



**Conference of the Parties serving as the meeting
of the Parties to the Kyoto Protocol**

Ninth session

Warsaw, 11–22 November 2013

Agenda item 20(a)

Conclusion of the session

**Adoption of the report of the Conference of the Parties serving as the meeting
of the Parties to the Kyoto Protocol on its ninth session**

**Draft report of the Conference of the Parties serving as the
meeting of the Parties to the Kyoto Protocol on its ninth
session**

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Part one: Proceedings

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(To be completed)

**Part two: Action taken by the Conference of the Parties serving as the
meeting of the Parties to the Kyoto Protocol at its ninth session**

(To be completed)

I. Opening of the session

(Agenda item 1)

1. The ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), convened pursuant to Article 13, paragraph 6, of the Kyoto Protocol, was opened at the National Stadium in Warsaw, Poland, on 11 November 2013 by the Minister of the Environment of Poland, Mr. Marcin Korolec, President of CMP 9.¹

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2(a))

2. At its 1st meeting,² on 11 November, the CMP had before it a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/KP/CMP/2013/1). The provisional agenda was prepared in agreement with the President of CMP 8 after consultation with the Bureau.

3. In accordance with rule 13 of the draft rules of procedure being applied, a request was made by a representative of the Group of 77 and China (G77 and China) to include an item on the CMP 9 agenda on modalities and arrangements for the high-level ministerial

¹ CMP 9 is being held in conjunction with the nineteenth session of the Conference of the Parties (COP). The proceedings of the COP are contained in a separate report. References to statements and an address of welcome made at the opening of the United Nations Climate Change Conference in Warsaw are contained in the report of the COP. The proceedings of the joint meetings of the COP and the CMP convened during the high-level segment of the sessions are reproduced in both reports.

² Meetings of the CMP referred to in this report are plenary meetings.

round table to revisit the quantified emission limitation and reduction commitments for the second commitment period of the Kyoto Protocol. Statements were made by representatives of three groups. There was no consensus to include the proposed item on the agenda.

4. Following the proposal of the President of CMP 9, the CMP adopted the agenda as follows:

1. Opening of the session.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Election of replacement officers;
 - (c) Organization of work, including the sessions of the subsidiary bodies;
 - (d) Approval of the report on credentials;
 - (e) Status of ratification of the Doha Amendment to the Kyoto Protocol.
3. Reports of the subsidiary bodies:
 - (a) Report of the Subsidiary Body for Scientific and Technological Advice;
 - (b) Report of the Subsidiary Body for Implementation.
4. Issues relating to the clean development mechanism:
 - (a) Guidance relating to the clean development mechanism;
 - (b) Review of the modalities and procedures for the clean development mechanism.
5. Issues relating to joint implementation:
 - (a) Guidance relating to joint implementation;
 - (b) Review of the joint implementation guidelines.
6. Report of the Compliance Committee.
7. Adaptation Fund:
 - (a) Report of the Adaptation Fund Board;
 - (b) Second review of the Adaptation Fund.
8. National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol.
9. Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period.
10. Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol.
11. Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”.
12. Capacity-building under the Kyoto Protocol.
13. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol.

14. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
15. Other matters referred to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol by the subsidiary bodies.
16. Administrative, financial and institutional matters:
 - (a) Budget performance for the biennium 2012–2013;
 - (b) Programme budget for the biennium 2014–2015;
 - (c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.
17. High-level segment.
18. Statements by observer organizations.
19. Other matters.
20. Conclusion of the session:
 - (a) Adoption of the report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its ninth session;
 - (b) Closure of the session.

B. Election of replacement officers

(Agenda item 2(b))

5. At the 1st meeting, the President recalled that, under the Kyoto Protocol, any member of the Bureau representing a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and from among the Parties to the Kyoto Protocol.

6. The President proposed returning to this agenda sub-item in due course, if necessary, during the session, once consultations had been undertaken and outstanding nominations had been received. He reminded Parties that the deadline for nominations was 8 p.m. on Thursday, 21 November 2013.

(To be completed)

C. Organization of work, including the sessions of the subsidiary bodies

(Agenda item 2(c))

7. At the 1st meeting, the President drew the attention of the CMP to the annotations to the provisional agenda contained in document FCCC/KP/CMP/2013/1. He noted that the subsidiary bodies would be convened with the aim of developing, before their sessions ended on 16 November, draft decisions and conclusions for submission to the CMP.

8. On a proposal by the President, the CMP decided to refer items to the subsidiary bodies for consideration and the submission of appropriate draft decisions or conclusions, as follows:

Subsidiary Body for Scientific and Technological Advice

Item 11 Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”

- Item 13 Matters relating to Article 2, paragraph 3, of the Kyoto Protocol
- Subsidiary Body for Implementation
- Item 4(b) Review of the modalities and procedures for the clean development mechanism
- Item 5(b) Review of the joint implementation guidelines
- Item 7(b) Second review of the Adaptation Fund
- Item 8 National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol
- Item 9 Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period
- Item 10 Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol
- Item 12 Capacity-building under the Kyoto Protocol
- Item 14 Matters relating to Article 3, paragraph 14, of the Kyoto Protocol
- Item 16(a) Budget performance for the biennium 2012–2013
- Item 16(b) Programme budget for the biennium 2014–2015
- Item 16(c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

9. Delegates were reminded that the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation (SBI) would consider different aspects of agenda items 13 and 14.

10. The President encouraged the Chairs of the subsidiary bodies to take creative measures to ensure effective time management, and urged that every effort be made to maximize the meeting time available for discussions on substantive items under the CMP.

11. With regard to agenda item 17, “High-level segment”, the President informed delegates that the arrangements would follow the approach outlined at the opening plenary of the Conference of the Parties (COP).³ The President also informed delegates that two high-level policy dialogues would be held in the second week of the session, and he encouraged all ministers and heads of delegation to attend and contribute actively to those dialogues.

12. The President recalled the recommendation of the SBI regarding the participation of observers in informal sessions and proposed that at least the first and last meetings of informal consultations be open to observer organizations, where appropriate.⁴

13. The CMP agreed to proceed on the basis of the proposal by the President.

14. General statements were made by representatives of Fiji (on behalf of the G77 and China), Lithuania (on behalf of the European Union and its member States), Australia (on behalf of the Umbrella Group), Liechtenstein (on behalf of the Environmental Integrity Group), Nauru (on behalf of the Alliance of Small Island States), Nepal (on behalf of the least developed countries), Swaziland (on behalf of the African States), China (on behalf of Brazil, China, India and South Africa), Nicaragua (on behalf of the Like-minded Developing Countries), Saudi Arabia (on behalf of the Arab Group), Ecuador (on behalf of

³ FCCC/CP/2013/L.1, paragraph 18.

⁴ FCCC/SBI/2011/7, paragraph 167.

the Bolivarian Alliance for the Peoples of Our America – Peoples’ Trade Treaty), Colombia (on behalf of the Independent Association for Latin America and the Caribbean). A representative of one Party made a statement.

15. Statements were made by representatives of three non-governmental organization constituencies (environmental, indigenous peoples and youth).

D. Approval of the report on credentials

(Agenda item 2(d))

(To be completed)

E. Status of ratification of the Doha Amendment to the Kyoto Protocol

(Agenda item 2(e))

16. At its 2nd meeting, on 13 November, the CMP considered the status report presented by the Executive Secretary on the instruments of acceptance received by the Depositary in respect of the Doha Amendment.

17. The Executive Secretary recalled that, on 8 December 2012, CMP 8 adopted, in accordance with Articles 20 and 21 of the Kyoto Protocol, the Doha Amendment to the Kyoto Protocol.⁵ She outlined that, on the basis of the current number of Parties to the Kyoto Protocol (192), the Doha Amendment requires 144 instruments of acceptance in order to enter into force. She noted that, as at 12 November 2013, the Depositary had received instruments of acceptance of the Doha Amendment from three Parties. She also noted that other Parties had started the ratification process. She encouraged all Parties participating in the second commitment period of the Kyoto Protocol to ratify the Doha Amendment as soon as possible.

18. The President invited Parties to expedite the deposit of their instruments of acceptance in respect of the Doha Amendment.

19. Representatives of three Parties made statements, including one on behalf of the European Union.

III. Reports of the subsidiary bodies

(Agenda item 3)

A. Report of the Subsidiary Body for Scientific and Technological Advice

(Agenda item 3(a))

(To be completed)

B. Report of the Subsidiary Body for Implementation

(Agenda item 3(b))

(To be completed)

IV. Issues relating to the clean development mechanism

(Agenda item 4)

⁵ Decision 1/CMP.8.

A. Guidance relating to the clean development mechanism

(Agenda item 4(a))

20. At its 2nd meeting, the President referred delegates to document FCCC/KP/CMP/2013/5 (Parts I and II), containing the annual report of the Executive Board of the clean development mechanism (CDM) and its recommendations. The annual report covers the activities of the CDM Executive Board in the period from 14 September 2012 to 4 October 2013.

21. At the invitation of the President, the Chair of the Executive Board, Mr. Peer Stiansen (Norway), reported to the CMP on the progress of the work of the Executive Board. He drew attention to the various recommendations of the Board to the CMP. The President thanked all of the Board members for their hard work and acknowledged the achievements made in the past year.

22. Representatives of eight Parties made statements, including one on behalf of the African States and one on behalf of a regional group. Statements were also made by a related organization in the United Nations system and by a representative of environmental non-governmental organizations.

23. The President noted that, in order to acknowledge the work of the Executive Board and to guide it further, the CMP would need to adopt a decision under this agenda item at CMP 9. Following his proposal, the CMP decided to establish a contact group, co-chaired by Mr. Giza Gaspar Martins (Angola) and Mr. Marko Berglund (Finland), on this matter.

24. The President reminded Parties that several members and alternate members of the CDM Executive Board needed to be elected by the CMP at the session.

(To be completed)

B. Review of the modalities and procedures for the clean development mechanism

(Agenda item 4(b))

(To be completed)

V. Issues relating to joint implementation

(Agenda item 5)

A. Guidance relating to joint implementation

(Agenda item 5(a))

25. At the 2nd meeting, the President drew the attention of delegates to document FCCC/KP/CMP/2013/4 and Corr.1, containing the annual report of the Joint Implementation Supervisory Committee (JISC), which covers its activities in the period from 28 September 2012 to 24 September 2013.

26. At the invitation of the President, the Chair of the JISC, Mr. Derrick Oderson (Barbados), gave an oral report to the CMP on the progress of the work of the JISC. He highlighted the recommendations of the JISC to be considered by the CMP. The President thanked all of the members and alternate members of the JISC for their hard work over the past year.

27. The President noted that, in order to acknowledge the work of the JISC and to guide it further, the CMP would need to adopt a decision under this agenda item at CMP 9

session. Following his proposal, the CMP decided to establish a contact group, co-chaired by Mr. Yaw Osafo (Ghana) and Mr. Dimitar Nikov (France), on this matter.

28. The President reminded Parties that several members and alternate members of the JISC needed to be elected by the CMP at the session.

(To be completed)

B. Review of the joint implementation guidelines

(Agenda item 5(b))

(To be completed)

VI. Report of the Compliance Committee

(Agenda item 6)

29. At the 2nd meeting, the President referred delegates to document FCCC/KP/CMP/2013/3, containing the eighth annual report of the Compliance Committee to the CMP, which provides information on the activities of the Compliance Committee in the period from 26 October 2012 to 18 September 2013.

30. At the invitation of the President, the co-chairperson of the plenary of the Compliance Committee, Mr. Khalid Abuleif (Saudi Arabia), provided an overview of the annual report to the CMP. He noted that a substantial change in the membership of the Committee is to occur and recalled the goal of promoting gender balance in nominations. The President thanked all of the members and alternate members of the Committee for their hard work over the past year and then opened the floor for statements to be made by Parties, but there were none.

31. The President noted that the CMP would need to adopt a decision under this agenda item at CMP 9. Following his proposal, the CMP agreed to hold informal consultations, co-facilitated by Mr. Ilhomjon Rajabov (Tajikistan) and Ms. Ida Kärnström (Sweden), on this matter.

32. The President reminded Parties that several members and alternate members of the Compliance Committee needed to be elected by the CMP at the session.

(To be completed)

VII. Adaptation Fund

(Agenda item 7)

A. Report of the Adaptation Fund Board

(Agenda item 7(a))

33. At the 2nd meeting, the President referred delegates to document FCCC/KP/CMP/2013/2, containing the annual report of the Adaptation Fund Board, which covers the period from 30 November 2012 to 31 July 2013.

34. At the invitation of the President, the Chair of the Adaptation Fund Board, Mr. Hans Olav Ibrek (Norway), gave an oral report to the CMP. The Chair of the Adaptation Fund Board highlighted the results achieved in the course of the last reporting period. The President thanked all of the members and alternate members of the Adaptation Fund Board for their hard work during the past year.

35. Representatives of six Parties made statements, including one on behalf of the G77 and China. Statements were also made by representatives of environmental and youth non-governmental organizations.

36. The President noted that the CMP would need to adopt a decision under this agenda item at CMP 9. Following his proposal, the CMP decided to establish a contact group, co-chaired by Ms. Suzanty Sitorus (Indonesia) and Ms. Ana Fornells de Frutos (Spain), on this matter.

37. The President reminded Parties that the CMP needed to elect members and alternate members of the Adaptation Fund Board at the session.

(To be completed)

B. Second review of the Adaptation Fund

(Agenda item 7(b))

(To be completed)

VIII. National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol

(Agenda item 8)

(To be completed)

IX. Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

(Agenda item 9)

(To be completed)

X. Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol

(Agenda item 10)

(To be completed)

XI. Clarification of the text in section G (Article 3, paragraph 7 ter), of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”

(Agenda item 11)

(To be completed)

XII. Capacity-building under the Kyoto Protocol

(Agenda item 12)

(To be completed)

XIII. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

(Agenda item 13)

(To be completed)

XIV. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

(Agenda item 14)

(To be completed)

XV. Other matters referred to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol by the subsidiary bodies

(Agenda item 15)

(To be completed)

XVI. Administrative, financial and institutional matters

(Agenda item 16)

A. Budget performance for the biennium 2012–2013

(Agenda item 16(a))

(To be completed)

B. Programme budget for the biennium 2014–2015

(Agenda item 16(b))

(To be completed)

C. Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

(Agenda item 16(c))

(To be completed)

XVII. High-level segment

(Agenda item 17)

38. A welcoming ceremony, attended by the Prime Minister of Poland, Mr. Donald Tusk, the Secretary-General of the United Nations, Mr. BAN Ki-moon, the President of the United Nations General Assembly, Mr. John Ashe, the President of COP 19 and CMP 9, Mr. Marcin Korolec, the Executive Secretary of the UNFCCC, Ms. Christiana Figueres, and Mr. Jakaya Mrisho Kikwete, President of the United Republic of Tanzania, was held on 19 November.

39. The joint high-level segment of the COP and the CMP was opened by the President of COP 19 and CMP 9 at the 3rd meetings of the COP and the CMP, on 19 November.

(To be completed)

XVIII. Statements by observer organizations

(Agenda item 18)

(To be completed)

XIX. Other matters

(Agenda item 19)

40. At the 2nd meeting, the President took up this agenda item. Representatives of two Parties made statements, including one on behalf of the G77 and China.

41. On a proposal by the President, the CMP agreed to the organization by the President of an informal briefing on the arrangements for the June 2014 high-level ministerial round table, which was mandated⁶ to consider information from Parties to the Convention that are also Parties to the Kyoto Protocol with commitments inscribed in Annex B to the Kyoto Protocol.

XX. Conclusion of the session

(Agenda item 20)

A. Adoption of the report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its ninth session

(Agenda item 20(a))

(To be completed)

B. Closure of the session

(Agenda item 20(b))

(To be completed)

Annexes

(To be completed)

⁶ Decision 1/CMP.8, paragraphs 9–10.