



Ad Hoc Working Group on the Paris Agreement

**Report of the Ad Hoc Working Group on the Paris Agreement
on the third part of its first session, held in Bonn
from 8 to 18 May 2017**

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I. Opening of the session

(Agenda item 1)

1. The third part of the first session of the Ad Hoc Working Group on the Paris Agreement (APA) was held at the World Conference Center Bonn in Bonn, Germany, from 8 to 18 May 2017.
2. The Co-Chairs of the APA, Ms. Sarah Baashan (Parties not included in Annex I to the Convention (non-Annex I Parties)) and Ms. Jo Tyndall (Parties included in Annex I to the Convention (Annex I Parties)), opened the third part of the first session on Monday, 8 May 2017, and welcomed all Parties and observers. They also welcomed Ms. Anna Serzysko (Annex I Parties) as Rapporteur.
3. Statements were made by 17 Parties, including 14, respectively, on behalf of the African Group; the Alliance of Small Island States (AOSIS); the Arab Group; Argentina, Brazil and Uruguay; the Bolivarian Alliance for the Peoples of Our America (ALBA); Brazil, China, India and South Africa (the BASIC countries); the Coalition for Rainforest Nations; the Environmental Integrity Group (EIG); the European Union and its member States; the Group of 77 and China; the Independent Association for Latin America and the Caribbean (AILAC); the least developed countries (LDCs); the Like-minded Developing Countries (LMDCs); and the Umbrella Group. Statements were also made on behalf of environmental non-governmental organizations (NGOs), indigenous peoples organizations, trade union NGOs, women and gender NGOs, and youth NGOs.

II. Organizational matters

(Agenda item 2)

A. Election of officers

(Agenda sub-item 2(a))

4. The APA considered this agenda sub-item at its 8th and 9th meetings, held on 8 and 18 May 2017, respectively. At the 8th meeting, the APA Co-Chairs informed Parties that consultations on the nominations for the election of the APA Bureau were ongoing. At the 9th meeting, on behalf of Mr. Helmut Hojesky (Austria), Vice-President of the Conference of the Parties (COP) at its twenty-second session, Mr. Collin Beck (Solomon Islands), Vice-President of the COP 22, reported that consultations on the election of the APA Bureau had been concluded and that nominations for the APA Co-Chairs had been received. He also reported that the chairs and coordinators of regional groups and small island developing States had reached conclusions on the election of the APA Co-Chairs, recommending that the election take place in November 2017 and that Ms. Sarah Baashan (non-Annex I Parties) and Ms. Jo Tyndall (Annex I Parties) be re-elected as Co-Chairs for a second consecutive term of one year. The chairs and coordinators of regional groups and small island developing States had invited the APA to agree to these recommendations.
5. In accordance with the recommendations above, the APA agreed that the election of the APA Co-Chairs would take place at the opening of its resumed first session in November 2017, at which time the APA would elect Ms. Sarah Baashan (non-Annex I Parties) and Ms. Jo Tyndall (Annex I Parties) as Co-Chairs for a second consecutive term of one year.
6. The APA recalled that a nomination for the position of the APA Rapporteur was outstanding and encouraged regional groups to submit a nomination as soon as possible. The APA noted that the current Co-Chairs and Rapporteur would remain in office between

APA 1.3 and APA 1.4 in accordance with rule 22, paragraph 2, of the draft rules of procedure being applied.

B. Adoption of the agenda

(Agenda sub-item 2(b))

7. The APA adopted the agenda for its first session at its 2nd meeting, on 20 May 2016.¹ Following the entry into force of the Paris Agreement on 4 November 2016, APA 1.2 agreed that no further work was required under its agenda sub-item 8(a), “Preparing for the entry into force of the Paris Agreement”.²

8. In response to the invitation from CMP 11,³ COP 22 referred matters relating to the Adaptation Fund to the APA.⁴ APA 1.2 agreed to consider those matters under its agenda item 8, as part of deliberations under the sub-item “Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement”.⁵ The APA will continue to consider matters relating to the Adaptation Fund under that agenda sub-item, which, in accordance with paragraph 7 above, was renumbered as agenda sub-item 8(a), as shown below:

1. Opening of the session.
2. Organizational matters:
 - (a) Election of officers;
 - (b) Adoption of the agenda;
 - (c) Organization of the work of the session.
3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:
 - (a) Features of nationally determined contributions, as specified in paragraph 26;
 - (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28;
 - (c) Accounting for Parties’ nationally determined contributions, as specified in paragraph 31.
4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.
5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.
6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:
 - (a) Identification of the sources of input for the global stocktake;
 - (b) Development of the modalities of the global stocktake.

¹ FCCC/APA/2016/2, paragraph 9.

² FCCC/APA/2016/4, paragraph 9.

³ Decision 1/CMP.11, paragraph 9.

⁴ FCCC/CP/2016/10, paragraph 18.

⁵ FCCC/APA/2016/4, paragraph 5.

7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.
8. Further matters related to implementation of the Paris Agreement:
 - (a) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
 - (b) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.
9. Other matters.
10. Closure of and report on the session.

C. Organization of the work of the session

(Agenda sub-item 2(c))

9. The APA considered this agenda sub-item at its 8th meeting and noted that at APA 1.1 had agreed on the modalities for the organization of the work at the first session.⁶ Consequently, a contact group was set up to consider agenda items 3–8 in a single setting, and it launched informal consultations on the individual agenda items. The APA agreed that these informal consultations would be facilitated by the following co-facilitators:

- (a) Agenda item 3: Mr. Sin Liang Cheah (Singapore) and Ms. Gertraud Wollansky (Austria);
- (b) Agenda item 4: Mr. Nicolas Zambrano (Ecuador) and Ms. Beth Lavender (Canada);
- (c) Agenda item 5: Mr. Xiang Gao (China) and Mr. Andrew Rakestraw (United States of America);
- (d) Agenda item 6: Mr. Xolisa Ngwadla (South Africa) and Ms. Ilze Prūse (Latvia);
- (e) Agenda item 7: Ms. Janine Felson (Belize) and Mr. Peter Horne (Australia);
- (f) Agenda item 8 (matters relating to Adaptation Fund): Ms. Maria del Pilar Bueno (Argentina) and Mr. Pieter Terpstra (Netherlands);
- (g) Agenda item 8 (other matters): Ms. Sarah Baashan (Saudi Arabia) and Ms. Jo Tyndall (New Zealand).

10. This contact group met four times, including on 9 May to launch the work, on 11 May to take stock, and twice on 17 May to consider the draft conclusions proposed by the APA Co-Chairs. In addition, in response to requests from Parties, the APA convened on 13 May a contact group meeting to provide Parties with an opportunity for interactive discussion with representatives of the Adaptation Committee, the Least Developed Countries Expert Group, the Standing Committee on Finance and the Green Climate Fund

⁶ FCCC/APA/2016/2, paragraph 21.

regarding the status of their work on the implementation of the work programme under the Paris Agreement.

11. Also on 13 May, the APA convened an informal plenary jointly with the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI) to consider linkages in the work of the subsidiary bodies on the implementation of the work programme under the Paris Agreement.⁷ At this meeting, the presiding officers of the subsidiary bodies made presentations on the Paris Agreement related items on the agendas of their respective bodies.

II. Report on agenda items 3 to 8

1. Proceedings

12. The APA considered these items jointly at its 9th and resumed 9th meetings, on 18 May, and adopted the orally amended conclusions as below.

2. Conclusions

13. The APA noted that COP 22:

(a) Requested the SBI, the SBSTA, the APA and the constituted bodies under the Convention to accelerate their work on the work programme described in decision 1/CMA.1, paragraphs 5–7, and to forward the outcomes to COP 24 (December 2018) at the latest;⁸

(b) Decided to conclude the work programme under the Paris Agreement as soon as possible and to forward the outcomes, at the latest, to CMA 1.3, to be convened in conjunction with COP 24, for its consideration and adoption.⁹

14. The APA agreed to accelerate its work accordingly and reaffirmed its commitment to working diligently and expeditiously to fulfil its mandate as soon as possible but no later than COP 24.

15. The APA took note of:

(a) The intersessional workshop on agenda item 5, “Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement”, and the report thereon;¹⁰

(b) The pre-sessional round table on agenda item 3, “Further guidance in relation to the mitigation section of decision 1/CP.21”;

(c) The pre-sessional workshop on agenda item 4, “Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement”;

(d) The documents prepared for this session.¹¹

16. The APA welcomed the more than 100 submissions received from Parties¹² and non-Party stakeholders¹³ for this session.

⁷ Decision 1/CP.21, paragraph 9.

⁸ Decision 1/CP.22, paragraph 10.

⁹ Decision 1/CP.22, paragraph 12.

¹⁰ FCCC/APA/2017/INF.2 and Add.1.

¹¹ FCCC/APA/2017/INF.1, FCCC/APA/2017/INF.2 and Add.1 and FCCC/APA/2017/INF.3.

17. The APA agreed that the intersessional and pre-sessional events and documents referred to in paragraphs 15 and 16 above helped in facilitating a better understanding of, and clarifying Parties' views on, the respective agenda items and provided useful inputs to the negotiations under the APA. The APA noted that it is important to ensure the effective participation of Parties in such events, and that the organization of events should enable such participation. The APA requested its Co-Chairs to release an informal note reflecting the views expressed at the pre-sessional workshop referred to in paragraph 15(c) above.

18. The APA noted that substantive progress has been achieved at this session in making a transition from conceptual discussions to focused technical work, including, as appropriate, on textual elements. The APA emphasized the need to make progress on all items in a coherent and balanced manner and to ensure a coordinated and coherent approach regarding the related matters considered under the SBI and the SBSTA. The organization of the APA contact group on linkages with constituted bodies and of the informal joint plenary of the SBI, the SBSTA and the APA during this session was appreciated, and the APA recommended that holding such events during future sessions be considered.

19. The APA reiterated its commitment to continuing to work in an inclusive, transparent, Party-driven and efficient manner. To that end, it agreed to continue to apply at APA 1.4 (November 2017) the modalities for the organization of its work that were adopted at APA 1.1.¹⁴

20. The APA noted the progress made on the substantive items on its agenda as reflected in the informal notes prepared, under their own responsibility, by the co-facilitators of the informal consultations on those items.¹⁵ It agreed that those notes may be helpful for the future work of the APA.

Further work required on individual items

21. With respect to the invitations to Parties for focused submissions of their views on APA agenda items, as detailed in paragraphs 23(a), 24(a), 25(a), 26(a) and 27(a) below, the APA noted that the suggested focus areas for the submissions use different terminology across items, reflecting the individual dynamics of the negotiations in each informal group. The APA agreed that, as the negotiations proceed, more consistent terminology will be applied to further facilitate the transition to developing textual proposals and elements of draft texts for all items on the APA agenda.

22. With respect to the round tables referred to in paragraphs 23(c), 24(d), 25(b), 26(b) and 27(b) below, the APA agreed that:

- (a) The round tables will be open to Parties and observer States only;
- (b) If Parties agree, the co-facilitators designated for each relevant agenda item at APA 1.4 will prepare, under their own responsibility and the guidance of the APA Co-Chairs, an informal note reflecting the views expressed at the relevant round table and make that note available to Parties as soon as possible thereafter.

¹² Available at <http://unfccc.int/5900>.

¹³ Available at <http://unfccc.int/7478>.

¹⁴ FCCC/APA/2016/2, paragraph 21.

¹⁵ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php. The notes prepared by the co-facilitators attempt to informally capture the views expressed to date by some Parties on the respective agenda items and they do not necessarily reflect the views of all Parties. The notes are not intended to prejudice further work that Parties may wish to undertake, or to prevent Parties from expressing other views they may have in the future. The views in the notes are not presented in any order of importance and they do not signify any consensus among Parties or imply the basis for any future negotiations.

23. With respect to **agenda item 3**, the APA:

(a) Taking into consideration the content of the informal note prepared by the co-facilitators on this item,¹⁶ invited Parties to make, by 15 September 2017, focused submissions,¹⁷ including on the elements and issues outlined in that note and on how they would be reflected in the guidance, without prejudice to further work under this agenda item;

(b) Requested the persons who facilitated discussions under this agenda item at APA 1.3, with the support of the secretariat, to prepare, by 15 October 2017, a non-paper capturing convergence, divergence and options, where appropriate, based on Parties' views expressed in the submissions referred to in paragraph 23(a) above, without omitting, reinterpreting or prejudging Parties' views;

(c) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Monday, 6 November 2017.¹⁸ The round table should be facilitated by the persons who will be designated to facilitate discussions at APA 1.4. The round table should also provide an open and constructive environment to further advance the work under the three sub-items under this agenda item and take into consideration the submissions and the non-paper referred to in paragraph 23(b) above.

24. With respect to **agenda item 4**, the APA:

(a) Invited Parties to make, by 15 September 2017, focused submissions on the proposals for elements and 'skeleton' outlines as contained in the informal note on this agenda item;¹⁹

(b) Requested the secretariat to prepare, by 1 October 2017, a technical paper synthesizing adaptation-related information included in nationally determined contributions, national adaptation plans and recent national communications;

(c) Requested the persons who facilitated discussions under this agenda item at APA 1.3, under the guidance of the APA Co-Chairs and with the support of the secretariat, to synthesize into a non-paper the submissions referred to in paragraph 24(a) above by 15 October 2017;

(d) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Saturday, 4 November 2017, to facilitate work under this agenda item, taking into consideration the submissions and the informal note referred to in paragraph 24(a) above.

25. With respect to **agenda item 5**, the APA:

(a) Invited Parties to make, by 30 September 2017, focused submissions taking into account the possible "headings and subheadings" contained in the annex to the informal note prepared by the co-facilitators on this item.²⁰ In submitting their views, Parties are also invited to elaborate, as appropriate, the specific operational details under the possible "headings and subheadings";

¹⁶ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/informal_note_apa_3_for_publication_final.pdf.

¹⁷ Parties should submit their views via the submission portal at <http://www.unfccc.int/5900>.

¹⁸ The timing of the round table will take account of the opening day activities of the session of the COP and the need to minimize clashes.

¹⁹ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_4_informal_note_final.pdf.

²⁰ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa2017_i5_informal_note_by_the_co-facilitators_.pdf.

(b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Saturday, 4 November, and Sunday, 5 November 2017, focusing on issues covered in Parties' submissions referred to in paragraph 25(a) above, and also including technical discussions on how cross-cutting issues listed in Article 13 of the Paris Agreement, including, inter alia, its paragraphs 2–4, were considered in Parties' submissions. The round table will address the following:

- (i) On 4 November: transparency of support provided and received; technical expert review, and facilitative, multilateral consideration of progress, both including a focus on transparency of support;
- (ii) On 5 November: transparency of action in relation to mitigation and transparency of action in relation to adaptation.

26. With respect to **agenda item 6**, the APA:

(a) Invited Parties to make, by 30 September 2017, focused submissions on the possible elements of textual outline for the identification of the sources of input to and development of the modalities for the global stocktake, taking into consideration, as appropriate, the views of Parties reflected in the informal note on this agenda item;²¹

(b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Sunday, 5 November 2017, to facilitate work under this agenda item, taking into consideration the submissions and the informal note referred to in paragraph 26(a) above.

27. With respect to **agenda item 7**, the APA:

(a) Invited Parties to make, by 15 September 2017, focused submissions as proposed in the annex to the informal note on this agenda item;²²

(b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Monday, 6 November 2017,²³ to facilitate work under this agenda item, taking into consideration the submissions and the informal note referred to in paragraph 27(a) above.

28. With respect to **agenda item 8**, the APA:

(a) Welcomed the progress made at this session, in exchanging views and information on the Adaptation Fund, which is reflected in the informal note on this agenda item;²⁴

(b) Requested the secretariat to compile and make available on the UNFCCC website, by 15 September 2017, a list of all previous decisions that have been taken on the Adaptation Fund that touch on governance and institutional arrangements, safeguards and operating modalities;

(c) Took note of the exchange of views by Parties with regard to the procedural steps for the draft decisions for consideration and adoption at CMA 1 on the following matters:²⁵

²¹ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/final_informal_noteapa1_3_18052017@1800.pdf.

²² Available at http://unfccc.int/files/meetings/bonn_may_2017/application/pdf/apa_item7_informalnote_provisional_17may2017@1100_final.pdf.

²³ See footnote 20 above.

²⁴ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_8_a_informal_note.pdf

- (i) Progress and procedural steps to enable the forum on the implementation of response measures to serve the Paris Agreement further to decision 1/CP.21, paragraphs 33 and 34;
- (ii) Procedural steps for the modalities for the recognition of adaptation efforts of developing country Parties under Article 7, paragraph 3, of the Paris Agreement and decision 1/CP.21, paragraph 41.

29. The APA invited Parties to raise the matter referred to in paragraph 28(c)(i) above during negotiations by the SBSTA and the SBI on the related issue. Parties expressed their understanding that the draft decision being prepared by these subsidiary bodies regarding paragraph 34 of decision 1/CP.21 is to include a provision to complete the procedural step of CMA 1 deciding that the forum on the implementation of response measures shall serve the Paris Agreement, consistent with Article 19 of the Paris Agreement. In light of this, the APA agreed that for this matter no further consideration would be needed by the APA under agenda item 8.

30. On the matter referred to in paragraph 28(c)(ii) above, the APA recommended that COP 23 (November 2017) address the need for procedural clarity with regard to the draft decision for consideration and adoption at CMA 1. The APA agreed that for this matter, should COP 23 provide such procedural clarity, no further consideration would be needed by the APA under agenda item 8.

31. The APA agreed to continue its consideration of the remaining possible additional matters,²⁶ as contained in the informal note issued by the Co-Chairs,²⁷ at APA 1.4.

32. The APA invited Parties to submit, by 15 October 2017, their views on how to progress work in the period after APA 1.4 in a coherent, balanced and coordinated manner, bearing in mind the related matters being considered by the SBI and the SBSTA.

33. For all items on the APA agenda where there is a call for the submission of views from Parties taking into consideration guiding questions or suggested focus areas, the APA emphasized that the questions and focus areas in no way restrict Parties from making submissions on any aspect of the issues on the APA agenda.

34. The APA reiterated its earlier invitation²⁸ to Parties and admitted observers to provide information, views and proposals on any work of the APA before each of its sessions.

35. The APA noted the intention of the APA Co-Chairs to release a reflections note to provide an overview of the outcomes of this session and to suggest options for the way

²⁵ See the informal note of the co-facilitators on this item, available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

²⁶ The matters are: (1) modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; (2) initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; (3) initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; (4) guidance by the CMA on adjustment of existing nationally determined contributions under Article 4, paragraph 11, of the Paris Agreement; and (5) setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

²⁷ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

²⁸ FCCC/APA/2016/2, paragraph 23.

forward based on the views and ideas that Parties put forward at, and expressed through their submissions for, this session. The note will aim to advance the work of the APA, without prejudice to any options or proposals that Parties may put forward in the future.

36. The APA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs 24(d), 25(b), and 26(b) above.

37. The APA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

IV. Other matters

(Agenda item 9)

38. No other matters were raised or considered.

V. Closure of and report on the session

(Agenda item 10)

1. Administrative and budgetary implications

39. At the 9th meeting, the APA Co-Chair informed Parties, in accordance with the provisions of rule 15 of the draft rules of procedure being applied, that there are administrative and budgetary implications to the conclusions adopted during the session. In this regard, the secretariat informed the APA that additional resources in the amount of EUR 385,000 will be required to cover the cost of the activities mandated for remainder of the year over and above the approved core budget for the biennium 2016–2017.

2. Closure of and report on the session

40. At its 9th meeting, the APA considered and adopted the draft report on the third part of its first session and authorized the Rapporteur to complete the report thereon with the assistance of the secretariat and under the guidance of the Co-Chairs.

41. Statements were made by 19 countries, including 14, respectively, on behalf of the African Group; the Alliance of Small Island States; the Arab Group; Argentina, Brazil and Uruguay; ALBA; the BASIC countries; the Coalition for Rainforest Nations; the EIG; the European Union and its member States; the Group of 77 and China; AILAC; the LDCs; the LMDCs; and the Umbrella Group.

42. At the same meeting, the APA decided to suspend the session and resume it in Bonn in November 2017 in conjunction with SBSTA 47 and SBI 47.
