



Subsidiary Body for Implementation

Forty-second session

Bonn, 1–11 June 2015

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

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¹ At the forty-first session of the Subsidiary Body for Implementation (SBI) there was no consensus to include this sub-item in the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include it in the provisional agenda for SBI 42.

II. Annotations to the provisional agenda

1. Opening of the session

1. The forty-second session of the Subsidiary Body for Implementation (SBI) will be opened by the Chair, Mr. Amena Yauvoli (Fiji), on Monday, 1 June 2015, at 10 a.m.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

(b) Organization of the work of the session

3. SBI 42 will be held from 1 to 11 June 2015. Detailed information on the work of the session will be posted on the SBI 42 web page.² Delegates are invited to refer to the overview schedule³ and the in-session Daily Programme and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI, including of in-session mandated events. As recommended at SBI 40, Saturday meetings will be concluded by midday in order to enhance the efficiency, timeliness and transparency of work.⁴

4. Items not concluded at SBI 42 will be forwarded for consideration at SBI 43 or 44. In view of the expected heavy workload at the United Nations Climate Change Conference to be held in Paris, France, in December 2015, the SBI may wish to consider forwarding to SBI 43 only those priority items on which a decision is expected to be adopted at the twenty-first session of the Conference of the Parties (COP) or the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP). In order to maximize negotiation time, complete work that is essential for success at SBI 43 and ensure timely closure, presiding officers may propose, in consultation with Parties, time-saving approaches to the organization and scheduling of meetings during the session taking into account previous relevant SBI conclusions.⁵

5. The following workshops and events are mandated to take place in conjunction with the session:

(a) The second multilateral assessment (MA) working group session under the first round of the international assessment and review (IAR) process;⁶

(b) The 4th meeting of the Durban Forum on capacity-building;⁷

(c) The 3rd Dialogue on Article 6 of the Convention;⁸

(d) A workshop on gender-responsive climate change policy, with a focus on mitigation action and technology development and transfer;⁹

² <www.unfccc.int/8854>.

³ Available soon at <www.unfccc.int/6240.php>.

⁴ FCCC/SBI/2014/8, paragraph 213.

⁵ FCCC/SBI/2014/8, paragraphs 218–221.

⁶ See paragraphs 6 and 7 below.

⁷ See paragraph 54 below.

⁸ See paragraph 65 below.

(e) A joint¹⁰ special event on the 2013–2015 review, where the report on the structured expert dialogue (SED) will be presented;¹¹

(f) A joint¹² technical workshop on methodologies for the reporting of financial information by Parties included in Annex I to the Convention (Annex I Parties).¹³

(c) Multilateral assessment working group session under the international assessment and review process

6. The MA process is part of the IAR process established under the SBI for developed country Parties. During the MA working group sessions, developed country Parties' progress towards the achievement of emission reductions and removals related to their quantified economy-wide emission reduction targets is multilaterally assessed. The modalities and procedures for the IAR process were adopted at COP 17.¹⁴

7. The first round of IAR, conducted in the period 2014–2015, is based on the first biennial reports (BR1s) and the sixth national communications (NC6s) of Annex I Parties. The first MA working group session was held during SBI 41.¹⁵ The second MA working group session will be convened during SBI 42, between 3 and 5 June 2015, as a two-day session. Twenty-four developed countries will be multilaterally assessed during this session.¹⁶ Further information on the organization and exact dates of the working group session will be posted on the SBI 42 web page.¹⁷

FCCC/SBI/2015/1

Provisional agenda and annotations. Note by the Executive Secretary

Further information

<www.unfccc.int/8854> and <www.unfccc.int/8451>

3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of sixth national communications and first biennial reports from Parties included in Annex I to the Convention

8. *Background:* COP 16 decided that Annex I Parties should enhance the reporting in their national communications (NCs) and submit biennial reports, which outline their progress in achieving emission reductions and their provision of financial, technological and capacity-building support to Parties not included in Annex I to the Convention (non-Annex I Parties), building on existing reporting and review guidelines, processes and experiences.¹⁸

9. Developed country Parties were requested to submit, by 1 January 2014, their NC6s and BR1s.¹⁹ As at 13 March 2015, the secretariat had received 44 NC6s, 43 BR1s and 43

⁹ See paragraph 81 below.

¹⁰ With the Subsidiary Body for Scientific and Technological Advice (SBSTA).

¹¹ See decision 1/CP.18, paragraphs 85 and 86. See also paragraph 77 below.

¹² With the SBSTA and the Standing Committee on Finance.

¹³ As requested by decision 11/CP.20, paragraph 4.

¹⁴ Decision 2/CP.17, annex II.

¹⁵ See document FCCC/SBI/2014/21, paragraphs 7 and 8.

¹⁶ See <www.unfccc.int/8451>.

¹⁷ <www.unfccc.int/8854>.

¹⁸ Decision 1/CP.16, paragraph 40.

¹⁹ Decision 9/CP.16, paragraph 5, and decision 2/CP.17, paragraph 13.

BR1 common tabular format submissions and had coordinated reviews of the NC6s and BR1s of 41 Parties.

10. *Action:* The SBI will be invited to take note of the status of submission and review of the NC6s and BR1s.

<i>FCCC/SBI/2015/INF.3</i>	<i>Status of submission and review of sixth national communications and first biennial reports. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7742> and <www.unfccc.int/7550></i>

(b) Compilation and synthesis of sixth national communications and first biennial reports from Parties included in Annex I to the Convention

11. *Background:* SBI 41 initiated but did not conclude the consideration of the compilation and synthesis report²⁰ on the NC6s and BR1s.²¹ In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this matter has been included in the provisional agenda for SBI 42.

12. *Action:* The SBI will be invited to conclude its consideration of the compilation and synthesis report on the NC6s and BR1s.

<i>Further information</i>	<i><www.unfccc.int/3076> and <www.unfccc.int/2736></i>
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(c) Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”

13. *Background:* SBI 41 continued but did not conclude the consideration initiated at SBI 40 of the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”.²² In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this matter has been included in the provisional agenda for SBI 42.

14. *Action:* The SBI will be invited to conclude its consideration of this matter and to recommend revised guidelines for consideration and adoption at COP 21.

<i>Further information</i>	<i><www.unfccc.int/1095> and <www.unfccc.int/2707></i>
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(d) Outcome of the first round of the international assessment and review process (2014–2015)

15. *Background:* The IAR process was established to promote the comparability of efforts of all developed countries with regard to their quantified economy-wide emission limitation and reduction targets.

16. The outputs of IAR for each Party include the following: a record prepared by the secretariat which includes in-depth review reports, the summary report of the SBI, questions submitted by Parties and responses provided, and any other observations submitted by the Party under review within two months of the working group session of the

²⁰ FCCC/SBI/2014/INF.20 and Add.1 and 2.

²¹ FCCC/SBI/2014/21, paragraph 12.

²² FCCC/SBI/2014/21, paragraph 15.

SBI.²³ The SBI will forward conclusions based on the records referred to above, as appropriate.²⁴

17. During the first MA working group session, 17 Annex I Parties were multilaterally assessed. The records for those Parties are available on the UNFCCC website;²⁵ their SBI summary reports are also included in the report on SBI 41.²⁶ At SBI 42, 24 Parties will be multilaterally assessed during the first week of the session, as referred to in paragraph 7 above.

18. *Action:* The SBI will be invited to initiate its consideration of the outcome of the first round of IAR with a view to completing it after the end of that first round.

<i>Further information</i>	< www.unfccc.int/8451 >
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4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

19. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all of their NCs.²⁷

20. *Action:* The SBI will be invited to provide guidance on how to further develop the process for considering the information contained in the NCs of non-Annex I Parties taking into consideration the parts of decision 2/CP.17 on biennial update reports (BURs) and international consultation and analysis.

(b) Provision of financial and technical support

21. *Background:* The Global Environment Facility (GEF), as an operating entity of the Financial Mechanism, provides financial support for the preparation of NCs and BURs by non-Annex I Parties.

22. The GEF will provide information on its activities relating to the preparation of BURs, including information on the dates of requests for funding, approvals of funding and disbursement of funds, as well as an approximate date of submission of the BURs to the secretariat.²⁸

23. *Action:* The SBI will be invited to consider the information contained in the document prepared for the session and to make recommendations, as appropriate, to the GEF.

<i>FCCC/SBI/2015/INF.7</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of biennial update reports. Note by the secretariat</i>
<i>Further information</i>	< www.unfccc.int/6921 >

²³ Decision 2/CP.17, annex II, paragraph 11.

²⁴ Decision 2/CP.17, annex II, paragraph 12.

²⁵ <www.unfccc.int/8451>.

²⁶ FCCC/SBI/2014/21, annex I.

²⁷ FCCC/SBI/2006/11, paragraph 32.

²⁸ In accordance with document FCCC/SBI/2014/21, paragraph 31.

5. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

24. *Background:* CMP 1 adopted the modalities and procedures for the clean development mechanism (CDM).²⁹ SBI 39 initiated and SBI 40³⁰ and 41³¹ continued the consideration of possible changes to the CDM modalities and procedures.³² SBI 41 agreed that the consideration of this matter would continue at SBI 42.³³

25. *Action:* The SBI will be invited to conclude its work on this matter and to prepare a draft decision thereon for consideration and adoption at CMP 11.

Further information

<www.unfccc.int/1673>

(b) Review of the joint implementation guidelines

26. *Background:* CMP 1 adopted the guidelines for the implementation of Article 6 of the Kyoto Protocol (joint implementation (JI)).³⁴ SBI 39 initiated the review of those guidelines.³⁵ Consideration of the matter continued at SBI 40 and SBI 41.³⁶

27. SBI 41 agreed that the consideration of this matter would continue at SBI 42 on the basis of the draft decision text and its appendix proposed by the co-facilitators of the informal consultations on this agenda sub-item and contained in the annex to document FCCC/SBI/2014/L.34.³⁷

28. As requested at CMP 10,³⁸ the secretariat will prepare a technical paper on the opportunities for cost savings and efficiencies for JI, learning from experience with the CDM while recognizing the respective mandates of the two mechanisms.

29. As invited at CMP 10,³⁹ Parties may submit examples of voluntary technical approaches, designed by host Parties for their JI projects, that could assist the host Parties in achieving their quantified emission limitation or reduction commitments under the Kyoto Protocol.⁴⁰ The secretariat will prepare a synthesis report on those submissions.

30. As also requested at CMP 10, the Joint Implementation Supervisory Committee will submit, for consideration at SBI 42, elaborated recommendations on the review of the JI guidelines.⁴¹

31. *Action:* The SBI will be invited to consider the documents prepared for the session with a view to concluding its review of the JI guidelines and preparing a draft decision thereon for consideration and adoption at CMP 11.

²⁹ Decisions 3/CMP.1, annex, 4/CMP.1, annex II, 5/CMP.1, annex, and 6/CMP.1, annex.

³⁰ FCCC/SBI/2014/8, paragraph 46.

³¹ FCCC/SBI/2014/21, paragraph 50.

³² In accordance with decision 5/CMP.8.

³³ FCCC/SBI/2014/21, paragraph 52.

³⁴ Decision 9/CMP.1.

³⁵ In accordance with decision 6/CMP.8.

³⁶ FCCC/SBI/2014/8, paragraph 50, and FCCC/SBI/2014/21, paragraph 54, respectively.

³⁷ FCCC/SBI/2014/21, paragraph 55.

³⁸ Decision 5/CMP.10, paragraph 5.

³⁹ Decision 5/CMP.10, paragraphs 6 and 7.

⁴⁰ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁴¹ Decision 5/CMP.10, paragraph 8.

<i>FCCC/SBI/2015/5</i>	<i>Recommendations of the Joint Implementation Supervisory Committee on the review of the joint implementation guidelines</i>
<i>FCCC/SBI/2015/INF.1</i>	<i>Synthesis report on examples of voluntary technical approaches, designed by host Parties for their joint implementation projects, that could assist the host Parties in achieving their quantified emission limitation or reduction commitments under the Kyoto Protocol. Note by the secretariat</i>
<i>FCCC/TP/2015/1</i>	<i>Opportunities for cost savings and efficiencies in joint implementation, learning from experience with the clean development mechanism while recognizing the respective mandates of the two mechanisms. Technical paper</i>
<i>Further information</i>	<i><www.unfccc.int/1673></i>

(c) Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units

32. *Background:* Under the current guidance,⁴² a Party included in Annex I⁴³ with commitments inscribed in Annex B to the Kyoto Protocol may issue, transfer and acquire emission reduction units (ERUs) under JI only after its assigned amount has been calculated and recorded and its assigned amount units and removal units have been issued. SBI 39 initiated the consideration of the modalities for expediting the continued issuance, transfer and acquisition of ERUs under JI for the second commitment period.⁴⁴ Consideration of this matter continued at SBI 40 and 41.⁴⁵ SBI 41 agreed that the discussion of this matter would continue at SBI 42.⁴⁶

33. *Action:* The SBI will be invited to conclude its consideration of this matter and to prepare a draft decision thereon for consideration and adoption at CMP 11.

<i>Further information</i>	<i><www.unfccc.int/1673></i>
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(d) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

34. *Background:* CMP 6 requested⁴⁷ the SBI to make recommendations for consideration and adoption at CMP 7 on procedures, mechanisms and institutional arrangements under the CMP to allow for appeals against decisions of the CDM Executive Board, taking into account the recommendations of the Executive Board contained in

⁴² Decision 9/CMP.1, annex.

⁴³ As defined in Article 1, paragraph 7, of the Kyoto Protocol.

⁴⁴ In accordance with decision 1/CMP.8, paragraph 16.

⁴⁵ FCCC/SBI/2014/8, paragraph 60, and FCCC/SBI/2014/21, paragraph 57, respectively.

⁴⁶ FCCC/SBI/2014/21, paragraph 59.

⁴⁷ Decision 3/CMP.6, paragraph 18.

its annual report.⁴⁸ The SBI has been considering this matter since SBI 34 without reaching agreement.

35. SBI 41 agreed that the consideration of this matter would continue at SBI 42 on the basis of, inter alia, the co-facilitators' draft text contained in document FCCC/SBI/2012/33/Add.1.⁴⁹

36. *Action:* The SBI will be invited to conclude its consideration of this matter and to prepare a draft decision thereon for consideration and adoption at CMP 12.

<i>Further information</i>	 <http://cdm.unfccc.int>
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(e) Matters relating to the international transaction log under the Kyoto Protocol

37. *Background:* SBI 37 took note of the 2012 annual report of the administrator of the international transaction log (ITL) under the Kyoto Protocol⁵⁰ and agreed that the consideration of the recommendations contained in paragraph 58(b) and (c) of that report would continue at SBI 38.⁵¹

38. SBI 40 took note of the options for, and a road map to, information security implementation in registry systems presented by the ITL administrator and the Security Working Group under the Registry System Administrators Forum⁵² as requested at SBI 39.⁵³

39. The ITL administrator and the Security Working Group will prepare a document containing a final implementation option for information security management, including the related resource requirements for registry systems and budget requirements for the ITL, for consideration at SBI 42.⁵⁴

40. *Action:* The SBI will be invited to consider the final implementation option referred to in paragraph 39 above with a view to determining further action concerning information security implementation in registry systems.

<i>FCCC/SBI/2015/INF.2</i>	<i>Information security implementation in registry systems. Note by the administrator of the international transaction log</i>
<i>Further information</i>	 <www.unfccc.int/4065>

6. Matters relating to the least developed countries

41. *Background:* SBI 41 acknowledged the progress made by the Least Developed Countries Expert Group (LEG) under its work programme for 2014–2015 and requested the LEG to keep it informed of the efforts of the LEG in implementing its work programme.⁵⁵ The 27th meeting of the LEG took place in Bangkok, Thailand, from 12 to 14 March 2015.

⁴⁸ FCCC/KP/CMP/2010/10, annex II.

⁴⁹ FCCC/SBI/2014/21, paragraph 62.

⁵⁰ FCCC/KP/CMP/2012/8.

⁵¹ FCCC/SBI/2012/33, paragraphs 153 and 154.

⁵² FCCC/SBI/2014/8, paragraph 71.

⁵³ FCCC/SBI/2013/20, paragraph 83.

⁵⁴ In accordance with document FCCC/SBI/2014/8, paragraph 72.

⁵⁵ FCCC/SBI/2014/21, paragraphs 67 and 73.

42. COP 16 decided to review, at COP 21, the progress, need for continuation and terms of reference of the LEG.⁵⁶ The following actions and steps necessary for SBI 42 to initiate that review were agreed upon:⁵⁷

(a) The LEG held a meeting from 9 to 11 March 2015 in Bangkok, where representatives of Parties, the GEF and its agencies, and other relevant organizations, with the assistance of the secretariat, took stock of the work of the LEG. The secretariat will prepare a report on the meeting;

(b) Parties were invited to submit to the secretariat their views on the work of the LEG,⁵⁸ which the secretariat will compile into a miscellaneous document;

(c) The secretariat will prepare a synthesis report on the progress, need for continuation and terms of reference of the LEG on the basis of the submissions from Parties, the reports of the LEG, the report on the stocktaking meeting and other relevant information.

43. *Action:* The SBI will be invited to review the progress of the work of the LEG and to initiate the review of the need for continuation and of the terms of reference of the LEG with a view to forwarding a draft decision on this matter for consideration and adoption at COP 21.

<i>FCCC/SBI/2015/6</i>	<i>Synthesis report on the progress, need for continuation and terms of reference of the Least Developed Countries Expert Group. Note by the secretariat</i>
<i>FCCC/SBI/2015/7</i>	<i>Report on the 27th meeting of the Least Developed Countries Expert Group. Note by the secretariat</i>
<i>FCCC/SBI/2015/8</i>	<i>Report on the stocktaking meeting of the Least Developed Countries Expert Group. Note by the secretariat</i>
<i>FCCC/SBI/2015/MISC.2</i>	<i>Views on the work of the Least Developed Countries Expert Group. Submissions from Parties</i>
<i>Further information</i>	<i><www.unfccc.int/7504> and <www.unfccc.int/7568>.</i>

7. National adaptation plans

44. *Background:* As invited at SBI 40, the Adaptation Committee will hold a workshop in collaboration with the LEG on 16 and 17 April 2015 in Bonn, Germany, drawing on experts and practitioners at different levels to share experiences, good practices, lessons learned, gaps and needs in relation to the process to formulate and implement national adaptation plans (NAPs).⁵⁹

45. As it monitors and evaluates progress made in the NAP process,⁶⁰ SBI 42 will consider the report on the workshop referred to in paragraph 44 above, the submissions

⁵⁶ Decision 6/CP.16, paragraph 8.

⁵⁷ Decision 6/CP.16, paragraph 9.

⁵⁸ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁵⁹ FCCC/SBI/2014/8, paragraph 106.

⁶⁰ In accordance with decision 5/CP.17, paragraph 37.

referred to in paragraphs 93, 103 and 104 of document FCCC/SBI/2014/8,⁶¹ and all other relevant documents, with a view to making recommendations to COP 21, as appropriate.

46. SBI 42 will also consider how to enhance reporting related to the process to formulate and implement NAPs.⁶² The Adaptation Committee and the LEG, in collaboration with the Green Climate Fund (GCF), as an operating entity of the Financial Mechanism, will consider how best to support developing country Parties in accessing funding from the GCF for the process to formulate and implement NAPs, and will report thereon to SBI 42.

47. *Action:* The SBI will be invited to consider these matters and to recommend a draft decision thereon for consideration and adoption at COP 21, as appropriate.

<i>FCCC/SBI/2015/INF.6</i>	<i>Report on the workshop on experiences, good practices, lessons learned, gaps and needs in relation to the process to formulate and implement national adaptation plans. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7500> and <www.unfccc.int/7279></i>

8. Poznan strategic programme on technology transfer

48. *Background:* As invited at SBI 34, the GEF has been providing reports on the progress made in carrying out its activities under the Poznan strategic programme on technology transfer, for consideration at SBI sessions, for the duration of the programme.⁶³

49. SBI 41 noted the areas of collaboration⁶⁴ between the regional technology transfer and finance centres supported by the GEF under the Poznan strategic programme and the Climate Technology Centre and Network and invited the GEF to report on that collaboration in its future progress reports.⁶⁵

50. SBI 41 also noted that, in 2015, the Technology Executive Committee (TEC) will undertake an evaluation of the Poznan strategic programme with the aim of enhancing the effectiveness of the Technology Mechanism, guided by the terms of reference to be developed by its task force on this matter.⁶⁶ As invited at SBI 41, the TEC will provide an interim report on its preliminary findings to SBI 42 and a final report to the COP through SBI 43.⁶⁷

51. *Action:* The SBI will be invited to consider the documents prepared for the session with a view to determining further action.

<i>FCCC/SBI/2015/INF.4</i>	<i>Report of the Global Environment Facility on the progress made in carrying out the Poznan strategic programme on</i>
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⁶¹ See documents FCCC/SBI/2013/9, FCCC/SBI/2014/MISC.1 and FCCC/SBI/2013/MISC.2 and Add.1. Further relevant submissions are available at <<http://unfccc.int/5900>> under SBI 40 and the heading “National adaptation plans”, <<http://unfccc.int/8016>> under the heading “Guidelines for the formulation of national adaptation plans” and <<http://unfccc.int/7481>> under the heading “National adaptation plans”.

⁶² In accordance with decision 3/CP.20, paragraph 10.

⁶³ FCCC/SBI/2011/7, paragraph 137.

⁶⁴ FCCC/CP/2014/2/Add.1, annex, paragraph 14.

⁶⁵ FCCC/SBI/2014/21, paragraph 87.

⁶⁶ FCCC/SBI/2014/8, paragraph 142, and FCCC/SBI/2014/21, paragraph 88.

⁶⁷ FCCC/SBI/2014/21, paragraph 88.

	<i>technology transfer. Note by the secretariat</i>
<i>FCCC/SBI/2015/INF.5</i>	<i>Evaluation of the Poznan strategic programme on technology transfer: interim report by the Technology Executive Committee</i>
<i>Further information</i>	<i><http://www.thegef.org/gef/TT_poznan_strategic_program></i>

9. Capacity-building

(a) Capacity-building under the Convention

52. *Background:* COP 17 decided to initiate the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 at SBI 42, taking into account the provisions of decisions 1/CP.16 and 2/CP.17 on capacity-building, with a view to completing the review at COP 22.⁶⁸ Parties may submit⁶⁹ their views on the terms of reference for the third comprehensive review.⁷⁰

53. As invited at COP 12, Parties may annually submit⁷¹ information on the activities that they have undertaken pursuant to decisions 2/CP.7 and 2/CP.10.⁷² As requested by the COP, the secretariat has been producing annual reports on activities to implement the capacity-building framework.⁷³ SBI 41 agreed that the consideration of this matter would continue at SBI 42.⁷⁴

54. The 4th meeting of the Durban Forum on capacity-building will be held during SBI 42. Parties may submit⁷⁵ their views on specific thematic issues relating to capacity-building under the Convention in developing countries, for consideration at that meeting, as well as their views on the organization of the meeting.⁷⁶

55. *Action:* The SBI will be invited to initiate the third comprehensive review of the implementation of the capacity-building framework and to develop terms of reference for the review.

56. The SBI will also be invited to continue its consideration of capacity-building for developing countries under the Convention with a view to recommending a draft decision on this matter for consideration and adoption at COP 21.

57. Parties, observer organizations and the media are invited to participate in, and actively contribute to, the 4th meeting of the Durban Forum on capacity-building.

<i>FCCC/SBI/2015/4</i>	<i>Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat</i>
<i>FCCC/SBI/2015/4/Add.1</i>	<i>Synthesis report on the implementation of the framework for</i>

⁶⁸ Decision 13/CP.17, paragraph 7.

⁶⁹ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁷⁰ FCCC/SBI/2014/21, paragraph 92.

⁷¹ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁷² Decision 4/CP.12, paragraph 1(a).

⁷³ Decisions 2/CP.7, paragraph 9(c), and 4/CP.12, paragraph 1(c).

⁷⁴ FCCC/SBI/2014/21, paragraph 93.

⁷⁵ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁷⁶ FCCC/SBI/2014/21, paragraph 91.

	<i>capacity-building in developing countries. Note by the secretariat. Addendum. Capacity-building activities of United Nations organizations and other institutions</i>
<i>FCCC/SBI/2015/9</i>	<i>Compilation and synthesis report on capacity-building work of bodies established under the Convention and its Kyoto Protocol. Note by the secretariat</i>
<i>FCCC/SBI/2015/MISC.1</i>	<i>Information on activities undertaken to implement the framework for capacity-building in developing countries, and views on specific issues, to be considered at the 4th meeting of the Durban Forum, on the organization of that meeting and on the terms of reference for the third comprehensive review of the implementation of the capacity-building framework. Submissions from Parties</i>
<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/7060></i>

(b) Capacity-building under the Kyoto Protocol

58. *Background:* CMP 7 decided to initiate the third comprehensive review of the implementation of the capacity-building framework at SBI 42, taking into account the provisions of decisions 1/CP.16 and 2/CP.17 on capacity-building, with a view to completing the review at CMP 12.⁷⁷ Parties may submit⁷⁸ their views on the terms of reference for the third comprehensive review.⁷⁹

59. As invited at CMP 2, Parties may annually submit⁸⁰ information on the activities that they have undertaken pursuant to decision 29/CMP.1.⁸¹ As requested at CMP 2,⁸² the secretariat has been producing annual synthesis reports on progress made in the implementation of activities pursuant to decision 29/CMP.1 on the basis of submitted information and information on the capacity-building activities of the CDM Executive Board relating to the regional distribution of CDM project activities and related capacity-building. SBI 41 agreed that the consideration of this matter would continue at SBI 42.⁸³

60. *Action:* The SBI will be invited to initiate the third comprehensive review of the implementation of the capacity-building framework and to develop terms of reference for the review.⁸⁴

61. The SBI will also be invited to continue its consideration of capacity-building for developing countries under the Kyoto Protocol with a view to recommending a draft decision on this matter for consideration and adoption at CMP 11.

62. Parties, observer organizations and the media are invited to participate in, and actively contribute to, the 4th meeting of the Durban Forum on capacity-building.

⁷⁷ Decision 15/CMP.7, paragraph 8.

⁷⁸ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁷⁹ FCCC/SBI/2014/21, paragraph 97.

⁸⁰ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

⁸¹ Decision 6/CMP.2, paragraph 1(a).

⁸² Decision 6/CMP.2, paragraph 1(c).

⁸³ FCCC/SBI/2014/21, paragraph 98.

⁸⁴ The SBI will consider the documents listed under agenda sub-item 9(a) above.

10. Article 6 of the Convention

63. *Background:* COP 18 adopted the Doha work programme on Article 6 of the Convention and decided that the eight-year work programme would be reviewed in 2020, with an intermediate review of progress in 2016, to evaluate its effectiveness, identify any emerging gaps and needs, and inform any decisions on improving the work programme's effectiveness, as appropriate.⁸⁵

64. SBI 40 agreed to develop terms of reference for the intermediate review of the implementation of the Doha work programme, for consideration at SBI 42, with a view to launching the review at SBI 44,⁸⁶ and decided to take into account the proposals contained in the annex to document FCCC/SBI/2014/L.20 as input to the 2016 intermediate review.⁸⁷ Parties, admitted observer organizations and other stakeholders may submit⁸⁸ further relevant ideas to the secretariat.⁸⁹

65. The 3rd Dialogue on Article 6 of the Convention will be convened during SBI 42 with a focus on education and training and international cooperation thereon. Parties, admitted observer organizations and other stakeholders may submit⁹⁰ their views on the agenda for the 3rd Dialogue.⁹¹

66. *Action:* The SBI will be invited to develop terms of reference for the intermediate review of the implementation of the Doha work programme. Parties, representatives of relevant bodies established under the Convention, relevant experts, practitioners and stakeholders,⁹² as well as the media, are invited to participate in, and actively contribute to, the 3rd Dialogue on Article 6 of the Convention.

<i>Further information</i>	 <www.unfccc.int/2529>
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11. Impact of the implementation of response measures

(a) Forum and work programme

67. *Background:* COP 17 launched a work programme on the impact of the implementation of response measures under the subsidiary bodies.⁹³ It also established a forum to implement the work programme and to provide a platform allowing Parties to share information, experiences, case studies, best practices and views.⁹⁴

68. The review of the work of the forum and work programme on the impact of the implementation of response measures was concluded at SBI 40 and the fortieth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA).⁹⁵

69. At SBI 41 and SBSTA 41, Parties discussed how to take the work on this matter forward but were not able to reach consensus, and therefore agreed to recommend that COP

⁸⁵ Decision 15/CP.18, paragraphs 1 and 2.

⁸⁶ FCCC/SBI/2014/8, paragraph 172.

⁸⁷ FCCC/SBI/2014/8, paragraph 173.

⁸⁸ Once uploaded by Parties, the submissions are available on the portal at [<www.unfccc.int/5900>](http://www.unfccc.int/5900).

⁸⁹ FCCC/SBI/2014/8, paragraph 173.

⁹⁰ Once uploaded by Parties, the submissions are available on the portal at [<www.unfccc.int/5900>](http://www.unfccc.int/5900).

⁹¹ FCCC/SBI/2014/8, paragraph 170.

⁹² In accordance with decision 15/CP.18, paragraph 9.

⁹³ Decision 8/CP.17, paragraph 1.

⁹⁴ Decision 8/CP.17, paragraph 3.

⁹⁵ FCCC/SBI/2014/8, paragraph 178, and FCCC/SBSTA/2014/2, paragraph 99.

20 consider it.⁹⁶ The COP, by decision 20/CP.20, forwarded the text of a draft decision on this matter for consideration at SBI 42 and SBSTA 42 with a view to the subsidiary bodies recommending a draft decision on this matter for consideration and adoption at COP 21.

70. *Action:* SBI 42 and SBSTA 42 will be invited to consider the text of the draft decision contained in the annex to decision 20/CP.20 with a view to recommending a draft decision on this matter for consideration and adoption at COP 21.

<i>Further information</i>	 <www.unfccc.int/4908>
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(b) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

71. *Background:* SBI 41 agreed to consider this matter jointly with the SBI and SBSTA agenda sub-item “Forum and work programme” in a joint SBI/SBSTA forum. It also agreed that consultations on how to take up this matter would continue at SBI 42.⁹⁷

72. *Action:* The SBI will be invited to agree on how to take up this matter.

(c) Progress on the implementation of decision 1/CP.10

73. *Background:* SBI 41 agreed to consider this matter jointly with the SBI and SBSTA agenda sub-item “Forum and work programme” in a joint SBI/SBSTA forum. SBI 41 also agreed that consultations on how to take up this matter would continue at SBI 42.⁹⁸

74. *Action:* The SBI will be invited to agree on how to take up this matter.

12. The 2013–2015 review

75. *Background:* COP 18 decided to periodically review the adequacy of the long-term global goal and overall progress made towards achieving it,⁹⁹ with the assistance of the SBI and the SBSTA¹⁰⁰ and supported by the SED.¹⁰¹

76. The 1st meeting of the fourth session of the SED was held on 2 and 3 December 2014 in conjunction with COP 20.¹⁰² The 2nd meeting of the fourth session of the SED, which was the final meeting of the SED, was held on 8 and 9 February 2015 in Geneva, Switzerland, in conjunction with the eighth part of the second session of the Ad Hoc Working Group on the Durban Platform for Enhanced Action.

77. As requested at SBI 41 and SBSTA 41, the co-facilitators of the SED will prepare a final factual report that includes a compilation and a technical summary of the reports on the meetings of the SED and will make it available no later than 3 April 2015.¹⁰³

78. As invited at SBI 41 and SBSTA 41, Parties may submit¹⁰⁴ any other information or gaps in information relevant to the 2013–2015 review, in accordance with decision 2/CP.17, paragraph 161, decision 1/CP.18, paragraph 84, and paragraph 132 of the report on SBSTA 39, as well as their views on the adequacy of the long-term global goal in the

⁹⁶ FCCC/SBI/2014/21, paragraph 103, and FCCC/SBSTA/2014/5, paragraph 60.

⁹⁷ FCCC/SBI/2014/21, paragraph 104.

⁹⁸ FCCC/SBI/2014/21, paragraph 105.

⁹⁹ Decision 1/CP.18, paragraph 79.

¹⁰⁰ Decision 2/CP.17, paragraph 162.

¹⁰¹ Decision 1/CP.18, paragraphs 85 and 86.

¹⁰² See [<www.unfccc.int/7521>](http://www.unfccc.int/7521).

¹⁰³ FCCC/SBI/2014/21, paragraph 116, and FCCC/SBSTA/2014/5, paragraph 53.

¹⁰⁴ Once uploaded by Parties, the submissions are available on the portal at [<www.unfccc.int/5900>](http://www.unfccc.int/5900).

light of the ultimate objective of the Convention and of the overall progress made towards achieving the long-term global goal, including consideration of the implementation of the commitments under the Convention.¹⁰⁵

79. The respective bodies decided to consider at SBI 42 and SBSTA 42 the report referred to in paragraph 77 above and the submissions from Parties referred to in paragraph 78 above with a view to reporting thereon to COP 21, which shall take appropriate action on the basis of the 2013–2015 review.¹⁰⁶

80. *Action:* The SBI and the SBSTA will be invited to consider this matter and to take further steps, including preparing a draft decision for consideration and adoption at COP 21.

<i>FCCC/SB/2015/INF.1</i>	<i>Report on the structured expert dialogue on the 2013–2015 review. Note by the co-facilitators of the structured expert dialogue</i>
<i>Further information</i>	<i><www.unfccc.int/6998></i>

13. Gender and climate change

81. *Background:* As part of the Lima work programme on gender, the secretariat will organize an in-session workshop on gender-responsive climate policy with a focus on mitigation and technology development and transfer.¹⁰⁷ As invited at COP 20, Parties and admitted observer organizations may submit¹⁰⁸ their views on the matters to be addressed at that workshop.¹⁰⁹ SBI 41 requested the secretariat to inform Parties at SBI 42 of the existing gender-related policies within the secretariat.¹¹⁰

82. *Action:* The SBI will be invited to take note of the information provided. Interested Parties and admitted observer organizations, as well as the media, are invited to participate in, and actively contribute to, the in-session workshop.

<i>Further information</i>	<i><www.unfccc.int/7516></i>
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14. Arrangements for intergovernmental meetings

83. *Background:* COP 19 accepted with appreciation the offer of the Government of France to host COP 21 and CMP 11 in Paris, France, from 30 November to 11 December 2015.¹¹¹ A Host Country Agreement is expected to be concluded and signed by SBI 42. Information on the organization of COP 21 and CMP 11, as well as information for assistance in the planning of future sessional periods and the organization of the intergovernmental process, is contained in document FCCC/SBI/2015/2.

¹⁰⁵ FCCC/SBSTA/2014/5, paragraph 54, and FCCC/SBI/2014/21, paragraph 117.

¹⁰⁶ Decision 1/CP.16, paragraph 139(c), and decision 2/CP.17, paragraph 158.

¹⁰⁷ In accordance with decision 18/CP.20, paragraph 11.

¹⁰⁸ Once uploaded by Parties, the submissions are available on the portal at <www.unfccc.int/5900>.

¹⁰⁹ Decision 18/CP.20, paragraph 13.

¹¹⁰ FCCC/SBI/2014/21, paragraph 120.

¹¹¹ Decision 28/CP.19, paragraph 3.

84. As requested at SBI 41, further information on and analysis of the frequency and organization of sessions, including clarification of the budgetary implications, will be provided by the secretariat at the session.¹¹²

85. As also requested at SBI 41, further information on and analysis of adjustments to the timing of the election of the President and the rotation of the Presidencies will be provided by the secretariat at the session.¹¹³

86. *Action:* The SBI will be invited to consider the information contained in the document prepared for the session and to take any action it deems appropriate. In particular, the SBI will be invited to provide further guidance to the host government, Parties and the secretariat on the organization of COP 21 and CMP 11.

<i>FCCC/SBI/2015/2</i>	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
<i>Further information</i>	<i><www.unfccc.int/8166> and <www.unfccc.int/6558></i>

15. Administrative, financial and institutional matters

(a) Budget performance for the biennium 2014–2015

87. *Background:* A report on the status of indicative contributions from Parties as at 15 May 2015 to the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log and of voluntary contributions made to all of the trust funds of the UNFCCC will be prepared for consideration at the session, in keeping with the financial procedures that require the Executive Secretary to inform Parties of the status of their contributions at least twice a year.

88. *Action:* The SBI will be invited to take note of the information presented in the documents prepared for the session and any additional relevant oral information provided by the Executive Secretary, and to recommend draft decisions on administrative and financial matters for consideration and adoption at COP 21 and CMP 11.

<i>FCCC/SBI/2015/INF.8</i>	<i>Status of contributions as at 15 May 2015. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

(b) Programme budget for the biennium 2016–2017

89. *Background:* As requested at COP 20 and CMP 10, the Executive Secretary will propose a programme budget for the biennium 2016–2017 at the session.¹¹⁴

90. *Action:* The SBI will be invited to consider the programme budget proposed by the Executive Secretary for the biennium 2016–2017 and to recommend a draft decision thereon for consideration and adoption at COP 21 and for endorsement at CMP 11. The SBI will also be invited to consider the methodology for the collection of ITL fees with a view to recommending a draft decision on the matter for consideration and adoption at CMP 11.

<i>FCCC/SBI/2015/3</i>	<i>Proposed programme budget for the biennium 2016–2017. Note by the Executive Secretary</i>
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¹¹² FCCC/SBI/2014/21, paragraphs 124–128.

¹¹³ FCCC/SBI/2014/21, paragraph 130.

¹¹⁴ Decisions 22/CP.20, paragraph 10, and 8/CMP.10, paragraph 10.

<i>FCCC/SBI/2015/3/Add.1</i>	<i>Proposed programme budget for the biennium 2016–2017. Note by the Executive Secretary. Addendum. Work programme of the secretariat for the biennium 2016–2017</i>
<i>FCCC/SBI/2015/3/Add.2</i>	<i>Proposed programme budget for the biennium 2016–2017. Note by the Executive Secretary. Addendum. Activities to be funded from supplementary sources</i>
<i>FCCC/SBI/2015/3/Add.3</i>	<i>Proposed programme budget for the biennium 2016–2017. Note by the Executive Secretary. Addendum. Trust Fund for the International Transaction Log</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

(c) Continuing review of the functions and operations of the secretariat

91. *Background:* SBI 21 decided to review the functions and operations of the secretariat under this agenda sub-item annually.¹¹⁵

92. *Action:* The SBI will be invited to consider this matter and to make recommendations, as appropriate.

<i>Further information</i>	<i><www.unfccc.int/1065></i>
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(d) Implementation of the Headquarters Agreement

93. The Host Government and the Executive Secretary will report on further progress made in the implementation of the Headquarters Agreement.¹¹⁶ The SBI will be invited to consider this matter and to make recommendations, as appropriate.

<i>Further information</i>	<i><www.unfccc.int/1065></i>
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16. Other matters

94. Any other matters arising during the session will be taken up under this agenda item.

17. Closure of and report on the session

95. A draft report on the work of the session will be prepared for adoption by the SBI at the end of the session, after which the Chair will declare the session closed.

¹¹⁵ FCCC/SBI/2004/19, paragraph 105.

¹¹⁶ In accordance with document FCCC/SBI/2014/8, paragraph 241.