



Subsidiary Body for Scientific and Technological Advice

**Report of the Subsidiary Body for Scientific and
Technological Advice on its thirty-ninth session,
held in Warsaw from 11 to 17 November 2013**

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I. Opening of the session

(Agenda item 1)

1. The thirty-ninth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) was held at the National Stadium in Warsaw, Poland, from 11 to 17 November 2013.
2. The Chair of the SBSTA, Mr. Richard Muyungi (United Republic of Tanzania), opened the session on Monday, 11 November, and welcomed all Parties and observers. He also welcomed Mr. Narcis Paulin Jeler (Romania) as Vice-Chair of the SBSTA and Ms. Diana Harutyunyan (Armenia) as Rapporteur.
3. A statement was made by a representative of the Intergovernmental Panel on Climate Change (IPCC).

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2(a))

4. At its 1st meeting, on 11 November, the SBSTA considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBSTA/2013/4).
5. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) referred to the SBSTA the consideration of CMP agenda item 11 on the clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”. At its 1st meeting, the SBSTA agreed to consider this matter as sub-item 12(e) of the SBSTA agenda.
6. At the same meeting, the agenda was adopted, as amended, as follows:
 1. Opening of the session.
 2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session.
 3. Nairobi work programme on impacts, vulnerability and adaptation to climate change.
 4. Report of the Adaptation Committee.
 5. Methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.
 6. Coordination of support for the implementation of activities in relation to mitigation actions in the forest sector by developing countries, including institutional arrangements.

7. Development and transfer of technologies and implementation of the Technology Mechanism:
 - (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network;
 - (b) Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board;
 - (c) Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention.
8. Research and systematic observation.
9. Impact of the implementation of response measures:
 - (a) Forum and work programme;
 - (b) Matters relating to Article 2, paragraph 3, of the Kyoto Protocol.
10. Issues relating to agriculture.
11. Methodological issues under the Convention:
 - (a) Work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties;
 - (b) General guidelines for domestic measurement, reporting and verification of domestically supported, nationally appropriate mitigation actions by developing country Parties;
 - (c) Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in Annex I to the Convention;
 - (d) Greenhouse gas data interface;
 - (e) Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention;
 - (f) Emissions from fuel used for international aviation and maritime transport.
12. Methodological issues under the Kyoto Protocol:
 - (a) Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol;
 - (b) Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism;
 - (c) Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23);
 - (d) Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol;

- (e) Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the "average annual emissions for the first three years of the preceding commitment period".

- 13. Market and non-market mechanisms under the Convention:
 - (a) Framework for various approaches;
 - (b) Non-market-based approaches;
 - (c) New market-based mechanism.
- 14. The 2013–2015 review.
- 15. Work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties.
- 16. Other matters.
- 17. Report on the session.

7. At its 1st meeting, statements were made by representatives of nine Parties, including statements on behalf of the Group of 77 and China, the Umbrella Group, the Alliance of Small Island States (AOSIS), the African States, the Environmental Integrity Group (EIG), the European Union and its 28 member States, the least developed countries (LDCs), and the Bolivarian Alliance for the Peoples of Our America – Peoples' Trade Treaty. Statements were also made on behalf of business and industry non-governmental organizations, farmers, indigenous peoples organization (IPO), youth non-governmental organizations (YOUNGO) and by representatives of environmental non-governmental organizations (ENGO).¹

B. Organization of the work of the session

(Agenda item 2(b))

8. The SBSTA considered this agenda sub-item at its 1st meeting, at which the Chair drew attention to the proposed programme of work posted on the UNFCCC website. The Chair also drew the attention of delegates to a cut-off deadline for groups of Friday, 15 November, which aimed at ensuring the timely availability of draft conclusions for the closing plenary. On a proposal by the Chair, the SBSTA agreed to proceed on this basis.

III. Nairobi work programme on impacts, vulnerability and adaptation to climate change

(Agenda item 3)

1. Proceedings

9. The SBSTA considered this agenda item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/INF.6 and FCCC/TP/2013/11. A statement was made by a representative of one Party.

10. At its 1st meeting, the SBSTA agreed to consider this agenda item in informal consultations co-facilitated by Mr. Don Lemmen (Canada) and Mr. Juan Hoffmaister (Plurinational State of Bolivia). At its 3rd meeting, the SBSTA considered and adopted

¹ All opening statements are available at http://unfccc.int/meetings/warsaw_nov_2013/items/7950.php.

conclusions below.² Also at its 3rd meeting, the SBSTA recommended a draft decision³ for consideration and adoption by the Conference of the Parties (COP) at its nineteenth session.⁴

2. Conclusions

11. The SBSTA noted with appreciation the following documents prepared for the session:

(a) The technical paper on best practices and available tools for the use of indigenous and traditional knowledge and practices for adaptation, and the application of gender-sensitive approaches and tools for understanding and assessing impacts, vulnerability and adaptation to climate change;⁵

(b) The report on progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP).⁶

12. The SBSTA welcomed the submissions from Parties and relevant organizations on ways to enhance the relevance of, and to support the objective of, the NWP.⁷

13. The SBSTA, in response to the recommendations of the Adaptation Committee:⁸

(a) Recalled its request for the secretariat to organize, under the guidance of the Chair of the SBSTA, the technical expert meeting on best practices and available tools for the use of indigenous and traditional knowledge and practices for adaptation, and the application of gender-sensitive approaches and tools for understanding and assessing impacts, vulnerability and adaptation to climate change, and requested that it be carried out in conjunction with the Adaptation Committee's workshop on best practices and needs of local and indigenous communities;

(b) Invited Parties and NWP partner organizations to submit to the secretariat, by 20 August 2014, information on good practices in and lessons learned from national adaptation planning;

(c) Requested the secretariat to compile the submissions referred to in paragraph 13(b) above into an information document, to be made available by SBSTA 41 (December 2014);

(d) Also requested the secretariat to undertake activities listed in annex III to the report of the Adaptation Committee,⁹ upon the request of the Adaptation Committee.

14. The SBSTA recommended a draft decision¹⁰ on this matter for consideration and adoption at COP 19.¹¹

15. The SBSTA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraph 13 above.

² Adopted as document FCCC/SBSTA/2013/L.34.

³ For the text of the draft decision, see document FCCC/SBSTA/2013/L.34/Add.1.

⁴ For the text as adopted, see decision 17/CP.19.

⁵ FCCC/TP/2013/11.

⁶ FCCC/SBSTA/2013/INF.6.

⁷ Submissions from Parties are available at <<http://unfccc.int/5901>>, while those from relevant organizations are available at <<http://unfccc.int/7482>>.

⁸ FCCC/SB/2013/2.

⁹ As footnote 8 above.

¹⁰ For the text of the draft decision, see document FCCC/SBSTA/2013/L.34/Add.1.

¹¹ For the text as adopted, see decision 17/CP.19.

16. The SBSTA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

IV. Report of the Adaptation Committee

(Agenda item 4)

Proceedings

17. The SBSTA considered this agenda item at its 1st and 3rd meetings. It had before it document FCCC/SB/2013/2. A statement was made by a representative of one Party.

18. At its 1st meeting, the Chair of the SBSTA invited Ms. Christina Chan (United States of America), Vice-Chair of the Adaptation Committee, to report on the work of the committee. At the same meeting, the SBSTA agreed to consider this agenda item in informal consultations facilitated by Ms. Helen Plume (New Zealand). At its 3rd meeting, the SBSTA recommended a draft decision¹² for consideration and adoption at COP 19.¹³

V. Methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

(Agenda item 5)

1. Proceedings

19. The SBSTA considered this agenda item at its 1st and 3rd meetings. A statement was made by a representative of one Party, speaking on behalf of the Coalition for Rainforest Nations.

20. At its 1st meeting, the SBSTA agreed to consider this agenda item in a contact group co-chaired by Mr. Peter Graham (Canada) and Mr. Robert Bamfo (Ghana). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.¹⁴ Also at its 3rd meeting, the SBSTA recommended two draft decisions¹⁵ for consideration and adoption at COP 19.¹⁶

2. Conclusions

21. The SBSTA recommended a draft decision¹⁷ on guidelines and procedures for the technical assessment of submissions from Parties on proposed forest reference emission levels and/or forest reference levels for consideration and adoption at COP 19.¹⁸

22. The SBSTA recommended a draft decision¹⁹ on methodological guidance for measuring, reporting and verifying, referred to in decision 1/CP.16, appendix II, paragraph (c), for consideration and adoption at COP 19.²⁰

¹² For the text of the draft decision, see document FCCC/SB/2013/L.2.

¹³ For the text as adopted, see decision 16/CP.19.

¹⁴ Adopted as document FCCC/SBSTA/2013/L.33.

¹⁵ For the text of the draft decisions, see document FCCC/SB/2013/L.33/Add.1 and 2.

¹⁶ For the text as adopted, see decisions 13/CP.19 and 14/CP.19. Decisions 11/CP.19, 12/CP.19 and 15/CP.19 were also adopted at COP 19, as recommended at SBSTA 38 (see FCCC/SBSTA/2013/3/Add.1).

¹⁷ For the text of the draft decision, see document FCCC/SBSTA/2013/L.33/Add.1.

¹⁸ For the text as adopted, see decision 13/CP.19.

23. The SBSTA recalled that pursuant to its conclusions at SBSTA 38,²¹ it will continue its consideration of the development of methodological guidance on non-market-based approaches and methodological issues related to non-carbon benefits at SBSTA 40 (June 2014).

VI. Coordination of support for the implementation of activities in relation to mitigation actions in the forest sector by developing countries, including institutional arrangements

(Agenda item 6)

1. Proceedings

24. The SBSTA considered this agenda item at its 1st and 3rd meetings. It had before it documents FCCC/SB/2013/INF.6 and FCCC/SB/2013/MISC.3 and Add.1.

25. At its 1st meeting, the SBSTA agreed to consider this agenda item jointly with agenda item 6 of the Subsidiary Body for Implementation (SBI) in a joint contact group co-chaired by Mr. Keith Anderson (Switzerland) and Ms. Madeleine Diouf Sarr (Senegal). As Ms. Diouf Sarr was unable to attend the initial part of the session, Ms. Lilian Portillo (Paraguay) was invited subsequently to co-chair these consultations.

26. At its 3rd meeting, the SBSTA considered and adopted the conclusions below.²²

2. Conclusions

27. The SBSTA and the SBI took note of the views submitted by Parties²³ on the need to improve the coordination of support for the implementation of the activities referred to in decision 1/CP.16, paragraph 70, and to consider existing institutional arrangements or potential governance alternatives, including a body, a board or a committee. They also took note of the views submitted by admitted observer organizations.²⁴

28. The SBSTA and the SBI further took note of the report on the in-session workshop²⁵ on the matter referred to in paragraph 27 above, which was held in Bonn, Germany, on 7 June 2013.²⁶ They expressed their appreciation to the European Commission and Norway for providing financial support for the workshop.

29. The SBSTA and the SBI advanced their work on the matter referred to in paragraph 27 above and agreed to invite COP 19 to provide further guidance in order to resolve outstanding issues.²⁷

¹⁹ For the text of the draft decision, see document FCCC/SBSTA/2013/L.33/Add.2.

²⁰ For the text as adopted, see decision 14/CP.19.

²¹ FCCC/SBSTA/2013/3, paragraphs 42 and 49.

²² Adopted as document FCCC/SB/2013/L.5.

²³ FCCC/SB/2013/MISC.3 and Add.1.

²⁴ Available at <<http://unfccc.int/7481>>.

²⁵ FCCC/SB/2013/INF.6.

²⁶ More information on the workshop is available at <<http://unfccc.int/7672>>.

²⁷ For the text as adopted, see decision 10/CP.19. This COP decision supersedes the last paragraph of the SBSTA and SBI conclusions contained in document FCCC/SB/2013/L.5, which has therefore not been included in this report.

VII. Development and transfer of technologies and implementation of the Technology Mechanism

(Agenda item 7)

A. Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

(Agenda item 7(a))

Proceedings

30. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SB/2013/1. At its 1st meeting, the Chair of the SBSTA invited Mr. Gabriel Blanco (Argentina), Vice-Chair of the Technology Executive Committee (TEC) and Mr. Griffin Thompson (United States of America), Chair of the Advisory Board of the Climate Technology Centre and Network (CTCN), to report on the work of the Committee and the Board, respectively. At the same meeting, the SBSTA agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Majid Al Suwaidi (United Arab Emirates) and Mr. Stig Svenningsen (Norway). A statement was made by a representative of one Party.

31. At the 3rd meeting, the Chair took note that the SBSTA was unable to conclude its consideration of the joint annual report of the TEC and the CTCN. The SBSTA noted that the Chair will inform the President of the COP and the CMP accordingly.²⁸

B. Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board

(Agenda item 7(b))

1. Proceedings

32. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SB/2013/INF.7.

33. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Carlos Fuller (Belize) and Mr. Kunihiko Shimada (Japan).

34. At the 3rd meeting, the SBSTA considered and adopted the conclusions below.²⁹

2. Conclusions

35. The SBSTA and the SBI noted with appreciation the work of the Advisory Board of the CTCN in finalizing in a timely manner the modalities and procedures of the CTCN for consideration at COP 19.

36. The SBSTA and the SBI recommended a draft decision³⁰ on this matter for consideration and adoption at COP 19.³¹

²⁸ The COP requested the SBSTA and the SBI to continue consideration of this joint annual report at their fortieth sessions, with a view to recommending a draft decision on this matter for consideration and adoption at COP 20 (December 2014), in accordance with rules 10(c) and 16 of the draft rules of procedure being applied. For more information, see the COP report contained in document FCCC/CP/2013/10.

²⁹ Adopted as document FCCC/SB/2013/L.3.

C. Third synthesis report on technology needs identified by Parties not included in Annex I to the Convention

(Agenda item 7(c))

1. Proceedings

37. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SBSTA/2013/INF.7.

38. At its 1st meeting, the SBSTA agreed to consider this agenda item in informal consultations co-facilitated by Mr. Al Suwaidi and Mr. Svenningsen. At its 3rd meeting, the SBSTA considered and adopted the conclusions below.³²

2. Conclusions

39. The SBSTA welcomed with appreciation the information contained in the third synthesis report, prepared by the secretariat, on technology needs identified by Parties not included in Annex I to the Convention.³³

40. The SBSTA agreed to continue its consideration of the report at SBSTA 40.

VIII. Research and systematic observation

(Agenda item 8)

1. Proceedings

41. The SBSTA considered this agenda item at its 1st and 3rd meetings. At its 1st meeting, the SBSTA agreed to consider this agenda item in informal consultations co-facilitated by Mr. Stefan Roesner (Germany) and Mr. Chris Moseki (South Africa). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.³⁴ Statements were made by representatives of the World Meteorological Organization (WMO) and the Global Climate Observing System (GCOS).

2. Conclusions

42. The SBSTA noted with appreciation the statements delivered by the representatives of the IPCC, WMO and GCOS.³⁵

43. The SBSTA also noted with appreciation the information provided by WMO on the developments regarding the implementation of the Global Framework for Climate Services (GFCS) and the outcome of the first session of the Intergovernmental Board on Climate Services (IBCS).³⁶ The SBSTA invited WMO to provide, at SBSTA 41, information on the outcome of the second session of the IBCS, to be held in November 2014.

44. The SBSTA also noted with appreciation the information provided by GCOS on its recent and planned activities³⁷ and the role of GCOS, its sponsors³⁸ and partners in

³⁰ For the text of the draft decision, see document FCCC/SB/2013/L.3/Add.1.

³¹ For the text as adopted, see decision 25/CP.19.

³² Adopted as document FCCC/SBSTA/2013/L.27.

³³ FCCC/SBSTA/2013/INF.7.

³⁴ Adopted as document FCCC/SBTA/2013/L.25.

³⁵ The statements are available on the UNFCCC website at <<http://unfccc.int/7950.php>>.

³⁶ The submission by WMO to SBSTA 39 is available on the UNFCCC website at <<http://unfccc.int/7482.php>>.

³⁷ The submission by GCOS to SBSTA 39 is available on the UNFCCC website at <<http://unfccc.int/7482.php>>.

strengthening observation networks and the provision of high-quality climate information and data, including in the implementation of the GFCS.

45. The SBSTA emphasized the continued need to secure funding to meet the essential needs for global climate observations under the Convention on a long-term basis.³⁹

46. The SBSTA welcomed the contribution of Working Group I to the Fifth Assessment Report (AR5) of the IPCC. The SBSTA noted that the IPCC will have released the contributions of Working Groups II and III to the AR5 by SBSTA 40 and the AR5 Synthesis Report by SBSTA 41. It further noted the continued key importance of research and systematic observation to the work of the IPCC.

47. The SBSTA emphasized the importance of systematic observation for the UNFCCC process at large, including for advancing climate modelling at all scales and for decision-making on adaptation. It noted that there are still gaps in critical observational data, inter alia for the oceans, and in the networks in some parts of the world, especially in developing countries. The SBSTA affirmed the importance of historical data records, the need to enhance data rescue and digitization efforts and climate monitoring. It therefore urged Parties and relevant organizations to enhance capacity, collaboration and coordination in this area.

48. The SBSTA also noted that a workshop on systematic observation, organized in close collaboration with GCOS and its sponsors, could help to identify ways to strengthen systematic observation and to enhance related capacity in developing countries, in particular in support of adaptation planning.

IX. Impact of the implementation of response measures

(Agenda item 9)

A. Forum and work programme

(Agenda item 9(a))

1. Proceedings

49. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SB/2013/INF.2, FCCC/SB/2013/INF.3, FCCC/SB/2013/INF.4, FCCC/SB/2013/INF.8, FCCC/SB/2013/INF.9, FCCC/SB/2013/INF.10, FCCC/SB/2013/INF.11, FCCC/SB/2013/MISC.2 and FCCC/SB/2013/MISC.4.

50. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item jointly with agenda sub-item 15(a) of the SBI in a joint SBI/SBSTA forum co-chaired by the Chair of the SBSTA, Mr. Muyungi, and the Chair of the SBI, Mr. Tomasz Chruszczow (Poland). It also agreed to consider, at this session, this agenda sub-item jointly with sub-item 9(b).

51. At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁴⁰

2. Conclusions

52. The SBSTA and the SBI welcomed the documents⁴¹ prepared for the sessions and noted with appreciation the meeting of the forum on the impact of the implementation of

³⁸ The sponsors of GCOS are WMO, the Intergovernmental Oceanographic Commission of the United Nations Educational, Scientific and Cultural Organization, the United Nations Environment Programme and the International Council for Science.

³⁹ See also document FCCC/SBSTA/2010/13, paragraph 55.

⁴⁰ Adopted as document FCCC/SB/2013/L.4.

response measures, and the presentations and exchanges made during the in-forum workshops on area (b),⁴² area (c),⁴³ area (d)⁴⁴ and area (g),⁴⁵ and the in-forum expert meeting on area (e),⁴⁶ of the work programme on the impact of the implementation of response measures (hereinafter referred to as the work programme).⁴⁷

53. The SBSTA and the SBI requested their Chairs, with the support of the secretariat, to prepare the report on the in-forum workshop on area (b) of the work programme before their fortieth sessions.

54. SBSTA 39 and SBI 39 agreed to submit the proposals by Parties contained in the annex to document FCCC/SB/2013/L.4 for consideration at COP 19.⁴⁸

B. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

(Agenda item 9(b))

Proceedings

55. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. At its 1st meeting, on a proposal by the Chair, the SBSTA agreed to consider at this session this agenda sub-item jointly with agenda sub-item 9(a) of the SBSTA and agenda sub-item 15(a) of the SBI. At the same meeting, the SBSTA also agreed that the Chair would undertake consultations with interested Parties on how to take up this sub-item at the next session.

56. At its 3rd meeting, the SBSTA agreed to continue, at SBSTA 40, consultations on how to take up this agenda sub-item.

X. Issues relating to agriculture

(Agenda item 10)

1. Proceedings

57. The SBSTA considered this agenda item at its 1st, 2nd and 3rd meetings. It had before it documents FCCC/SBSTA/2013/MISC.17 and Add.1 and 2. Statements were made by representatives of 22 Parties, including statements on behalf of the Group of 77 and China,

⁴¹ FCCC/SB/2013/INF.2, FCCC/SB/2013/INF.3, FCCC/SB/2013/INF.4, FCCC/SB/2013/INF.8, FCCC/SB/2013/INF.9, FCCC/SB/2013/INF.10, FCCC/SB/2013/INF.11, FCCC/SB/2013/MISC.2 and FCCC/SB/2013/MISC.4.

⁴² Cooperation on response strategies. All information on the workshop, including the presentations and the workshop report, is available at <<http://unfccc.int/7799.php>>.

⁴³ Assessment and analysis of impacts of response measures. All information on the workshop, including the presentations and the workshop report, is available at <<http://unfccc.int/7586.php>>.

⁴⁴ Exchanging experience and discussion of opportunities for economic diversification and transformation. All information on the workshop, including the presentations and the workshop report, is available at <<http://unfccc.int/items/7587.php>>.

⁴⁵ Just transition of the workforce, and the creation of decent work and quality jobs. All information on the workshop, including the presentations and the workshop report, is available at <<http://unfccc.int/7589.php>>.

⁴⁶ Economic modelling and socioeconomic trends. All information on the expert meeting, including the presentations and the workshop report, is available at <<http://unfccc.int/7588.php>>.

⁴⁷ FCCC/SBSTA/2012/2, annex III, and FCCC/SBI/2012/15, annex I.

⁴⁸ As no agreement could be reached by the COP, the COP requested the SBSTA and the SBI to continue consideration of this sub-item at their fortieth sessions, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.

the European Union and its 28 member States, the Umbrella Group, the EIG and the LDCs.⁴⁹

58. At its 1st meeting, the SBSTA agreed that the Chair would consult with interested Parties on how to proceed on this issue and report back to plenary later in the week. At its 2nd meeting, the SBSTA considered and adopted the conclusions below.⁵⁰ At the 3rd meeting, the Chair informed the SBSTA about the outcome of the considerations of this agenda item.

2. Conclusions

59. The SBSTA acknowledged with appreciation the rich exchange of views by Parties during the in-session workshop on the current state of scientific knowledge on how to enhance the adaptation of agriculture to climate change impacts while promoting rural development, sustainable development and productivity of agricultural systems and food security in all countries, particularly in developing countries, taking into account the diversity of the agricultural systems and the differences in scale as well as possible adaptation co-benefits.

60. The SBSTA agreed to consider at SBSTA 40 the report of the in-session workshop referred to in paragraph 59 above to be prepared by the secretariat, as requested at SBSTA 38 and the views submitted by Parties and admitted observer organizations on the elements included in paragraph 59 above as agreed at SBSTA 38 and contained in document FCCC/SBSTA/2013/MISC.17 and Add.1 and 2.

XI. Methodological issues under the Convention

(Agenda item 11)

A. Work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties

(Agenda item 11(a))

1. Proceedings

61. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/INF.5 and FCCC/SBSTA/2013/INF.14.

62. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in a contact group co-chaired by Ms. Riitta Pipatti (Finland) and Mr. Qiang Liu (China). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁵¹ Also at its 3rd meeting, the SBSTA recommended a draft decision⁵² for consideration and adoption at COP 19.⁵³

⁴⁹ Four Parties, including three speaking on behalf of their respective groups, requested that their statements on this matter be reflected in the report on the session. The statements are available in the webcast of the 3rd meeting of the SBSTA, at <http://unfccc4.meta-fusion.com/kongresse/cop19/templ/play.php?id_kongresssession=6949&theme=unfccc>.

⁵⁰ Adopted as document FCCC/SBSTA/2013/L.35.

⁵¹ Adopted as document FCCC/SBSTA/2013/L.32.

⁵² For the text of the draft decision, see document FCCC/SBSTA/2013/L.32/Add.1.

⁵³ For the text as adopted, see decision 23/CP.19.

2. Conclusions

63. The SBSTA continued its discussion on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties (hereinafter referred to as the review guidelines) under the work programme on the revision of the review guidelines agreed upon at SBSTA 37 (hereinafter referred to as the work programme).⁵⁴

64. The SBSTA considered the views submitted by Parties in response to the invitation made at SBSTA 38⁵⁵ in accordance with the work programme, and the synthesis report⁵⁶ on the views submitted by Parties prepared by the secretariat.

65. It welcomed the report⁵⁷ on the first technical workshop on the development of the review guidelines for biennial reports and national communications, held from 7 to 9 October 2013 in Bonn, which was organized by the secretariat under the guidance of the Chair of the SBSTA.

66. The SBSTA recommended a draft decision⁵⁸ on the guidelines for the review of biennial reports and national communications for consideration and adoption at COP19.⁵⁹

67. The SBSTA welcomed the presentation made by the secretariat on the development of the training materials and procedures as requested by SBSTA 38⁶⁰ and took note of the training materials. It recognized the importance of implementing the training of review experts before the review of the next submitted national communications and the submission of the first biennial reports in the first half of 2014. It requested the secretariat to make the materials available by electronic means for the review experts participating in the reviews in 2014 and to develop a formal training programme for consideration at SBSTA 40.

68. The SBSTA invited Parties, in accordance with the work programme, to submit to the secretariat, by 19 February 2014, detailed views on the structure, outline, key elements, including the purpose and scope of the review, timing and reporting, and content of the review guidelines for greenhouse gas (GHG) inventories for Annex I Parties. It requested the secretariat to prepare, by 26 March 2014, a synthesis report on those views and a draft of the revised review guidelines for GHG inventories based on those submissions as inputs to the discussion at the second technical workshop⁶¹ (to be held in April 2014) under the work programme.

69. The SBSTA agreed that, in their consideration of the revision of the review guidelines for GHG inventories for Annex I Parties, Parties should take into account experience with the review of information submitted by Annex I Parties and the need to have a cost-effective, efficient and practical review process that does not impose an excessive burden on Parties, experts or the secretariat.

70. The SBSTA also agreed that the work programme should be adjusted, and requested the secretariat to organize an additional technical workshop in the second half of 2014.

71. The SBSTA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraphs 67, 68 and 70 above.

⁵⁴ FCCC/SBSTA/2012/5, annex I.

⁵⁵ FCCC/SBSTA/2013/3, paragraph 96.

⁵⁶ FCCC/SBSTA/2013/INF.5.

⁵⁷ FCCC/SBSTA/2013/INF.14.

⁵⁸ For the text of the draft decision see document FCCC/SBSTA/2013/L.32/Add.1.

⁵⁹ Adopted as decision 23/CP.19.

⁶⁰ FCCC/SBSTA/2013/3, paragraph 97.

⁶¹ FCCC/SBSTA/2012/5, annex I.

72. The SBSTA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

B. General guidelines for domestic measurement, reporting and verification of domestically supported nationally appropriate mitigation actions by developing country Parties

(Agenda item 11(b))

Proceedings

73. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings.

74. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in a contact group co-chaired by Ms. Sarah Kuen (Belgium) and Mr. Qiang Liu. At its 3rd meeting, the SBSTA recommended a draft decision⁶² for consideration and adoption at COP 19.⁶³

C. Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in Annex I to the Convention

(Agenda item 11(c))

1. Proceedings

75. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings.

76. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in informal consultations co-facilitated by Ms. Pipatti and Mr. George Wamukoya (Swaziland). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁶⁴ Also at its 3rd meeting, the SBSTA recommended a draft decision⁶⁵ for consideration and adoption at COP 19.⁶⁶

2. Conclusions

77. The SBSTA recalled the launch, at SBSTA 30, of a work programme for the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories” (hereinafter referred to as the UNFCCC Annex I reporting guidelines),⁶⁷ including the common reporting format tables. It recommended that the revised UNFCCC Annex I reporting guidelines be adopted by the COP, for regular use starting in 2015.

78. The SBSTA welcomed the timely completion of the work of the IPCC on the *2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands* (hereinafter referred to as the supplementary guidance on wetlands), which was adopted and accepted by the IPCC at its thirty-seventh session, held in Batumi, Georgia, from 14 to 18 October 2013.

79. The SBSTA took note of the views submitted by Parties⁶⁸ on how to reflect the supplementary guidance on wetlands in the UNFCCC Annex I reporting guidelines and on

⁶² For the text of the draft decision, see document FCCC/SBSTA/2013/L.28.

⁶³ For the text as adopted, see decision 21/CP.19.

⁶⁴ Adopted as document FCCC/SBSTA/2013/L.29.

⁶⁵ For the text of the draft decision, see FCCC/SBSTA/2013/L.29/Add.1.

⁶⁶ For the text as adopted, see decision 24/CP.19.

⁶⁷ FCCC/SBSTA/2009/3, paragraph 101.

⁶⁸ Available at <http://unfccc.int/documentation/submissions_from_parties/items/5901.php>.

the reporting of carbon dioxide emissions related to ammonia production and urea application.

80. The SBSTA agreed that Annex I Parties need more time to consider the full scope of the supplementary guidance on wetlands. It agreed to continue discussions at SBSTA 46 (May 2017) to further explore the use of the guidance and invited Parties to submit to the secretariat, by 1 March 2017, information on their experience in the use of the guidance.

81. The SBSTA requested the secretariat to make the submissions from Parties referred to in paragraph 80 above available on the UNFCCC website.

82. The SBSTA agreed to further discuss the reporting of carbon dioxide emissions/removals relating to harvested wood products, taking into account Parties' experience in reporting on harvested wood products, including their experience in the use of the different approaches set out in the *2006 IPCC Guidelines for National Greenhouse Gas Inventories*. It also agreed to continue such discussions at SBSTA 46.

83. The SBSTA recommended a draft decision⁶⁹ on the revised "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual greenhouse gas inventories" for consideration and adoption at COP 19.⁷⁰

D. Greenhouse gas data interface

(Agenda item 11(d))

1. Proceedings

84. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings.

85. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in informal consultations, facilitated by Ms. Harutyunyan. At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁷¹

2. Conclusions

86. The SBSTA recalled its request from SBSTA 38⁷² to the secretariat to implement, by June 2015, any necessary technical changes to the greenhouse gas data interface, should the revised "Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual greenhouse gas inventories" be adopted at COP 19.

87. The SBSTA agreed to further consider matters relating to the further development of the interface at SBSTA 43 (December 2015), with a view to determining the next steps.

88. The SBSTA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraph 86 above.

89. The SBSTA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

⁶⁹ For the text of the draft decision, see document FCCC/SBSTA/2013/L.29/Add.1.

⁷⁰ For the text as adopted, see decision 24/CP.19.

⁷¹ Adopted as document FCCC/SBSTA/2013/L.23.

⁷² FCCC/SBSTA/2013/3, paragraph 121.

E. Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention

(Agenda item 11(e))

Proceedings

90. The SBSTA considered this agenda sub-item at its 1st meeting. It had before it document FCCC/SBSTA/2013/INF.8. At the same meeting, the SBSTA took note of the report.

F. Emissions from fuel used for international aviation and maritime transport

(Agenda item 11(f))

1. Proceedings

91. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SBSTA/2013/MISC.20. Statements were made by representatives of eight Parties, including one on behalf of a group of Parties.⁷³ Statements were also made by representatives of the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO).

92. At its 1st meeting, the SBSTA agreed that the Chair would consult with Parties on this issue and present draft conclusions to the SBSTA at its 3rd meeting. At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁷⁴

2. Conclusions

93. The SBSTA took note of the information received from and progress reported by the secretariats of the ICAO and the IMO on their ongoing work on addressing emissions from fuel used for international aviation and maritime transport,⁷⁵ and noted the views expressed by Parties on this information.

94. The SBSTA invited the secretariats of ICAO and IMO to continue to report, at future sessions of the SBSTA, on relevant work on this issue.

⁷³ One Party, on behalf of a group of Parties, requested that its statement on this matter be recorded in the report on the session. The statement is available in the webcast of the 1st meeting of the SBSTA, at < http://unfccc4.meta-fusion.com/kongresse/cop19/templ/play.php?id_kongresssession=6859&theme=unfccc >.

⁷⁴ Adopted as document FCCC/SBSTA/2013/L.22.

⁷⁵ FCCC/SBSTA/2013/MISC.20.

XII. Methodological issues under the Kyoto Protocol

(Agenda item 12)

A. Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol

(Agenda item 12(a))

1. Proceedings

95. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/INF.15 and FCCC/TP/2013/9.

96. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in a contact group co-chaired by Mr. Nagmeldin Elhassan (Sudan) and Ms. Anke Herold (Germany). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁷⁶

2. Conclusions

97. Responding to the request made by the CMP,⁷⁷ the SBSTA continued its work on assessing and addressing the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7, 1/CMP.8 and 2/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol.

98. Responding to the request made by the CMP, the SBSTA also began to consider issues related to the clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”.

99. The SBSTA welcomed the report⁷⁸ on the workshop on the implications referred to in paragraph 97 above, held in Bonn from 21 to 23 October 2013, which was organized by the secretariat following a request made by the CMP.⁷⁹

100. The SBSTA welcomed the timely completion of the work of the IPCC on the supplementary methodologies for estimating anthropogenic greenhouse gas emissions by sources and removals by sinks resulting from land use, land-use change and forestry activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol, on the basis of, inter alia, chapter 4 of the *Good Practice Guidance for Land Use, Land-Use Change and Forestry*,⁸⁰ which was adopted and accepted by the IPCC at its thirty-seventh session, held in Batumi from 14 to 18 October 2013.⁸¹

101. The SBSTA advanced its work on the implications referred to in paragraphs 97 and 98 above, but was not able to conclude it. The SBSTA agreed to invite CMP 9 to consider the draft text of elements of a draft decision contained in the annex to document

⁷⁶ Adopted as document FCCC/SBSTA/2013/L.31.

⁷⁷ Decision 2/CMP.8, paragraph 6.

⁷⁸ FCCC/SBSTA/2013/INF.15.

⁷⁹ Decision 2/CMP.8, paragraph 10(c).

⁸⁰ *2013 Revised Supplementary Methods and Good Practice Guidance Arising from the Kyoto Protocol*.

⁸¹ Decision 2/CMP.8, paragraph 8.

FCCC/SBSTA/2013/L.31 in order to resolve the outstanding issues and to consider and adopt a decision or decisions at CMP 9.⁸²

B. Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism

(Agenda item 12(b))

1. Proceedings

102. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/MISC.18 and Add.1 and FCCC/SBSTA/2013/MISC.19.

103. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Marcelo Rocha (Brazil) and Ms. Lucia Perugini (Italy). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁸³

2. Conclusions

104. Following the requests contained in decision 2/CMP.7, paragraphs 5, 6, 7 and 10, the SBSTA continued its consideration of issues related to land use, land-use change and forestry (LULUCF).

105. The SBSTA took note of the views submitted by Parties⁸⁴ and admitted observer organizations⁸⁵ in response to the invitations contained in document FCCC/SBSTA/2013/3, paragraphs 143 and 146. It noted that the workshop referred to in paragraph 144 of that document could not take place.

106. The SBSTA agreed to continue, at SBSTA 40, its consideration of issues relating to modalities and procedures for possible additional LULUCF activities under the clean development mechanism (CDM) and modalities and procedures for alternative approaches to addressing the risk of non-permanence under the CDM, with a view to forwarding a draft decision on such matters for consideration and adoption at CMP 10 (December 2014).

107. The SBSTA requested the secretariat to prepare, by 26 March 2014, a technical paper exploring options for the consideration of possible additional LULUCF activities under the CDM and alternative approaches to addressing the risk of non-permanence under the CDM, on the basis of the views submitted by Parties and admitted observer organizations in response to the invitations contained in documents FCCC/SBSTA/2013/3, paragraph 143, and FCCC/SBSTA/2012/2, paragraphs 116 and 117. The technical paper should also explore the implications of such possible additional LULUCF activities under the CDM and alternative approaches to addressing the risk of non-permanence under the CDM for the validation, monitoring and verification of CDM project activities.

108. Recalling its previous invitation,⁸⁶ the SBSTA encouraged Parties and admitted observer organizations to continue submitting, until 28 February 2014, their views in response to that invitation.

⁸² The elements of the draft decision related to the reporting of activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol were adopted as decision 6/CMP. 9. Other elements relating to accounting and the reporting thereof were forwarded by the CMP to SBSTA 40.

⁸³ Adopted as document FCCC/SBSTA/2013/L.26.

⁸⁴ FCCC/SBSTA/2013/MISC.18 and Add.1 and FCCC/SBSTA/2013/MISC.19. Also available at <<http://unfccc.int/5901.php>>.

⁸⁵ The views of observer organizations are available at <<http://unfccc.int/7482.php>>.

⁸⁶ FCCC/SBSTA/2013/3, paragraph 143.

109. The SBSTA also requested the secretariat to organize a workshop, to be held before SBSTA 41, to facilitate the development of the modalities and procedures referred to in paragraph 3 above, taking into consideration: (a) the views of Parties and admitted observer organizations referred to in paragraphs 107 and 108 above; (b) the technical paper referred to in paragraph 107 above; and (c) any outstanding issues identified by Parties at SBSTA 40. It further requested the secretariat to prepare a report on that workshop for consideration at SBSTA 41.

110. The SBSTA agreed to continue, at SBSTA 40, its consideration of issues under the work programmes referred to in decision 2/CMP.7, paragraphs 5 and 10.

111. It also agreed that any outcomes of the work programmes referred to in decision 2/CMP.7, paragraphs 5 and 10, will not be applicable in the second commitment period of the Kyoto Protocol.

112. The SBSTA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraphs 107 and 109 above. It requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

C. Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23)

(Agenda item 12(c))

1. Proceedings

113. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings.

114. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Jeffery Spooner (Jamaica) and Ms. Ulrika Raab (Sweden). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁸⁷ Also at its 3rd meeting, the SBSTA recommended draft CMP conclusions⁸⁸ for consideration and adoption at CMP 9.⁸⁹

2. Conclusions

115. The SBSTA continued its work on the implications of establishing new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23).

116. The SBSTA agreed to conclude its consideration of these issues and recommended draft conclusions⁹⁰ on this matter for consideration and adoption at CMP 9.⁹¹

⁸⁷ Adopted as document FCCC/SBSTA/2013/L.24.

⁸⁸ For the text of the draft conclusions, see document FCCC/SBSTA/2013/L.24/Add.1.

⁸⁹ See the CMP report contained in document FCCC/CMP/2013/9.

⁹⁰ For the text of the draft conclusions, see document FCCC/SBSTA/2013/L.24/Add.1.

⁹¹ See the CMP report contained in document FCCC/CMP/2013/9.

D. Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol

(Agenda item 12(d))

Proceedings

117. The SBSTA considered this agenda sub-item at its 1st meeting. It had before it document FCCC/SBSTA/2013/INF.9. At the same meeting, the SBSTA took note of the report.

E. Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”

(Agenda item 12(e))

Proceedings

118. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item together with sub-item 12(a) in the same contact group, noting the close links between the two agenda sub-items. At its 3rd meeting, the SBSTA considered and adopted joint conclusions under this agenda sub-item and sub-item 12(a)⁹² as outlined in paragraphs 97–101 above.

XIII. Market and non-market mechanisms under the Convention

(Agenda item 13)

A. Framework for various approaches

(Agenda item 13(a))

Proceedings

119. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/INF.11 and FCCC/TP/2013/5. Statements were made by representatives of 18 Parties, including statements on behalf of the Umbrella Group, the African States, the EIG, the European Union and its 28 member States, the LDCs and the Independent Association for Latin America and the Caribbean (AILAC).

120. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in a contact group co-chaired by Mr. Giza Gaspar Martins (Angola) and Mr. Martin Cames (Germany). As the SBSTA was unable to reach agreement on this matter, it agreed to consider this sub-item at SBSTA 40 in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.

⁹² Adopted as document FCCC/SBSTA/2013/L.31.

B. Non-market-based approaches

(Agenda item 13(b))

Proceedings

121. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/INF.12. Statements were made by representatives of 18 Parties, including one on behalf of the Umbrella Group, the African States, the EIG, the European Union and its 28 member States, the LDCs and AILAC.

122. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in a contact group co-chaired by Mr. Eduardo Sanhueza (Chile) and Ms. Nataliya Kushko (Ukraine). As the SBSTA was unable to reach agreement on this matter, it agreed to consider this agenda sub-item at SBSTA 40 in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.

C. New market-based mechanism

(Agenda item 13(c))

Proceedings

123. The SBSTA considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBSTA/2013/INF.13 and FCCC/TP/2013/6. Statements were made by representatives of 18 Parties, including one on behalf of the Umbrella Group, the African States, the EIG, the European Union and its 28 member States, the LDCs and AILAC.

124. At its 1st meeting, the SBSTA agreed to consider this agenda sub-item in a contact group co-chaired by Mr. Derrick Oderson (Saint Kitts and Nevis) and Ms. Laurence Mortier (Switzerland). As the SBSTA was unable to reach agreement on this matter, it agreed to consider this agenda sub-item at SBSTA 40 in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.

XIV. The 2013–2015 review

(Agenda item 14)

1. Proceedings

125. The SBSTA considered this agenda item at its 1st and 3rd meetings. It had before it document FCCC/SB/2013/INF.12.

126. At its 1st meeting, the SBSTA agreed to consider this agenda item jointly with agenda item 16 of the SBI in a contact group co-chaired by Ms. Gertraud Wollansky (Austria) and Mr. Leon Charles (Vanuatu). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁹³

2. Conclusions

127. The SBSTA and the SBI initiated in 2013 the steps necessary to start the consideration of inputs for the review, in accordance with decisions 2/CP.17 and 1/CP.18. Those steps included the gathering and compilation of information relevant to the review, the establishment of a joint contact group, and the establishment and operationalization of a structured expert dialogue (SED) to support the work of the joint contact group.

⁹³ Adopted as document FCCC/SB/2013/L.1.

128. The SBSTA and the SBI welcomed the start of the review and the organization of the first SED on the 2013–2015 review, held on 5 June 2013 in Bonn. They took note of the summary report on the in-session workshop held at the first SED⁹⁴ and the report of the SED of the 2013–2015 review for 2013.⁹⁵

129. The SBSTA and the SBI also welcomed the second SED, held on 12 and 13 November 2013 in Warsaw. They noted the value of the SED in enabling Parties to proactively contribute to the dialogue and engage with the scientific and other experts in the SED and looked forward to the report on the second in-session meeting of the SED.

130. The SBSTA and the SBI noted with appreciation the contributions made by the representatives of the IPCC, in particular through consideration of the report of Working Group I to the AR5, the TEC, the CTCN, the Standing Committee on Finance and the Global Environment Facility at the second SED, and encouraged them and other organizations to support the future work of the SED through continuing dialogue with Parties.

131. The SBSTA and the SBI recognized that the review consists of several phases⁹⁶ and noted that the review is still in an early stage and that information gathering and consideration has started.

132. The SBSTA and the SBI reiterated the need to conduct the review in an effective and efficient manner in order to avoid duplication of ongoing work with a view to ensuring the scientific integrity of the review, in accordance with the relevant provisions of decisions 1/CP.16, 1/CP.17, 2/CP.17 and 1/CP.18.

133. The SBSTA and the SBI requested the co-facilitators of the SED, with support from the secretariat, to organize meetings of the SED in 2014 in conjunction with the fortieth and forty-first sessions of the subsidiary bodies. They also requested the co-facilitators to assess the need for additional meetings.

134. The SBSTA and the SBI agreed to continue to consider the AR5 reports as they become available, other inputs as listed in decision 2/CP.17, paragraph 161, questions put forward by Parties to ensure a balanced consideration of these inputs, and the views of Parties on both themes of the 2013–2015 review, in accordance with decision 1/CP.18, paragraph 88.

135. The SBSTA and the SBI invited Parties to submit to the secretariat, by 26 March, 2014, their views on the future work of the SED, including the further use of different sources of information, and requested the secretariat to compile these submissions into a miscellaneous document.

136. The SBSTA and the SBI also invited Parties to submit to the secretariat, by 26 March, 2014, their views on how the outcomes of the review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action, as requested by decision 1/CP.17, paragraph 6, and requested the secretariat to compile these submissions into a miscellaneous document.

137. The SBSTA and the SBI took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraph 133 above.

138. The SBSTA and the SBI requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

⁹⁴ See <<http://unfccc.int/7521.php>>.

⁹⁵ FCCC/SB/2013/INF.12.

⁹⁶ Decision 2/CP.17, paragraph 164.

XV. Work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties

(Agenda item 15)

1. Proceedings

139. The SBSTA considered this agenda item at its 1st and 3rd meetings. It had before it document FCCC/SBSTA/2013/INF.10 and FCCC/TP/2013/7.

140. At its 1st meeting, the SBSTA agreed to consider this agenda item in informal consultations co-facilitated by Ms. Karine Hertzberg (Norway) and Mr. Brian Mantlana (South Africa). At its 3rd meeting, the SBSTA considered and adopted the conclusions below.⁹⁷ Also at its 3rd meeting, the SBSTA recommended draft conclusions⁹⁸ for consideration and adoption at COP 19.⁹⁹

2. Conclusions

141. The SBSTA continued to advance the work programme on clarification of quantified economy-wide emission reduction targets of developed country Parties as contained in document FCCC/SB/2011/INF.1/Rev.1 (hereinafter referred to as the work programme) in accordance with decision 1/CP.18, paragraph 8.

142. The SBSTA acknowledged that, regarding the identification of common elements for measuring the progress made towards the achievement of the quantified economy-wide emission reduction targets, as contained in decision 2/CP.17, paragraph 5, there is a convergence in the coverage of sectors and gases and in the use of global warming potential values among developed country Parties.

143. The SBSTA also acknowledged that the identification of common elements for measuring the progress made towards the achievement of the quantified economy-wide emission reduction targets will contribute to ensuring the comparability of efforts among developed country Parties.

144. The SBSTA took note of the information provided in documents FCCC/TP/2013/7 and FCCC/SBSTA/2013/INF.10 and in the technical briefing on reporting on land use, land-use change and forestry, and expressed its appreciation to the Food and Agriculture Organization of the United Nations and the IPCC for their presentations.

145. The SBSTA agreed to undertake further work in 2014 on identifying common elements, with regard to the elements referred to in decision 2/CP.17, paragraph 5, for measuring the progress made towards the achievement of the quantified economy-wide emission reduction targets as contained in document FCCC/SB/2011/INF.1/Rev.1, and on ensuring comparability of efforts among developed country Parties, taking into account differences in their national circumstances. In that regard, it elaborated on the activities to be undertaken under the work programme in 2014, taking into account that biennial reports are due on 1 January 2014, and requested the following:

- (a) The secretariat to organize focused in-session expert meetings and technical briefings, for further clarification of the following:
 - (i) The role of land use, land-use change and forestry;
 - (ii) The role of carbon credits from market-based mechanisms;

⁹⁷ Adopted as document FCCC/SBSTA/2013/L.30.

⁹⁸ For the text of the draft conclusions, see document FCCC/SBSTA/2013/L.30/Add.1.

⁹⁹ See the COP report contained in document FCCC/CP/2013/10.

- (iii) The coverage of gases and sectors and to global warming potential values;
- (iv) Assumptions and conditions related to the ambition of the pledges;
- (b) The secretariat to update document FCCC/TP/2013/7.

146. The SBSTA recalled its mandate¹⁰⁰ to report on the progress achieved under the work programme to the COP and recommended draft conclusions¹⁰¹ on this matter for consideration and adoption at COP 19.¹⁰²

XVI. Other matters

(Agenda item 16)

Proceedings

147. The SBSTA considered this agenda item at its 1st, 2nd and 3rd meetings. It had before it document FCCC/SBSTA/2013/4/Add.1, containing the proposal by Brazil. Statements were made by representatives of 20 Parties, including statements on behalf of the European Union and its 28 member States, and the Group of 77 and China.¹⁰³

148. At its 1st meeting, the SBSTA agreed that the Chair would consult with interested Parties on how to proceed on this matter and report back to a plenary later in that week. At the 2nd meeting, the Chair invited Parties to continue consultations amongst themselves on how to proceed on this matter and to inform the Chair on the outcome of those consultations. At the 3rd meeting, the Chair informed the SBSTA that, as there had been no consensus among Parties to discuss this issue under this agenda item, the SBSTA could not discuss this issue at this session.

XVII. Report on the session

(Agenda item 17)

149. At its 3rd meeting, on 17 November, the SBSTA considered and adopted the draft report on SBSTA 39.¹⁰⁴ At the same meeting, on a proposal by the Chair, the SBSTA authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

XVIII. Closure of the session

150. At the 3rd meeting, a representative of the secretariat provided a preliminary estimate of the administrative and budgetary implications of conclusions adopted during the session. This follows decision 16/CP.9, paragraph 20, which requests the Executive Secretary to

¹⁰⁰ Decision 1/CP.18, paragraph 13.

¹⁰¹ For the text of the draft conclusions, see document FCCC/SBSTA/2013/L.30/Add.1.

¹⁰² See the COP report contained in document FCCC/CP/2013/10.

¹⁰³ Five Parties requested that their statements on this issue be reflected in the report on the session. The statements are available in the webcast of the 2nd and 3rd meetings of the SBSTA, at <http://unfccc4.meta-fusion.com/kongresse/cop19/templ/play.php?id_kongresssession=6906&theme=unfccc> and <http://unfccc4.meta-fusion.com/kongresse/cop19/templ/play.php?id_kongresssession=6949&theme=unfccc>.

¹⁰⁴ Adopted as document FCCC/SBSTA/2013/L.21.

provide an indication of the administrative and budgetary implications of decisions¹⁰⁵ if these cannot be met from existing resources within the core budget.

151. The Deputy Executive Secretary informed Parties that a number of activities coming out of the negotiations at this session called for more support by the secretariat and, therefore, required additional resources in the coming years over and above the core budget for 2014–2015 that was currently under consideration. These include the following:

(a) Under agenda item 3, “Nairobi work programme on impacts, vulnerability and adaptation to climate change”, the secretariat has been requested to organize a technical expert meeting in conjunction with the Adaptation Committee’s workshop on best practices and needs of local and indigenous communities, to compile the submissions and make them available by SBSTA 41, and to provide support to the Adaptation Committee upon its request. The estimated cost amounts to EUR 180,000;

(b) Under sub-agenda item 11(a), “Work programme on the revision of the guidelines for the review of biennial reports and national communications, including national inventory reviews, for developed country Parties”, the secretariat has been requested to develop a formal training programme in 2014 for reviews of biennial reports and national communications, for consideration at SBSTA 40; to organize an additional technical workshop in the second half of 2014; and to facilitate an annual meeting of lead reviewers for biennial reports and national communications. The estimated cost amounts to EUR 345,000;

(c) Under agenda sub-item 12(b), “Land use, land-use change and forestry under Article 3, paragraphs 3 and 4, of the Kyoto Protocol and under the clean development mechanism”, the secretariat has been requested to prepare a technical paper by 26 March 2014, organize a workshop before SBSTA 41 and prepare a report on that workshop for consideration at SBSTA 41. The estimated cost amounts to EUR 135,000;

(d) Under agenda item 14, “The 2013–2015 review”, the secretariat has been requested to support the organization of the meetings of the SED in 2014, in conjunction with the fortieth and forty-first sessions of the subsidiary bodies. This support is estimated at EUR 150,000. For the information of Parties, the same costs are also stated under SBI agenda item 16.

152. Overall additional funds in the amount of EUR 800,000 will need to be provided to cover these activities. All of these amounts are preliminary and are based on the information available at the time of the closing plenary of the SBSTA. Additional requests for outputs to be delivered by the secretariat in 2014, including those requiring further funding, are contained in decisions adopted at COP 19 and CMP 9 pursuant to recommendations by the SBSTA. Some of the conclusions and decisions adopted by the SBSTA at that session will have budgetary implications beyond 2015. With regards to resources requirements for 2016–2017, they will be reviewed in the context of established budgetary procedures.

153. The Chair noted that in the absence of adequate additional funding, the secretariat may not be in a position to undertake the requested activities.

154. At the 3rd meeting, closing statements were made by representatives of 8 Parties, including statements on behalf of the Group of 77 and China, the Umbrella Group, AOSIS, the EIG, African States, the European Union and its 28 member States, the Bolivarian

¹⁰⁵ While decision 16/CP.9 refers to “decisions”, it also has implications for conclusions of the subsidiary bodies.

Alliance for the Peoples of Our America – Peoples’ Trade Treaty, and the LDCs.¹⁰⁶ Statements were also made on behalf of farmers, IPO, YOUNGO and ENGO.¹⁰⁷ The Deputy Executive Secretary, on behalf of the secretariat, in particular the Executive Secretary, paid tribute to the SBSTA Chair for much appreciated collaboration, his leadership on many items and the results achieved during the past two years and anticipated further positive collaboration with the SBSTA Chair, in his future position, on efforts to solve global climate problems.

155. The Chair, in concluding his last session, thanked the facilitators and the secretariat, particularly the SBSTA team, and expressed appreciation for the support given during the three sessions of the COP where he had served as the SBSTA Chair. He also thanked Parties for their constructive and flexible attitude and cooperation, which made all the achievements possible. He further thanked the Government of the United Republic of Tanzania, his family, colleagues and friends, all of whom supported him in fulfilling this function.

¹⁰⁶ One Party, speaking on behalf of a group of Parties, requested that its statement on agenda sub-item 7(a) be reflected in the report on the session. Statements can be viewed at <http://unfccc.int/meetings/warsaw_nov_2013/items/7960.php>.

¹⁰⁷ All closing statements are available at <http://unfccc.int/meetings/warsaw_nov_2013/items/7960.php>.