support for inputs from developing countries, especially least developed countries and small island developing states.
 - Improve regional balance of inputs including from national, regional and sub-regional processes as well as relevant non-Party stakeholders and organizations.
 - Enhance the visibility and representation of submissions made by developing country Parties, as well as organizations from developing countries.
 - Encourage inclusion of inputs from scientific community and relevant regional, sub-regional and international organisations, in accordance with decision 19/CMA.1, paragraph 37.
 - Reports from dialogues mandated by decision 1/CMA.5 should be sources of input for future global stocktakes.
 - Encourage improved data accessibility, enhancing regional and sub-regional representation and strengthen supporting capacity building initiatives tailored to specific needs of developing countries.
 - Encourage the collection of gender-disaggregated data where possible, and questions related to human rights, gender responsiveness, Indigenous Peoples and their knowledge as well as the empowerment of youth and children.
 - Improve access to and processing of global stocktake inputs more efficiently, including by, for example:
 - Encouraging submissions to include a summary or synthesis of the information contained therein to facilitate consideration.
 - The use of online tools such as the GST-1 explorer.
 - Discussing possible means of processing global stocktake inputs in a more efficient and inclusive manner.
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(iii) *Guidance on reports, including synthesis reports by constituted bodies*

- Inputs from constituted bodies and synthesis reports by the secretariat to be precise and clear in responding to the mandate of the global stocktake.
- Encourage constituted bodies and work programmes providing inputs to include a summary or synthesis of the information to facilitate consideration under the global stocktake.

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- Consider streamlining the number of synthesis reports prepared for the technical assessment.
 - Maintain flexibility and avoid the provision of additional guidance to constituted bodies and other organizations providing sources of input.
 - Foster articulation, coordination and the flow of information to ensure the provision of additional guidance to constituted bodies and other organisations providing sources of input such as reports.
 - Synthesis reports mandated in paragraph 23, decision 19/CMA.1 are sufficient for the second global stocktake.
 - Improve coordination to guide and facilitate the timely provision of inputs from constituted bodies and other organizations.
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(b) Technical assessment component

Context: The scope outlined in paragraph 6(b), decision 19/CMA.1 applies to the technical assessment.

(i) *Timeline*

- Condense the timeline of the technical assessment phase to take place and conclude in 2027, a total of two technical dialogues, with the consideration of outputs component taking place in 2028
- Maintain the timeframe of the technical assessment from the first global stocktake, including the organization of three technical dialogues.
- Maintain flexibility in the timing of the technical assessment component to make best use of all three Working Group reports from the 7th Assessment Report cycle of the IPCC.
- Confirm technical assessment and consideration of outputs may overlap to allow sufficient time for consideration of outputs.
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(ii) *Intersessional and in-session work*

- Mandate intersessional work to support the technical assessment, including an intersessional workshop to consider the latest IPCC Working Group Reports, taking into consideration the need for meetings to be comprehensive and inclusive, including:
- Allow technical dialogues which may take place intersessionally, supplementing in-session work.
- No intersessional work during the technical assessment component to allow for coherent, comprehensive and inclusive meetings.
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(iii) *Technical dialogue, including format of sessions and guiding questions*

- Modalities of the technical dialogue to reflect thematic areas, as well as other emerging issues that have arisen and cross-cutting priority areas.
- Further consideration should be given to the manner in which priority issues are treated and organized under the technical dialogues, including to reflect developments in the implementation of and regime under the Paris Agreement.
- Organization of the technical dialogue to have a direct focus on the mandate of the global stocktake, as outlined in Article 14 of the Paris Agreement.

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- Encourage improved facilitation and balanced use of structured meeting formats
 - Encourage use of innovative meeting formats.
 - Discussions under the technical dialogue to capture and reflect good practices and lessons learned.
 - Organization and modalities of the technical dialogue to reflect the principles of the Convention and its Paris Agreement.
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(iv) *Inclusivity of participation*

- Adequate support provided to facilitate and ensure the participation and representation of developing countries.
- Importance of participation of Parties, variety of experts, and non-Party stakeholders in technical dialogue.
- Support provisions as per standard practice.
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(v) *Summary and synthesis report(s)*

- Ensure the timely delivery of the synthesis report of the technical dialogue.
- Note the example set by the summary and synthesis reports of the first global stocktake, which reflected findings of the technical outcomes, and recommend that this serves as a basis for future global stocktakes
- Note the lessons learned and challenges from the first global stocktake related to the summary and synthesis reports produced in the technical assessment component.
- Summary reports and synthesis reports should:
 - Reflect the diversity of views expressed during the technical dialogue.
 - Be comprehensive and balanced across all thematic areas and
 - Reflect on areas of convergence and divergence.
 - Highlight key findings and practical solutions.
 - Consider and integrate human rights and gender equality, and be based on the best available science, including the latest IPCC reports.
 - Capture discussions and information presented on equity and the best available science.
 - Take into account equity and the best available science, for each thematic area referred to in 19/CMA.1 paragraph 6(b) and an overarching factual synthesis of these reports in a cross-cutting manner, as per 19/CMA.1 paragraph 31.
 - Capture discussions on and information presented on international cooperation, including challenges and barriers.
 - Provide a stronger basis for the discussions in the consideration of outputs component.
 - Be the sole output of the technical assessment.
- Underscore the importance of the synthesis report of the technical assessment phase.
- The findings of the technical assessment should be presented, discussed and considered by the consideration of the outputs component, instead of informing the consideration of outputs component.
- Maintain the flexibility given to the co-facilitators of the technical dialogues in organizing the dialogues and preparing the summary and synthesis reports.

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- Consideration of non-negotiated technical annex focused on practical solutions to support implementation as well as highlighting lessons learned and good practices.
 - Consider options to streamline the technical summary reports from each individual dialogue to be shorter and more targeted on findings.
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(c) Consideration of outputs component

Context:

- Consideration of outputs is Party-driven and inclusive, paragraph 10, 19/CMA.1.
- Outputs of the global stocktake should identify opportunities for and challenges in enhancing action and support for collective progress in relation to the thematic areas of the global stocktake referred to in paragraph 6(b), decision 19/CMA.1, as well as possible measure and good practices and international cooperation and related good practices as referred to in paragraph 34(a), decision 19/CMA.1.
- Reinforce the role of the global stocktake outcomes in informing Parties actions, support and enhancing international cooperation.
- Reinforce the role of the global stocktake outcomes in informing NDCs as per Article 4.9 of the Paris Agreement.
- Outputs of the global stocktake should respect Parties' obligations and responsibilities stipulated in the Convention and its Paris Agreement and operationalise the principles therein.
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(vi) *Timeline*

- Allow more time for the consideration of outputs phase including via intersessional work.
- Allow more time for Party-driven and text-based discussion and negotiations under the consideration of outputs phase, including through better use of informal notes therein.
- Technical assessment and consideration of outputs may overlap to allow sufficient time for consideration of outputs, in accordance with paragraph 26, decision 19/CMA.1.
- Allow adequate time for informal consultations held in person and/or via hybrid or virtual format.
- Hold a minimum of three informal consultations held in person and/or via hybrid or virtual format.
- Consideration of outputs component of the second global stocktake should take place in 2028.
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(vii) *Institutional matters*

1. *Initiation of negotiations under the joint contact group*

- Emphasizes the importance of the joint contact group in producing the outcome of the global stocktake, including development of its elements.
 - Joint contact group to develop the structure and headings of the global stocktake outcome in time for Parties to engage meaningfully on the contents of the outcome by the relevant CMA.
- (i) Joint contact group to develop possible headings and structure of the global stocktake decision at least two sessions before the relevant CMA

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- The basis for the discussion in the consideration of outputs phase shall be determined by the joint contact group.
 - Highlight importance of the synthesis report of the technical assessment component as a basis for negotiations by the joint contact group.
 - Introduce modalities for more meetings of the joint contact group, including intersessional work and the use of informal notes, to allow for more considered and inclusive negotiations of the elements of the global stocktake outcome.
 - Identify clear objectives of future meetings of the joint contact group.
 - Convene the joint contact group no later than the subsidiary bodies session preceding the relevant CMA, to consider options for the global stocktake decision.
 - Joint contact group to start consideration of global stocktake decision at least two sessions before the relevant CMA.
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2. *Role and composition of the high-level committee*

- Improve regional representation and gender balance within the composition of the high-level committee, for example by including the three Presidencies during the global stocktake process or the most recent presidencies representing the five regional groups.
- Maintain the composition of the high-level committee as per decision 19/CMA.1 paragraph 33, including the current and incoming presidencies and subsidiary bodies Chairs, during the time of the second global stocktake.
- Improve coherence and relationship between the high-level committee and the work under the joint contact group.
- Encourage high-level committee to provide more consistent updates and communication with regard to its planned activities.
- Establish a more defined role for the high-level committee and consider launching its work earlier.
- Maintain the current role of the high-level committee, as defined by decision 19/CMA.1.
- Avoid overlap between work of the high-level committee and the technical assessment component of the global stocktake.
- The high-level events taking place after the conclusion of the technical assessment component.
- Encourage the high-level committee to strengthen outreach to non-Party stakeholders and related international activities to optimise their contributions to the global stocktake.
- High-level events to discuss implications of the technical assessment component and ensure they reflect inputs from all UN regions and sub-regions.
- Identify clear objectives of high-level events and ministerial consultations.
- Importance of ministerial level engagement, with a high degree of transparency, to support the success of the global stocktake, including negotiations under the joint contact group.
- Encourage high-level events to be held earlier, including well in advance of the CMA where the outcomes of the global stocktake will be adopted.
- Maintain the timeline of the high-level events, to take place after the conclusion of the technical assessment component.
- Ministerial engagement with a view to informing the next round of NDCs.

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2. Other procedural and logistical matters

- (a) Improve organisation, coordination, coherence and transparency of the overall stocktake process including through:
- Coordination across workstreams facilitated by Chairs of the subsidiary bodies to ensure complementarity and avoid scheduling conflicts.
 - Emphasize the importance of a Party-driven process during all phases of the global stocktake.
 - Calendar of global stocktake activities.
 - Guiding questions for the overall global stocktake process
 - Chairs of the subsidiary bodies to prepare consistent set of general guiding questions to frame the overall global stocktake process.
 - Guiding questions from the subsidiary bodies Chairs should be consistent and cover the information gathering and the technical assessment component.
 - There should be no further guiding questions provided at the level of sessions of the technical dialogues.
 - Improve visibility of and promote coherence between regional and sub-regional events related to the global stocktake.
- (b) Consideration of future outcomes of the global stocktake:
- Should not create new mandates, follow-up processes, or change existing mandates of other streams.
 - Reinforce the role of the global stocktake outcomes in informing actions including NDCs in accordance Article 4.9 of the Paris Agreement, support and international cooperation.
 - Shall inform parties on recommendation on how to enhance their NDCs, in a nationally determined manner, based on equity and common but differentiated responsibilities and respective capabilities (CBDR-RC), and availability of means of implementation and financial support
 - Should anchor in obligations and responsibilities stipulated explicitly in the Paris Agreement and the convention and should include clear guidance on how to operationalize the principles included therein including CBDR -RC and equity as well as delivery of commitments by developed country Parties.
 - Should identify calls and commitments to enhance action and support and strengthen international cooperation, including the appropriate mandates to follow up its implementation.
 - Should be more comprehensive and balanced across all thematic areas,
 - Should include an enhanced section on international cooperation, including by assessing barriers and gaps of international cooperation environment and providing clear guidance for implementing the outcomes.
 - Include focus on next steps and follow-up actions.
 - Identify calls and commitments on action and support.
 - To inform the next round of NDCs, according to the principle of progression and highest possible ambition.
 - Retain the structure and outline of the first global stocktake outcome.
 - Maintain flexibility on the content, structure and outline of the global stocktake outcome.

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- The outcomes of the first global stocktake is without prejudice to the outcomes of future global stocktakes including the structure and headings of the decision
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 - (c) Emphasize the sufficiency of the institutional processes related to the global stocktake and the importance of reducing pressure on the UNFCCC Secretariat.
 - (d) Strengthen gender balance, and ensure equal participation of women, youth and Indigenous Peoples in all phases of the global stocktake.
 - (e) Consider possible follow-up processes and activities to track progress, address gaps, and enhance implementation action, including the need for improved clarity with regards to these processes and activities.
 - (f) Recall the mandate of the Global Stocktake and conduct all phases of the global stocktake in accordance with the mandate, scope, objectives and sources of input.
 - (g) Identification of the constituted bodies, work programmes, and agenda items in or under which work related to the thematic areas identified in 19/CMA.1, the long-term goals of the Paris Agreement, and the outcomes of previous global stocktakes is being undertaken.
 - (h) Relevant constituted bodies and work programmes under or serving the Paris Agreement to integrate relevant outcomes of the first global stocktake in planning their future work, in line with their mandates, in accordance with paragraph 186, 1/CMA.5.
 - (i) Invite the UNFCCC Executive Secretary to engage with Parties on the implementation of the global stocktake through the work programmes, constituted bodies under or serving the Paris Agreement, as well as the wider UN system.
 - (j) Inclusivity of participation.
 - Adequate support provided to facilitate and ensure the participation and representation of developing countries.
 - Strengthen participation of non-Party stakeholders including UNFCCC observer constituencies as critical to the success of the global stocktake.
 - Empower High-Level Champions and Marrakesh Partnership to channel contributions from non-Party stakeholders across their fields of expertise.
 - Recall the global stocktake is a Party-driven process conducted in a transparent manner with the participation of non-Party stakeholders as per decision 19/CMA.1, paragraph 10.
 - Take into account the resources constraints of delegations, including small and medium-sized delegations.
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 - (k) Budgetary considerations.
 - Note that all activities under the global stocktake are contingent on the availability of funds.
 - Adequate funds should be provided for all activities under the global stocktake.
 - Importance of reducing budgetary pressure on the UNFCCC secretariat.
 - (l) Any refinements agreed on the second global stocktake will not prejudice additional

refinements on subsequent global stocktakes, consistent with paragraph 15, decision 19/CMA.1.

- (m) The refinement process shall conclude and does not set a precedent for further refinements.
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