

**Órgano Subsidiario de Ejecución**

47º período de sesiones

Bonn, 6 a 15 de noviembre de 2017

Tema 21 a) del programa provisional

**Cuestiones administrativas, financieras e institucionales:****Ejecución del presupuesto para el bienio 2016-2017****Ejecución del presupuesto para el bienio 2016-2017  
al 30 de junio de 2017****Nota de la Secretaría Ejecutiva***Resumen*

En esta nota se presenta la ejecución del presupuesto de los fondos fiduciarios que administra la secretaría en los primeros 18 meses del bienio 2016-2017. El propósito es informar a las Partes de los resultados alcanzados, los ingresos recibidos y los gastos efectuados al 30 de junio de 2017. En el período que se examina, algunos aspectos destacados del programa son: la organización, en paralelo con los períodos de sesiones de la Conferencia de las Partes y de la Conferencia de las Partes en calidad de reunión de las Partes en el Protocolo de Kyoto, de la primera parte del primer período de sesiones de la Conferencia de las Partes en calidad de reunión de las Partes en el Acuerdo de París, a raíz de la entrada en vigor del Acuerdo de París en noviembre de 2016; la prestación de asesoramiento estratégico y apoyo técnico y logístico a las negociaciones y a 129 reuniones y/o talleres de órganos constituidos, expertos técnicos y grupos regionales; la coordinación del proceso de evaluación y examen internacional de las Partes incluidas en el anexo I de la Convención; y el apoyo a la labor de todos los órganos constituidos. Al 30 de junio de 2017 la secretaría había recibido 42,6 millones de euros, equivalentes al 80,1% del total de las contribuciones indicativas al presupuesto básico previstas para el bienio 2016-2017. El alto nivel de contribuciones pendientes al presupuesto básico para el bienio en curso y para bienios anteriores supone un riesgo para la aplicación efectiva del programa de trabajo de la Convención Marco. Las contribuciones voluntarias al Fondo Fiduciario para la Participación en las Reuniones de la Convención ascendían a 3,2 millones de dólares de los Estados Unidos, y las contribuciones voluntarias al Fondo Fiduciario para Actividades Suplementarias, a 21,6 millones de dólares. Se había desembolsado el 72,6% del presupuesto básico y se habían gastado 22,1 millones de dólares en diversos proyectos financiados con cargo al Fondo Fiduciario para Actividades Suplementarias. En el presente informe se ofrece asimismo información sobre la situación del Fondo Fiduciario del Mecanismo para un Desarrollo Limpio, el Fondo Fiduciario del Diario Internacional de las Transacciones, el Fondo Fiduciario para la Contribución Anual Especial del Gobierno de Alemania y los gastos de apoyo a los programas. También se proporciona información sobre los recursos humanos y se presenta un informe detallado de la ejecución de los programas.



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## **I. Introducción**

### **A. Mandato**

1. La Conferencia de las Partes (CP) y la Conferencia de las Partes en calidad de reunión de las Partes en el Protocolo de Kyoto (CP/RP), en sus decisiones 22/CP.21 y 12/CMP.11, aprobaron el presupuesto por programas para el bienio 2016-2017. La CP pidió a la Secretaría Ejecutiva que la informara sobre los ingresos y la ejecución del presupuesto, y que propusiera los ajustes que fueran necesarios en el presupuesto por programas.

### **B. Objeto de la nota**

2. El presente documento informa sobre los ingresos y la ejecución del presupuesto de los fondos fiduciarios que administra la secretaría al 30 de junio de 2017. Debe leerse conjuntamente con el documento FCCC/SBI/2015/3/Add.1, que contiene el programa de trabajo bienal de la secretaría para 2016-2017, y con el documento FCCC/SBI/2017/INF.14 que, cuando se publique, proporcionará información sobre el estado de las contribuciones al 15 de octubre de 2017. El presente documento también contiene información sobre los recursos humanos y la labor de los programas.

### **C. Medidas que podría adoptar el Órgano Subsidiario de Ejecución**

3. El Órgano Subsidiario de Ejecución (OSE) tal vez desee tomar nota de la información presentada y determinar las medidas que pueda ser necesario incluir en los proyectos de decisión sobre cuestiones administrativas y financieras que se recomienden a la aprobación de la CP 23 y la CP/RP 13.

## **II. Resumen ejecutivo**

### **A. Aspectos destacados de la ejecución de los programas**

4. En diciembre de 2015, la CP llegó a un ambicioso acuerdo en la Conferencia de las Naciones Unidas sobre el Cambio Climático celebrada en París. Con la entrada en vigor del Acuerdo de París el 4 de noviembre de 2016, la secretaría adoptó las disposiciones necesarias para que la primera parte del primer período de sesiones de la Conferencia de las Partes en calidad de reunión de las Partes en el Acuerdo de París (CP/RA) se celebrara en noviembre de 2016, conjuntamente con los períodos de sesiones de la CP, la CP/RP, el OSE, el Órgano Subsidiario de Asesoramiento Científico y Tecnológico (OSACT) y el Grupo de Trabajo Especial sobre el Acuerdo de París (GAP). Durante el período que se examina, la secretaría respaldó los esfuerzos de las Partes para acelerar la labor realizada en el marco del GAP con el fin de asegurar la conclusión del programa de trabajo del Acuerdo de París lo antes posible, y prestó apoyo constante a la aplicación de la Convención y el Protocolo de Kyoto.

5. Asimismo, la secretaría proporcionó orientación estratégica y apoyo técnico y logístico a las negociaciones y a 129 reuniones y/o talleres de órganos constituidos, expertos técnicos y grupos regionales. En el mismo período, la secretaría organizó nueve períodos de sesiones de los órganos subsidiarios. Además, en el período que se examina la secretaría preparó y publicó un total de 682 documentos oficiales y 212 oficiosos.

6. La secretaría coordinó los procesos relativos a la medición, notificación y verificación (MNV), como: el proceso de evaluación y examen internacional de las Partes incluidas en el anexo I de la Convención (Partes del anexo I), incluidos los exámenes técnicos de 43 informes bienales y la evaluación multilateral correspondiente; el proceso de consulta y análisis internacional de las Partes no incluidas en el anexo I de la Convención

(Partes no incluidas en el anexo I), incluidos el análisis técnico de 22 informes bienales de actualización y el intercambio de opiniones con fines de facilitación para 30 Partes; los exámenes de los inventarios de gases de efecto invernadero (GEI) de las Partes del anexo I presentados en 2015 (37 Partes) y 2016 (43 Partes); y los informes para facilitar el cálculo de la cantidad atribuida para el segundo período de compromiso del Protocolo de Kyoto (36 Partes).

7. Se prestó apoyo a la aplicación del Marco de Adaptación de Cancún, los trabajos del Comité de Adaptación, la labor relativa a los enfoques para hacer frente a las pérdidas y los daños relacionados con las repercusiones del cambio climático en los países en desarrollo, la labor del Comité Ejecutivo del Mecanismo Internacional de Varsovia para las Pérdidas y los Daños relacionados con las Repercusiones del Cambio Climático y la formulación y ejecución de los planes nacionales de adaptación (PNAD).

8. La secretaría organizó la primera reunión del Comité de París sobre el Fomento de la Capacidad (CPFC), que se celebró en mayo de 2017 e incluyó un intercambio técnico sobre las actividades de fomento de la capacidad para la aplicación de las contribuciones determinadas a nivel nacional (CDN) en el contexto del Acuerdo de París.

9. La secretaría siguió reforzando su colaboración con la Oficina Ejecutiva del Secretario General y los principales agentes del sistema de las Naciones Unidas, así como con diversos otros interesados, a fin de asegurar una alineación coherente y amplia de la aplicación del Acuerdo de París y de la Agenda 2030 para el Desarrollo Sostenible. En el período que se examina se concertaron más de 50 asociaciones con organizaciones de las Naciones Unidas, organizaciones no gubernamentales y otros interesados que contribuyen a aplicar la Convención y sus resultados.

10. Durante el período que se examina, la secretaría presentó un proyecto de presupuesto para el bienio 2018-2019, a fin de que el OSE lo examine en su 46º período de sesiones y que las Partes alcancen un acuerdo al respecto. El proyecto de presupuesto se cimienta en la aplicación de un orden de prioridad de las actividades, en la organización eficiente de los trabajos y en el máximo aprovechamiento de unos recursos limitados.

## **B. Resumen financiero**

11. Al 30 de junio de 2017 se habían recibido 42,6 millones de euros, es decir, el 80,1% del total de las contribuciones indicativas al presupuesto básico previstas para el bienio 2016-2017. El alto nivel de contribuciones pendientes al presupuesto básico para el bienio en curso y para bienios anteriores supone un riesgo para la aplicación efectiva del programa de trabajo de la Convención.

12. Las contribuciones voluntarias al Fondo Fiduciario para la Participación en las Reuniones de la Convención recibidas ascendían a 3,2 millones de dólares de los Estados Unidos, y las contribuciones voluntarias al Fondo Fiduciario para Actividades Suplementarias, a 21,6 millones de dólares. Las promesas de contribuciones y los pagos atrasados ascendían a 3,9 millones de dólares al 30 de junio de 2017.

13. Al 30 de junio de 2017 se habían desembolsado 35,1 millones de euros, es decir, el 72,6% de las consignaciones del presupuesto básico para 2016-2017. Se habían gastado 22,1 millones de dólares en diversos proyectos realizados con cargo al Fondo Fiduciario para Actividades Suplementarias, así como 3,74 millones de dólares del Fondo Fiduciario para la Participación en las Reuniones de la Convención a fin de costear la participación de los representantes de las Partes que reunían los requisitos para ello en los períodos de reunión.

## **C. Cuestiones de administración**

14. La secretaría está adoptando todas las medidas que tiene a su alcance para aumentar la tasa de recaudación de las contribuciones indicativas que las Partes aún no han abonado. El riesgo financiero que supone el impago de las contribuciones indicativas sigue siendo un motivo de grave preocupación para la secretaría, dado que podría afectar negativamente a

su sostenibilidad y a su capacidad para ejecutar el programa de trabajo de la Convención Marco.

15. Los gastos de apoyo a los programas superaron a los ingresos en 5,8 millones de dólares. El déficit se sufragó con ahorros de bienios anteriores. La secretaría está realizando un examen del Programa de Servicios Administrativos (SA) para adecuar los gastos a los ingresos disponibles. El examen tiene por objeto simplificar los procesos y lograr la eficiencia sin afectar negativamente a la ejecución de los programas.

### III. Informe sobre los ingresos y los gastos<sup>1</sup>

16. Las cantidades de ingresos y gastos que figuran en el presente documento son provisionales y se basan en los datos que estaban disponibles en el sistema de contabilidad en el momento en que se preparó el documento.

17. Debido al redondeo, es posible que la suma de las cifras que figuran en el presente documento no coincida exactamente con los totales indicados.

#### A. Fondo Fiduciario del Presupuesto Básico de la Convención

18. La CP y la CP/RP, en las decisiones a que se hace referencia en el párrafo 1 *supra*, aprobaron un presupuesto total de 54,6 millones de euros para el bienio 2016-2017 (véase el cuadro 1).

Cuadro 1

#### Presupuesto básico por programas aprobado e ingresos para el bienio 2016-2017

(En euros)

	2016	2017	Total
Gastos de los programas	24 200 679	24 197 867	48 398 546
Gastos de apoyo a los programas	3 146 088	3 145 723	6 291 811
Ajuste de la reserva operacional	(41 609)	(264)	(41 873)
<b>Total del presupuesto básico por programas aprobado</b>	<b>27 305 158</b>	<b>27 343 326</b>	<b>54 648 484</b>
Contribuciones indicativas	26 538 220	26 576 388	53 114 608
Contribuciones del Gobierno anfitrión	766 938	766 938	1 533 876
<b>Total de ingresos</b>	<b>27 305 158</b>	<b>27 343 326</b>	<b>54 648 484</b>

19. En el cuadro 2 figuran los ingresos del Fondo Fiduciario del Presupuesto Básico de la Convención recibidos para 2016-2017 y los gastos efectuados durante los primeros 18 meses del bienio. Los ingresos recibidos para 2016-2017 ascienden a 44,1 millones de euros. Esta suma está constituida por 41,1 millones de euros procedentes de las contribuciones indicativas recibidas de las Partes en 2016-2017, 1,5 millones de euros correspondientes a contribuciones recibidas en bienios anteriores para el bienio en curso, 1,5 millones de euros en concepto de contribuciones voluntarias del Gobierno anfitrión y 41.006 euros correspondientes a ingresos varios.

<sup>1</sup> Las cantidades correspondientes al Fondo Fiduciario del Presupuesto Básico de la Convención, el Fondo Fiduciario del Diario Internacional de las Transacciones y el Fondo Fiduciario para la Contribución Anual Especial del Gobierno de Alemania se expresan en euros. Las cantidades correspondientes al Fondo Fiduciario para la Participación en las Reuniones de la Convención, el Fondo Fiduciario para Actividades Suplementarias, el Fondo Fiduciario del Mecanismo para un Desarrollo Limpio y la Cuenta Especial para Gastos de Apoyo a los Programas se expresan en dólares de los Estados Unidos.

20. Al 30 de junio de 2017, de las 197 Partes en la Convención y las 192 Partes en el Protocolo de Kyoto, 132 no habían abonado sus contribuciones correspondientes a 2017, por un total de 7,5 millones de euros (el 27,3% del presupuesto aprobado para 2017).

## Cuadro 2

**Situación del presupuesto básico al 30 de junio de 2017**

(En euros)

	<i>2016-2017</i>
Contribuciones recibidas para 2016-2017 en relación con la Convención <sup>a b</sup>	30 902 534
Contribuciones recibidas para 2016-2017 en relación con el Protocolo de Kyoto <sup>a b</sup>	11 652 085
Contribuciones voluntarias del Gobierno anfitrión	1 533 876
Ingresos varios <sup>b</sup>	41 006
<b>Total de ingresos</b>	<b>44 129 501</b>
<b>Gastos</b>	
Gastos	35 140 625
Gastos de apoyo a los programas	3 121 029
<b>Total de gastos</b>	<b>38 261 654</b>
<b>Saldo</b>	<b>5 867 847</b>

<sup>a</sup> Incluyen las contribuciones recibidas en bienios anteriores para 2016-2017.

<sup>b</sup> Cuando procedía, se aplicó el tipo de cambio oficial de las Naciones Unidas vigente al 30 de junio de 2017 (1 dólar = 0,879 euros).

21. En el cuadro 3 figuran el presupuesto básico aprobado para 2016-2017, por programas, y los gastos de los programas durante los 18 primeros meses del bienio. Al 30 de junio de 2017, los gastos ascendían a 35,1 millones de euros, es decir, el 72,6% del presupuesto básico aprobado para 2016-2017.

## Cuadro 3

**Presupuesto básico aprobado para 2016-2017 y gastos, por programas, al 30 de junio de 2017**

(En euros)

	<i>Presupuesto</i>	<i>Gastos</i>
<b>A. Programa</b>		
Dirección y Gestión Ejecutivas	4 461 724	3 438 386
Mitigación, Datos y Análisis	15 223 376	11 010 295
Financiación, Tecnología y Fomento de la Capacidad	5 464 887	3 619 942
Adaptación	4 671 964	3 566 438
Mecanismos para el Desarrollo Sostenible	776 240	583 160
Asuntos Jurídicos	2 608 910	1 563 943
Servicios de Asuntos de Conferencias	3 324 279	2 486 406
Comunicaciones y Extensión	3 069 899	2 477 628
Tecnología de la Información y de las Comunicaciones <sup>a</sup>	5 565 551	4 009 674
<b>B. Gastos de funcionamiento de toda la secretaría<sup>b</sup></b>	<b>3 231 716</b>	<b>2 384 754</b>
<b>Total<sup>c</sup></b>	<b>48 398 546</b>	<b>35 140 626</b>

<sup>a</sup> Hasta su reestructuración, el 1 de abril de 2016, el Programa de Tecnología de la Información y de las Comunicaciones se denominaba Servicios de Tecnología de la Información.

<sup>b</sup> La gestión de los gastos de funcionamiento de toda la secretaría corre a cargo del Programa de Servicios Administrativos.

<sup>c</sup> No incluye los gastos de apoyo a los programas ni el ajuste de la reserva operacional.

22. En el cuadro 4 figuran el presupuesto básico aprobado para 2016-2017 y los gastos hasta el 30 de junio de 2017, desglosados por objeto del gasto. Los “gastos de personal” incluyen los sueldos y los gastos comunes de personal, los sueldos del personal con contratos de breve duración, el personal temporario y las horas extraordinarias. Los gastos en concepto de expertos externos, incluidas personas e instituciones, figuran en la partida de los “consultores”. Los “viajes” incluyen los viajes de funcionarios, expertos y representantes gubernamentales en misiones oficiales. Los “gastos generales de funcionamiento” comprenden los pagos a los proveedores de bienes y servicios y otros gastos de funcionamiento, como los referentes a las telecomunicaciones.

Cuadro 4

**Presupuesto básico aprobado para 2016-2017 y gastos, por objeto del gasto, al 30 de junio de 2017**

(En euros)

<i>Objeto del gasto</i>	<i>Gastos</i>
Gastos de personal	27 809 667
Consultores	441 144
Viajes	1 710 971
Formación	272 724
Gastos generales de funcionamiento	3 292 557
Suministros y materiales/equipo	380 858
Contribuciones a los servicios comunes	787 251
Subvenciones y contribuciones	445 454
<b>Total<sup>a</sup></b>	<b>35 140 626</b>

<sup>a</sup> No incluye los gastos de apoyo a los programas ni el ajuste de la reserva operacional.

23. Al 30 de junio de 2017, los gastos ascendían a 35,1 millones de euros. Se prevé que los gastos de personal aumentarán en los próximos seis meses debido al pago de los subsidios de educación para el curso académico 2016-2017.

## **B. Fondo Fiduciario para la Participación en las Reuniones de la Convención**

24. El Fondo Fiduciario para la Participación en las Reuniones de la Convención sirve para costear la participación de representantes de las Partes que son países en desarrollo y las Partes con economías en transición y que reúnen las condiciones para ello en los períodos de sesiones de la CP, la CP/RP, la CP/RA y sus órganos subsidiarios, por medio de contribuciones voluntarias.

25. En el cuadro 5 figuran los ingresos y los gastos del Fondo Fiduciario para la Participación en las Reuniones de la Convención al 30 de junio de 2017. Las contribuciones voluntarias recibidas por la secretaría durante el período del que se informa ascendieron a 3,2 millones de dólares de los Estados Unidos. Las contribuciones recibidas hasta la fecha, sumadas al saldo arrastrado de 2014-2015, los intereses y los ingresos varios, arrojan un ingreso total de 6,5 millones de dólares de los Estados Unidos.

26. Los gastos efectuados durante los primeros 18 meses del bienio 2016-2017 ascendieron a 3,7 millones de dólares, con los que se costó la participación de los representantes de las Partes que reunían las condiciones para ello en períodos de reunión de la Convención, de modo que el saldo de ingresos restante una vez deducidos los gastos es de 2,8 millones de dólares de los Estados Unidos, sin contar la reserva operacional de 0,5 millones de dólares. Este saldo, junto con toda contribución voluntaria adicional que se efectúe a este fondo fiduciario, se utilizará para sufragar la participación de los representantes de las Partes que reúnan las condiciones para ello en la Conferencia de las Naciones Unidas sobre el Cambio Climático que se celebrará en noviembre de 2017 en

Bonn. Si bien en el bienio 2014-2015 las contribuciones voluntarias recibidas al 30 de junio de 2015 ascendían a 5,3 millones de dólares, en el bienio 2016-2017 esas contribuciones ascendían a 3,2 millones de dólares al 30 de junio de 2017. Se necesitarán contribuciones adicionales para financiar la participación de los representantes de las Partes que reúnan las condiciones para ello en 2017. Las Partes que cumplan los requisitos para recibir financiación pero que estén en condiciones de renunciar a ella tienen la oportunidad de abstenerse voluntariamente de solicitar apoyo financiero del Fondo Fiduciario para la Participación en las Reuniones de la Convención. Ello permitiría asignar los fondos disponibles a las Partes que más los necesiten.

Cuadro 5

**Situación del Fondo Fiduciario para la Participación en las Reuniones de la Convención al 30 de junio de 2017**

(En dólares de los Estados Unidos)

<b>Ingresos</b>	
Saldo arrastrado de 2014-2015	2 293 253
Contribuciones voluntarias recibidas en 2016-2017	3 202 316
Intereses	56 102
Ingresos varios y transferencias <sup>a</sup>	972 618
<b>Total de ingresos</b>	<b>6 524 289</b>
<b>Gastos</b>	
Gastos	3 331 736
Gastos de apoyo a los programas	411 960
<b>Total de gastos</b>	<b>3 743 696</b>
<b>Total</b>	<b>2 780 593</b>
Menos: Reserva operacional	520 886
<b>Saldo</b>	<b>2 259 707</b>

<sup>a</sup> Incluye las ganancias por fluctuaciones cambiarias y las transferencias con cargo al Fondo Fiduciario para Actividades Suplementarias realizadas a petición de las Partes contribuyentes.

### C. Fondo Fiduciario para Actividades Suplementarias

27. Muchas de las actividades básicas previstas en el mandato siguen financiándose con cargo al Fondo Fiduciario para Actividades Suplementarias, gracias a las contribuciones voluntarias de las Partes, lo que ha permitido a la secretaría ejecutar el programa de trabajo para este bienio de manera más eficaz.

28. En el cuadro 6 figuran los ingresos y los gastos del Fondo Fiduciario para Actividades Suplementarias al 30 de junio de 2017.

29. Durante el período del que se informa se recibieron contribuciones voluntarias por un importe de 21,6 millones de dólares. Otras fuentes de ingresos del Fondo Fiduciario para Actividades Suplementarias fueron el saldo arrastrado del bienio 2014-2015, los intereses devengados e ingresos varios, lo que al 30 de junio de 2017 daba un total de 43,5 millones de dólares de los Estados Unidos.

30. Al 30 de junio de 2017, los gastos del Fondo Fiduciario para Actividades Suplementarias se cifraban en 22,1 millones de dólares. El saldo del Fondo, que asciende a 20,4 millones de dólares, junto con toda nueva contribución voluntaria que se reciba, se utilizará para sufragar las obligaciones financieras y los futuros costos de los eventos y proyectos en curso que se indican en el cuadro 16. Estos proyectos se llevan a cabo en distintas áreas de trabajo de la Convención, el Protocolo de Kyoto y el Acuerdo de París, como la adaptación, la financiación para el clima, la presentación de informes, el apoyo a las negociaciones y la tecnología. Una suma de 0,6 millones de dólares en efectivo,



recibidos en el marco del Fondo Fiduciario para Actividades Suplementarias, está a la espera de que las Partes contribuyentes den más instrucciones sobre su distribución.

## Cuadro 6

**Situación del Fondo Fiduciario para Actividades Suplementarias al 30 de junio de 2017**

(En dólares de los Estados Unidos)

<b>Ingresos</b>	
Saldo arrastrado de 2014-2015	26 624 104
Contribuciones voluntarias recibidas en 2016-2017	21 600 375
Tasas de la aplicación conjunta	-
Intereses	281 408
Ingresos varios y transferencias <sup>a</sup>	(5 009 336)
<b>Total de ingresos</b>	<b>43 496 551</b>
<b>Gastos</b>	
Gastos	19 756 103
Gastos de apoyo a los programas	2 393 613
<b>Total de gastos</b>	<b>22 149 716</b>
<b>Total</b>	<b>21 346 835</b>
Menos: Reserva operacional	921 907
<b>Saldo</b>	<b>20 424 928</b>

<sup>a</sup> Incluye las ganancias por fluctuaciones cambiarias y las transferencias a otros fondos fiduciarios de la Convención. Una parte considerable de la suma comprende las transferencias, a petición de las Partes, del Fondo Fiduciario para Actividades Suplementarias a otros fondos fiduciarios: 4,2 millones de dólares al Fondo Fiduciario del Presupuesto Básico de la Convención y 972.000 dólares al Fondo Fiduciario para la Participación en las Reuniones de la Convención.

**D. Fondo Fiduciario del Mecanismo para un Desarrollo Limpio**

31. En el cuadro 7 figuran los ingresos y los gastos del Fondo Fiduciario del Mecanismo para un Desarrollo Limpio al 30 de junio de 2017. El total de los ingresos recibidos para el bienio 2016-2017 ascendía a 165,1 millones de dólares y consistía principalmente en fondos arrastrados del bienio anterior, por valor de 148,5 millones de dólares, y en las tasas del mecanismo para un desarrollo limpio (MDL) correspondientes a los primeros 18 meses el bienio en curso, por un total de 14,9 millones de dólares.

32. Al 30 de junio de 2017, los gastos se cifraban en 26,8 millones de dólares, de modo que el saldo sin utilizar era de 138,3 millones de dólares, o 93,3 millones de dólares una vez deducida la reserva operacional de 45 millones de dólares.

## Cuadro 7

**Situación del Fondo Fiduciario del Mecanismo para un Desarrollo Limpio al 30 de junio de 2017**

(En dólares de los Estados Unidos)

<b>Ingresos</b>	
Saldo arrastrado de 2014-2015	148 526 586
Tasas del mecanismo para un desarrollo limpio	14 937 024
Intereses	1 651 338
Reembolso de tasas	(10 960)
<b>Total de ingresos</b>	<b>165 103 988</b>

<b>Gastos</b>	
Gastos	23 685 994
Gastos de apoyo a los programas	3 075 636
<b>Total de gastos</b>	<b>26 761 630</b>
<b>Total</b>	<b>138 342 358</b>
Menos: Reserva operacional	45 000 000
<b>Saldo</b>	<b>93 342 358</b>

## E. Fondo Fiduciario del Diario Internacional de las Transacciones

33. En el cuadro 8 figuran los ingresos del Fondo Fiduciario del Diario Internacional de las Transacciones al 30 de junio de 2017. Los ingresos para 2016-2017 se elevaban a 5,1 millones de euros, correspondientes a las tasas recibidas para esos años y a ingresos varios. La reserva operacional asciende a 222.316 euros.

Cuadro 8

### Ingresos del Fondo Fiduciario del Diario Internacional de las Transacciones al 30 de junio de 2017

(En euros)

<b>Ingresos</b>	
Tasas del diario internacional de las transacciones recibidas para 2016-2017	4 989 685
Ingresos varios <sup>a</sup>	75 991
<b>Total de ingresos</b>	<b>5 065 676</b>

<sup>a</sup> Cuando procedía, se aplicó el tipo de cambio oficial de las Naciones Unidas vigente al 30 de junio de 2017 (1 dólar = 0,879 euros).

34. En el cuadro 9 figuran el presupuesto aprobado para 2016-2017, desglosado por objeto del gasto, y los gastos del Fondo Fiduciario del Diario Internacional de las Transacciones al 30 de junio de 2017. Los detalles sobre la ejecución del presupuesto del diario internacional de las transacciones (DIT) se pueden consultar en el informe anual<sup>2</sup> del administrador del DIT con arreglo al Protocolo de Kyoto.

Cuadro 9

### Presupuesto aprobado para 2017 y gastos del Fondo Fiduciario del Diario Internacional de las Transacciones, por objeto del gasto, al 30 de junio de 2017

(En euros)

<i>Objeto del gasto</i>	<i>Presupuesto</i>	<i>Gastos</i>
Gastos de personal	1 567 420	1 106 580
Contratistas y consultores	2 822 296	1 517 120
Grupos de expertos	20 000	3 663
Viajes del personal	40 000	19 384
Gastos generales de funcionamiento y contribuciones a los servicios comunes	291 000	182 079
<b>Total<sup>a</sup></b>	<b>4 740 716</b>	<b>2 828 826</b>

<sup>a</sup> No incluye los gastos de apoyo a los programas ni el ajuste de la reserva operacional.

<sup>2</sup> FCCC/SBI/2017/INF.11.

## F. Fondo Fiduciario para la Contribución Anual Especial del Gobierno de Alemania (Fondo de Bonn)

35. Como parte de su ofrecimiento de acoger la secretaría de la Convención en Bonn, el Gobierno de Alemania hace una contribución anual especial a la secretaría de 1,8 millones de euros. Al 30 de junio de 2017, las contribuciones correspondientes a 2016-2017 se habían recibido íntegramente, a las que se suma una contribución voluntaria adicional de 50.000 euros recibida en 2016. Las aportaciones al Fondo Fiduciario para la Contribución Anual Especial del Gobierno de Alemania (Fondo de Bonn) sirvieron para costear las disposiciones logísticas relacionadas con los períodos de sesiones celebrados en Bonn durante el período del que se informa.

36. En el cuadro 10 figuran los ingresos y los gastos del Fondo de Bonn en 2016-2017, al 30 de junio de 2017.

Cuadro 10

### Situación del Fondo de Bonn al 30 de junio de 2017

(En euros)

	<i>2016-2017</i>
<b>Ingresos</b>	
Contribuciones	3 629 044
Ingresos varios <sup>a</sup>	86 802
<b>Total de ingresos</b>	<b>3 715 846</b>
<b>Gastos</b>	
Apoyo a conferencias	2 437 413
Gastos de apoyo a los programas	201 251
<b>Total de gastos</b>	<b>2 638 664</b>
<b>Total</b>	<b>1 077 182</b>
Menos: Reserva operacional	268 428
<b>Saldo</b>	<b>808 754</b>

<sup>a</sup> Cuando procedía, se aplicó el tipo de cambio oficial de las Naciones Unidas vigente al 30 de junio de 2017 (1 dólar = 0,879 euros).

## G. Gastos de apoyo a los programas

37. De conformidad con los procedimientos financieros de las Naciones Unidas, se imputa un 13% en concepto de gastos generales al gasto real de todos los fondos administrados por la Convención Marco. Los ingresos resultantes se utilizan para cubrir los servicios administrativos necesarios para la gestión de la secretaría y sus programas. La mayoría de esos servicios se prestan dentro de la secretaría y corren a cargo del Programa de Servicios Administrativos (SA). La Oficina de las Naciones Unidas en Ginebra y la Sede de las Naciones Unidas en Nueva York proporcionan, a título reembolsable, diversos servicios específicos, como los de auditoría, nóminas de sueldos, inversión y tesorería y los servicios relacionados con la administración de justicia.

38. En el cuadro 11 figura la situación de los gastos de apoyo a los programas. Al 30 de junio de 2017, los ingresos consistían en los ingresos en concepto de gastos de apoyo a los programas, correspondientes a 9,1 millones de dólares, y otros ingresos por valor de 164.273 dólares de los Estados Unidos.

39. Durante el período que se examina, se destinaron 15,04 millones de dólares a sufragar los gastos de personal y los gastos distintos de los de personal de la secretaría, así como los gastos correspondientes a los servicios prestados por la Oficina de las Naciones Unidas en Ginebra y la Sede de las Naciones Unidas en Nueva York. El saldo negativo para

los gastos de apoyo a los programas se situó en 5,8 millones de dólares, que se sufragaron con ahorros de bienios anteriores.

40. La secretaría está realizando un examen del Programa SA para que los gastos se ajusten a los ingresos disponibles. El examen tiene por objeto simplificar los procesos y lograr la eficiencia sin afectar negativamente a la ejecución de los programas.

Cuadro 11

**Situación de la cuenta especial para los gastos de apoyo a los programas al 30 de junio de 2017**

(En dólares de los Estados Unidos)

	<i>2016-2017</i>
<b>Ingresos</b>	
Ingresos procedentes de los fondos fiduciarios en concepto de gastos de apoyo	9 095 591
Ingresos varios	164 273
<b>Total de ingresos</b>	<b>9 259 864</b>
<b>Gastos</b>	
Gastos de personal	10 805 735
Gastos no relacionados con el personal	3 395 102
Servicios prestados por las Naciones Unidas	842 949
<b>Total de gastos</b>	<b>15 043 786</b>
<b>Saldo</b>	<b>(5 783 922)</b>

## IV. Labor de los programas

41. La labor de los programas se basó en el programa de trabajo de la secretaría para el bienio 2016-2017 y en los mandatos conferidos a la secretaría después de la presentación de dicho programa. Por consiguiente, el presente capítulo debe leerse conjuntamente con el documento FCCC/SBI/2015/3/Add.1, en que se expone el programa de trabajo.

42. En las siguientes secciones se ofrece una breve reseña de las responsabilidades de cada programa, se indica si se están alcanzando los resultados previstos del programa de trabajo bienal y se resumen las actividades que han contribuido a los logros de la secretaría.

### A. Dirección y Gestión Ejecutivas

43. El Programa de Dirección y Gestión Ejecutivas (DGE) ofrece orientación estratégica, vela por la coherencia general de la labor de la secretaría y mantiene la cooperación y las asociaciones estratégicas con otras organizaciones, incluidas las del sistema de las Naciones Unidas, y con los principales interesados en el proceso del cambio climático.

44. En el período del que se informa, el Programa DGE se centró en prestar apoyo a las Partes para facilitar la rápida puesta en marcha del Acuerdo de París tras su entrada en vigor oficial el 4 de noviembre de 2016. En este contexto, el Programa DGE coordinó el apoyo de la secretaría para:

- a) La CP/RA 1.1, celebrada en Marrakech del 7 al 18 de noviembre de 2016 conjuntamente con la CP 22, la CP/RP 12, el OSE 45, el OSACT 45 y el GAP 1.2;
- b) El OSE 46, el OSACT 46 y el GAP 1.3, celebrados en Bonn del 8 al 18 de mayo de 2017;
- c) Los preparativos de la CP 23, la CP/RP 13, la CP/RA 1.2, el OSE 47, el OSACT 47 y el GAP 1.4, que se celebrarán en Bonn del 6 al 17 de noviembre de 2017.

45. Dado que en noviembre de 2017 la Conferencia de las Naciones Unidas sobre el Cambio Climático se celebrará en la sede de la secretaría, sin el apoyo operacional estándar de un país anfitrión, el Programa DGE ha tomado medidas especiales para garantizar la coordinación óptima de los preparativos con el Gobierno de Alemania, las autoridades de la ciudad de Bonn y la Presidencia entrante de la CP (Fiji).

46. En cuanto a los progresos alcanzados en relación con el programa de trabajo dimanante de las solicitudes pertinentes formuladas en la decisión 1/CP.21, el Programa DGE siguió coordinando la prestación de apoyo sustantivo a las Partes por la secretaría y las correspondientes actualizaciones periódicas del sistema de seguimiento de los progresos<sup>3</sup>, conforme a lo solicitado por el OSE<sup>4</sup>.

47. En el período del que se informa, el Programa DGE también siguió reforzando su colaboración con la Oficina Ejecutiva del Secretario General y los principales agentes del sistema de las Naciones Unidas, a fin de asegurar una alineación coherente y amplia de la aplicación del Acuerdo de París y de la Agenda 2030 para el Desarrollo Sostenible. Como parte de esa labor, la Secretaría Ejecutiva coordina las actividades pertinentes de la secretaría con las del Programa de las Naciones Unidas para el Medio Ambiente (PNUMA), el Programa de las Naciones Unidas para el Desarrollo (PNUD) y otros asociados del sistema de las Naciones Unidas en el contexto del Enfoque Estratégico del Sistema de las Naciones Unidas con respecto a la Acción frente al Cambio Climático, aprobado por la Junta de los Jefes Ejecutivos del Sistema de las Naciones Unidas para la Coordinación, así como mediante otros mecanismos de coordinación del sistema de las Naciones Unidas<sup>5</sup>.

48. En lo que respecta al desarrollo institucional, el Programa DGE se ha centrado en los esfuerzos destinados a seguir racionalizando las operaciones de la secretaría, entre otras cosas mediante la continuación de la puesta en práctica de los resultados de la racionalización de sus actividades relacionadas con la tecnología de la información y las comunicaciones, llevada a cabo en 2015, así como el inicio de un examen de la eficacia y la eficiencia de las actividades financiadas con cargo a los gastos de apoyo a los programas, realizado en 2016-2017. Está previsto que los resultados de este examen se pongan en práctica en el bienio 2018-2019.

## B. Mitigación, Datos y Análisis

49. El Programa de Mitigación, Datos y Análisis (MDA) facilita la medición, notificación y verificación de los procesos en el marco de la Convención (en el contexto de las comunicaciones nacionales, los informes bienales o los informes bienales de actualización y los inventarios de GEI), con inclusión de la formación de expertos, la asistencia a las Partes no incluidas en el anexo I y el apoyo a las negociaciones intergubernamentales. En el período del que se informa, el Programa MDA:

a) Coordinó el proceso de evaluación y examen internacional de las Partes del anexo I, incluidos el examen técnico de 43 informes bienales y su evaluación multilateral; y el proceso de consulta y análisis internacional de las Partes no incluidas en el anexo I, incluido el análisis técnico de 22 informes bienales de actualización y el intercambio de opiniones con fines de facilitación para 30 Partes (tras el inicio del primer intercambio de opiniones con fines de facilitación en el OSE 44);

b) Coordinó la evaluación técnica de 20 comunicaciones de países en desarrollo sobre los niveles de referencia de las emisiones forestales y/o los niveles de referencia

<sup>3</sup> [http://unfccc.int/files/paris\\_agreement/application/pdf/pa\\_progress\\_tracker\\_200617.pdf](http://unfccc.int/files/paris_agreement/application/pdf/pa_progress_tracker_200617.pdf).

<sup>4</sup> FCCC/SBI/2017/7, párr. 113.

<sup>5</sup> <https://www.unsceb.org/CEBPublicFiles/UN%20system%20strategic%20approach%20to%20climate%20change%20action-FINAL-18%20April%202017.pdf>.

forestal propuestos en el marco de la REDD-plus<sup>6</sup> y el análisis técnico de los resultados de la REDD-plus presentados en tres informes bienales de actualización;

c) Coordinó los exámenes de los inventarios de GEI de las Partes del anexo I presentados en 2015 (37 Partes) y 2016 (43 Partes); los informes que se habían de facilitar una vez finalizado el plazo adicional para cumplir los compromisos correspondientes al primer período de compromiso del Protocolo de Kyoto (37 Partes) y los informes para facilitar el cálculo de la cantidad atribuida para el segundo período de compromiso del Protocolo de Kyoto (36 Partes); e inició los preparativos para los exámenes de los inventarios de GEI presentados en 2017 (22 Partes);

d) Prosiguió y mejoró la capacitación relacionada con la medición, notificación y verificación para los expertos que participan en los procesos de evaluación y examen internacional y de consulta y análisis internacional y en la aplicación del segundo período de compromiso del Protocolo de Kyoto;

e) Realizó dos rondas del proceso de examen técnico de la mitigación anterior a 2020 con el apoyo de documentos técnicos, un resumen para los encargados de la formulación de políticas y un portal que contenía las políticas y medidas adoptadas por las Partes en el período anterior a 2020;

f) Organizó talleres de fomento de la capacidad sobre las medidas de mitigación apropiadas para cada país (MMAP) y mejoró las funciones del registro de las MMAP;

g) Respaldo la labor del OSACT sobre la agricultura y el uso de la tierra, el cambio de uso de la tierra y la silvicultura y prestó apoyo a las Partes que son países en desarrollo en la aplicación del Marco de Varsovia para la REDD-plus;

h) Apoyó los trabajos del Grupo Consultivo de Expertos sobre las Comunicaciones Nacionales de las Partes no incluidas en el Anexo I de la Convención, entre otras cosas ofreciendo una plataforma (la e-Network del GCE) para los expertos que participan en la preparación de las comunicaciones nacionales;

i) Organizó talleres sobre el fomento de la capacidad y elaboró material de formación para las Partes no incluidas en el anexo I sobre las comunicaciones nacionales, los informes bienales de actualización y las Directrices del Grupo Intergubernamental de Expertos sobre el Cambio Climático (IPCC) de 2006 para los inventarios nacionales de gases de efecto invernadero;

j) Organizó la reunión especial del grupo de expertos técnicos y apoyó la labor del foro mejorado sobre las repercusiones de la aplicación de las medidas de respuesta, entre otras cosas mediante la preparación de documentos técnicos y la organización de un taller sobre la diversificación económica y sobre la reconversión justa de la fuerza laboral y la creación de trabajo decente y de empleos de calidad en el contexto del desarrollo sostenible.

50. En el marco del Acuerdo de París, el Programa MDA:

a) Prestó apoyo a las negociaciones en el marco del OSE, el OSACT y el GAP, en particular sobre las CDN, la transparencia, el balance mundial, el registro de las CDN y el foro sobre las repercusiones de la aplicación de las medidas de respuesta;

<sup>6</sup> En la decisión 1/CP.16, párr. 70, la CP alentó a las Partes que son países en desarrollo a contribuir a la labor de mitigación en el sector forestal adoptando las siguientes medidas: la reducción de las emisiones debidas a la deforestación; la reducción de las emisiones debidas a la degradación forestal; la conservación de las reservas forestales de carbono; la gestión sostenible de los bosques; y el incremento de las reservas forestales de carbono.

b) Actualizó el informe de síntesis sobre el efecto agregado de las contribuciones previstas determinadas a nivel nacional (CPDN)<sup>7</sup> y otros documentos, como el informe de recopilación y síntesis de las Partes que son países desarrollados<sup>8</sup>;

c) Facilitó y administró una versión provisional del registro de las CDN y mejoró su funcionalidad y diseño sobre la base de la información recibida;

d) Prestó asistencia a países con necesidades relacionadas con las CDN, particularmente en lo que respecta a las actualizaciones sobre las CDN/CPDN y a las estrategias de desarrollo a largo plazo con bajas emisiones;

e) Cooperó con el PNUD y otras organizaciones para celebrar cinco diálogos regionales sobre las CDN con organismos bilaterales y de las Naciones Unidas para establecer la Alianza para las CDN, y con la Organización de las Naciones Unidas para la Alimentación y la Agricultura, la Organización de Aviación Civil Internacional, la Organización Marítima Internacional, el PNUMA y otras organizaciones de las Naciones Unidas para promover la aplicación de la Convención en los ámbitos pertinentes;

f) Prestó apoyo al Equipo de Apoyo sobre el Cambio Climático del Secretario General de las Naciones Unidas en la elaboración de un informe sobre la cooperación Sur-Sur y en la prestación de asistencia a los países en desarrollo para mejorar su cooperación mutua.

### C. Financiación, Tecnología y Fomento de la Capacidad

51. El Programa de Financiación, Tecnología y Fomento de la Capacidad presta apoyo a las Partes, especialmente a las no incluidas en el anexo I, en la aplicación de la Convención, el Protocolo de Kyoto y el Acuerdo de París, particularmente en las negociaciones internacionales. El Programa también coordina el apoyo de la secretaría al OSACT.

52. En el período del que se informa, el Programa:

a) Organizó cuatro reuniones del Comité Permanente de Financiación (CPF) y facilitó la ejecución de su plan de trabajo, incluidas la organización del foro del CPF sobre los instrumentos financieros que tienen por objeto hacer frente al riesgo asociado con las pérdidas y los daños, la elaboración de la evaluación y reseña general bienal de 2016 sobre las corrientes de financiación para el clima, la formulación de recomendaciones a la CP sobre la orientación al Fondo para el Medio Ambiente Mundial y al Fondo Verde para el clima, y la organización de dos talleres de períodos de sesiones sobre la financiación a largo plazo que se centraron en la financiación de la adaptación.

b) Apoyó la labor del Comité Ejecutivo de Tecnología (CET), organizando sus tres reuniones y facilitando la aplicación de su plan de trabajo, en particular:

i) La organización de dos diálogos temáticos, un taller sobre los vínculos entre el Mecanismo Tecnológico y el Mecanismo Financiero y un acto especial sobre la innovación y el cambio climático, y la facilitación de la participación de las organizaciones observadoras en su labor;

ii) La preparación de la “Orientación para la preparación de un plan de acción tecnológica”<sup>9</sup> y de una metodología para vigilar la aplicación de los planes de acción tecnológica;

c) Colaboró con el PNUMA, en su calidad de anfitrión del Centro y Red de Tecnología del Clima (CRTC), entre otras cosas participando en las reuniones de la Junta Consultiva del CRTC y en los foros regionales de las entidades nacionales designadas.

d) Encargó un examen independiente de la actuación efectiva del CRTC.

<sup>7</sup> FCCC/CP/2016/2.

<sup>8</sup> Véanse ejemplos en: [http://unfccc.int/national\\_reports/national\\_communications\\_and\\_biennial\\_reports/submissions/items/2736.php](http://unfccc.int/national_reports/national_communications_and_biennial_reports/submissions/items/2736.php).

<sup>9</sup> Véase [http://unfccc.int/ttclear/misc/\\_StaticFiles/gnwoerk\\_static/TEC\\_column\\_M/33933c6ccb7744bc8fd643feb0f8032a/82af010d04f14a84b9d24c5379514053.pdf](http://unfccc.int/ttclear/misc/_StaticFiles/gnwoerk_static/TEC_column_M/33933c6ccb7744bc8fd643feb0f8032a/82af010d04f14a84b9d24c5379514053.pdf).

e) Actualizó y se ocupó del mantenimiento del Servicio de Información Tecnológica TT: CLEAR.

f) Organizó la primera reunión del CPFC, celebrada en Bonn en mayo de 2017, incluido un intercambio técnico de un día de duración sobre las actividades de fomento de la capacidad para la aplicación de las CDN en el contexto del Acuerdo de París. El Programa también organizó las reuniones quinta y sexta del Foro de Durban sobre el Fomento de la Capacidad, centradas en el fortalecimiento de la capacidad para la aplicación del Acuerdo de París y en la adaptación en el contexto de los PNAD y las CDN, respectivamente.

g) Apoyó la ejecución de las tareas derivadas de la decisión 1/CP.21 y del Acuerdo de París, como el examen del Fondo de Adaptación, el marco de transparencia y el balance mundial en el marco del GAP, la contabilidad de los recursos financieros públicos y la elaboración del marco tecnológico (artículo 10 del Acuerdo de París) en el marco del OSACT, y la definición del alcance y las modalidades de la evaluación periódica del Mecanismo Tecnológico en su labor de respaldo a la aplicación del Acuerdo de París en el marco del OSE.

## D. Adaptación

53. El Programa de Adaptación ayuda a las Partes, en particular a las que son países en desarrollo, a evaluar, desarrollar y aplicar planes, políticas y medidas de adaptación y a mejorar la base científica para la política y las medidas internacionales relativas al clima. En el período del que se informa, el Programa facilitó:

- a) La aplicación del Marco de Adaptación de Cancún, apoyando:
  - i) Los trabajos del Comité de Adaptación<sup>10</sup>;
  - ii) La labor relativa a los enfoques para hacer frente a las pérdidas y los daños relacionados con las repercusiones del cambio climático en los países en desarrollo que son particularmente vulnerables a los efectos adversos de este fenómeno, incluida la labor del Comité Ejecutivo del Mecanismo Internacional de Varsovia<sup>11</sup>;
  - iii) El proceso de formulación y ejecución de los PNAD<sup>12</sup>;
- b) Los trabajos del Grupo de Expertos para los Países Menos Adelantados (GEPMA)<sup>13</sup>;
- c) La ejecución de las actividades del programa de trabajo de Nairobi sobre los efectos, la vulnerabilidad y la adaptación al cambio climático<sup>14</sup>;
- d) Los preparativos para el próximo examen periódico de la idoneidad del objetivo mundial a largo plazo y los progresos generales realizados en la consecución de ese objetivo<sup>15</sup>.

54. Además, el Programa de Adaptación apoyó la respuesta a las peticiones relacionadas con la adaptación dimanantes de la decisión 1/CP.21 y del Acuerdo de París, mediante, entre otras cosas:

- a) El apoyo a la labor del GAP destinada a elaborar modalidades y procedimientos para las comunicaciones sobre la adaptación y en relación con los aspectos del marco de transparencia y del balance mundial relacionados con la adaptación;

<sup>10</sup> Véase <http://unfccc.int/6053>.

<sup>11</sup> Véase <http://unfccc.int/6056>.

<sup>12</sup> Véase <http://unfccc.int/6057>.

<sup>13</sup> Véase <http://unfccc.int/4727>.

<sup>14</sup> Véase <http://unfccc.int/9201>.

<sup>15</sup> Véase <http://unfccc.int/6998>.



- b) La respuesta a las tareas relacionadas con la adaptación en el contexto de las CPDN/CDN, incluida la preparación de la sección referente a la adaptación del informe de síntesis sobre el efecto agregado de las CPDN;
  - c) El apoyo al proceso de examen técnico de la adaptación<sup>16</sup>.
55. Por último, el Programa de Adaptación apoyó la labor transversal, en particular:
- a) Facilitando y apoyando los trabajos preparatorios para el balance mundial;
  - b) Haciendo efectiva la puesta en funcionamiento de la plataforma de las comunidades locales y los pueblos indígenas;
  - c) Coordinando la contribución de la secretaría al proceso de los Objetivos de Desarrollo Sostenible, en su calidad de organismo custodio de los indicadores del clima;
  - d) Facilitando la corriente de información sobre las investigaciones relativas al clima, la mejora de la observación sistemática y la cooperación con el IPCC.

## E. Mecanismos para el Desarrollo Sostenible

56. En el período del que se informa, el Programa de Mecanismos para el Desarrollo Sostenible (MDS) organizó y apoyó con éxito la labor de 7 reuniones de la Junta Ejecutiva del MDL y 12 reuniones de sus grupos de expertos y grupos de trabajo. También organizó 3 reuniones del Comité de Supervisión de la Aplicación Conjunta (CSAC). En los informes anuales<sup>17</sup> de estos dos órganos reguladores a la CP/RP 12 se ponen de relieve una serie de cuestiones tratadas en las reuniones que tuvieron lugar en 2016. El Programa MDS proporcionó a la Junta Ejecutiva del MDL y al CSAC todos los resultados concretos indicados en los planes de gestión aprobados, y todas las actividades y proyectos se llevaron a cabo con sujeción a las estimaciones presupuestarias aprobadas por la Junta Ejecutiva del MDL y el CSAC.

57. El Programa MDS prestó apoyo a la Junta Ejecutiva del MDL en su labor relacionada con:

- a) La inscripción de 85 proyectos y programas de actividades y la expedición de 203.576.088 reducciones certificadas de las emisiones (RCE) a partir de la tramitación de 779 solicitudes de expedición. La Junta Ejecutiva del MDL pidió a la secretaría que diera prioridad a la tramitación de las solicitudes de registro y expedición para reducir el tiempo de espera hasta el inicio de los controles de exhaustividad. El Programa adoptó las medidas internas oportunas y el tiempo de espera se redujo a dos semanas a mediados de octubre de 2016.
- b) La fusión del Grupo de Metodologías y el Grupo de Trabajo sobre los Proyectos en Pequeña Escala y el nombramiento de nuevos miembros de esos grupos.
- c) La aprobación de metodologías para mejorar la transmisión y distribución de electricidad en las redes, la ampliación de la metodología de biodiésel para incluir los biocombustibles, y la energía solar fotovoltaica para las operaciones de acceso a puerta de las aeronaves en los aeropuertos.
- d) La aprobación de los documentos normativos simplificados y aprobación de la versión revisada de la norma sobre los proyectos del MDL, la norma sobre la validación y verificación del MDL y el procedimiento relativo al ciclo de los proyectos del MDL, así como de normas independientes para programas de actividades.
- e) La preparación de una campaña en los medios sociales para promover la plataforma de cancelación voluntaria. Durante el período que se examina se completaron un total de 912 cancelaciones y se cancelaron 115.962 RCE. Aproximadamente el 70% de las cancelaciones que se completaron procedían de usuarios privados y el resto, de empresas y organizaciones.

<sup>16</sup> Véanse <http://unfccc.int/9542> y <http://tep-a.org>.

<sup>17</sup> FCCC/KP/CMP/2016/4 y FCCC/KP/CMP/2016/5.

58. En vista de la creciente tendencia a acumular las RCE en la cuenta de transición y a dejar pendiente el pago de la parte de los fondos devengados, la Junta Ejecutiva del MDL convino en recaudar la parte de los fondos devengados antes de iniciar el control de exhaustividad. También acordó permitir el pago parcial de la parte de los fondos devengados para la expedición de la porción de RCE correspondiente.

59. El Programa prestó apoyo a la Junta Ejecutiva del MDL en sus esfuerzos para aprovechar los beneficios del MDL por conducto de los cinco centros de colaboración regional en África, Asia y América Latina y el Caribe, que trabajan en estrecha colaboración con las autoridades nacionales designadas. Entre otras cosas, ayudó a hacer avanzar los proyectos en el proceso reglamentario del MDL, mediante el fomento de la capacidad y la prestación de conocimientos técnicos, y prestó apoyo a los países en la preparación de bases de referencia normalizadas.

60. El Programa siguió prestando apoyo a las negociaciones internacionales en virtud del artículo 6 del Acuerdo de París, a la revisión de las modalidades y los procedimientos del MDL y las orientaciones sobre la aplicación conjunta, y a la iniciativa Neutralidad Climática Ya para la reducción voluntaria de las emisiones sobre la base del principio de medir, reducir y compensar el saldo.

61. El Programa MDS lideró el apoyo a las paladinas de alto nivel para el clima y colaboró con las Partes y con los interesados que no son Partes para poner en marcha y aplicar la Alianza de Marrakech para la Acción Mundial sobre el Clima, a fin de catalizar y apoyar la acción climática en el período 2017-2020, dando efecto a los arreglos existentes, acordados por las Partes en la CP 21.

## **F. Asuntos Jurídicos**

62. En el período del que se informa, el Programa de Asuntos Jurídicos (AJ) brindó apoyo y asesoramiento jurídico autorizado y oportuno sobre las cuestiones relacionadas con la aplicación de la Convención, el Protocolo de Kyoto y el Acuerdo de París, así como sobre la administración y las operaciones de la secretaría y el proceso de la Convención Marco, a la Secretaría Ejecutiva, la Presidencia de la CP y la CP/RP y la CP/RA, los presidentes y copresidentes de los órganos subsidiarios y constituidos, las Partes y los programas de la secretaría.

63. El Programa AJ proporcionó información actualizada sobre la situación de la ratificación y la entrada en vigor del Acuerdo de París a las Partes y al público en general. El Programa AJ facilitó asimismo a las Partes información y asesoramiento jurídico sobre los requisitos jurídicos y de procedimiento para la entrada en vigor del Acuerdo de París y la celebración del primer período de sesiones de la CP/RA. Además del asesoramiento y el apoyo prestados por el Programa AJ, en la CP/RA 1.1 las Partes adoptaron disposiciones de procedimiento para la conclusión del programa de trabajo dimanante del Acuerdo de París, habida cuenta de la rápida entrada en vigor del Acuerdo en 2016.

64. El Programa prestó apoyo y asesoramiento jurídico sobre aspectos sustantivos y de procedimiento del trabajo de los órganos rectores, subsidiarios y constituidos a las Presidencias de la CP, a los presidentes y copresidentes de esos órganos y a las Partes. También apoyó directamente el examen de diversos temas de sus respectivos programas, y en ese sentido prestó asesoramiento jurídico a las negociaciones relativas al programa de trabajo del Acuerdo de París y brindó un apoyo considerable en relación con el tema 8 del programa del GAP.

65. El Programa AJ prestó apoyo y asesoramiento jurídico al Comité de Adaptación, la Junta Ejecutiva del MDL, el GCE, el CSAC, el GEPMA, el Comité Ejecutivo del Mecanismo Internacional de Varsovia, el CPF y el CET. También apoyó el establecimiento y la primera reunión del CPFC. Se brindó asesoramiento, apoyo e información a las Partes y a los grupos regionales y otros grupos respecto del proceso electoral de la Convención Marco. Además, el Programa AJ prestó asesoramiento y apoyo jurídico sobre el cumplimiento de los requisitos de presentación de informes y examen previstos en la Convención y su Protocolo de Kyoto, incluidos el proceso de evaluación multilateral y el

intercambio de opiniones con fines de facilitación. El Programa AJ también ofreció asesoramiento en relación con la preparación de la evaluación del cumplimiento y la conclusión del primer período de compromiso del Protocolo de Kyoto, y sobre la evaluación del Programa de Préstamos del MDL y la aplicación de la última decisión de la CP/RP sobre ese programa (decisión 3/CMP. 12).

66. El Programa AJ prestó apoyo técnico, administrativo y logístico al Comité de Cumplimiento del Protocolo de Kyoto, en particular en relación con el examen de las cuestiones de aplicación y durante las reuniones del grupo de control del cumplimiento, las del grupo de facilitación y las sesiones plenarias del Comité que tuvieron lugar en el período que se examina.

67. Se prestó apoyo y asesoramiento jurídico con respecto a la administración y las operaciones de la secretaría y del régimen del cambio climático para asegurar el cumplimiento de las normas y los reglamentos de las Naciones Unidas, así como de las decisiones pertinentes de la CP y la CP/RP, y para proteger los intereses de la secretaría y del régimen. En particular, el Programa AJ respaldó la negociación y concertación de instrumentos jurídicos para apoyar las reuniones y talleres de la Convención celebrados fuera de la sede de la secretaría, especialmente los acuerdos jurídicos para la Conferencia de Marrakech (noviembre de 2016) y las conferencias celebradas en la sede de la secretaría (mayo de 2016 y 2017 y noviembre de 2017). También se prestó apoyo y asesoramiento jurídico respecto de la concertación de acuerdos de asociación con interesados que no son Partes y de las directrices de la secretaría al respecto. El Programa siguió siendo miembro del Comité Mixto Local de Contratos y de la Junta Local de Fiscalización de Bienes. Asimismo, trabajó en estrecha coordinación con otras organizaciones de las Naciones Unidas en Bonn, en consulta con el Gobierno anfitrión, sobre la aplicación de sus respectivos Acuerdos relativos a la Sede.

## **G. Servicios de Asuntos de Conferencias**

68. El Programa de Servicios de Asuntos de Conferencias (SAC) proporciona toda la gama de instalaciones y servicios de conferencias que esperan las Partes.

69. En los primeros 18 meses del bienio 2016-2017, el Programa SAC facilitó la organización de un total de 129 reuniones y/o talleres de órganos constituidos, expertos técnicos y grupos regionales en Bonn y en otros lugares. Durante el período del que se informa, el Programa SAC organizó 9 períodos de sesiones de los órganos subsidiarios (el OSE, el OSACT y el GAP) en Bonn y en Marrakech, así como la CP 22, la CP/RP 12 y la CP/RA 1.1 en Marrakech, y apoyó la celebración de un total de 4.969 reuniones durante esos 12 períodos de sesiones. El Programa SAC también facilitó y organizó 112 reuniones de los grupos de negociación con las Presidencias de los órganos subsidiarios antes de esos períodos de sesiones, 3 reuniones informativas para la Presidencia de la Unión Europea y 3 reuniones informativas para la Presidencia del Grupo de los 77 y China.

70. El Programa SAC recibió y tramitó puntualmente 178 solicitudes de asistencia relativa a los visados para la participación de los delegados en los mencionados períodos de sesiones, reuniones y talleres, y recibió y tramitó 810 solicitudes de apoyo financiero para los representantes de las Partes que reunían las condiciones.

71. El Programa SAC prestó apoyo sustantivo a las negociaciones sobre la participación de los observadores en relación con el tema del programa del OSE sobre las disposiciones para las reuniones intergubernamentales, incluida la preparación de un informe resumido sobre el fortalecimiento de la participación de los interesados que no son Partes, y la organización de un taller para 350 participantes sobre este tema en mayo de 2017, durante el período de sesiones. También prestó apoyo sustantivo y logístico a las Presidencias entrantes y en funciones en relación con la participación de los interesados que no son Partes. En cuanto a las admisiones, el Programa SAC evaluó 584 solicitudes de admisión en calidad de observadores y facilitó oportunidades para 199 intervenciones y 337 comunicaciones de interesados que no eran Partes. El Programa SAC recibió y tramitó 1.079 solicitudes de eventos paralelos, que condujeron a la celebración de 380 eventos paralelos de 779 Partes y observadores y de la secretaría. Además, recibió y

tramitó 347 solicitudes relativas a exposiciones, que se tradujeron en 227 exposiciones individuales y conjuntas de 305 Partes y observadores. El Programa SAC entabló contacto con los interesados sobre las formas de participar en el proceso de la Convención, lo que supuso el intercambio de unos 170.000 correos electrónicos. A fin de aumentar la inclusión, la transparencia y la eficiencia operacional, el Programa SAC ejecutó varios proyectos innovadores: la transmisión en directo de eventos paralelos (puesta a prueba en el 44º período de sesiones de los órganos subsidiarios y ampliada a todos los eventos paralelos de esos períodos de sesiones y de la CP 22); la transmisión en vídeo (probada con éxito en el 46º período de sesiones de los órganos subsidiarios); el uso de la tecnología de descarga de información por contacto en las exposiciones (ofrecida en dos períodos de sesiones); una plataforma de colaboración en línea (utilizada para elaborar el programa del taller del período de sesiones y para facilitar la comunicación con los grupos de organizaciones no gubernamentales); una nueva solución para la gestión de los contactos, destinada a las organizaciones observadoras admitidas; y herramientas de visualización de datos y vídeo. Además, se relanzaron las páginas web de los observadores, lo que mejoró el acceso a la información para todos los interesados.

72. En el período del que se informa, el Programa SAC editó y tramitó un total de 682 documentos oficiales y 212 oficiosos. En 2016, el 82% de los documentos anteriores a los períodos de sesiones que se tradujeron se presentaron para su publicación dentro del plazo fijado. En 2017, al 30 de junio de esa cifra se situaba en el 100%. En 2016 se introdujeron mejoras al sistema electrónico de documentos oficiales, en colaboración con el Programa de Tecnología de la Información y de las Comunicaciones (TIC). En colaboración con el Programa de Comunicaciones y Difusión y el Programa SA, se está estudiando la introducción de nuevas mejoras, junto con el perfeccionamiento del proceso de publicación de documentos en el sitio web de la Convención Marco.

73. Las medidas de reducción del uso de papel, como la presentación del programa diario en formato electrónico únicamente y el criterio de la impresión limitada a demanda, redujeron en un 61% el uso total de papel para los documentos oficiales en el OSACT 46, el OSE 46 y el GAP 1.3 en comparación con los períodos de sesiones de mayo, siguiendo la tendencia de los períodos de sesiones anteriores.

74. Durante el período que se examina, los preparativos de la CP 23, la CP/RP 13 y la CP/RA 1.2 ya estaban muy avanzados.

## H. Comunicaciones y Extensión

75. El Programa de Comunicaciones y Extensión (CE) gestiona las comunicaciones externas, la información pública en línea y las relaciones con los medios de difusión y los servicios correspondientes, incluidos los servicios internos de gestión de los conocimientos. Estas funciones se realizan para apoyar los procesos de la Convención, el Protocolo de Kyoto y el Acuerdo de París, así como la aplicación de las decisiones de la CP, a fin de generar el mayor efecto posible promoviendo medidas y políticas positivas para hacer frente al cambio climático.

76. El Programa administra el sitio de Noticias en tres de los seis idiomas oficiales de las Naciones Unidas: español, francés e inglés. Este sitio web ilustra los éxitos de la Convención, el Protocolo de Kyoto y el Acuerdo de París y la oleada mundial de medidas relacionadas con el clima que están adoptando los Gobiernos, las empresas y la sociedad civil. La presencia de la Convención Marco en la Web es respaldada por una serie de canales de los medios sociales y se actualiza y estructura de forma dinámica, conforme a los nuevos destinatarios y a los progresos del régimen climático mundial.

77. Durante el período del que se informa, 3.442.440 usuarios visitaron un total de 12.528.364 páginas. El canal de YouTube de la secretaría tuvo 519.138 visitas y cuenta actualmente con 4.150 suscriptores; y la cuenta Flickr contiene ahora 2.907 fotografías de conferencias y de las actividades de extensión de la Secretaría Ejecutiva, y ha sido visitada más de 2,3 millones de veces.

78. El nivel de interacción ha aumentado considerablemente, en particular a través de los canales de los medios sociales (véanse los datos sobre Facebook, Twitter e Instagram en el cuadro 24). Además de las sesiones interactivas transmitidas por la Web, durante el período del que se informa se introdujeron y utilizaron nuevas herramientas interactivas, entre ellas Facebook live.

79. El Programa prosiguió su aplicación de la iniciativa Impulso para el Cambio como un medio de reconocimiento de las soluciones positivas para el clima. En 2016 la secretaría recibió 475 candidaturas, de las cuales admitió 255. En 2017 se recibieron 462 candidaturas, de las cuales se admitieron 223.

80. El Programa proporcionó servicios de transmisión web a las reuniones del CSAC (3), la Junta Ejecutiva del MDL (7), el Comité de Adaptación (1), el Comité de Cumplimiento (4), el CET (3), el CPF (4), el CRTC (1), la CP (1) y los órganos subsidiarios (2), contribuyendo así a la transparencia en el proceso intergubernamental. En el período del que se informa vieron esas transmisiones web, en vivo o a petición del usuario, más de 477.000 visitantes del sitio web de la Convención Marco.

81. El Programa CE proporciona servicios de comunicación interna a la secretaría, en particular a través de su intranet. Durante el período del que se informa, se pusieron en marcha 30 nuevos espacios de colaboración y se publicaron en la intranet más de 450 artículos de información interna, lo que supone un aumento significativo del intercambio de conocimientos con respecto al bienio anterior, cuando no existía ningún mecanismo para el intercambio de noticias diarias y aún no se había integrado en la intranet un espacio de colaboración.

82. El Programa CE asesora a la secretaría con respecto a los archivos, facilita la transferencia de los archivos inactivos de las oficinas y gestiona el centro de archivos inactivos, que incluye las grabaciones audiovisuales de los procesos de negociación de la Convención Marco. Durante el período del que se informa, se dio respuesta a 452 solicitudes de recuperación de archivos, tanto para el personal interno como para clientes externos. La taxonomía institucional ha incorporado elementos del Acuerdo de París y ha sido actualizada en consecuencia en cinco sistemas importantes, que incluyen la intranet, el entorno para la nueva plataforma de colaboración y el portal web de documentos oficiales, a fin de proporcionar a los usuarios externos del sitio web y a los funcionarios un mejor acceso a la información.

## **I. Tecnología de la Información y de las Comunicaciones**

83. El Programa de Tecnología de la Información y de las Comunicaciones (TIC) ofrece infraestructura y sistemas de apoyo para la TIC y sistemas de información especializados que ayudan a la secretaría a cumplir su mandato. El Programa TIC vela por que se realice la labor que le han encomendado las Partes, estableciendo y asegurando el funcionamiento de una infraestructura de TIC y de aplicaciones especializadas seguras y fiables. También gestiona la infraestructura fundamental para que el sitio web de la Convención Marco y las diversas extranets estén siempre disponibles y para que las Partes tengan fácil acceso a la documentación oficial, los portales de información, datos y comunicaciones, las deliberaciones y los detalles de los eventos.

84. Además de su labor habitual en relación con las operaciones y el funcionamiento de los sistemas, el Programa efectuó importantes progresos en su iniciativa de transformación de la TIC comenzada en 2014 y completó la reestructuración de esa tecnología en toda la organización al final de junio de 2016. El antiguo Programa de Servicios de Tecnología de la Información pasó a ser el Programa de Tecnología de la Información y las Comunicaciones a partir del 1 de abril de 2016, con una estructura orgánica nueva y adecuada a los fines previstos, un mejor marco de gobernanza de las TIC y una capacidad orientada a atender las necesidades futuras. Además, el trabajo a nivel de los programas definido en los acuerdos marco concertados con cada uno de ellos se ha mejorado aún más, para aumentar la transparencia de la labor realizada y la presentación de informes financieros.

85. Como parte de su transformación y de su objetivo de aportar soluciones innovadoras a la secretaría y a las Partes, el Programa TIC puso en marcha seis proyectos importantes con el fin de establecer la infraestructura y las plataformas tecnológicas para sistemas futuros y optimizar los costos:

a) Un proyecto de consolidación de los centros de datos para aprovechar las tecnologías de la nube cuando sea posible;

b) Un proyecto de innovación en la movilidad para que la secretaría y las Partes puedan colaborar (documentos compartidos, reuniones virtuales, etc.) en cualquier momento y en cualquier lugar;

c) Una iniciativa de participación virtual para mejorar y hacer más efectivo el uso de la tecnología destinada a las reuniones virtuales en la secretaría y para adoptar medidas de eficiencia adicionales para las reuniones de los órganos constituidos y las conferencias mediante el uso de la participación virtual;

d) Un nuevo marco de seguridad de la TIC para fortalecer la seguridad de esta tecnología en la secretaría;

e) Una nueva plataforma de gestión de las relaciones con los clientes para gestionar a los interesados y sus interacciones de manera más eficaz;

f) Un nuevo almacén de datos para modernizar la solución actual, incorporar una revisión de las Directrices del IPCC de 2006 para los inventarios nacionales de gases de efecto invernadero y desarrollar una nueva interfaz de datos sobre GEI.

86. Durante el período del que se informa, el Programa TIC apoyó los períodos de sesiones de mayo (2016 y 2017) de los órganos subsidiarios, la Conferencia de Marrakech y un total de 82 talleres y reuniones más pequeñas. Se ofreció a los participantes una infraestructura de tecnología de la información (TI) muy fiable y segura, que incluyó, entre otras cosas, el acceso a sistemas esenciales como la inscripción, la gestión de eventos, la gestión y el manejo compartido de documentos y la conexión inalámbrica (WiFi), sin interrupciones del servicio ni quejas de los participantes.

87. El Programa mantuvo una infraestructura de red y servicios de TI seguros y fiables, que permitieron a la secretaría responder a las necesidades del proceso intergubernamental. El Programa prestó servicios a los sistemas previstos en el mandato, como el registro de las CDN, el almacén de datos sobre GEI de la Convención Marco, la base de datos de recopilación y contabilidad, el programa informático CRF Reporter, los sistemas de información del MDL y la aplicación conjunta y el registro del MDL. El Programa también proporcionó sistemas de toda la secretaría, como el sistema de gestión de contenidos para el sitio web de la Convención Marco, el sistema de gestión de registros y los diversos portales, entre ellos el de la iniciativa Neutralidad Climática Ya, la Central de los PNAD, la base de datos sobre los asociados del programa de trabajo de Nairobi y las promesas, el portal sobre financiación y el portal de tecnología. Además, se llevó a cabo eficazmente la labor de supervisión técnica y administración de contratos del DIT. Las metas del acuerdo de prestación de servicios para el período de 18 meses que terminó en junio de 2017 se cumplieron y superaron.

88. Durante el período del que se informa, el Programa TIC proporcionó servicios y apoyo a más de 100 aplicaciones diferentes de la secretaría. El personal de TIC llevó a cabo varios proyectos y mejoras para perfeccionar algunas de esas aplicaciones que utilizan la secretaría y sus interesados, como, por ejemplo, el almacén de datos sobre GEI, la plataforma de gestión de las relaciones con los clientes de toda la secretaría, el programa informático CRF Reporter, las salas de equipos virtuales y diversos portales de presentación de documentos oficiales e instrumentos de procesamiento de datos.

## **J. Programa de Servicios Administrativos**

89. El Programa de Servicios Administrativos (SA) presta numerosos servicios en apoyo del funcionamiento eficaz de la secretaría. Entre ellos figuran la gestión de los recursos humanos y financieros, las adquisiciones, los viajes y los servicios generales.

90. En el período del que se informa, el Programa SA tramitó los arreglos de viaje de un total de 2.948 participantes designados para asistir a los períodos de sesiones de la CP, la CP/RP, la CP/RA, el OSE, el OSACT y el GAP, así como a otros 205 eventos oficiales de la Convención Marco. De esos arreglos de viajes, 2.754 (más del 93%) culminaron con la asistencia a las reuniones correspondientes; los casos en que los interesados no llegaron a asistir se debieron a conflictos con otros compromisos personales o profesionales, a problemas de salud o de visado, o a vuelos perdidos.

91. Las adquisiciones de bienes y contrataciones de servicios del Programa SA se efectuaron de manera competitiva y eficaz en relación con el costo. El Programa SA gestionó 644 casos de adquisiciones por un total de 21,1 millones de dólares de los Estados Unidos; aproximadamente la tercera parte de ellos en virtud de acuerdos a largo plazo, y la cuarta parte a través de adquisiciones en cooperación con entidades del sistema de las Naciones Unidas. Se llevaron a cabo 93 licitaciones, que dieron lugar, entre otras cosas, a 18 nuevos acuerdos a largo plazo. Por término medio se recibieron cuatro respuestas a cada nueva licitación, y las ofertas seleccionadas fueron, en promedio, un 33% más baratas que las ofertas más altas recibidas. Aproximadamente el 97% de las adquisiciones se tramitaron dentro de los plazos establecidos, mientras que en el caso de las licitaciones solo el 65% se tramitó dentro de plazo.

92. El Programa SA encabezó los esfuerzos de la secretaría para lograr la neutralidad climática en sus operaciones mediante la medición y notificación de las emisiones de GEI y la aplicación de medidas de reducción. La secretaría también prestó asistencia a otras 24 organizaciones de las Naciones Unidas para lograr la neutralidad climática, compensando 490 kilotoneladas de dióxido de carbono equivalente (CO<sub>2</sub> eq) (incluidas las 3.765 t de CO<sub>2</sub> eq de la propia secretaría), o casi una cuarta parte de las emisiones de GEI de todo el sistema de las Naciones Unidas en 2015, mediante la adquisición y cancelación de RCE del Fondo de Adaptación.

93. La Dependencia de Gestión de Recursos Humanos sigue prestando servicios relacionados con la obtención de talentos, el desarrollo organizativo y la administración del personal, con miras a apoyar la visión estratégica y la adopción de decisiones administrativas de la Organización. Con la estabilización de Umoja (el sistema de planificación de los recursos institucionales), la Dependencia se ha concentrado en la extracción de datos de recursos humanos significativos que puedan ser analizados y utilizados por los encargados de la adopción de decisiones para contribuir a una gestión más eficaz y eficiente. Esto se ha convertido en la base de la planificación estratégica de los recursos humanos en relación con la evolución de los mandatos de la Organización.

94. Como parte de su labor de mantenimiento de los registros financieros de la Organización de conformidad con las Normas Internacionales de Contabilidad del Sector Público, el programa tramitó unas 8.400 transacciones financieras, que incluyen el pago de 4.100 facturas y la tramitación de aproximadamente 1.200 pagos recibidos. También siguió coordinando la gestión de las contribuciones voluntarias, incluidas las actividades de recaudación de fondos y la presentación de informes a los contribuyentes.

95. Como parte de su labor de gestión de los diez fondos fiduciarios y las cuentas especiales de la Organización en Umoja, el Programa preparó varios documentos oficiales durante el período, entre ellos la propuesta presupuestaria y el programa de trabajo para el bienio 2018-2019, el informe sobre la ejecución del presupuesto correspondiente a 2016, tres informes sobre la situación de las contribuciones y dos conjuntos de estados financieros que recibieron una opinión sin reservas de los auditores. En el curso de las auditorías, el Programa proporcionó toda la documentación solicitada y documentos justificativos que dejaron plenamente satisfechos a los equipos de auditores. También prestó apoyo al OSE en el examen de varias cuestiones presupuestarias complejas en los tres períodos de sesiones del OSE que tuvieron lugar durante el período que se examina.

96. Durante el período del que se informa, la Dependencia de Gestión de Recursos Financieros organizó el desembolso de apoyo financiero a los participantes en el proceso de negociación y en otras reuniones que reunían los requisitos para ello, lo que condujo a la realización de unos 3.400 pagos para la participación en los períodos de sesiones de la CP, la CP/RP, la CP/RA y los órganos subsidiarios y en otras reuniones importantes.

## **V. Información adicional**

97. En el anexo I se facilita información sobre los recursos humanos de la secretaría. El anexo II ofrece información sobre los ingresos y gastos relativos a las actividades financiadas con cargo al Fondo Fiduciario para Actividades Suplementarias. El anexo III contiene datos sobre el desempeño respecto de todos los objetivos de los programas de la secretaría que se presentan en el programa de trabajo de esta para el bienio 2016-2017, en el documento FCCC/SBI/2015/3/Add.1.



## Anexo I

### Recursos Humanos: personal

[Inglés únicamente]

1. Table 12 shows the number of approved posts and filled posts by grade and source of funding. As at 30 June 2017, of the 437 approved posts, 377.5 had been filled. One of these was a 50 per cent post (half-time post) and 10 were 80 per cent posts, thus increasing the actual number of staff to 380. In addition, 14 Professional and 13 General Service level staff members had been hired under temporary assistance contracts, and four Junior Professional officers were on board, bringing the total number of staff at the secretariat to 411.

Table 12

#### Approved established posts and filled posts by source of funding as at 30 June 2017

	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Subtotal	GS	Total
<i>Trust Fund for the Core Budget</i>												
Approved	1	1	2	7	15	35	43	16		120	53.5	<b>173.5</b>
Filled <sup>a</sup>	1		1	5	10	29	33.8	14.8		94.6	49.5	<b>144.1</b>
<i>Trust Fund for Supplementary Activities</i>												
Approved				1	3	4	13	8		29	9	<b>38</b>
Filled				1	3	3	11	5		23	8.8	<b>31.8</b>
<i>Trust Fund for the Clean Development Mechanism</i>												
Approved				1	2	10	26	25		64	23	<b>87</b>
Filled				1	1	10	25	23		60	21	<b>82</b>
<i>Trust Fund for the International Transaction Log</i>												
Approved						2	3	1		6	1	<b>7</b>
Filled						2	3	1		6	1	<b>7</b>
<i>Trust Fund for the Special Annual Contribution from the Government of Germany</i>												
Approved								1		1	7	<b>8</b>
Filled								1		1	7	<b>8</b>
<i>Special account for conferences and other recoverable costs<sup>b</sup></i>												
Approved							1	1		2	4	<b>6</b>
Filled							1	1		2	3	<b>5</b>
<i>Special account for cost recovery related activities</i>												
Approved						5	8	8		21	10	<b>31</b>
Filled						5	6	7		18	7	<b>25</b>
<i>Programme support (overhead)</i>												
Approved				1	2	4	13	7		27	59.5	<b>86.5</b>
Filled				1	2	3.8	11	6		23.8	51.8	<b>75.6</b>
<b>Total</b>												
<b>Approved</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>10</b>	<b>22</b>	<b>60</b>	<b>107</b>	<b>67</b>		<b>270</b>	<b>167</b>	<b>437</b>
<b>Filled</b>	<b>1</b>		<b>1</b>	<b>8</b>	<b>16</b>	<b>52.8</b>	<b>90.8</b>	<b>58.8</b>		<b>228.4</b>	<b>149.1</b>	<b>377.5</b>

Abbreviations: ASG = Assistant Secretary-General, D = Director, GS = General Service, P = Professional, USG = Under-Secretary-General.

<sup>a</sup> Filled posts are occupied by staff members who have been awarded a fixed-term contract of one year or more and are appointed against established posts after going through the complete recruitment process, including review by the Review Board.

<sup>b</sup> These posts are in support of operating the split office premises and are funded by the Government of Germany.

2. Table 13 provides information on the geographical distribution of the staff appointed at the Professional level and above. As at 30 June 2017, Western European and other States accounted for the highest percentage (43.6 per cent) of staff appointed at the Professional level and above, whereas African States accounted for the lowest (7.9 per cent).

3. The secretariat has continued its efforts in relation to achieving a good geographical distribution and gender balance among staff at the Professional level and above. Vacancy announcements are placed in many regional and global media, covering as many Parties not included in Annex I to the Convention (non-Annex I Parties) as possible.

Table 13

**Geographical distribution of staff members appointed at the Professional level and above as at 30 June 2017<sup>a</sup>**

<i>Grade</i>	<i>African States</i>	<i>Asia-Pacific States</i>	<i>Latin American and Caribbean States</i>	<i>Eastern European States</i>	<i>Western European and other States</i>	<i>Total</i>
USG			1			<b>1</b>
D-2					1	<b>1</b>
D-1	2		1		5	<b>8</b>
P-5	3	5		3	5	<b>16</b>
P-4	2	12	7	7	25	<b>53</b>
P-3	8	29	9	4	41	<b>91</b>
P-2	3	13	11	9	23	<b>59</b>
<b>Total</b>	<b>18</b>	<b>59</b>	<b>29</b>	<b>23</b>	<b>100</b>	<b>229</b>
Percentage of total	7.9	25.8	12.7	10.0	43.6	<b>100.0</b>

*Abbreviations:* USG = Under-Secretary-General, D = Director, P = Professional.

<sup>a</sup> Does not include data on staff recruited under temporary assistance contracts.

4. Table 14 highlights the distribution of staff members appointed at the Professional level and above between Parties included in Annex I to the Convention (Annex I Parties) and non-Annex I Parties. As at 30 June 2017, the percentage of staff from non-Annex I Parties at the Professional and higher levels was 45.9 per cent, compared with 54.1 per cent for Annex I Parties.

Table 14

**Distribution of staff members at the Professional level and above between Annex I and non-Annex I Parties<sup>a</sup>**

<i>Grade</i>	<i>Annex I Parties</i>	<i>Non-Annex I Parties</i>
USG		1
D-2	1	
D-1	5	3
P-5	8	8
P-4	30	23
P-3	50	41
P-2	30	29
<b>Total</b>	<b>124</b>	<b>105</b>
Percentage of total	54.1	45.9

*Abbreviations:* USG = Under-Secretary-General, D = Director, P = Professional.

<sup>a</sup> Does not include data on staff recruited under temporary assistance contracts.

5. Table 15 highlights the distribution of staff members by gender. As at 30 June 2017, the percentage of female staff at the Professional and higher levels was 40.2 per cent, up by 1.1 cent from June 2016. The percentage of female staff at all levels was 55.3 per cent as at 30 June 2017, a rise of 1.5 per cent since 30 June 2016.

Table 15  
Distribution of staff members by gender<sup>a</sup>

<i>Grade</i>	<i>Male</i>	<i>Female</i>
USG		1
D-2	1	
D-1	6	2
P-5	10	6
P-4	32	21
P-3	52	39
P-2	36	23
<b>Subtotal</b>	<b>137</b>	<b>92</b>
Percentage of P and above	59.8	40.2
GS	33	118
<b>Total</b>	<b>170</b>	<b>210</b>
Percentage of total	44.7	55.3

*Abbreviations:* USG = Under-Secretary-General, D = Director, GS = General Service, P = Professional.

<sup>a</sup> Does not include data on staff recruited under temporary assistance contracts.

## Anexo II

## Proyectos y eventos financiados con cargo al Fondo Fiduciario para Actividades Suplementarias en el bienio 2016-2017

[Inglés únicamente]

Table 16

### Income and expenditure of projects and events funded from the Trust Fund for Supplementary Activities in the biennium 2016–2017 as at 30 June 2017

<i>Project</i>	<i>Income (USD)<sup>a</sup></i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
<i>Executive Direction and Management</i>			
Enhancing and strengthening cooperation with the United Nations through the Office of the Secretary-General	29 189	75 287	(46 098)
Providing support to Executive Management in the lead-up to the twentieth session of the Conference of the Parties (COP) and the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP)	173 129	163 753	9 376
Supporting negotiations and new institutional arrangements aimed at enhancing the implementation of the Convention and its Kyoto Protocol	652 810	648 863	3 947
Strengthening gender mainstreaming in climate change action and the UNFCCC process	400 539	165 082	235 457
<i>Mitigation, Data and Analysis</i>			
Facilitating the implementation of the work programme for the revision of the UNFCCC reporting guidelines for Annex I Parties and the use of the Intergovernmental Panel on Climate Change (IPCC) 2006 IPCC Guidelines for National Greenhouse Gas Inventories	94 525	5 430	89 095
Developing and maintaining the UNFCCC information systems for the receipt and processing of greenhouse gas (GHG) data, including the UNFCCC submission portal, the Virtual Team Room and the GHG data interface	92 403	84 601	7 802
Supporting activities relating to scientific, technical and socioeconomic aspects of mitigation of climate change	5 888	-	5 888
Supporting the implementation of pre-2020 mitigation-related activities stemming from decision 1/CP.21	32 991	25 020	7 971
Supporting the implementation of the transparency framework under the Paris Agreement	881 938	246 522	635 416
Supporting the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention on implementing the measurement, reporting and verification framework for developing country Parties	433 964	216 334	217 630
Enhancing and maintaining the compilation and accounting database under the Kyoto Protocol	210 288	176 043	34 245
Supporting activities relating to land use, land-use change and forestry, reducing emissions from deforestation and forest degradation, the enhancement of carbon sinks and the role of sinks in future mitigation actions	1 107 557	362 308	745 249
Providing training for expert review teams and organizing meetings of the lead reviewers	1 043 040	791 621	251 419
Supporting the preparation of national GHG inventories and related activities undertaken by non-Annex I Parties, including national forest monitoring systems	826 805	469 336	357 469
Supporting the implementation of enhanced action on mitigation by developing country Parties	635 920	476 877	159 043

<i>Project</i>	<i>Income (USD)<sup>a</sup></i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Supporting activities relating to the impact of the implementation of response measures	407 718	249 417	158 301
Supporting the work programme for the development of modalities and guidelines for enhanced reporting by developed country Parties and the international assessment and review process	1 297 556	523 334	774 222
Supporting the upgraded common reporting format (CRF) software (CRF Reporter) for the reporting of GHG emissions and removals by Annex I Parties	918 609	896 777	21 832
Supporting the technical dialogue on nationally determined contributions (NDCs)	1 245 230	358 786	886 444
Supporting the operationalization of the international consultation and analysis process for developing country Parties	752 317	445 675	306 642
Supporting the implementation of activities relating to intended nationally determined contributions and NDCs	800 666	180 784	619 882
Providing support for strengthening the transparency of the reporting from non-Annex I Parties, including by strengthening sustainable national GHG inventory management systems and providing training on the use of <i>2006 IPCC Guidelines for National Greenhouse Gas Inventories</i>	710 137	675 893	34 244
Implementing the activities of the Support Unit of the NDC Partnership at the regional level	2 137 431	134 145	2 003 286
Developing, deploying and operating a beta version of the nationally appropriate mitigation action registry	77 057	54 928	22 129
Maintaining and enhancing the UNFCCC data warehouse and related information technology tools and data-processing systems, including tools for the review of GHG inventories and the operation of the GHG data interface on the UNFCCC website	1 298 874	1 056 067	242 807
<i>Finance, Technology and Capacity-building</i>			
UNFCCC fellowship programme	16 324	-	16 324
Supporting the implementation of the framework for capacity-building in developing countries under decision 2/CP.7 and the framework for capacity-building in countries with economies in transition under decision 3/CP.7	280 370	264 079	16 291
Supporting the work of the Standing Committee on Finance	1 390 057	1 016 293	373 764
Supporting climate finance	86 338	6 768	79 570
Supporting the implementation of the Technology Mechanism and the work of the Technology Executive Committee, including the implementation of the framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention	1 060 600	726 460	334 140
Supporting the work of the Transitional Committee for the design of the Green Climate Fund	25 545	-	25 545
<i>Adaptation</i>			
Coordinating the implementation of the Paris Agreement, institutional arrangements under the Convention and efforts to mobilize and catalyse climate action	489 276	302 934	186 342
Supporting the least developed countries and the Least Developed Countries Expert Group	1 179 502	941 953	237 549
Supporting the implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change, including new mandates arising from the Paris Agreement	283 538	147 273	136 265
Supporting activities relating to climate change science, research and systematic observation	188 608	103 862	84 746
Supporting the implementation of the Cancun Adaptation Framework, including new mandates arising from the Paris Agreement	1 688 532	1 012 363	676 169
Supporting the periodic review of the adequacy of the long-term global goal referred to in decision 1/CP.16, paragraph 4	175 680	99 347	76 333
Supporting the coherent delivery of the implementation of cross-cutting adaptation mandates, including those arising from the Paris Agreement	401 978	274 619	127 359

<i>Project</i>	<i>Income (USD)<sup>a</sup></i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Promoting transparency and assessment of adaptation action, stakeholder engagement and outreach	3 453	-	3 453
<i>Sustainable Development Mechanisms</i>			
Implementing the two work programmes mandated under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention agenda item on various approaches relating to: (1) a framework for various approaches; and (2) the new market-based mechanism	10 731	-	10 731
Supporting the operation of joint implementation	5 324 427	1 172 893	4 151 534
Organizing a workshop on the review of the modalities and procedures of the clean development mechanism	27 869	-	27 869
Coordinating the implementation of the Paris Agreement, institutional arrangements under the Convention and efforts to mobilize and catalyse climate action	278 178	276 387	1 791
Supporting the integration of the clean development mechanism into intended nationally determined contributions and advancing the use of instruments under Article 6 of the Convention	368 036	305 124	62 912
Elaborating modalities and procedures for the inclusion of carbon dioxide capture and storage in geological formations as project activities under the clean development mechanism	9 352	-	9 352
Providing support to the secretariat and international financial institutions in their collaboration on the development of GHG accounting standards for non-state actors	50 306	-	50 306
Stimulating contributions from all non-Party actors and building the foundation for their measurement and verification	548 372	301 812	246 560
<i>Legal Affairs</i>			
Electronic handbook for the UNFCCC	14 082	(3 746)	17 828
Providing support to the Compliance Committee	85 465	24 201	61 264
<i>Conference Affairs Services</i>			
Servicing the second session of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP)	21	-	21
Servicing the fourth part of the second session of the ADP	465	23	442
Developing a handbook and film documentary for conference management	63 950	61 197	2 753
Supporting stakeholder engagement in the UNFCCC process	360 570	350 736	9 834
Further developing the electronic Official Documents System	128 161	98 177	29 984
Servicing the twenty-third session of the COP and the thirteenth session of the CMP	838 077	428 275	409 802
Servicing the forty-sixth sessions of the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice	1 916 614	1 623 959	292 655
Servicing the sixth part of the second session of the ADP	41 157	-	41 157
Servicing the eighth part of the second session of the ADP	112 571	(22 436)	135 007
Servicing the tenth part of the second session of the ADP	160 336	(43 901)	204 237
Servicing the eleventh part of the second session of the ADP	62 388	(375 042)	437 430
Servicing the forty-second session of the SBI	935	-	935
Servicing the forty-fourth session of the SBI	1 462 780	1 421 950	40 830
<i>Communications and Outreach</i>			
Developing internal communication tools and channels within the secretariat to ensure communication between management and staff, and between and among staff, with a view to supporting corporate culture and engagement	283 050	164 959	118 091
Hosting the Global Youth Video Competition	50 139	39 505	10 634
Developing the mobile application for smartphones, tablet computers and mobile devices	742	(6 438)	7 180
Momentum for Change	171 062	144 833	26 229
Momentum for Change: Information and Communication Technology Solutions	361 263	319 314	41 949

<i>Project</i>	<i>Income (USD)<sup>a</sup></i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Momentum for Change: Women for Results	32 864	28 383	4 481
Momentum for Change: Planetary Health	432 894	167 655	265 239
Developing an online portal for UNFCCC information in Spanish	109 166	108 976	190
Supporting the work programme on climate change education, public awareness and public participation	256 918	212 288	44 630
Developing country media training workshops	95 933	95 191	742
Undertaking a website project on digital enhancement post 2015 (web and social media)	1 044 848	597 595	447 253
Managing and preserving sound recordings of all sessions	113 674	83 452	30 222
Managing and preserving video recordings of all sessions	72 711	44 807	27 904
UNFCCC web portal in the six United Nations languages (Arabic, Chinese, English, French, Russian and Spanish)	5 364	6 049	(685)
Providing archive services for the historical records of the UNFCCC	12 977	-	12 977
Communications and Outreach travel with and in support of the Executive Secretary	34 547	14 741	19 806
Photo library to enhance the quality and availability of communications and outreach photos	3 757	1 276	2 481
<i>Administrative Services</i>			
Establishing the UNFCCC history corner	120 251	108 383	11 868
<i>Information and Communication Technology</i>			
Climate action hub	50 894	49 197	1 697
Replacing the email and instant messaging system	2 419	(330)	2 749
Enhancing responsiveness, efficiency and accountability by strengthening secretariat relationship management and communications with Parties and observers	287 399	129 808	157 591
Implementing the Joint Development of Knowledge Tools on Environmental Conventions (InforMEA Project) under the programme for the Environment and Sustainable Management of Natural Resources, including Energy	11 074	10 941	133
Enhancing information and communication technology security at the UNFCCC	142 107	95 173	46 934
Governing information technology	29 625	-	29 625
Migrating mandated web information systems	111 271	58 734	52 537
Supporting and enhancing the secretariat's collaboration platform as the basis for information-sharing and enterprise content management and a repository for the secretariat's legal instruments	49 740	13 507	36 233
Implementing enterprise mobility	100 673	99 464	1 209
Providing virtual meeting/workshop participation to UNFCCC stakeholders	258 391	-	258 391
<i>Other expenditure</i>			
Junior Professional Officers	1 250 385	563 810	686 575
<b>Total</b>	<b>40 897 363</b>	<b>22 149 716</b>	<b>18 747 647</b>

a Note that not all income available under the Trust Fund for Supplementary Activities has been allocated to projects. Some of the income received is held unallocated at the request of contributing Parties for future allocations.

**Anexo III**

**Datos sobre la ejecución de los programas en el período comprendido entre el 1 de enero de 2016 y el 30 de junio de 2017**

*[Inglés únicamente]*

Table 17

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Executive Direction and Management programme**

**Objective 1: ensure effective support of Parties’ efforts to construct an enhanced multilateral rules-based system under the Convention pursuant to the outcome of the twenty-first session of the Conference of the Parties and the Bali Road Map and to prepare for and support the entry into force of the Paris Agreement**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The secretariat responds effectively to the priorities set by Parties in constructing an enhanced rules-based system under the Convention	The proportion of mandated outputs relating to the Paris Agreement delivered and delivered on time	All mandated outputs relating to the Paris Agreement have been delivered and delivered on time. For details of progress in relation to the Paris Agreement work programme resulting from relevant requests contained in decision 1/CP.21, please consult the secretariat progress tracker <sup>b</sup>
	The level of satisfaction of Parties with the work of the secretariat. Actual result for 2013: 92 per cent of all respondents somewhat agreed, agreed or strongly agreed with the statement that their level of satisfaction with the work of the secretariat was consistently high. Target: to maintain the level of satisfaction at 90–95 per cent	Budgetary constraints have prevented the secretariat from undertaking a survey on the level of satisfaction of Parties in the reporting period as envisaged. Such a survey is to be undertaken in May 2018 and information on the level of satisfaction of Parties with the work of the secretariat will be made available in the next budget performance report

**Objective 2: ensure the provision of effective and state-of-the-art support to the institutions and governance arrangements established under the Convention and its legal instruments**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
All facets of climate change intergovernmental meetings are well organized. Appropriate procedural, substantive and logistical support is provided to Parties. The President of the Conference of the Parties (COP) and the COP Bureau are well informed and able to carry out their functions effectively	The level of satisfaction of Parties with the secretariat’s support of negotiation sessions. Baseline: in 2013, 66 per cent of respondents either agreed or strongly agreed with the statement “The secretariat supported the intergovernmental process effectively”, and 60 per cent of respondents either agreed or strongly agreed with the statement “The secretariat provided good advice on procedures and content for mandated conferences, meetings and events”. Target: to increase the level of satisfaction of Parties	Budgetary constraints have prevented the secretariat from undertaking a survey on the level of satisfaction of Parties in the reporting period as envisaged. Such a survey is to be undertaken in May 2018 and information on the level of satisfaction of Parties with the work of the secretariat will be made available in the next budget performance report
	The level of satisfaction of the COP President and the COP Bureau with the secretariat’s	Information on the level of satisfaction of the COP President and the COP Bureau with the secretariat’s support will be made available in the budget



support.<sup>c</sup> Baseline: in 2013, 100 per cent of respondents either agreed or strongly agreed with the statement “The secretariat provides appropriate and effective support in logistical arrangements for the Bureau meetings”, and 88 per cent of respondents either agreed or strongly agreed with the statement “The secretariat provides optimal support to enable the members of the Bureau to guide the intergovernmental process”

performance report covering the period from 1 January 2018 to 30 June 2019

**Objective 3: mobilize and catalyse climate action, and facilitate coordination of partnerships for action and of the contribution of intergovernmental organizations and the United Nations system**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Support provided by the United Nations, intergovernmental agencies and other stakeholders to Parties in the implementation of the Convention is enhanced, with a wide network of non-state actors (including intergovernmental organizations and the United Nations system) directly supporting and contributing to the implementation of the Convention and its outcomes	Number of active partnerships with the following stakeholder groups: organizations in the United Nations system, faith-based organizations, military, women, legislators, investors, business, cities and subnational governments that contribute to the implementation of the Convention and its outcomes	A total of 55 such partnerships were concluded in the reporting period
	Participation of the Executive Secretary in other organizations' events	The Executive Secretary attended 134 events during the reporting period

**Objective 4: continue to develop the secretariat as a well-managed, top-performing institution with a healthy working culture**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The secretariat's internal processes and operations are effective, efficient and environmentally sustainable	Net cost savings resulting from efficiency measures	In the reporting period, the secretariat continued a number of initiatives to make its operations more efficient, including through the streamlining of its Information and Communication Technology (ICT) services and a more efficient ICT project and services management. The secretariat also sought efficiencies by maximizing existing staff capacity through projects cutting across programme boundaries and internal reassignments. While it is possible to quantify cost savings in some areas, it is difficult to provide an overall figure for net cost savings resulting from the totality of the secretariat's efforts
	Amount of greenhouse gas (GHG) emissions per secretariat staff member from headquarters operations and travel of staff and supporting personnel, except for	In addition to these ongoing efforts, the secretariat also launched a review of its operations funded from overheads, with a view to implementing efficiencies and cost reductions. The results of that review will only be available in the biennium 2018–2019
		The GHG emissions resulting from the secretariat's facility operations and all staff travel, except for COP-related travel, amounted to 1,500 t CO <sub>2</sub> eq, or 2.6 t CO <sub>2</sub> eq per capita (staff and other personnel), in 2014,

	<p>travel to sessions of the COP. Baseline: 3 t CO<sub>2</sub> eq per capita per annum. Target: 2.5 t CO<sub>2</sub> eq per capita per annum<sup>d</sup></p> <p>The percentage of unavoidable residual GHG emissions from headquarters operations and all travel funded by the secretariat that is offset. Baseline: 100 per cent. Target: 100 per cent</p>	<p>and 1,242 t CO<sub>2</sub> eq, or 2.2 t CO<sub>2</sub> eq per capita, in 2015</p> <p>The secretariat offset 100 per cent of its residual GHG emissions of 5,428 t CO<sub>2</sub> eq in 2014 and 3,765 t CO<sub>2</sub> eq in 2015 through the purchase and cancellation of Adaptation Fund certified emission reductions</p>
<p>Competent, motivated and geographically diverse staff balanced in gender are recruited, trained and retained</p>	<p>The percentage of staff from Parties not included in Annex I to the Convention (non-Annex I Parties). Actual figure as at March 2015: 50.2 per cent of staff in the Professional category and above were from non-Annex I Parties. Target: to increase the percentage of staff from non-Annex I Parties</p> <p>The percentage of women in posts in the Professional category and above. Actual figure as at March 2015: 38.2 per cent of staff in the Professional category and above were women. Target: to increase this percentage to 50 per cent</p> <p>The level of satisfaction of staff with their job, management and development opportunities. Actual figure as at September 2013: more than 80 per cent of staff participating in a secretariat-wide survey expressed overall satisfaction with their current job, and 69 per cent expressed their satisfaction with the overall quality of management of the secretariat. Altogether, 45 per cent of the respondents expressed the view that they had adequate opportunities to advance their career. Target: to increase the level of staff satisfaction</p>	<p>A total of 45.9 per cent of staff in the Professional and higher levels were from non-Annex I Parties as at 30 June 2017</p> <p>A total of 40.2 per cent of staff in the Professional and higher levels were women as at 30 June 2017</p> <p>Actual figures as at May 2016 on the level of satisfaction of staff with their job, management and development opportunities: 80 per cent of staff participating in a secretariat-wide survey expressed overall satisfaction with their current job; and 68 per cent expressed their satisfaction with the overall quality of management of the secretariat. Altogether, 61 per cent of the respondents expressed the view that they had adequate opportunities to advance their career</p>
<p>The secretariat has the required financial resources to implement mandates given to it by the COP and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</p>	<p>The percentage of mandated outputs that cannot be delivered as a result of insufficient funding. Actual result for the period January–June 2014: less than 1 per cent. Target: to reduce this percentage to 0 per cent</p> <p>The contribution rate to the core budget. Actual: 65 per cent of the indicative contributions for the biennium 2014–2015 had been received by the secretariat by 31 March 2015. Target: to increase the contribution rate</p>	<p>While funding was generally sufficient in the reporting period for the delivery of outputs mandated to the secretariat, some activities were affected by a lack of financial resources. This includes the global national adaptation plan (NAP) Expo, which was planned for April 2017 but had to be postponed to 2018. Similarly, resource constraints led to a decrease in the number of individual reviews of GHG inventories of Annex I Parties in 2017 from 44 to 22</p> <p>EUR 41 million, or 77 per cent of the indicative contributions to the core budget expected for 2016–2017, had been received as at 31 March 2017. While this is an increase compared with the previous biennium, core contributions received at that point in time remain behind what is required, and it should be recalled that all contributions are due on 1 January each year</p>

	The ratio of actual expenditure to the approved budget. Actual result for the biennium 2012–2013: 99.4 per cent. Target: to maintain this percentage in the biennium 2016–2017	The implementation rate of the core budget for 2016–2017 had reached 72.6 per cent through to June 2017
Financial resources are managed in a sound manner and are utilized to maximize the benefit to the UNFCCC process	The implementation rate of audit recommendations relating to financial and administrative matters. Baseline: implementation rate as at 30 June 2014 was 83 per cent. Target: 90 per cent implementation rate	The final Board of Auditors report had not been issued by 30 June 2017; however, the provisional report indicated that 91 per cent of audit recommendations were under implementation or fully implemented. The not implemented status of 9 per cent represented one audit recommendation that was not accepted by the UNFCCC

<sup>a</sup> See document FCCC/SBI/2011/16, page 33.

<sup>b</sup> [http://unfccc.int/files/paris\\_agreement/application/pdf/pa\\_progress\\_tracker\\_200617.pdf](http://unfccc.int/files/paris_agreement/application/pdf/pa_progress_tracker_200617.pdf).

<sup>c</sup> The next survey on the level of satisfaction of the COP President and the COP Bureau with the secretariat's support will be conducted in 2018.

<sup>d</sup> Emissions are calculated by UN Environment/Sustainable United Nations for the entire United Nations system in the second half of the year following their generation.

Table 18

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Mitigation, Data and Analysis programme**

**Objective 1: support Parties included in Annex I to the Convention in the communication and consideration of information on the implementation of the relevant provisions of the Convention, its Kyoto Protocol and relevant decisions<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for the fulfilment of reporting and review requirements in relation to information provided by Parties included in Annex I to the Convention (Annex I Parties) under the Convention and its Kyoto Protocol	All greenhouse gas (GHG) inventories from Annex I Parties and relevant supplementary information submitted on time during the biennium are received and reviewed by international expert teams within the mandated time frames	<p>All of the GHG inventory submissions from Parties in 2016 and 2017 have been received</p> <p>With respect to the review of the submissions, as at 30 June 2017, 51 annual review reports (24 for 2015 and 26 for 2016)<sup>c</sup> had been finalized and published, as well as 17 review reports of the calculation of the assigned amount for the second commitment period. The remaining review reports will be finalized during the remaining part of 2017. Therefore, the review process for 2016 is anticipated to be fully finalized during the biennium</p> <p>Preparations are under way for the technical reviews of the 2017 GHG inventory submissions of Annex I Parties, in accordance with the mandated timelines. Preparations were also initiated for the review of the initial reports for the second commitment period of the Kyoto Protocol for the Parties that will submit those reports in 2017. Considering the challenges that the secretariat has faced in 2017 related to insufficient resources from the core budget and supplementary funding to support the review of GHG inventories, the secretariat is organizing the 2017 review of the GHG inventories for half of the Annex I Parties; therefore, for the 2017 reviews only 50 per cent of the target will be achieved. This issue and its implications for the review planning process was discussed at the 14<sup>th</sup> meeting of GHG inventory lead reviewers, held in Bonn on 8 and 9 March 2017</p>
	All national communications and	Preparations for the forthcoming submission cycle of

	<p>relevant supplementary information and biennial reports from Annex I Parties are received and reviewed by international expert review teams, and relevant reports are published within the biennium</p>	<p>third biennial reports and seventh national communications from Annex I Parties were launched and a dedicated submission portal has been developed and made available to facilitate the submission process. The biennial report common tabular format application has been revised and enhanced in 2017 on the basis of the feedback from Parties following the submission of their second biennial reports</p>
	<p>All reports relating to the implementation of final accounting for the first commitment period of the Kyoto Protocol are prepared and published in accordance with the mandates</p>	<p>All submitted reports upon expiration of the additional period for fulfilling commitments (true-up period) for the first commitment period of the Kyoto Protocol have been reviewed by teams of experts nominated by Parties. Final review reports for 36 Annex B Parties to the Kyoto Protocol were published before 1 August 2016, except for that for Ukraine, which will be published before 1 September 2017 following the recommendation of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (FCCC/KP/CMP/2016/8, paragraph 50)</p> <p>The final compilation and accounting report for Ukraine will be finalized upon completion of the associated review process</p>
<p>The international assessment and review of GHG emissions and removals relating to quantified economy-wide emission reduction targets for developed country Parties is facilitated and completed within the biennium</p>	<p>All submitted second biennial reports are reviewed in 2016 and review reports are published within the framework set out in the relevant decision</p>	<p>All submitted second biennial reports have been reviewed by teams of experts nominated by their governments. Review reports for 24 Annex I Parties were published before 1 August 2016</p> <p>The remaining reports were published by 6 December 2016, well before the 15-month completion deadline for reviews stipulated in the “Guidelines for the technical review of information reported under the Convention related to greenhouse gas inventories, biennial reports and national communications by Parties included in Annex I to the Convention”<sup>d</sup></p>
	<p>Multilateral assessment for all Annex I Parties under the Subsidiary Body for Implementation (SBI) is finalized within the biennium</p>	<p>A total of 24 Parties were multilaterally assessed at the working group session during SBI 45 and 17 Parties during SBI 46<sup>e</sup></p>
	<p>The compilation and synthesis of second biennial reports is prepared for consideration by the SBI in 2016 and mandated technical papers are prepared before the deadlines</p>	<p>The compilation and synthesis of second biennial reports was published in October 2016 and considered at SBI 45 and SBI 46</p>
<p>Training courses are updated, revised and completed and a sufficient number of experts are trained and ready to participate in the reviews under the Convention and its Kyoto Protocol</p>	<p>The number of experts participating in the GHG inventory review training courses per year. Baseline and target: 30–60 experts per year</p> <p>The number of experts participating in the biennial report and national communication review training courses per year. Baseline and target: 25–50 experts per year</p>	<p>A refresher seminar for experienced reviewers was held on 29 February 2016 and 8 March 2017 with the participation of 55 and 64 experienced experts and lead reviewers, respectively. Two periods of training courses and training seminars for the review of GHG inventories under the Convention were held in April 2016 and March 2017 and 58 experts participated. In addition, as at 30 June 2017, 63 experts had participated in the non-instructed training courses for the review of GHG inventories in 2016 and 2017</p> <p>Eighty-five experts took the courses on the review of national communications and biennial reports in 2016. As at 30 June 2017, four courses on the review of biennial reports and national communications had</p>

been updated for their launch in September 2017

The availability of timely updates of training materials. Target: up to six courses are updated, revised and completed, and up to three new courses are developed by the end of the biennium

Five training courses for the review of supplementary information reported for the second commitment period of the Kyoto Protocol were developed and launched in 2016. In addition, the revision of four training courses for the review of information reported under the Convention was launched and it is expected to be completed by the end of 2017. No new courses, such as those to support the implementation of the Paris Agreement, were developed because the required guidance has not yet been finalized through Parties' negotiations

**Objective 2: support Parties not included in Annex I to the Convention in the preparation and submission of their national communications and biennial update reports, including greenhouse gas inventory information, and in the preparation and implementation of nationally appropriate mitigation actions under the Convention and the implementation of the international consultation and analysis of biennial update reports<sup>f</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided to Parties not included in Annex I to the Convention (non-Annex I Parties) in the fulfilment of their reporting requirements under the Convention	At least 300 experts from non-Annex I Parties are trained	A total of 375 experts from 120 non-Annex I Parties were trained during the reporting period: 239 national experts from 120 non-Annex I Parties were trained on how to use the Intergovernmental Panel on Climate Change (IPCC) 2006 IPCC Guidelines on National Greenhouse Gas Inventories and also on how to set up sustainable national GHG inventory management systems; 136 experts from 100 non-Annex I Parties were trained in the preparation of biennial update reports (BURs); in cooperation with the German Gesellschaft für Internationale Zusammenarbeit, 99 experts from 52 countries were trained to prepare and implement their nationally appropriate mitigation actions (NAMAs) and to prepare their intended nationally determined contributions (INDCs); and 170 experts were trained and certified to participate in the technical analysis of BURs
	Increase in the number of submitted reports from non-Annex I Parties compared with the previous biennium	As at 30 June 2017, 34 national communications had been submitted by non-Annex I Parties: 2 first national communications, 10 second national communications and 21 third national communications and 1 fourth national communication. A total of 14 first BURs and 6 second BURs had been submitted. Several additional national communication/BUR submissions are expected by the end of the biennium, bringing the total number of such submissions to about 60, compared with 56 in the previous biennium
The NAMA registry is established effectively and updated regularly	At least 80 per cent of all developing countries have access to the NAMA registry	During the reporting period, 67 per cent of all developing countries had access to the NAMA registry
	The number of mitigation actions and the provision of support recorded in the NAMA registry and the extent of matching of action and support is significantly higher than the current baseline of 87 NAMAs, 14 sources of support and eight matches of NAMAs with support	The registry currently contains 146 NAMA entries submitted by developing countries, 18 entries on sources of support and 17 matches of NAMAs with support
The international consultation and analysis	The online training course for experts in supporting the technical	The online training course for experts supporting the

of BURs from developing countries is facilitated	analysis of BURs has been developed and is operational	technical analysis of BURs has been in full operation
	100 experts are trained to participate in the analysis of BURs	As at 30 June 2017, three rounds of training courses had been implemented since 1 January 2016, bringing the number of certified experts who are now eligible to participate in the technical analysis of BURs to 170
	All BURs submitted by developing countries are analysed within six months of their submission	A total of 20 BURs were submitted in the reporting period, 14 first BURs and 6 second BURs. Of those, 19 BURs, as well as three reports submitted in 2015, were technically analysed in the reporting period, within six months of their submission. Three of the submitted BURs included technical annexes on REDD-plus <sup>8</sup> results, which underwent parallel technical analysis during the same period
	Workshops on facilitative sharing of views (FSV) are organized	The first-ever workshop for FSV was successfully launched in May 2016, followed by two additional rounds of FSV at SBI 45 and SBI 46. In total, 30 non-Annex I Parties went through FSV during the reporting period

**Objective 3: improve the methodological and scientific bases for enhancing the implementation of the Convention and its Kyoto Protocol<sup>h</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for the consideration of the scientific and methodological issues relating to mitigation	The proportion of mandated outputs delivered and delivered on time	All mandated outputs were delivered on time, including the organization of two in-session workshops on agriculture and one in-session workshop on land use, land-use change and forestry (LULUCF), held at the forty-fourth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA). All reports on these mandated events were delivered on time for consideration by the SBSTA. Discussions on these matters at the three sessions of the SBSTA in the reporting period advanced
Work on methodological and scientific matters related to LULUCF and agriculture as well as REDD-plus in developing countries is facilitated	The proportion of mandated outputs, including mandated reports and technical papers, on LULUCF, agriculture and REDD-plus delivered and delivered on time	All mandated outcomes were delivered and delivered on time. In particular, the secretariat prepared a synthesis report on the technical assessment process for REDD-plus forest reference emission levels and forest reference levels (as mandated in decision 13/CP.19, paragraph 4) for consideration at SBSTA 44  The secretariat facilitated the organization of two voluntary meetings on the coordination of support for REDD-plus (the third and fourth). Approximately 140 REDD-plus focal points, Party delegates and representatives of international organizations and civil society participated in each of the meetings. The secretariat supported the Conference of the Parties (COP) Presidencies in their outreach to Parties and other stakeholders for relevant input to and presentations at the meetings. In addition, the secretariat supported the COP Presidencies in producing relevant outreach media material, such as producing a brief video clip and news article, as well as ensuring that the summary of key messages of the meetings prepared by the COP Presidencies was made available on the REDD+ Web Platform
Work on other	The proportion of mandated	All mandated outputs were delivered and delivered on

methodological and scientific matters, including in the areas of common metrics, international aviation and maritime transport and fluorinated gases, is facilitated	outputs, including mandated technical papers on common metrics, international aviation and maritime transport and fluorinated gases, delivered and delivered on time	time. Discussions on all matters under the SBSTA advanced and two mandated outputs in the form of miscellaneous documents were delivered
Effective support is provided for the implementation of the Warsaw Framework for REDD-plus	The proportion of the technical assessments of forest reference levels/forest reference emission levels concluded and concluded on time	During the reporting period, 20 submissions of forest reference emission levels and forest reference levels were received from developing country Parties (9 submissions in 2016 and 11 submissions in 2017)  The centralized technical assessment of the submissions was held in mid-March in 2016 and 2017 in Bonn with the participation of 40 LULUCF experts and an observer from the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention at each session. A total of 75 per cent of the technical assessment reports for the 2016 session have been completed and published. <sup>k</sup> The technical assessment process for 2017 is ongoing and expected to be concluded by the end of November 2017
	All guidance on the enhancement of the information hub on the web platform <sup>j</sup> on the UNFCCC website is implemented by the deadlines set by Parties	The Lima REDD+ Information Hub on the REDD+ Web Platform on the UNFCCC website was developed at the end of 2014 and has been maintained in the biennium 2016–2017; all relevant guidance has been implemented
	The number of entries inserted by the secretariat in the information hub following the guidance in decision 9/CP.19, paragraph 14	During the reporting period, 55 new submissions were shared on the REDD+ Web Platform. One developing country Party has entered its assessed REDD-plus reference level and results on the information hub and at least two other developing country Parties are preparing similar information. The number of new registered users of the web platform during the reporting period, including the discussion forum, reached 145
Cooperation with intergovernmental organizations involved in activities related to reducing emissions from deforestation and forest degradation in developing countries (REDD) as well as activities related to LULUCF, agriculture, international maritime and aviation transport and fluorinated gases is maintained and further strengthened	The number of meetings of relevant intergovernmental organizations attended by UNFCCC staff to ensure that their activities related to REDD, LULUCF, agriculture, international maritime and aviation transport and fluorinated gases actively contribute to the objective of the Convention has increased by at least 20 per cent since the previous biennium	The secretariat attended 18 meetings on REDD, LULUCF and agriculture, 6 meetings in relation to international aviation and maritime transport, and 2 meetings on fluorinated gases and common metrics, which is in total an increase of 38 per cent on the previous biennium (in the same period of the previous biennium, 13 meetings were attended)

**Objective 4: support Parties, in particular developing country Parties, in addressing their specific needs and concerns arising from the impact of the implementation of response measures<sup>l</sup>**

*Expected result(s)*                      *Performance indicator(s)*                      *Performance data*

Effective support is provided to work relating to the impact of the implementation of response measures	Progress in consolidating and streamlining agenda items on response measures	Parties' agreement on an improved forum on the impact of the implementation of response measures and for the forum to serve the Paris Agreement ensures the continued discussion of all agenda items on response measures in the context of the forum
	Enhanced reporting on response measures in national communications and BURs	The number of developed country Parties that provided information on response measures shows an increase in the second biennial reports in comparison with in the first biennial reports. The reporting has become more comprehensive, including procedures for the assessment of the impacts of proposed policy initiatives, and information on the expected direct and indirect environmental, social and economic effects on developing countries resulting from their most important policy actions. Owing to the voluntary nature of reporting on response measures and related information, no major trend in reporting was observed in the submitted BURs from developing country Parties
Parties have an enhanced understanding of impacts of response measures and are better able to cooperate among themselves and with other relevant stakeholders to address and report on such impacts	Increased willingness of Parties to consider and address technical issues related to response measures	Three technical papers on the assessment of impacts, economic diversification and just transition of the workforce were mandated and prepared
	International cooperative arrangements are established	After Parties' agreement on the terms of reference for the ad hoc technical expert groups under the improved forum at the forty-fourth sessions of the subsidiary bodies, an ad hoc technical expert group meeting on economic diversification and just transition in the context of sustainable development was held

**Objective 5: support Parties in the implementation of the Paris Agreement and the technical examination process of opportunities with high mitigation potential, including those with adaptation, health and sustainable development co-benefits<sup>m</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The implementation of the Paris Agreement is supported as required		Three sessions of the Ad Hoc Working Group on the Paris Agreement (APA) and three sessions of the SBI and the SBSTA took place in the reporting period. The programme coordinated the work of the APA and the SBI as a whole and provided policy, technical and logistical support in relation to a large number of agenda items of the APA, the SBI and the SBSTA  The programme assisted the COP Presidency with the planning of the 2018 facilitative dialogue, including consultations during the sessions in May 2017
Effective support is provided to the work relating to the preparation and consideration of INDCs from all Parties	The number of submissions communicating INDCs considered during the biennium	The secretariat, in response to decision 1/CP.20, set up a platform for the communication and publication of INDCs. A registry for nationally determined contributions (NDCs) was deployed in May 2016. A total of 147 Parties received assistance with conversion with their first NDC, 8 with revisions to their INDC prior to submitting their first NDC and 4 with their long-term emission development strategies. Altogether, Parties made 144 submissions of NDCs during the reporting period



		The secretariat updated the synthesis report on the assessment of the aggregate effect of INDCs, which was issued in accordance with the mandate by 1 October 2015. An updated version was released on 2 May 2016
Effective support is provided to the technical examination process	The number of meetings organized by the secretariat	In the reporting period, four technical expert meetings were held at the May 2016 and 2017 sessions of the subsidiary bodies, covering urban environment, the land sector, the social and economic value of carbon, and public transport and fuel efficiency. In addition, one regional technical expert meeting was held in Africa
	The number of new initiatives launched	One new initiative on climate change and buildings was launched

<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.

<sup>b</sup> Articles 4 and 12 of the Convention, Articles 5, 7 and 8 of the Kyoto Protocol and decisions 10/CP.2, 31/CP.7, 3/CP.8, 17/CP.8, 2/CP.9, 12/CP.9, 8/CP.11, 1/CP.13, 10/CP.15, 1/CP.16, 2/CP.17, 15/CP.17, 1/CP.18, 2/CP.18, 19/CP.18, 20/CP.18, 1/CP.19, 23/CP.19, 24/CP.19, 13/CP.20 to 15/CP.20, 13/CMP.1 to 16/CMP.1, 20/CMP.1, 22/CMP.1, 27/CMP.1, 8/CMP.5, 2/CMP.7 to 5/CMP.7, 1/CMP.8, 2/CMP.8, 7/CMP.8 and 12/CMP.8.

<sup>c</sup> In accordance with decisions 20/CP.21 and 10/CMP.11, the review of the 2015 GHG inventory submissions shall be organized in conjunction with the review of the 2016 GHG inventory submissions for those Parties that did not undergo a review of the annual GHG inventory in 2015, in conjunction with the review of the report to facilitate the calculation of the assigned amount for the second commitment period of the Kyoto Protocol.

<sup>d</sup> Decision 13/CP.20, annex, paragraph 17.

<sup>e</sup> Multilateral assessment for one Party has been postponed due to its absence from the session.

<sup>f</sup> Article 4, paragraphs 1, 3, 8 and 9, and Article 12, paragraphs 1(a–c) and 4, of the Convention and decisions 10/CP.2, 31/CP.7, 3/CP.8, 17/CP.8, 2/CP.9, 8/CP.11, 1/CP.13, 1/CP.16, 2/CP.17, 24/CP.18, 1/CP.19, 19/CP.19 and 20/CP.19.

<sup>g</sup> In decision 1/CP.16, paragraph 70, the Conference of the Parties encouraged developing country Parties to contribute to mitigation actions in the forest sector by undertaking the following activities: reducing emissions from deforestation; reducing emissions from forest degradation; conservation of forest carbon stocks; sustainable management of forests; and enhancement of forest carbon stocks.

<sup>h</sup> Decisions 4/CP.1, 18/CP.5, 1/CP.13, 2/CP.13, 4/CP.15, 1/CP.16, 2/CP.17, 12/CP.17, 1/CP.18, 1/CP.19, 9/CP.19 to 15/CP.19, 6/CMP.3 and 2/CMP.7.

<sup>i</sup> [http://unfccc.int/methods\\_science/redd/items/4531.php](http://unfccc.int/methods_science/redd/items/4531.php).

<sup>j</sup> All published technical assessment reports are available at <http://redd.unfccc.int/fact-sheets/forest-reference-emission-levels.html>. Two more reports from the 2016 session are in the final stages of the process (consideration of the report prepared by the technical assessment team by the Party) and are expected to be completed by the third quarter of 2017 as soon as Party approval of the report has been received.

<sup>k</sup> Article 4, paragraphs 8–10, of the Convention, Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol and decisions 5/CP.7, 1/CP.10, 1/CP.13, 1/CP.16 and 8/CP.17.

<sup>l</sup> Articles 4 and 12 of the Convention, decisions 1/CP.19 and 1/CP.20 and further specific mandates to be considered at forthcoming sessions of the Conference of the Parties.

Table 19

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Finance, Technology and Capacity-building programme**
**Objective 1: support the intergovernmental process with regard to the mobilization, delivery and oversight of climate finance for adaptation and mitigation<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Parties are effectively supported in intergovernmental negotiations on issues related to the mobilization and delivery of climate finance	The proportion of mandated outputs delivered and delivered on time	Delivery of the mandated outputs was achieved during the reporting period: seven Subsidiary Body for Implementation (SBI)/Subsidiary Body for Scientific and Technological Advice (SBSTA) conclusions, eight Conference of the Parties (COP)/Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) decisions and two informal notes under the Ad Hoc Working Group on the Paris Agreement. In addition to the long-term finance in-session event (see below), one mandated round table and one mandated in-session workshop under the SBSTA were successfully organized. The secretariat also successfully supported the COP 22 Presidency in its leadership of the high-level dialogue on climate finance
Effective support is provided for the implementation of the work programme of the Standing Committee on Finance (SCF)	The proportion of mandated outputs delivered and delivered on time	Delivery of all mandated outputs was achieved during the reporting period through the organization of four meetings of the SCF and two retreats, and the preparation of the SCF report to the COP. Some of the main outputs include the 2016 biennial assessment and overview of climate finance flows, the SCF Forum, and the draft guidance to the Global Environment Facility and the Green Climate Fund. The secretariat supported the SCF in its continued coordination, outreach and cooperation with other constituted bodies and other institutions
	The number of views of the pages on the Financial Mechanism <sup>c</sup> and the finance portal <sup>d</sup> on the UNFCCC website. Baseline: 20,669 page views in the period January–June 2014. Target: to maintain that number of views	There were 35,157 page views in the reporting period
Effective support is provided to mandated activities relating to long-term finance and the measurement, reporting and verification of climate finance	The proportion of mandated outputs delivered and delivered on time	All mandated outputs were delivered on time as regards long-term finance through the successful organization of the in-session workshop on climate finance focusing on adaptation finance held during the forty-fourth sessions of the subsidiary bodies

**Objective 2: support and enhance cooperation among Parties and relevant organizations on research, development, demonstration, deployment, diffusion and transfer of technologies for adaptation and mitigation<sup>e</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for intergovernmental work and negotiations on issues relating to technology	The proportion of mandated outputs delivered and delivered on time	All outputs required to be delivered during the reporting period were delivered on time. This includes the note by the secretariat on mapping climate technology development and transfer activities and initiatives under and outside the Convention relevant

development and transfer		to the implementation of the Paris Agreement, and the compilation and synthesis report by the secretariat on Parties' views on the scope and modalities for the periodic assessment of the Technology Mechanism
Effective support is provided in the implementation of the workplan of the Technology Executive Committee (TEC)	The proportion of mandated outputs delivered and delivered on time	All outputs required to be delivered during the reporting period in accordance with the TEC workplan were delivered on time. The TEC rolling workplan for 2016–2018 was adopted at TEC 12 in April 2016. The thematic dialogues on South–South cooperation on technologies for adaptation and industrial energy efficiency were successfully held at TEC 12 and TEC 14, respectively. The in-session workshop on linkages between the Technology Mechanism and the Financial Mechanism was held in conjunction with the forty-fourth sessions of the subsidiary bodies and a special event on innovation and climate change was held in conjunction with their forty-sixth sessions. Support was provided to the TEC intersessionally and during TEC 12, TEC 13 and TEC 14 in a timely manner
	The number of relevant stakeholders that contribute to the implementation of the TEC workplan	The TEC established six task forces to work intersessionally to support the implementation of its workplan for 2016–2018, including the active participation of representatives of 21 observer organizations
Effective support is provided in facilitating effective interaction between the TEC and the Climate Technology Centre and Network (CTCN) and provision of information on technology development and transfer	The proportion of mandated outputs delivered and delivered on time	Facilitated collaboration between the TEC and the CTCN on common mandated and joint activities is progressing in a satisfactory manner. The TEC and the CTCN initiated joint work on the research, development and demonstration of mitigation and adaptation technologies and in preparing updated procedures for the joint chapter of their joint annual report to the COP. The proportion of mandated outputs delivered and delivered on time was 100 per cent
	Number of page views of the UNFCCC technology information clearing house TT: CLEAR. <sup>7</sup> Baseline: 357,908 page views in the period January–June 2014. Target: to maintain that number of views	TT: CLEAR received 114,537 page views in the reporting period

**Objective 3: enhance the capacity of Parties to enable the full, effective and sustained implementation of the Convention and its Kyoto Protocol<sup>8</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for intergovernmental negotiations on issues related to capacity-building	The proportion of mandated outputs delivered and delivered on time	Delivery of the mandated outputs during the reporting period was as follows: five SBI conclusions and three COP/CMP decisions, including on the terms of reference of the Paris Committee on Capacity-building (PCCB); and five mandated documents prepared: four compilation and synthesis reports on capacity-building implementation and one technical paper on the review of the capacity-building framework. The first meeting of the PCCB was successfully convened, including the one-day technical exchange on its focus theme. All seven background documents prepared for the meeting, representing 100 per cent of the mandated outputs, were delivered on time

The Durban Forum on capacity-building is facilitated	The proportion of mandated outputs delivered and delivered on time	Two in-session Durban Forums on capacity-building were held during SBI 44 and 46 and two summary reports were published and disseminated. All mandated documents, such as the synthesis paper on capacity-building activities of the constituted bodies and the compilation and synthesis of activities to implement the framework for capacity-building in developing countries, representing 100 per cent of the mandated outputs, were delivered on time
The implementation of the frameworks for capacity-building is facilitated	The number of organizations that reported on their contribution to the implementation of the frameworks for capacity-building	Forty organizations provided information on their activities undertaken to implement the capacity-building frameworks

<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.

<sup>b</sup> Article 11 of the Convention and decisions 1/CP.13, 1/CP.16, 2/CP.17, 3/CP.17, 1/CP.18, 3/CP.19 and 1/CMP.3.

<sup>c</sup> [http://unfccc.int/cooperation\\_and\\_support/financial\\_mechanism/items/2807.php](http://unfccc.int/cooperation_and_support/financial_mechanism/items/2807.php).

<sup>d</sup> <http://unfccc.int/financeportal>.

<sup>e</sup> Decisions 4/CP.7, 3/CP.13, 4/CP.13, 1/CP.16, 2/CP.17, 4/CP.17, 1/CP.18, 13/CP.18, 14/CP.18 and 25/CP.19.

<sup>f</sup> <http://unfccc.int/tclear>.

<sup>g</sup> Article 10(e) of the Kyoto Protocol and decisions 2/CP.7, 3/CP.7, 2/CP.10, 3/CP.10, 4/CP.12, 6/CP.14, 1/CP.16, 2/CP.17, 13/CP.17, 1/CP.18, 29/CMP.1, 6/CMP.2, 6/CMP.4, 11/CMP.6, 15/CMP.7, 10/CMP.8 and 11/CMP.8.

Table 20

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Adaptation programme**

**Objective 1: support Parties, in particular developing country Parties, in assessing impacts, vulnerability and risks and in developing and implementing adaptation plans, policies and actions<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The work of the Adaptation Committee is successfully facilitated	The proportion of mandated outputs delivered and delivered on time	All mandated activities were delivered on time during the reporting period: the secretariat organized the 9 <sup>th</sup> , 10 <sup>th</sup> and 11 <sup>th</sup> regular meetings of the Adaptation Committee, the 3 <sup>rd</sup> Adaptation Forum, technical expert meetings held under the technical examination process on adaptation during the forty-fourth and forty-sixth sessions of the subsidiary bodies, three side and special events during the same sessions of the subsidiary bodies and the twenty-second session of the Conference of the Parties (COP), and several formal and informal meetings with members of the Least Developed Countries Expert Group (LEG), in collaboration with the Standing Committee on Finance (SCF), to conduct work on joint mandates arising from decision 1/CP.21. The secretariat supported the Adaptation Committee in its continued collaboration with other institutional arrangements under the Convention and with non-Party stakeholders
	The proportion of working papers and information products delivered in support of the workplan of the Adaptation Committee and delivered on time	All documents and information products were produced on time. The secretariat produced a total of 33 mandated background papers for the three regular meetings of the Adaptation Committee held during the reporting period. It has also been supporting the Adaptation Committee by researching information and preparing presentations for other meetings involving representation of the Adaptation Committee
The process for the	The proportion of mandated	All mandated activities were delivered on time.

<p>formulation and implementation of national adaptation plans (NAPs) is facilitated</p>	<p>outputs delivered and delivered on time</p>	<p>Three LEG regional training workshops on NAPs, a global NAP Expo and a regional NAP Expo organized in the reporting period were successfully supported, and LEG and staff members participated in a number of NAP-related workshops organized by partner organizations. A global NAP Expo planned for April 2017 was postponed to 2018 due to resource limitations. The enhancement of NAP Central is ongoing, and so is the collection of information on NAPs through an online questionnaire and interviews with countries to support the assessment of progress of NAPs under the Subsidiary Body for Implementation (SBI)</p>
<p>The implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP) is facilitated</p>	<p>The proportion of mandated outputs delivered and delivered on time</p>	<p>All expected outputs mandated for the reporting period were delivered on time. To support enhanced action on adaptation through the NWP, the outcomes under the NWP, as a knowledge-for-action network for climate resilience, include:</p> <ul style="list-style-type: none"> <li>– Providing continuous knowledge support to the Adaptation Committee and the LEG</li> <li>– Engaging a growing network of non-Party stakeholders in sharing their experience and expertise</li> <li>– Capturing and synthesizing the latest adaptation information and knowledge</li> <li>– Fostering science-policy-practice collaboration to close identified knowledge gaps</li> <li>– Disseminating knowledge and fostering learning to boost adaptation action at all levels</li> </ul>
<p></p>	<p>A steady increase in the number of actions carried out within the framework of the NWP by its partners. Total number of action pledges as at March 2015 stood at 184</p>	<p>The NWP has engaged relevant organizations as partner organizations, which are committed to aligning their activities with the objectives of the NWP and to carrying out joint initiatives, including through action pledges and collaborative actions. The number of NWP partner organizations as at July 2017 stood at 343, and 189 action pledges had been made by NWP partner organizations</p> <p>In order to execute parallel work on the thematic areas of human health and ecosystems and interrelated areas such as water resources and human settlements, the secretariat undertook several actions in partnership with NWP partner organizations and relevant expert organizations (e.g. the World Health Organization in the context of health, members of the Friends of Ecosystem-based Adaptation network in the context of ecosystems, and the United Nations Human Settlements Programme, ICLEI - Local Governments for Sustainability and the Urban Climate Change Research Network on human settlements)</p>
<p>Work on loss and damage under the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts and its Executive Committee is facilitated and supported</p>	<p>The proportion of mandated outputs delivered and delivered on time</p>	<p>All mandated activities were delivered on time during the reporting period: the secretariat organized the 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup> regular meetings of the Executive Committee of the Warsaw International Mechanism, expert briefings on the margins of the 2<sup>nd</sup> and 3<sup>rd</sup> meetings of the Executive Committee, the 1<sup>st</sup> meeting of the expert group on non-economic losses, the 1<sup>st</sup> meeting of the Task Force on Displacement, and a side event at the forty-fourth sessions of the subsidiary bodies. The secretariat produced a total of 30 documents in a timely manner</p>

to support those meetings. The secretariat supported the Executive Committee in its continued collaboration with other institutional arrangements under the Convention and non-Party stakeholders and expert bodies, including organizing a technical meeting on migration, displacement and human mobility. It has also been supporting the Executive Committee by collecting, researching, compiling and synthesizing relevant information, developing 19 knowledge products upon request by the Executive Committee, and preparing presentations for other meetings involving representation of the Executive Committee

The work of the LEG in relation to NAPs, the implementation of national adaptation programmes of action and other elements of the least developed countries work programme are successfully facilitated

The proportion of mandated outputs delivered and delivered on time

All mandated activities were delivered on time. The LEG was effectively supported in holding three meetings and three regional training workshops on NAPs, a global NAP Expo and a regional NAP Expo. Thirty background documents were prepared to support the LEG meetings as well as all presentation materials for the training workshops and the expos. The LEG was also ably supported during its joint meetings and work with the Adaptation Committee and in providing inputs to the work of other constituted bodies, including the Technology Executive Committee and the SCF. A side event was held at each SBI session, while two high-level events were held during COP 23 on NAPs and on sustainable development benefits of adaptation

The level of satisfaction of users of the knowledge products prepared by the LEG and the secretariat

Positive feedback was consistently received from users, during LEG meetings and training workshops and during the 2016 NAP Expo

**Objective 2: support the review of the adequacy of the long-term global goal and the consideration of matters related to science, research and systematic observation<sup>c</sup>**

*Expected result(s)*

*Performance indicator(s)*

*Performance data*

Work by the Convention bodies on matters related to science, research and systematic observation is facilitated

The proportion of mandated outputs delivered and delivered on time

All mandated outputs were delivered on time

The number of international and regional programmes and organizations taking part in the research dialogue under the Convention. Baseline and target: eight programmes and/or organizations

A total of 24 and 29 international and regional programmes participated in the 8<sup>th</sup> and 9<sup>th</sup> research dialogues, respectively  
New modalities were used – an information note prepared by the Chair of the Subsidiary Body for Scientific and Technological Advice (SBSTA) and a poster campaign held before the dialogue – to engage participants and encourage involvement and discussions, resulting in a larger attendance compared with in previous years

Implementation of recommendations of the 2013–2015 review on the adequacy of the long-term global goal is facilitated

The proportion of mandated outputs delivered and delivered on time

Outputs were delivered on time

Support was provided to the negotiations on the scope of the periodic review under the Convention. The scientific community responded positively to the encouragement to address information and research gaps identified during the structured expert dialogue and the Intergovernmental Panel on Climate Change (IPCC) decided to produce the special report requested by COP 21. An information note was prepared that summarized the status of work under

<p>The implementation of the outcomes of the Ad Hoc Working Group on the Durban Platform for Enhanced Action based on a long-term approach and science-based management of the global pathway is supported</p>	<p>The proportion of mandated outputs delivered and delivered on time</p>	<p>related items and the lessons learned from the 2013–2015 review. The scope of the periodic review will be further addressed in 2019</p> <p>Outputs were delivered on time</p> <p>Support was provided in relation to the agenda items on matters relating to the global stocktake under the Paris Agreement under the Ad Hoc Working Group on the Paris Agreement (APA), considering the advice of the SBSTA on how the IPCC assessments can inform the global stocktake. A special SBSTA–IPCC event was held at SBSTA 44 and an information note was prepared by the SBSTA Chair to support Parties’ preparations for the event</p>
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**Objective 3: engage a wide range of stakeholders and widely and effectively disseminate information, including through the UNFCCC website<sup>d</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
<p>A wide range of stakeholders is engaged in the implementation of all relevant mandates</p>	<p>The number of collaborative activities among organizations and between Parties and organizations facilitated. Baseline: nine events as at June 2014. Target: 14 events</p>	<p>The number of collaborative activities among organizations and between Parties and organizations has increased to 25, exceeding the target of 14. Events included 10 technical meetings and 15 knowledge-sharing and outreach events, which all featured the participation of Parties, non-Party stakeholders and United Nations agencies</p>
	<p>The number of new stakeholders that are engaged in adaptation</p>	<p>The number of stakeholders engaged has increased by about 10 per cent compared with in the baseline period</p> <p>In terms of outreach, over 13,000 stakeholders engaged on the Adaptation Exchange Facebook account, with an average reach of 10,000–20,000 users per week</p>
<p>Comprehensive and user-friendly information is made available in a timely manner</p>	<p>The information contained in the sections of the UNFCCC website dedicated to adaptation<sup>e</sup> is regularly updated. Baseline and target: to update the information every two weeks</p>	<p>The information on the UNFCCC website has been updated every two weeks</p>
	<p>The number of visits to the sections of the UNFCCC website dedicated to adaptation</p>	<p>There were more than 300,000 unique visits during the reporting period</p>

<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.

<sup>b</sup> Article 4, paragraphs 8 and 9, and Articles 5, 9, 10 and 12 of the Convention and decisions 5/CP.7, 28/CP.7, 1/CP.10, 2/CP.11, 4/CP.11, 1/CP.13, 8/CP.13, 1/CP.16, 6/CP.16, 5/CP.17 to 7/CP.17, 3/CP.18, 11/CP.18, 12/CP.18, 2/CP.19, 17/CP.19, 18/CP.19 and 2/CP.20.

<sup>c</sup> Article 2, Article 4, paragraph 1(g) and (h), and Articles 5, 9 and 12 of the Convention and decisions 8/CP.3, 14/CP.4, 5/CP.5, 11/CP.9, 5/CP.10, 11/CP.13, 9/CP.15, 1/CP.16, 2/CP.17, 16/CP.17 and 1/CP.18.

<sup>d</sup> Decisions 2/CP.11, 1/CP.13, 1/CP.16, 6/CP.16, 2/CP.19 and 17/CP.19.

<sup>e</sup> <http://unfccc.int/adaptation/items/4159.php>.

Table 21

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Sustainable Development Mechanisms programme**
**Objective 1: realize the full potential of sustainable development mechanisms to enhance the implementation of the Convention<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Work programmes of the intergovernmental bodies on the further development of project-based mechanisms are facilitated	The proportion of mandated outputs delivered and delivered on time	All (4 of 4) of the mandates from the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol are being delivered in accordance with the specified timelines <sup>b</sup>
Parties are supported in their consideration and development of further market-based and other collaborative mechanisms	The proportion of mandated outputs delivered and delivered on time	All (2 of 2) of the mandates from the Subsidiary Body for Implementation (FCCC/SBI/2016/8, paragraph 54) and the Subsidiary Body for Scientific and Technological Advice (FCCC/SBSTA/2016/2, paragraph 79) at their forty-fourth sessions were delivered in the second half of 2016

**Objective 2: support and optimize the operation of the clean development mechanism<sup>c</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Meetings of the clean development mechanism (CDM) Executive Board and its panels and working groups are efficiently organized and well supported	The level of satisfaction of the members of the CDM Executive Board with the support provided	All survey respondents indicated they were satisfied with the support provided during the reporting period
	The proportion of meeting documents made available in accordance with the rules of procedure of the CDM Executive Board	The secretariat organized and supported eight meetings of the CDM Executive Board and 99 per cent (117 of 118) of meeting documents were made available in accordance with the rules and procedures. One document was a late submission in 2016
	The proportion of mandated outputs delivered and delivered on time	All (176) mandated outputs were delivered on time. In addition, 55 mandated outputs are in progress and scheduled to be delivered on time
The operation of the CDM is facilitated efficiently	The proportion of methodology cases (new methodologies and revision of existing ones) processed within the specified timelines	All of the methodology cases were processed within the timelines specified by the procedures; and 80 cases developed under bottom-up and top-down workstreams were processed within the specific timelines
	The proportion of new registrations processed within the specified timelines	In total, 97 per cent of new project registrations and 90 per cent of new programme of activities (PoA) registrations were processed within the specified timelines
	The proportion of issuance instructions processed within the specified timelines	In total, 97 per cent of project issuance instructions and 93 per cent of PoA issuance instructions were processed within the specified timelines
	The proportion of applications for accreditation processed within the specified timelines	No applications were received during the reporting period
Participation in CDM project activities and PoAs is facilitated	The number of standards developed or simplified that are relevant to low-income communities (including the development of standardized	Eleven standards were developed or simplified that are relevant to low-income communities. In addition, 34 standardized baselines are being processed



baselines)

The number of countries with 10 or more registered projects

The number of countries with 10 or more registered CDM activities is 45 (37 with CDM project activities and 8 with PoAs)

The number of PoA registrations in underrepresented regions

A total of 108 PoAs were registered in 63 underrepresented countries and 214 project activities were registered in 62 underrepresented countries in the reporting period

**Objective 3: support and optimize the operation of joint implementation<sup>d</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Meetings of the Joint Implementation Supervisory Committee (JISC) and of its panels and working groups are efficiently organized and well supported	The level of satisfaction of the members of the JISC with the support provided	All survey respondents indicated they were satisfied with the support provided during the reporting period
	The proportion of meeting documents made available in accordance with the rules of procedure of the JISC	All (26) mandated outputs were delivered on time. In addition, one mandated output is in progress and scheduled to be delivered on time
	The proportion of mandated outputs delivered and delivered on time	All mandated outputs were delivered on time Of the 27 mandated outputs, 26 were delivered in accordance with the specified timelines and 1 is ongoing and up to now in accordance with the relevant timeline
The operation of the joint implementation track 2 procedure <sup>e</sup> is facilitated efficiently	The proportion of determination cases processed within the specified timelines	No determination cases were received during the reporting period
	The proportion of verification cases <sup>f</sup> processed within the specified timelines	No verification cases were received during the reporting period
	The proportion of applications for accreditation processed within the specified timelines	No applications for accreditation were received during the reporting period

<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.

<sup>b</sup> See [http://cdm.unfccc.int/Nairobi\\_Framework/index.html](http://cdm.unfccc.int/Nairobi_Framework/index.html).

<sup>c</sup> Article 4, paragraph 2(a), of the Convention, Articles 3, 6, 12 and 17 of the Kyoto Protocol and decisions 1/CP.13, 1/CP.16, 1/CMP.1, 1/CMP.6, 3/CMP.6, 4/CMP.6 and 7/CMP.6.

<sup>d</sup> Article 12 of the Kyoto Protocol and decisions 2/CMP.1 to 8/CMP.1, 1/CMP.2, 2/CMP.3, 2/CMP.4, 2/CMP.5, 3/CMP.6 and 7/CMP.6.

<sup>e</sup> Article 6 of the Kyoto Protocol and decisions 9/CMP.1, 10/CMP.1, 2/CMP.2, 3/CMP.2, 3/CMP.3, 5/CMP.4, 3/CMP.5 and 4/CMP.6.

<sup>f</sup> The verification procedure under the JISC, defined in decision 9/CMP.1, annex, paragraphs 30–45.

Table 22

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Legal Affairs programme**
**Objective 1: provide legal advice and services so that the Convention and its Kyoto Protocol and any related legal instruments, as well as the agreed outcomes under the Bali Road Map and the Doha Climate Gateway and the expected outcomes of the negotiations under the Ad Hoc Working Group on the Durban Platform for Enhanced Action, are implemented and the associated intergovernmental process is conducted in accordance with legal, procedural and institutional requirements**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The Convention and its Kyoto Protocol and any related legal instruments are interpreted and implemented in accordance with relevant legal, procedural and institutional requirements	The absence of complaints from Parties and other stakeholders regarding the timeliness and soundness of legal advice and services provided by the secretariat. Baseline: complaints are resolved within six months. Target: no complaints	During the reporting period, Legal Affairs (LA) issued at least 125 legal opinions on at least 19 different types of legal issue, 20 of which legal opinions were provided directly to Parties. The secretariat did not receive any complaints from Parties or other stakeholders regarding the timeliness and soundness of such legal advice or the legal support provided by the secretariat during the reporting period
	The absence of complaints from Parties with respect to the legal advice and services provided by the secretariat to the intergovernmental process. Baseline: complaints are resolved within six months. Target: no complaints	The intergovernmental process was one of the main areas of work of the LA programme during the reporting period. A total of 78 of the 125 legal opinions issued in the reporting period dealt with the legal, procedural and institutional requirements of the intergovernmental process
The bodies established under the Convention and its Kyoto Protocol, including the governing, subsidiary and constituted bodies, as well as the intergovernmental process, function and operate in accordance with legal, procedural and institutional requirements	The proportion of concerns, issues or disputes raised by public/private entities in relation to constituted bodies under the Convention and its Kyoto Protocol or their members that are addressed and resolved expeditiously and amicably and do not result in legal action against these bodies and/or individuals serving on them. Baseline and target: concerns, issues or disputes are resolved within six months and no issues lead to legal action against any individual serving on such bodies	During the reporting period, LA processed 267 nominations for elections, as well as 18 intersessional replacements, for positions of members and alternate members to serve on governing, subsidiary and constituted bodies under the Convention, the Kyoto Protocol and the Paris Agreement  No concerns or issues were raised by public or private entities or their members in the reporting period and there was no legal action brought against any individuals serving on constituted bodies
	The absence of complaints from Parties and members of constituted bodies with respect to the legal advice and services provided to bodies established under the Convention and its Kyoto Protocol. Baseline: complaints are resolved within six months. Target: no complaints	The secretariat did not receive any complaints from Parties or members of constituted bodies with respect to the legal advice and support provided to bodies established under the Convention and its Kyoto Protocol during the reporting period

**Objective 2: facilitate the operations of the secretariat and the UNFCCC administrative and institutional process to ensure that they are conducted in accordance with legal, procedural and institutional requirements**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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The operations of the secretariat and the UNFCCC process are conducted in accordance with applicable United Nations rules and regulations and the legislative authority of the UNFCCC governing bodies and requirements	The proportion of legal instruments between the secretariat and actors such as governments, service providers and partner organizations that are concluded expeditiously and in a legally sound manner, thereby facilitating the smooth operation of the secretariat and protecting its interests and those of the UNFCCC process. Baseline and target: all legal instruments are concluded in a timely and legally sound manner and any disputes arising therefrom are settled within 12 months	In the reporting period, LA cleared 145 legal instruments concluded by the secretariat with government and other third parties, of which 117 were signed by the secretariat  The secretariat did not receive any complaints from Parties or members of constituted bodies with respect to the legal advice and support provided to bodies established under the Convention and its Kyoto Protocol during the reporting period
	The number of audit and other queries raised by the United Nations Office of Legal Affairs on the adequacy and appropriateness of legal arrangements entered into by the secretariat. Baseline and target: no audit or other queries raised by the United Nations Office of Legal Affairs	In the reporting period, no queries from the United Nations Office of Legal Affairs were received in relation to the appropriateness of legal arrangements entered into by the secretariat. While the final audit report had not been issued as at 30 June 2017, no audit queries on the adequacy and appropriateness of legal arrangements entered into by the secretariat in the reporting period had been brought to the attention of the secretariat

**Objective 3: facilitate the effective operation of the compliance mechanism in support of the environmental integrity of the Kyoto Protocol and the credibility of the market mechanisms**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The Compliance Committee takes decisions on the basis of the best available information	The level of satisfaction expressed by members and alternate members of the Compliance Committee regarding the secretariat's support. Baseline and target: a high level of satisfaction	No complaints were raised by members or alternate members of the Compliance Committee with respect to the support provided by the secretariat in the reporting period. Owing to the significant changes in the membership of the Compliance Committee in 2017, the next regular survey on the level of satisfaction of the members and alternate members will be conducted in the second half of 2017 and information on the results will be made available in the next budget performance report
The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) is provided with adequate and effective advice and support in the development of policy guidance to the Compliance Committee	The absence of complaints raised by Parties with respect to the legal advice and support provided by the secretariat in the development of policy guidance. Baseline and target: no complaints	The secretariat provided the CMP with support for the latter's consideration of the tenth annual report of the Compliance Committee, which was noted at CMP 12. No complaints were raised by Parties with respect to the legal support provided by the secretariat
Information on the actions taken by the Compliance Committee is made available to the Party concerned and other relevant actors, including the public, in a clear and timely fashion	Information on the actions taken by the Compliance Committee is made available in a clear and timely fashion. Baseline: ensure that 95 per cent of documents are issued within the required timelines. Target: ensure that 100 per cent of documents are issued within the timelines set out in the relevant procedures	In the reporting period, all Compliance Committee documents prepared by the secretariat were made available to the members and alternate members of the Compliance Committee and the public in accordance with the timelines set out in the annex to decision 27/CMP.1 and the annex to decision 4/CMP.2, as amended by decisions 4/CMP.4 and 8/CMP.9

<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol. In addition, while the objectives set out in the 2016–2017 budget relate to the Convention and the Kyoto Protocol only, owing to the early entry into force of the Paris Agreement, a number of outputs and results of the work of Legal Affairs in the reporting period relate also to the Paris Agreement.

Table 23

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Conference Affairs Services programme**

**Objective 1: advance the climate change intergovernmental process through the attendance, active participation and collaboration of representatives of Parties at UNFCCC conferences and events**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The intergovernmental process is facilitated through the provision of information, in-depth insight and analysis, problem-solving and the treatment of delegates in accordance with protocol	The proportion of communications to which the Conference Affairs Services programme responds. Baseline and target: to ensure that the programme responds to 100 per cent of communications	The programme responded to all communications in a timely manner
Representatives of eligible Parties, including those eligible for funding through the Trust Fund for Participation in the UNFCCC Process, can attend all relevant meetings and actively participate in the process	The proportion of eligible participants funded  The average duration of the registration and accreditation process (waiting and processing time). Baseline and target: less than three minutes	The total number of 843 participants eligible for funding was expected for the sessions held during the reporting period. In total, 810 nominations were received and processed, of which 23 cancelled, leaving 787 participants from Parties eligible for funding effectively funded. Therefore, 97 per cent of eligible participants were funded and 3 per cent of nominations were cancelled  The average duration of the registration and accreditation process was 58 seconds

**Objective 2: assist Parties in advancing the climate change intergovernmental process through observer engagement**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Eligible members of civil society and intergovernmental organizations are admitted by the Conference of the Parties (COP) and allowed to attend sessions within the capacity limit	The number of organizations for which the COP disagrees with the eligibility assessment made by the secretariat. Baseline and target: none	The COP objected to none of the 145 new organizations assessed as eligible
Input by observer organizations to the intergovernmental process is facilitated	The number of opportunities to make submissions to the negotiation process  The number of opportunities to intervene at plenary and contact group meetings as well as at in-session workshops	In response to 35 calls for submissions from UNFCCC bodies, 337 observer submissions from around 300 organizations were processed  Of 199 opportunities facilitated, 141 were implemented
Side events and exhibits are facilitated at sessions, space permitting	Number and percentage of side event and exhibit applications processed versus received	All 1,426 combined applications for side events and exhibits received were processed

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Number and percentage of side events and exhibits implemented versus confirmed minus cancelled	All 607 confirmed side events and exhibits were implemented, excluding the 31 cancelled by the organizers
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**Objective 3: facilitate the work of delegates and assist in the intergovernmental process by maintaining the quality, clarity and readability of documents and ensuring their timely availability**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Official documents are made available to stakeholders on time and in all six official languages of the United Nations, where applicable	The percentage of documents submitted to the Conference Affairs Services programme in accordance with United Nations deadlines that are made available to stakeholders on time	Mandated official documents published during the reporting period: 682 Pre-session documents that were to be translated published on time: 82 per cent in 2016 and 100 per cent in the first half of 2017

**Objective 4: provide suitable surroundings and comprehensive, state-of-the-art logistical and technical support so that meetings can proceed smoothly and productively**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Comprehensive and functional conference facilities for participants in the climate change negotiation process are planned and provided for	No complaints received with regard to conference facilities	Twelve sessions, as well as pre-session meetings of the four regional groups and 117 workshops and meetings, were held and no complaints with regard to conference facilities were received
	No complaints received with regard to sound, projectors, electricity, etc.	Twelve sessions, as well as pre-session meetings of the four regional groups and 117 workshops and meetings, were held and there were no complaints with regard to sound, projectors, electricity, etc.
	Percentage of stakeholders expressing satisfaction with the quality of conference services provided at sessions	Approximately 92 per cent of the survey respondents at the May 2016 sessions from Parties and observer organizations rated the overall quality of the conference services provided as good (60 per cent) or very good (32 per cent). The next client survey is planned for 2018

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<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.

Table 24

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Communications and Outreach programme**
**Objective 1: communicate to Parties, observer organizations, the public and non-state actors authoritative, relevant and timely information regarding the UNFCCC process for action on climate change under and outside the Convention<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The UNFCCC website is recognized as the central United Nations information source for authoritative, relevant, timely and comprehensive information on climate change and the UNFCCC process	The volume of data downloaded per website visit  The number of website users and sessions	A total of 12,528,364 pages were viewed by 3,442,440 users and 15.8 million documents (11.8 terabytes) were downloaded during the reporting period
UNFCCC publications and digital communication channels (e-newsletter, social media) meet the needs of Parties and stakeholders	The number of newsletter subscriptions and click rates  The number of followers and fans on social media channels	The newsletter is currently under review and no issue was published during the reporting period  During the reporting period, the secretariat posted more than 4,200 tweets on Twitter and 850 items on the UNFCCC Facebook page. Within the reporting period, the secretariat's Twitter account exceeded the 399,000 followers milestone, and the secretariat's Facebook account grew to have 216,000 fans. The secretariat's Instagram account grew to have around 99,000 followers
Global and specialist media and non-governmental organizations are informed about the progress and decisions made in the intergovernmental process and its objectives	The number of speeches, statements and interviews of the Executive Secretary  The quality of media reporting on the UNFCCC process	The programme continued working closely with the media and facilitated 641 requests for information and 189 requests for media interviews, prepared 19 press releases in English, French and Spanish, 18 media alerts and advisories, and 265 speeches or video addresses, editorial or opinion pieces, and accredited 1,263 media representatives to official meetings  No resources were available to carry out an extensive survey to determine the quality of media reporting on the UNFCCC process

**Objective 2: increase the engagement of all governmental and non-state actors inside and outside the UNFCCC process to foster higher ambition and action on climate change and the full implementation of the Convention<sup>c</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Higher ambition and action inside and outside the UNFCCC process by governmental and non-state actors to foster the full implementation of the Convention	The number of outreach activities targeting governmental and non-state actors	The secretariat participated in 896 official outreach events to promote greater ambition and action within and outside the UNFCCC process by government and non-state actors
Effective support is provided for intergovernmental negotiations on issues related to Article 6 of the Convention	The proportion of mandated outputs delivered and delivered on time	Delivery of the mandated outputs during the reporting period was as follows: two Subsidiary Body for Implementation conclusions on Action for Climate Empowerment; one decision by the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on Action for Climate

		Empowerment; and two mandated reports prepared, including a synthesis report on progress made in implementing the Doha work programme on Article 6 of the Convention, and a report on the functionality and accessibility of the climate change information network clearing house CC:iNet. The proportion of mandated outputs delivered and delivered on time was 100 per cent
The Dialogue on Article 6 of the Convention is facilitated	The proportion of mandated outputs delivered and delivered on time	Two in-session Dialogues on Action for Climate Empowerment were held during SBI 44 and SBI 46 and two summary reports were published and disseminated, representing 100 per cent of mandated outputs delivered and delivered on time
The implementation of the Doha work programme on Article 6 of the Convention is facilitated	The proportion of mandated outputs delivered and delivered on time	One workshop for Action for Climate Empowerment focal points was held in May 2016 and its summary report was published and disseminated, representing 100 per cent of mandated outputs delivered and delivered on time
Parties, observers and the general public continue to have open and ready access to information and data on the climate change negotiation process and the groundswell of climate action across the globe	The number of official documents and climate information downloaded from the UNFCCC website	15.8 million documents (11.8 terabytes) were downloaded during the reporting period
	Visits to pages on the UNFCCC website on mandated implementation initiatives and working groups	A total of 3,442,440 visitors accessed the web pages
The Momentum for Change initiative continues to raise awareness on concrete solutions to climate change	The number of Momentum for Change submissions	The secretariat received 475 applications in 2016, of which 255 were considered eligible. In 2017, 462 applications were submitted and 223 were eligible
	The number of visits to the Momentum for Change section of the UNFCCC website	The Momentum for Change section of the UNFCCC website received 114,009 page views during the reporting period

**Objective 3: facilitate the search and retrieval of UNFCCC documents and information and improve knowledge-sharing and collaboration<sup>d</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Internal communication, collaboration and knowledge-sharing is improved through the use of appropriate tools and systems	The level of staff satisfaction with the secretariat's intranet	In the most recent staff satisfaction survey, from May 2016, 77 per cent of staff agreed or strongly agreed that the updates and announcements on the intranet are useful. An internal communications survey is planned for later in 2017 to provide updated data. During the reporting period, 30 new collaboration spaces were launched and more than 450 on the intranet
Parties, observers, secretariat staff and the general public have ready access to well-organized and comprehensive official documentation on the climate change negotiation process	The volume of official documents downloaded	A total of 15.8 million official documents, including language versions and national communications, were downloaded in a timely manner
Records are managed in accordance with the secretariat's policy and guidelines	Inactive records are processed and transferred to the Records Centre and are made accessible upon request from staff	A total of 62 linear metres of inactive records were processed and transferred to the Records Center and 5,484 physical audio and video records were transferred to digital format, and 67 terabytes of audiovisual recording data were transferred to the digital storage

<p>Obsolete records are destroyed in a confidential and controlled manner</p>	<p>A total of 452 retrieval requests were processed, making physical records and audiovisual recordings accessible to requesting staff as well as to external clients</p>
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<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.  
<sup>b</sup> Article 6(b) and Article 8, paragraph 2, of the Convention and Article 10(e) and Article 14, paragraph 2, of the Kyoto Protocol.  
<sup>c</sup> Articles 6 and 8 of the Convention, Articles 10(e) and 14 of the Kyoto Protocol and decisions 36/CP.7, 11/CP.8, 7/CP.10, 9/CP.13, 1/CP.16, 7/CP.16, 2/CP.17, 15/CP.18, 23/CP.18 and 6/CMP.2.  
<sup>d</sup> Articles 8 and 12 of the Convention, Article 14 of the Kyoto Protocol and document FCCC/CP/1996/2.

Table 25

**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Information and Communication Technology programme**

**Objective 1: maintain and strengthen the secretariat’s information technology infrastructure<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
<p>Support services meet user requirements</p>	<p>The proportion of information technology service requests responded to and completed within 90 days. Baseline: 70 per cent of requests. Target: 90 per cent of requests</p>	<p>During the reporting period, 21,093 work orders were created by the in-house service desk (excluding the externally contracted international transaction log (ITL) service desk). A total of 99.57 per cent were answered within the timelines specified in the service level agreement (SLA) and 72 per cent were resolved within the timelines specified in the SLA</p> <p>The performance metrics set for the ITL service desk are: 90 per cent of phone calls answered within 10 seconds, no more than 5 per cent of calls abandoned (user hanging up before being answered) within 20 seconds and 85 per cent of emails answered within 30 minutes. These three targets were met and exceeded in the reporting period: 97.02 per cent of phone calls were answered within 10 seconds, 0.28 per cent of phone calls were abandoned within 20 seconds and 97.65 per cent of emails were answered within 30 minutes</p>
<p>Business-enabling systems, including collaboration and web services, are operated and maintained at agreed service levels</p>	<p>The average availability of business-enabling systems. Baseline: 95 per cent availability. Target: 98 per cent availability</p>	<p>Most business-enabling information systems have been outsourced to an external hosting provider and their monthly availability is governed by SLAs at between 99 and 99.9 per cent. In some cases, where the contractual SLA has been temporarily not met, the contractual service credit mechanism was automatically invoked. The overall stipulated performance target of 98 per cent was met according to available monthly reports from the service provider</p>
<p>Communications, the data centre and related infrastructure services are supplied in accordance with agreed service levels</p>	<p>Infrastructure services availability during service hours. Baseline: 95 per cent availability. Target: 98 per cent availability</p>	<p>According to available data from in-house monitoring systems, internal infrastructure services and dependant information systems exceeded on average the performance benchmark of 98 per cent</p>

**Objective 2: support the UNFCCC intergovernmental process<sup>c</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
<p>Conferences and workshops are supported by effective and efficient information technology services</p>	<p>The proportion of conferences and workshops serviced at the agreed levels. Baseline and target: to ensure that 100 per cent are serviced at the agreed</p>	<p>During the reporting period, one session of the Conference of the Parties, two sessions of the subsidiary bodies and 83 workshops and small meetings were all serviced at 100 per cent of the agreed levels. In addition to services for conferences and meetings, services were also provided for 610</p>



	levels	virtual participation/meeting sessions. The virtual presence facilities (such as WebEx, Skype and Voice over Internet Protocol) enabled remote delegates to actively participate in the workshops without the need to travel to the meeting venue. This also included the virtual participation of candidates and panellists in interviews. Detailed statistical data on the internet connectivity and Wi-Fi connection and services maintained during the conferences document that participants were provided with a highly reliable and secure information technology infrastructure, including Wi-Fi, with no service interruptions for the participants
Secure means of collaboration and communication are provided within the secretariat and between the secretariat and stakeholders	The proportion of collaboration and communication services provided at the agreed service levels. Baseline and target: to ensure that 100 per cent are serviced at the agreed levels	The Information and Communication Technology programme (ICT) has complied with all service levels agreed. A system for encrypted communication between the secretariat and Parties for the ITL was implemented. A secure access and single sign-on for secretariat staff and Parties to the secretariat collaboration and information systems for Parties was implemented and maintained. A cyber and information security framework contract was finalized to allow for rapid response to any unforeseen issues. A vendor was selected in June under this framework contract to perform a vulnerability and penetration test of the secretariat's information technology systems. By the end of June 2017, two test activities out of 19 planned test activities were successfully completed per schedule and according to agreed service levels
Business information and communication technology solutions enable the secretariat to effectively use organizational resources and facilitate processes in compliance with internally and externally agreed standards	Information and communication technology solutions are provided at the agreed service levels. Baseline and target: to ensure that 100 per cent are serviced at the agreed levels	<p>ICT developed a new data warehouse and reporting platform, which streamlines reporting processes and enables advanced analysis of key climate change data. All services were delivered within the agreed service levels</p> <p>The secretariat-wide client relationship management platform was upgraded in January 2017 to enable effective communication and action. New collaboration solutions were implemented to improve management processes for official documents. The upgrade to SharePoint 2013 was implemented and 95 per cent of the upgrade of the sustainable development co-benefits tool version 3 for the Sustainable Development Mechanisms programme was completed by 30 June 2017. A total of 604 enhancements/improvements were implemented to existing platforms that enable organizational effectiveness, including conference support systems and administrative support systems</p> <p>During the reporting period, all systems reached their availability target of 98 per cent, including planned maintenance time</p>

**Objective 3: support the implementation of the Convention and the Kyoto Protocol, and support the regulatory systems under the Kyoto Protocol<sup>d</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Mandated systems are provided to enable the compilation, analysis and management of data (greenhouse gas (GHG))	Mandated systems meet the specified requirements and are delivered on time	ICT provided additional enhancements and releases for the new common reporting format (CRF) Reporter system for reporting GHG inventories and GHG inventory software for Parties not included in Annex I to the Convention

data, inventories and other data)		ICT maintained and provided releases for the compilation and accounting database and its connection to the ITL
Mandated systems are provided to support the review of national communications, inventories, biennial reports and biennial update reports and the publication of results to advance transparency (measurement, reporting and verification)	Mandated systems meet the specified requirements and are delivered on time	<p>ICT created a business intelligence platform using the latest technology from Microsoft in order to manage and mine data and extract reports from the GHG data</p> <p>All mandated systems met the specified requirements as defined by the programmes and users and were delivered on time as agreed with the programmes</p> <p>ICT created GHG-based reports and review tools on top of the new business intelligence platform. The GHG reports are to be shared in advance on the UNFCCC website. The GHG-based reports and review tools are to be provided to the expert review teams in order to ensure the transparency of and verify Parties' submitted data, communications and reports</p> <p>Enhancements to the Virtual Team Room and biennial reporting common tabular format applications were implemented</p> <p>Both systems met the specified requirements as defined by the programmes and users and were delivered on time as agreed with the programmes</p>
Additional required systems are provided to support the implementation of the Convention and the Kyoto Protocol, and the regulatory systems under the Kyoto Protocol	Mandated systems meet the specified requirements and are delivered on time	<p>ICT developed a registry for nationally determined contributions and delivered enhancements and provided support for additional required systems, including but not limited to the finance and technology transfer portals, the standard electronic format reporting tool, the systems for the Nairobi work programme on impacts, vulnerability and adaptation to climate change and national adaptation plans and the capacity-building portal</p> <p>The systems met the specified requirements as defined by the programmes and users and were delivered on time as agreed with the programmes</p>

<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.  
<sup>b</sup> Article 8, paragraph 2, of the Convention and Article 14, paragraph 2, of the Kyoto Protocol.  
<sup>c</sup> Articles 8, paragraphs 2 and 12, of the Convention and Article 14 of the Kyoto Protocol.  
<sup>d</sup> Article 12 of the Convention and Articles 5–8, 12 and 17 of the Kyoto Protocol.

Table 26  
**Objectives, expected results,<sup>a</sup> performance indicators and performance data for the Administrative Services programme**

**Objective 1: facilitate the mobilization, allocation and utilization of resources<sup>b</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Parties are kept fully informed with regard to the receipt and utilization of financial resources	Financial statements are made available to Parties in a timely manner	The audited financial statements for 2016 were completed on time and will be presented to the Subsidiary Body for Implementation in November 2017
Coherence and transparency in fundraising and the use of funds	The proportion of donor reports submitted on time. Baseline: 77 per cent in the period from January 2016 to June 2017. Target: to increase the proportion	The implementation of Umoja resulted in the need to manually combine two sets of financial data. Thus, a total of 33 per cent of donor reports were submitted on time

Optimal budget implementation rate	Expenditure levels compared with the approved budget. Target: 99 per cent	The expenditure rate as at 30 June 2017 was 72.6 per cent, which is slightly below the linear rate of 75 per cent and is projected to meet the target
Compliance with United Nations financial regulations and rules and UNFCCC financial procedures	The implementation rate of audit recommendations relating to financial and administrative matters. Baseline: 83 per cent in the period from January to June 2014. Target: 90 per cent implementation rate	The final Board of Auditors report was not issued by 30 June 2017; however, the provisional report indicated that 91 per cent of audit recommendations were under implementation or fully implemented. The not implemented status of 9 per cent represented one audit recommendation that was not accepted by the UNFCCC

**Objective 2: facilitate the timely recruitment and retention of staff who meet the highest standards of efficiency, competence and integrity in order to provide the best possible support to mandated activities<sup>c</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Competent, motivated and geographically diverse staff balanced in gender are recruited and retained	The percentage of staff from Parties not included in Annex I to the Convention (non-Annex I Parties). As at 30 June 2015, 49 per cent of staff in the Professional category and above were from non-Annex I Parties. Target: to increase this percentage	As at 30 June 2017, 45.9 per cent of staff in the Professional and above category were from non-Annex I Parties
	The percentage of women in posts in the Professional and higher categories. As at 30 June 2015, 41 per cent of staff in the Professional category and above were women. Target: to increase this percentage to 50 per cent	As at 30 June 2017, 40.2 per cent of staff in the Professional and above category were women
	The percentage of recruitments completed within the required time frame. Baseline: four months for a Professional post from advertising to approval by the Executive Secretary. Target: to reduce this time frame to three months	The average time frame for the completion of recruitment cases in the Professional and higher category in 2016 was 5.1 months, indicating a decrease of 0.4 months in comparison with the previous year
Staff are provided with adequate training and development opportunities, enabling them to achieve their full potential at work	The percentage of training costs versus total staff costs in the biennium 2014–2015 was 1.12 per cent. Target: to increase this to 2 per cent	The percentage of training costs versus total staff costs in the reporting period was 0.98 per cent

**Objective 3: provide travel, procurement and general services that adequately meet the needs of Parties and the secretariat<sup>d</sup>**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The travel of delegates and staff to official meetings is arranged effectively and efficiently	The proportion of participants attending meetings versus nominations	In total, 2,754 of 2,948 nominated participants attended official meetings (93 per cent)
The procurement of goods and services is carried out in a cost-effective and efficient manner in accordance with United Nations regulations	The percentage of procurement cases processed within established time frames	In total, 534 of 550 purchase orders were placed within the established time frames (97 per cent)
		In total, 60 of 93 tenders were completed within the established time frames (65 per cent)

and rules and with UNFCCC policies	<p>The average number of responses received per tender</p> <p>The price difference between offers selected and highest offers received</p>	<p>An average of four responses were received in response to each of the 93 tenders conducted</p> <p>The price difference was 33 per cent, or EUR 25,200, on a tender-by-tender basis</p>
The work environment in the secretariat is adequate, productive and sustainable	The per capita carbon footprint of the secretariat’s facility operations and travel <sup>e</sup>	The secretariat’s overall carbon footprint in the calendar year of 2015 was 3,765 tonnes of carbon dioxide equivalent (t CO <sub>2</sub> eq). Travel of participants generated 67 per cent of these emissions, staff travel 18 per cent and facility operations 15 per cent. Broken down per staff member and other personnel, this translates to 6.6 t CO <sub>2</sub> eq per capita in 2015, down from 9.4 t CO <sub>2</sub> eq in 2014

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<sup>a</sup> Unless otherwise stated, expected results relate to work both under the Convention and under its Kyoto Protocol.

<sup>b</sup> Article 8, paragraph 2(f), of the Convention, Article 14, paragraph 2, of the Kyoto Protocol and decision 15/CP.1.

<sup>c</sup> Article 8, paragraph 2(f), of the Convention and Article 14, paragraph 2, of the Kyoto Protocol.

<sup>d</sup> Article 8, paragraph 2(f), of the Convention and Article 14, paragraph 2, of the Kyoto Protocol.

<sup>e</sup> Emissions are calculated by UN Environment/Sustainable United Nations for the entire United Nations system in the second half of the year following their generation.