



缔约方会议

第二十一届会议

2015年11月30日至12月11日，巴黎

议程项目 15

《公约》下的能力建设

《公约》之下的能力建设

主席的提案

第-/CP.21号决定

《公约》之下的能力建设

缔约方会议，

忆及第 2/CP.7、4/CP.12、1/CP.16、2/CP.17 和 1/CP.18 号决定，

1. 通过了附件所载对第 2/CP.7 号决定确立的发展中国家能力建设框架(以下称“能力建设框架”)的执行情况进行第三次全面审查的职权范围；
2. 请附属履行机构在其第四十四届会议(2016年5月)上根据上文第 1 段所述的职权范围开展全面审查，以期作为建议提出一项关于该事项的决定草案，供缔约方会议第二十二届会议(2016年11月)审议和通过；
3. 还请秘书处根据上文第 1 段所述职权范围编写一份技术文件，作为对全面审查的投入；
4. 请缔约方和观察员组织在 2016 年 3 月 9 日之前提交关于全面审查的意见<sup>1</sup>，供秘书处汇编成一份杂项文件；

<sup>1</sup> 缔约方应通过提交信息的门户网站提交意见，网址：<http://www.unfccc.int/5900>。观察员组织应将提交的材料通过电子邮件发送至 [secretariat@unfccc.int](mailto:secretariat@unfccc.int)。



5. 鼓励缔约方继续通过适当渠道提供信息，包括每年提交的关于能力建设框架执行情况的材料、国家信息通报、两年期报告和两年期更新报告，说明在加强应对气候变化能力方面取得的进展；
6. 敦促《公约》之下设立的相关机构继续在其工作中酌情开展能力建设活动；
7. 强调德班论坛是一个可供缔约方、《公约》之下所设相关机构代表以及有关专家 and 实际工作者参加，就能力建设活动执行情况共享经验、交流设想、最佳做法和教训的平台；
8. 请《公约》之下所设机构的代表、《公约》资金机制经营实体、政府间组织和非政府组织，以及有关专家 and 实际工作者在其各自的工作方案和活动中纳入在德班论坛的会议上获得的教益以及取得的主要成果；
9. 决定将在附属履行机构第四十四届会议期间举办的德班论坛第五次会议应通过共享信息和各种经验，探讨加强能力建设的潜在途径；
10. 请联合国各机构和多边组织为能力建设门户网站向秘书处提供资料；
11. 还请缔约方在 2016 年 3 月 9 日之前，<sup>2</sup> 作为根据第 4/CP.12 号决定提交的年度材料的一部分，就德班论坛第五次会议可能审议的专题提交建议，并就加强能力建设门户网站所载信息的可能方法提交意见。

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<sup>2</sup> <http://www.unfccc.int/5900>。

## Annex

[English only]

### **Terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries**

#### **I. Mandate**

1. The Conference of the Parties (COP) decided to initiate the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 (hereinafter referred to as the capacity-building framework) at the forty-second session of the Subsidiary Body for Implementation (SBI) with a view to completing the review at COP 22.<sup>1</sup>
2. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) decided to initiate the third comprehensive review of the implementation of the capacity-building framework at SBI 42 with a view to completing the review at CMP 12.<sup>2</sup>

#### **II. Objectives**

3. The third comprehensive review of the implementation of the capacity-building framework has the following objectives:
  - (a) To explore ways to enhance the implementation of capacity-building activities by reviewing the current institutional arrangements related to capacity-building, including the thematic bodies under the Convention, with a view to making recommendations for the enhancement of these arrangements, as appropriate;
  - (b) To take stock of progress in and assess the effectiveness of the implementation of the capacity-building framework;
  - (c) To examine possible gaps between the provisions of decisions of the COP and the CMP and the implementation of capacity-building activities;
  - (d) To identify lessons learned and best practices with a view to developing options for the enhanced implementation of the capacity-building framework, taking into account additional needs and priorities for capacity-building;
  - (e) To effectively review gaps and challenges in addressing the implementation of priority areas as contained in the capacity-building framework and in meeting capacity-building needs to enhance action on mitigation, adaptation and technology transfer;
  - (f) To effectively review capacity gaps and challenges in accessing climate finance;
  - (g) To provide recommendations to the SBI on ways to effectively address the capacity-building gaps at the individual, institutional and systemic levels, including those

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<sup>1</sup> Decision 13/CP.17, paragraph 7.

<sup>2</sup> Decision 15/CMP.7, paragraph 8.

identified by the first<sup>3</sup> and second<sup>4</sup> comprehensive reviews of the implementation of the capacity-building framework;

(h) To explore potential ways to further enhance the implementation of capacity-building activities at the national level;

(i) To identify major actors supporting the implementation of the capacity-building framework within and outside the arrangements established under the Convention and its Kyoto Protocol;

(j) To review the operation of the Durban Forum and identify potential ways to enhance it.

### III. General principles of the comprehensive review process

4. The third comprehensive review of the implementation of the capacity-building framework should be conducted on the basis of the guiding principles and approaches outlined in decision 2/CP.7, annex, chapter B, and should take into account relevant provisions in related COP<sup>5</sup> and CMP<sup>6</sup> decisions on capacity-building.

### IV. Information sources

5. Information to be used in the third comprehensive review of the implementation of the capacity-building framework should be drawn from, inter alia:

(a) Submissions from Parties;

(b) Findings of the first<sup>7</sup> and second<sup>8</sup> comprehensive reviews of the implementation of the capacity-building framework;

(c) Annual synthesis reports on the implementation of the capacity-building framework prepared in accordance with the steps for the regular monitoring and evaluation of capacity-building work as contained in decisions 4/CP.12 and 6/CMP.2;

(d) Relevant national reports (such as national communications, biennial reports, biennial update reports, national adaptation programmes of action and their updates, outcomes of the national adaptation plan process, and national capacity self-assessments);

(e) Reports and submissions from the Global Environment Facility and its implementing agencies, United Nations entities and other relevant organizations;

(f) Information contained in the capacity-building portal;<sup>9</sup>

(g) Summary reports on the meetings of the Durban Forum on capacity-building;

(h) Reports of relevant bodies established under the Convention and its Kyoto Protocol;

<sup>3</sup> Decision 2/CP.10.

<sup>4</sup> Decisions 13/CP.17 and 15/CMP.7.

<sup>5</sup> Decisions 4/CP.9, 9/CP.9, 2/CP.10, 4/CP.12, 6/CP.14, 10/CP.16, 1/CP.16, 2/CP.17, 13/CP.17 and 1/CP.18.

<sup>6</sup> Decisions 7/CMP.1, 29/CMP.1, 6/CMP.2, 6/CMP.4, 11/CMP.6, 15/CMP.7 and 10/CMP.8.

<sup>7</sup> Decision 2/CP.10.

<sup>8</sup> Decisions 13/CP.17 and 15/CMP.7.

<sup>9</sup> <[http://unfccc.int/cooperation\\_and\\_support/capacity\\_building/items/7204.php](http://unfccc.int/cooperation_and_support/capacity_building/items/7204.php)>.

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- (i) Interviews, surveys and focused discussions with national focal points for Article 6 of the Convention and other relevant national focal points;
  - (j) Other relevant existing documents prepared by the secretariat.

## V. Modalities of work

6. Drawing upon the information sources listed in chapter IV above and taking into account the objectives listed in chapter II above, the secretariat will prepare, for consideration at SBI 44, a report on the implementation of the capacity-building framework, including:

- (a) Descriptions of capacity-building programmes and activities;
  - (b) Identification of needs and gaps and an assessment of factors that influence the effectiveness of capacity-building activities in developing countries;
  - (c) Key results and impacts;
  - (d) Information on the extent and variety of stakeholders within developing countries (governmental and non-governmental organizations, the private sector, community organizations, etc.) involved in, and benefiting from, capacity-building activities;
  - (e) The availability of and access to resources and the effectiveness of their deployment;
  - (f) Recommendations for the further implementation of the capacity-building framework;
  - (g) Assessment of the different baselines and performance indicators for capacity-building.
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