



**Вспомогательный орган для консультирования
по научным и техническим аспектам**

Тридцать восьмая сессия

Бонн, 3–14 июня 2013 года

Пункт 4 повестки дня

**Методологические руководящие указания
для деятельности, связанной с сокращением
выбросов в результате обезлесения и деградации
лесов, и роли сохранения лесов, устойчивого
управления лесами и увеличение накоплений
углерода в лесах развивающихся стран**

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Проект выводов, предложенный Председателем

Национальные системы мониторинга лесов

1. Вспомогательный орган для консультирования по научным и техническим аспектам (ВОКНТА) постановил рекомендовать проект решения об условиях для национальных систем мониторинга лесов для принятия Конференцией Сторон (КС) на ее девятнадцатой сессии (текст проекта решений содержится в документе FCCC/SBSTA/2013/L.12/Add.1).

Измерение, отражение в отчетности и проверка

2. ВОКНТА принял решение продолжить свою работу над методологическими руководящими указаниями по измерению, отражению в отчетности и проверке, как об этом указано в пункте с) добавления II к решению I/CP.16, на основе элементов, содержащихся в приложении I к настоящим выводам, для подготовки возможного проекта решения по этому вопросу с целью завершения этой работы на тридцать девятой сессии (ноябрь 2013 года) и подготовки любых рекомендаций для проекта решения по этому вопросу для рассмотрения и принятия КС 19 (ноябрь 2013 года).

Исходные уровни выбросов в лесах и/или исходные уровни для лесов

3. ВОКНТА принял решение продолжить свою работу над руководящими указаниями по технической оценке предлагаемых исходных уровней выбросов в лесах и/или исходных уровней для лесов в соответствии с просьбой, содержащейся в пункте 15 решения 2/СР.17, на основе элементов, приводимых в приложении II к настоящим выводам, для подготовки возможного проекта решения по этому вопросу с целью завершения этой работы на своей тридцать девятой сессии и подготовки любых рекомендаций для проекта решения по этому вопросу для рассмотрения и принятия на КС 19.

Гарантии

4. ВОКНТА, ссылаясь на руководящие указания, изложенные в пункте 2 решения 12/СР.17, рекомендовал Сторонам, являющимся развивающимися странами, продолжить накапливать опыт и развивать передовую практику в рамках совершенствования своих систем для представления информации об учете и соблюдении всех гарантий. Он также призвал Стороны, являющиеся развивающимися странами, обмениваться с другими Сторонами этим опытом и извлеченными уроками.

5. ВОКНТА предложил Сторонам, являющимся развивающимися странами, представить в секретариат до 24 сентября 2014 года свои мнения, касающиеся опыта и уроков, извлеченных из процесса разработки ими систем для представления информации об учете и соблюдении всех гарантий, а также о проблемах, возникающих у них при разработке таких систем. Он поручил секретариату скомпилировать эти представления в документе категории Misc для рассмотрения на ВОКНТА 41 (декабрь 2014 года).

6. ВОКНТА призвал Стороны и допущенные организации-наблюдатели представить в секретариат до 24 сентября 2014 года свои мнения о типе информации, получаемой из систем для представления информации об учете и соблюдении гарантий, которая могла бы быть полезна и которую могли бы представить Стороны, являющиеся развивающимися странами. Он поручил секретариату скомпилировать эти представления в документе категории Misc для рассмотрения на ВОКНТА 41. Он также поручил секретариату опубликовать представления допущенных организаций-наблюдателей на веб-платформе¹ веб-сайта РКИКООН.

7. ВОКНТА также рекомендовал Сторонам, являющимся развивающимися странами, и организациям-наблюдателям обмениваться через веб-платформу веб-сайта РКИКООН своим опытом и уроками, извлеченными из разработки систем для представления информации об учете и соблюдении гарантий и проблемах, с которыми они сталкиваются при разработке таких систем.

8. ВОКНТА постановил рекомендовать проект решения о сроках и периодичности представления резюме информации об учете и соблюдении гарантий, указанных в добавлении I к решению 1/СР.16, для принятия КС 19 (текст проекта решения содержится в документе FCCC/SBSTA/2013/L.12/Add.2).

9. ВОКНТА принял решение рассмотреть на ВОКНТА 41 вопрос о необходимости в дополнительных руководящих указаниях по вопросам, указанным в пункте 6 решения 12/СР.17, с учетом представлений, упомянутых в пунктах 5 и 6 выше.

¹ <<http://unfccc.int/redd>>.

Движущие факторы обезлесения и деградации лесов

10. ВОКНТА напомнил, что в пункте 72 решения 1/СР.16 КС просила Стороны, являющиеся развивающимися странами, при разработке и осуществлении своих национальных стратегий или планов действий учитывать, в частности, факторы обезлесения и деградации лесов, обеспечивая полное и эффективное участие соответствующих заинтересованных кругов, в частности коренных народов и местных общин. Он отметил, что в рамках действий по рассмотрению факторов обезлесения и деградации лесов также следует принимать во внимание руководящие указания, содержащиеся в добавлении I к решению 1/СР.16.

11. ВОКНТА признал важность межсекторальной координации в контексте разработки национальных стратегий или планов действий по учету движущих факторов обезлесения и деградации лесов.

12. ВОКНТА также признал, что международное сотрудничество может способствовать учету движущих факторов обезлесения и деградации лесов.

13. ВОКНТА постановил рекомендовать проект решения об учете движущих факторов обезлесения и деградации лесов для принятия КС 19 (текст проекта решения содержится в документе FCCC/SBSTA/2013/L.12/Add.3).

Нерыночные подходы

14. ВОКНТА отметил, что нерыночные подходы, такие как совместные подходы к предотвращению изменения климата и адаптации в целях комплексного и устойчивого управления лесами, о которых говорится в пункте 67 решения 2/СР.17, имеют важное значение для поддержки осуществления деятельности, указанной в пункте 70 решения 1/СР.16.

15. ВОКНТА также отметил необходимость внести ясность в вопрос о типах нерыночных подходов, относящихся к осуществлению деятельности, указанной в пункте 70 решения 1/СР.16, и в вопрос о том, потребуется ли дополнительная методологическая работа. Он принял к сведению взаимосвязь между этими вопросами и положением о надлежащей и предсказуемой поддержке, включая выделение финансовых ресурсов.

16. ВОКНТА призвал Стороны и допущенные организации-наблюдатели представить в секретариат до 26 марта 2014 года свои мнения о методологических руководящих указаниях в отношении нерыночных подходов. Он поручил секретариату скомпилировать эти представления в документе категории Misc для рассмотрения на ВОКНТА 40 (июнь 2014 года).

17. ВОКНТА поручил секретариату организовать в ходе ВОКНТА 40 сессионное совещание экспертов по вопросам, указанным в пунктах 14 и 15 выше, и подготовить доклад о работе совещания для рассмотрения на ВОКНТА 41.

18. ВОКНТА постановил продолжить свое рассмотрение вопроса о разработке методологических руководящих указаний по нерыночным подходам на ВОКНТА 40.

19. ВОКНТА принял к сведению прогнозируемые бюджетные последствия деятельности, которая будет проводиться секретариатом в соответствии с пунктом 17 выше.

20. Он просил, чтобы действия, которые будут предприняты секретариатом в соответствии с настоящими выводами, осуществлялись при условии наличия финансовых ресурсов.

Неуглеродные выгоды

21. ВОКНТА принял решение о важности учета неуглеродных выгод при осуществлении деятельности, указанной в пункте 70 решения 1/СР.16.
22. ВОКНТА принял к сведению работу по неуглеродным выгодам, проводимую в рамках других международных организаций и конвенций.
23. ВОКНТА также принял решение о необходимости внесения ясности в вопрос о типах неуглеродной выгоды и в связанные с ним методологические вопросы.
24. ВОКНТА предложил Сторонам и допущенным организациям-наблюдателям представить в секретариат до 26 марта 2014 года свои мнения по вопросам, указанным в пункте 40 решения 1/СР.18. Он поручил секретариату скомпилировать эти представления в документе категории Misc для рассмотрения на ВОКНТА 40.
25. ВОКНТА постановил продолжить свое рассмотрение методологических вопросов, связанных с неуглеродными выгодами, на ВОКНТА 40.

Annex I

[English only]

Elements for a possible draft decision on modalities for measuring, reporting and verifying

[*The Conference of the Parties,*

Recalling decisions 2/CP.13, 4/CP.15, 1/CP.16, 2/CP.17 and 12/CP.17,

1. *Decides* that measuring, reporting and verifying anthropogenic forest-related emissions by sources and removals by sinks, forest carbon stocks, and forest carbon stock and forest-area changes resulting from the implementation of the activities referred to in decision 1/CP.16, paragraph 70, taking into account paragraph 71(b) and (c), is to be consistent with the methodological guidance provided in decision 4/CP.15, and guidance on measuring, reporting and verification of nationally appropriate mitigation actions by developing country Parties as agreed by the Conference of the Parties, and in accordance with any future relevant decisions of the Conference of the Parties;
2. *Recognizes* the need to develop capacities for measuring, reporting and verifying anthropogenic forest-related emissions by sources and removals by sinks, forest carbon stocks, and forest carbon stock and forest-area changes resulting from the implementation of the activities referred to in decision 1/CP.16, paragraph 70;
3. *Decides* that the data and information used by Parties in the estimation of anthropogenic forest-related emissions by sources and removals by sinks, forest carbon stocks, and forest carbon stock and forest-area changes, as appropriate to the activities referred to in decision 1/CP.16, paragraph 70, undertaken by Parties, should be transparent, consistent over time and with the established forest reference emission levels and/or forest reference levels in accordance with decision 1/CP.16, paragraph 71(b) and (c) and section II of decision 12/CP.17;
4. *Agrees* that, consistent with decision 12/CP.17, paragraph 7, results from the implementation by Parties of the activities¹ included in decision 1/CP.16, paragraph 70, measured against the forest reference emission levels and/or forest reference levels should be expressed in tonnes of carbon dioxide equivalent per year;
5. *Encourages* Parties to improve data and methodologies over time, while maintaining consistency with the established or, as appropriate, updated, forest reference emission levels and/or forest reference levels in accordance with decision 1/CP.16, paragraph 71(b) and (c);
6. *Recalls* the relevant provisions in decisions 17/CP.8 and 2/CP.17 related to the provision of support for reporting;
7. *Further decides* that, consistent with decision 1/CP.16 and with annex III to decision 2/CP.17, the data and information referred to in paragraph 3 above should be provided through the biennial update reports by Parties, taking into consideration additional flexibility given to least developed countries and small island developing countries;
8. *[[Also decides* that the information reported in accordance with paragraph 7 above will be subject to international consultation and analysis as agreed by the Conference of the Parties;]

¹ As per decision 1/CP.16, paragraph 70, Parties undertake activities as deemed appropriate by each Party and in accordance with their respective capabilities and national circumstances, noting that significant pools and/or activities should not be excluded.

9. *[Requests][Encourages]* developing country Parties seeking to obtain and receive results-based finance to, when submitting the data and information referred to in paragraph 3 above, through the biennial update reports, to supply a technical annex as per paragraph 20 of annex 3 of decision 2/CP.17;
10. *Further decides* that the data and information provided in the technical annex referred to in paragraph 9 above shall be consistent with decisions 4/CP.15 and 12/CP.17 and follow the guidelines provided in the annex to this decision;
11. *Decides* that, upon request of the developing country seeking to obtain and receive payments for results-based actions, [two LULUCF experts from the roster of experts, one each from developing country and developed country Parties]² will be included among the members selected for the technical team of experts.
12. *Further decides* that, as part of the technical analysis referred to in paragraph 4 of Annex 4 of decision 2/CP.17, the technical team of experts shall analyse [whether][the extent to which]:
- (a) There is methodological consistency between the assessed reference level and the results from the implementation of the activities from paragraph 70 of decision 1/CP.16;
 - (b) The data and information provided in the technical annex is transparent, consistent, complete³ and accurate;
 - (c) Is consistent with the guidelines referred to in paragraph 10 above;
 - (d) The results are accurate, to the extent possible.
13. *Decides* that the Party that submitted the technical annex may interact with the technical team of experts during the analysis of its technical annex to clarify issues and provide additional information to facilitate the analysis by the technical team of experts;
14. *Also decides* that the technical team of experts may seek clarifications from the Party, and that the Party is to provide clarifications on the issues raised to the technical team of experts, in accordance with the timetable of the international consultation and analysis process described in the annex to decision 2/CP.17, paragraph 4;⁵
15. *Further decides* that if the Party and the technical team of experts are unable to reach a common understanding in relation to the clarifications provided, it shall be noted in the summary report of the technical team of experts;⁵
16. *Decides* that the results of the assessment of the technical annex will be included in the summary report of the technical team of experts, as referred to in the annex to decision 2/CP.17, paragraph 4; }⁴
17. *Agrees* that [activities][results-based actions] that may be eligible to appropriate market-based approaches that could be developed by the Conference of the Parties, as per decision 2/CP.17, paragraph 66, [will][may] be subject to any further specific modalities for verification consistent with any decision of the Conference of the Parties on this matter.]]

² FOOTNOTE: not prejudice /ICA consistency

³ Complete here means the provision of information that allows for the reconstruction of the results.

⁴ FOOTNOTE: not intended to prejudice related decisions pending under international consultation and analysis, including those related to the technical team of experts

[Elements for a technical annex

- A. Summary information from the final report the latest assessed reference levels, including:
 - a. The assessed FREL/FRL expressed in tonnes of CO₂ per year
 - b. The activity(ies) included
 - c. The territorial forest area covered
 - d. Date of the reference level submission and date of final technical assessment report
 - e. The period (years) of the assessed reference levels
- B. Results in tonnes of CO₂ per year, consistent with the assessed reference levels.
- C. Demonstration that the results in B are methodologically consistent with the assessed reference level, including:
 - a. Data sets and approaches used (e.g., remotely sensed data, national forest inventory (or equivalent))
 - b. Information on the methods/models¹ and assumptions used,
 - c. The year(s) under consideration
 - d. The territorial forest area covered
 - e. Pools, gases and activity(ies) included
 - f. Definition of forest used
- D. Consistency of the results in B with the corresponding information provided in the national greenhouse inventory;
- E. Description of the institutional roles and responsibilities for measuring, reporting and verifying the results.
- F. Provide all the necessary information that allows for the reconstruction of the results.
- G. Take into account, as appropriate, paragraphs 1(c) and 1(d) in decision 4/CP.15. (the reference to 1(d) addresses the issue of uncertainty)
- H. [how to reflect uncertainties – we think this is covered under 1(d) from decision 4/CP.15]
- I. PROPOSED LANGUAGE - If applicable, any change that leads to an inconsistency with the assessed reference levels, taking into account that these changes may only refer to a decrease in relation to the result that would be otherwise obtained.]

¹ Parties should refer to the IPCC Expert Meeting report on Use of Models

Annex II

[English only]

Elements for a possible draft decision on guidelines and procedures for the technical assessment of submissions from Parties on proposed forest reference emission levels and/or forest reference levels

[The Conference of the Parties,

Reaffirming that, in the context of the provision of adequate and predictable support to developing country Parties, Parties should collectively aim to slow, halt and reverse forest cover and carbon loss, in accordance with national circumstances, consistent with the ultimate objective of the Convention, as stated in its Article 2,

Recognizing that [in the context of the provision of adequate and predictable support to developing country Parties,] developing country Parties aiming to implement the actions listed in decision 1/CP.16, paragraph 70, are responsible for the establishment of their forest reference emission levels and/or forest reference levels,

PLACEHOLDER [on training]

Recalling the provisions of decision 12/CP.17,

1. *Decides* that each submission referred to in decision 12/CP.17, paragraph 13, shall be subject to a technical assessment;
2. *[Adopts* the guidelines and procedures for the technical assessment of submissions from Parties on forest reference emission levels and/or forest reference levels contained in the annex to this decision;]
3. *Invites* Parties, in particular developing country Parties, and, as appropriate, intergovernmental organizations to nominate technical experts with the relevant qualifications to the UNFCCC roster of experts;
4. *[Decides* that proposed forest reference emission levels and/or forest reference levels and the outcomes of the technical assessments shall be considered for possible adoption by the Conference of the Parties at its next session.]
5. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to paragraphs 1 and 2 above;
6. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

Annex: Guidelines and procedures for the technical assessment of submissions by Parties on proposed forest reference emission levels and/or forest reference levels

Guidelines for technical assessment

Objectives

1. The objectives of the technical assessment are:

Subparagraph (a)

[Option 1]

To assess the degree to which Parties have provided transparent, complete, consistent, and accurate information to support the construction of the forest reference emission levels and/or forest reference levels,]

[Option 2]

To assess the degree to which Parties have provided information in accordance with the guidelines for submissions of information on forest reference emission levels and/or forest reference levels in the annex to decision 12/CP.17 in the construction of the forest reference emission levels and/or forest reference levels,]

Subparagraph (b)

[Option 1]

To provide, as appropriate, technical [recommendations][suggestions][guidance] to the developing country Party,]

[Option 2]

To offer a facilitative, [non-intrusive,] technical exchange of information on the construction of the forest reference emission levels and/or forest reference levels with a view to provide developing country Parties with the capacity for the construction and [continuous] [appropriate future improvement of the forest reference emission levels and/or forest reference levels, subject to national capability and policy][future] improvement of the reference levels [that would be reflected in subsequent submissions of the forest reference emission levels and/or forest reference levels, as appropriate],]

[Option 3]

To offer a facilitative, [non-intrusive,] technical exchange of information on the construction of the reference level with a view to provide developing country Parties with [suggestions, as appropriate,] [the capacity] for the improvement [and/or technical adjustment] [, as appropriate,] of the reference levels, taking into account national circumstances,]

(c) To provide a technical assessment [to support consideration by the COP at its subsequent session of the forest reference emission level and/or forest reference level to be used],

[Notes that this technical assessment should be facilitative in nature,]

[Scope Option A

2. [A technical assessment of the data, methodologies, assumptions and procedures used in the construction of the forest reference emission level and/or forest reference level of a developing country Party to determine whether it is consistent with the guidelines in the annex to decision 12/CP.17,]

Paragraph 3

[Option 1

Taking into consideration the voluntary basis of submissions of forest reference emission levels and/or forest reference levels, the assessment team will assess the following:]

[Option 2

Taking into consideration the voluntary nature of the activities referred to in decision 1/CP.16, paragraph 70, the assessment of the submissions by Parties on proposed forest reference emission levels and/or forest reference levels by the assessment team will assess the following:]

(b) The extent to which the Party has identified pools, gases and activities included in the forest reference emission level and/or forest reference level and explained the reasons for omitting a pool, a gas or an activity from the forest reference emission level and/or forest reference level and whether the coverage of pools in the forest reference emission level and/or forest reference level is consistent, and justification of why omitted pools were deemed not significant,

(c) The description of approaches, methods and models used in the construction of forest reference emission levels and/or forest reference levels,

(d) The extent to which the forest reference emission level and/or forest reference level value is consistent with the information and descriptions provided by the Party,

(e) The extent to which the information was provided by the Party in a transparent manner,

(f) Whether a description is provided of domestic policies relevant to the construction of the forest reference emission levels and/or forest reference levels and how these policies were used in the construction of the forest reference emission levels and/or forest reference levels,

(g) [Whether confirmation has been provided that the construction of forest reference emission levels and/or forest reference levels do not include assumptions about changes to domestic policies.]

4. As part of the technical assessment, the review process may provide technical recommendations to the developing country Party on the construction of its forest reference emission levels and/or forest reference levels. This may include the recommendation to make a technical revision to elements used in its construction.

5. The assessment team shall refrain from making any judgment on domestic policies taken into account in the construction of forest reference emission levels and/or forest reference levels.]

[Scope Option B

2. A technical assessment of the data, methodologies and procedures used by the developing country Party in the construction of the reference level(s) in accordance with the guidelines in the Annex to Decision 12/CP.17, covering the following:

(a) The extent to which the information used maintained consistency with anthropogenic forest-related greenhouse gas emissions by sources and removals by sinks as contained in the national greenhouse gas inventories [para 8 decision] and/or with historical data [Annex, (a)];

(b) The extent to which the information provided was transparent, complete (FOOTNOTE), consistent and accurate, including methodological information, description of data sets, approaches, methods, models, if applicable, and assumptions used. [Annex, (b)], and whether the reference levels are national or cover less than its entire national territory of forest area [para 11 Decision].

(c) Whether a description of relevant policies and plans has been provided. [Annex, (b)]

(d) If applicable, whether descriptions of changes from previously submitted reference levels are provided, taking into account the stepwise approach (FOOTNOTE PARAGRAPH 10 OF THE DECISION) [Annex, (b)][paragraph 10 Decision]

(e) Pools and gases, and activities included in the reference levels, and justification of why omitted pools and/or activities were deemed not significant. [Annex, (c)]

(f) Definition of forest used and, if different from the one used in the national GHG inventory or from the one reported to other international organizations, an explanation of why and how the definition used was chosen; [Annex, (d)]

3. The assessment team shall refrain from making any judgment on domestic policies.]

Procedures for technical assessment

General procedures

6. Each submission will be technically assessed by an assessment team in accordance with the procedures and timeframes established in these guidelines.

7. Each assessment team will conduct a thorough and comprehensive assessment of the forest reference emission level and/or forest reference level and will prepare a report under its collective responsibility.

8. The technical assessment process will be coordinated by the secretariat. The assessment team will be composed by LULUCF experts selected from the UNFCCC roster of experts. Participating experts will serve in their personal capacity and will be neither nationals of the Party undergoing the technical assessment, nor funded by that Party.

Composition of the assessment team

9. The assessment team will be composed of at least [two][three] LULUCF experts. The secretariat shall ensure that at least one expert each from a developing and a developed country will be selected from the roster of LULUCF experts.

Timing

10. In order to facilitate the secretariat's work, each Party should confirm to the secretariat, their active experts on the LULUCF roster of experts who will be able to participate in the technical assessment of forest reference emission levels and/or forest reference levels.

11. Assessment sessions will be organized [one] [two] [three] times a year. Submissions received no later than [six] weeks ahead of a session will be assessed at this session. The assessment sessions will take place at a venue designated by the UNFCCC secretariat.

12. The secretariat should forward all relevant information to the assessment team at least [four] weeks before the start of the assessment session.

13. Prior to the assessment session, the assessment team should identify any preliminary issues requiring clarifications from the Party, as appropriate.

14. The Party that submitted the forest reference emission level and/or forest reference level may interact with the assessment team during the assessment session of their submission to clarify issues and provide additional information to facilitate the assessment by the assessment team.

15. The assessment team may seek additional clarifications from the Party no later than [one] week following the assessment session. This may include technical inputs to the Party on the construction of its forest reference emission level and/or forest reference level. The Party is to provide clarifications on the issues raised to the assessment team no later than [five] weeks following the request. As a result of the facilitative process referred above, the Party may modify its submitted forest reference emission level and/or forest reference level in response to the technical inputs of the assessment team.

16. The assessment team will prepare a draft report and make it available to the Party no later than [eight] weeks following the assessment session. The report should include a short summary.

17. The Party will have [three] weeks to respond to the draft report of the assessment team.

18. [If the Party does not agree with the results from the assessment as included in the draft report, in responding to the Party's comments, the assessment team will seek advice from a small group of experienced reviewers to be convened by the secretariat.]

19. The assessment team will prepare a final report within [three] weeks following the Party's response and the report will be sent to the secretariat for publication via the web platform on the UNFCCC website.¹ The final report will contain the technical assessment,

¹ <<http://unfccc.int/redd>>.

technical recommendations, if appropriate, and the responses by the Party and where provided, the advice of the small group of experienced reviewers convened by the secretariat.

20. The secretariat will prepare a synthesis report of the technical assessment process of the forest reference emission levels and/or forest reference levels [for consideration by the COP at the next session after the final report referred to in paragraph 18 above]. The synthesis report will be made publicly available via the web platform on the UNFCCC website.]
