



**Conference of the Parties serving as the meeting
of the Parties to the Kyoto Protocol**

**Report of the Conference of the Parties serving as the
meeting of the Parties to the Kyoto Protocol on its ninth
session, held in Warsaw from 11 to 23 November 2013**

Part one: Proceedings

Contents

	<i>Paragraphs</i>	<i>Page</i>
I. Opening of the session (Agenda item 1)	1	5
II. Organizational matters (Agenda item 2)	2–29	5
A. Adoption of the agenda	2–4	5
B. Election of replacement officers	5	7
C. Organization of work, including the sessions of the subsidiary bodies	6–16	7
D. Approval of the report on credentials	17–19	9
E. Status of ratification of the Doha Amendment to the Kyoto Protocol.....	20–25	9
F. Attendance	26–28	10
G. Documentation	29	10
III. Reports of the subsidiary bodies (Agenda item 3)	30–50	10
A. Report of the Subsidiary Body for Scientific and Technological Advice.....	30–45	10
B. Report of the Subsidiary Body for Implementation.....	46–50	12
IV. Issues relating to the clean development mechanism (Agenda item 4)	51–59	12
A. Guidance relating to the clean development mechanism.....	51–57	12



B.	Review of the modalities and procedures for the clean development mechanism.....	58–59	14
V.	Issues relating to joint implementation (Agenda item 5)	60–67	14
A.	Guidance relating to joint implementation	60–65	14
B.	Review of the joint implementation guidelines	66–67	15
VI.	Report of the Compliance Committee (Agenda item 6)	68–73	15
VII.	Adaptation Fund (Agenda item 7)	74–83	16
A.	Report of the Adaptation Fund Board	74–81	17
B.	Second review of the Adaptation Fund	82–83	18
VIII.	National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol (Agenda item 8)	84–85	18
IX.	Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period (Agenda item 9)	86–87	18
X.	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol (Agenda item 10)	88–90	19
XI.	Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period” (Agenda item 11)	91–94	19
XII.	Capacity-building under the Kyoto Protocol (Agenda item 12)	95–96	20
XIII.	Matters relating to Article 2, paragraph 3, of the Kyoto Protocol (Agenda item 13)	97–99	20
XIV.	Matters relating to Article 3, paragraph 14, of the Kyoto Protocol (Agenda item 14)	100–102	20
XV.	Other matters referred to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol by the subsidiary bodies (Agenda item 15)	103	20
XVI.	Administrative, financial and institutional matters (Agenda item 16)	104–110	20
A.	Budget performance for the biennium 2012–2013	104–105	21
B.	Programme budget for the biennium 2014–2015	106–108	21
C.	Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.....	109–110	21
XVII.	High-level segment (Agenda item 17)	111–115	21

	A. Opening of the high-level segment.....	111–114	21
	B. Statements by Parties and observer States.....	115	22
XVIII.	Statements by observer organizations (Agenda item 18)	116	22
XIX.	Other matters (Agenda item 19)	117–118	22
XX.	Conclusion of the session (Agenda item 20)	119–120	22
	A. Adoption of the report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its ninth session.....	119	22
	B. Closure of the session.....	120	22
Annexes			
I.	Parties to the Kyoto Protocol, observer States and United Nations organizations attending the ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol.....		23
II.	Calendar of meetings of Convention and Kyoto Protocol bodies, 2014–2018		27
III.	Documents before the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session.....		28

Part two: Action taken by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session

Decisions adopted by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

FCCC/KP/CMP/2013/9/Add.1

Decision

- 1/CMP.9 Report of the Adaptation Fund Board
- 2/CMP.9 Second review of the Adaptation Fund
- 3/CMP.9 Guidance relating to the clean development mechanism
- 4/CMP.9 Review of the modalities and procedures for the clean development mechanism
- 5/CMP.9 Guidance on the implementation of Article 6 of the Kyoto Protocol
- 6/CMP.9 Guidance for reporting information on activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol
- 7/CMP.9 Modalities for expediting the establishment of eligibility for Parties included in Annex I with commitments for the second commitment period whose eligibility has not yet been established
- 8/CMP.9 Compliance Committee
- 9/CMP.9 Supplementary information incorporated in sixth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol
- 10/CMP.9 Programme budget for the biennium 2014–2015

Resolution

- 1/CMP.9 Expression of gratitude to the Government of the Republic of Poland and the people of the city of Warsaw

I. Opening of the session

(Agenda item 1)

1. The ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), convened pursuant to Article 13, paragraph 6, of the Kyoto Protocol, was opened at the National Stadium in Warsaw, Poland, on 11 November 2013 by the Minister of the Environment of Poland, Mr. Marcin Korolec, President of CMP 9.¹

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2(a))

2. At its 1st meeting,² on 11 November, the CMP considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/KP/CMP/2013/1). The provisional agenda was prepared in agreement with the President of CMP 8 after consultation with the Bureau of the CMP.

3. In accordance with rule 13 of the draft rules of procedure being applied, a request was made by a representative of the Group of 77 and China (G77 and China) to include on the CMP 9 agenda an item on modalities and arrangements for the high-level ministerial round table to revisit the quantified emission limitation and reduction commitments for the second commitment period of the Kyoto Protocol. Statements were made by representatives of three groups. There was no consensus to include the proposed item on the agenda.

4. Following the proposal of the President, the CMP adopted the agenda as follows:

1. Opening of the session.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Election of replacement officers;
 - (c) Organization of work, including the sessions of the subsidiary bodies;
 - (d) Approval of the report on credentials;
 - (e) Status of ratification of the Doha Amendment to the Kyoto Protocol.
3. Reports of the subsidiary bodies:
 - (a) Report of the Subsidiary Body for Scientific and Technological Advice;
 - (b) Report of the Subsidiary Body for Implementation.
4. Issues relating to the clean development mechanism:

¹ CMP 9 was held in conjunction with the nineteenth session of the Conference of the Parties (COP). The proceedings of the COP are contained in a separate report (FCCC/CP/2013/10). References to statements and an address of welcome made at the opening of the United Nations Climate Change Conference in Warsaw are contained in the report of the COP. The proceedings of the joint meetings of the COP and the CMP convened during the high-level segment of the sessions are reproduced in both reports.

² Meetings of the CMP referred to in this report are plenary meetings.

- (a) Guidance relating to the clean development mechanism;
 - (b) Review of the modalities and procedures for the clean development mechanism.
5. Issues relating to joint implementation:
 - (a) Guidance relating to joint implementation;
 - (b) Review of the joint implementation guidelines.
6. Report of the Compliance Committee.
7. Adaptation Fund:
 - (a) Report of the Adaptation Fund Board;
 - (b) Second review of the Adaptation Fund.
8. National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol.
9. Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period.
10. Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol.
11. Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”.
12. Capacity-building under the Kyoto Protocol.
13. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol.
14. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
15. Other matters referred to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol by the subsidiary bodies.
16. Administrative, financial and institutional matters:
 - (a) Budget performance for the biennium 2012–2013;
 - (b) Programme budget for the biennium 2014–2015;
 - (c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.
17. High-level segment.
18. Statements by observer organizations.
19. Other matters.
20. Conclusion of the session:
 - (a) Adoption of the report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its ninth session;
 - (b) Closure of the session.

B. Election of replacement officers

(Agenda item 2(b))

5. This item was considered at the 1st and resumed 9th meetings, on 11 and 23 November, respectively, of the CMP. No election of replacement officers was required.³

C. Organization of work, including the sessions of the subsidiary bodies

(Agenda item 2(c))

6. At the 1st meeting, the President referred the CMP to the annotations to the provisional agenda contained in document FCCC/KP/CMP/2013/1. He noted that the subsidiary bodies would be convened with the aim of developing, before their sessions ended on 16 November, draft decisions and conclusions for consideration by the CMP.

7. On a proposal by the President, the CMP decided to refer the following items to the subsidiary bodies for their consideration and the submission of appropriate draft decisions or conclusions:

Subsidiary Body for Scientific and Technological Advice

Item 11 Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”

Item 13 Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

Subsidiary Body for Implementation

Item 4(b) Review of the modalities and procedures for the clean development mechanism

Item 5(b) Review of the joint implementation guidelines

Item 7(b) Second review of the Adaptation Fund

Item 8 National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol

Item 9 Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

Item 10 Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol

Item 12 Capacity-building under the Kyoto Protocol

Item 14 Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

Item 16(a) Budget performance for the biennium 2012–2013

Item 16(b) Programme budget for the biennium 2014–2015

Item 16(c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

8. With regard to agenda item 17, “High-level segment”, the President informed the CMP that the arrangements would follow the approach outlined at the opening plenary of the Conference of the Parties (COP).⁴

³ FCCC/CP/2013/10, paragraphs 12–14.

⁴ See document FCCC/CP/2013/10, paragraph 19.

9. The President recalled the recommendation of the Subsidiary Body for Implementation (SBI) regarding the participation of observers in informal meetings and proposed that at least the first and last meetings of informal consultations be open to observer organizations, where appropriate.⁵

10. The President encouraged the Chairs of the subsidiary bodies to take creative measures to ensure effective time management, and urged that every effort be made to maximize the meeting time available for discussions on substantive items under the CMP.

11. The CMP agreed to proceed on the basis of the proposals made by the President.

12. General statements were made by representatives of Fiji (on behalf of the G77 and China), Lithuania (on behalf of the European Union and its 28 member States), Australia (on behalf of the Umbrella Group), Liechtenstein (on behalf of the Environmental Integrity Group), Nauru (on behalf of the Alliance of Small Island States (AOSIS)), Nepal (on behalf of the least developed countries (LDCs)), Swaziland (on behalf of the African States), China (on behalf of Brazil, China, India and South Africa), Nicaragua (on behalf of the Like-minded Developing Countries), Saudi Arabia (on behalf of the Arab Group), Ecuador (on behalf of the Bolivarian Alliance for the Peoples of Our America – Peoples' Trade Treaty) and Colombia (on behalf of the Independent Association for Latin America and the Caribbean). A representative of one Party made a statement.⁶

13. Statements were also made by representatives of three non-governmental organization (NGO) constituencies (environmental, indigenous peoples and youth).

14. During the session, the President convened a number of informal stocktaking plenaries, the purpose of which was to enhance the openness, inclusiveness and transparency of the negotiations and to provide an overview to all participants of the organization of work, of the steps being taken and of how the negotiations were advancing.⁷

15. The President undertook consultations under his own authority in an effort to find common ground on several key issues requiring finalization. In this regard, he requested support and was assisted through ministerial outreach and consultations as follows:

(a) On the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol, ministerial outreach was undertaken by Mr. Ville Niinistö (Finland);

(b) On the clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the "average annual emissions for the first three years of the preceding commitment period", consultations were undertaken initially by the SBSTA Chair jointly with the issue mentioned in paragraph 15(a) above. Consultations were later undertaken separately on this issue by Ms. Iwona Rummel-Bulska (Poland) and Ms. Marzena Anna Chodor (Poland);

(c) On the programme budget for the biennium 2014–2015, consultations were undertaken by Mr. Robert F. Van Lierop (Saint Kitts and Nevis) and Mr. Tosi Mpanu Mpanu (Democratic Republic of the Congo).

16. Following the adoption of decisions and conclusions at the resumed 9th meeting of the CMP and the resumed 10th meeting of the COP, on 23 November, a joint meeting of the

⁵ FCCC/SBI/2011/7, paragraph 167.

⁶ The full webcasts of the plenary sessions are available at <http://unfccc4.metafusion.com/kongresse/cop19/templ/ovw_onDemand.php?id_kongressmain=259>.

⁷ See <http://unfccc.int/meetings/warsaw_nov_2013/items/7881.php>.

COP and the CMP was held to hear brief statements before closing the CMP and COP sessions.⁸ Representatives of 11 Parties made statements, including statements on behalf of the Umbrella Group, the G77 and China, the European Union and its 28 member States, AOSIS, the LDCs, the African States and the Central American Integration System.⁹ Statements were also made by representatives of two NGO constituencies (environmental and youth).

D. Approval of the report on credentials

(Agenda item 2(d))

17. At the 9th meeting, the President recalled that the CMP, at its first session, had adopted decision 36/CMP.1, which states that credentials from Parties to the Kyoto Protocol would apply for the participation of their representative in sessions of the COP and of the CMP, and that a single report on credentials would be submitted for approval, following established procedures, to the Bureau.

18. The President referred to the report on credentials,¹⁰ which indicated that the Bureau, in accordance with rule 20 of the draft rules of procedure being applied, had examined and approved the credentials of the representatives of Parties.

19. At the same meeting, the CMP, on the basis of the report of the Bureau, approved the credentials of Parties attending the session.

E. Status of ratification of the Doha Amendment to the Kyoto Protocol

(Agenda item 2(e))

20. At its 2nd meeting, on 13 November, the CMP considered the status report presented by the Executive Secretary on the instruments of acceptance received by the Depositary in respect of the Doha Amendment.

21. The Executive Secretary recalled that, on 8 December 2012, CMP 8 had adopted the Doha Amendment to the Kyoto Protocol.¹¹ She outlined that, on the basis of the current number of Parties to the Kyoto Protocol (192), the Doha Amendment requires 144 instruments of acceptance in order to enter into force. She noted that, as at 12 November 2013, the Depositary had received instruments of acceptance of the Doha Amendment, from Barbados, Mauritius and United Arab Emirates. She also noted that other Parties had started the ratification process. She encouraged all Parties participating in the second commitment period of the Kyoto Protocol to ratify the Doha Amendment as soon as possible.

22. The President invited Parties to expedite the deposit of their instruments of acceptance in respect of the Doha Amendment.

23. Representatives of three Parties made statements, including one on behalf of the European Union and its 28 member States.

24. At the 9th meeting, the Executive Secretary provided a brief update, stating that on 13 November 2013 the Depositary had received an instrument of acceptance from a fourth Party. She congratulated Bangladesh for depositing its instrument of acceptance of the Doha Amendment and encouraged all Parties to accelerate their ratification.

⁸ See <http://unfccc4.meta-fusion.com/kongresse/cop19/templ/play.php?id_kongresssession=7091&theme=unfccc>.

⁹ For interventions made regarding specific decisions, see paragraphs 39–41, 56 and 80 below.

¹⁰ FCCC/CP/2013/9–FCCC/KP/CMP/2013/8.

¹¹ Decision 1/CMP.8.

25. A statement was made by a representative of one Party.¹²

F. Attendance

26. The sessions in Warsaw were attended by representatives of 187 Parties to the Kyoto Protocol, as well as observer States, United Nations bodies and programmes, convention secretariats, specialized agencies and institutions, and related organizations of the United Nations system, as listed in annex I.

27. The session was also attended by representatives of 639 intergovernmental organizations (IGOs) and NGOs as listed in document FCCC/CP/2013/INF.4.

28. According to decision 36/CMP.1, the admission of organizations as observers as decided by the COP also applies to the CMP. Observer organizations admitted to the sessions of the COP and the CMP are listed in document FCCC/CP/2013/2.¹³

G. Documentation

29. The documents before CMP 9 are listed in annex III.

III. Reports of the subsidiary bodies

(Agenda item 3)

A. Report of the Subsidiary Body for Scientific and Technological Advice

(Agenda item 3(a))

30. The CMP had before it the report on SBSTA 38, held in Bonn, Germany, from 3 to 14 June 2013.¹⁴

31. At the 9th meeting, the Chair of the SBSTA, Mr. Muyungi, introduced the draft report on SBSTA 39¹⁵ and gave an oral report on the results pertaining to the CMP. The SBSTA, at SBSTA 39, recommended one draft conclusion for consideration and adoption by the CMP.¹⁶

32. At the same meeting, on a proposal by the President, the CMP took note of the report on SBSTA 38, the draft report on SBSTA 39 and the oral report provided by the Chair of the SBSTA. The President expressed his appreciation to Mr. Muyungi, not only for his diligence in guiding the work of the SBSTA but also for his contribution in delivering the significant outcomes to advance the UNFCCC process at CMP 9.

33. With regard to the issue of the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, initiated by decision 1/CMP.7 and further developed by

¹² A representative of Switzerland indicated that it would initiate a correction process with the Depository to correct the French version of footnote number 11 in the Doha Amendment, that the error would not affect its implementation of the Doha Amendment and that the correction procedure would assist it in its ratification process. The President noted that, as requested, the statement would be reflected in the report on the session.

¹³ For the complete proceedings related to the COP agenda sub-item on the admission of organizations as observers, see document FCCC/CP/2013/10, paragraph 15.

¹⁴ FCCC/SBSTA/2013/3 and Add.1 and 2.

¹⁵ FCCC/SBSTA/2013/L.21.

¹⁶ FCCC/SBSTA/2013/5, paragraph 116.

decision 2/CMP.8, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol, the CMP continued work on this matter.

34. At the resumed 9th meeting, the President recalled that Parties had been holding extensive consultations in order to address the implications of the matters referred to in paragraph 33 above. The President recalled that he had requested Mr. Niinistö to conduct ministerial outreach on this issue (see para. 15 above).

35. On a proposal by the President,¹⁷ the CMP adopted decision 6/CMP.9, entitled “Guidance for reporting information on activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol”.

36. On other methodological issues relating to the implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol, the CMP advanced but was not able to conclude its work. The CMP requested the SBSTA to continue consideration of this agenda item at SBSTA 40 (June 2014) on the basis of the draft text available at <<http://unfccc.int/7969.php>>.

37. The CMP welcomed the timely completion of the work of the Intergovernmental Panel on Climate Change (IPCC) on the *2013 Revised Supplementary Methods and Good Practice Guidance Arising from the Kyoto Protocol*, which was adopted and accepted by the IPCC at its thirty-seventh session, held in Batumi, Georgia, from 14 to 18 October 2013.

38. The CMP noted the conclusion of the SBSTA that it will continue to consider at SBSTA 40¹⁸ the implications of a possible revision to the eligibility of lands as clean development mechanism (CDM) afforestation and reforestation project activities during the second commitment period of the Kyoto Protocol.

39. Representatives of seven Parties made statements, including one on behalf of the European Union and its 28 member States.

40. The representative speaking on behalf of the European Union and its 28 member States noted that, while the CMP could not complete its work on the draft decision referred to in paragraph 36 above, there remained only a limited set of issues, indicated by square brackets, for which Parties could not conclude their consideration. He stated that other parts of the text were uncontested. The representative outlined the understanding of his group, being made in good faith, that Parties will not reopen the uncontested paragraphs at their next meeting, and he indicated the intention to take such uncontested text into account as the basis for the implementation of the Kyoto Protocol in the second commitment period. Representatives of Australia, Norway and Switzerland spoke in support of the statement and the understanding set out therein.

41. A representative of the Bolivarian Republic of Venezuela stated its understanding that since the draft text referred to in paragraph 36 above was not adopted at this session of the CMP, that no part of the text could be considered as standing and that it reserved its right to come back to any paragraphs of the text.

42. The President noted that, as requested, the statements in paragraphs 40 and 41 above would be reflected in the report on the session.

43. With regard to the issue of the implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23), at its 9th meeting, on a

¹⁷ FCCC/KP/CMP/2013/L.11/Add.1.

¹⁸ FCCC/SBSTA/2013/3, paragraph 151–152.

recommendation by the SBSTA,¹⁹ the CMP adopted the conclusions in paragraphs 44 and 45 below.

44. The CMP recognized the work of the SBSTA, the information collected through submissions by Parties and document FCCC/TP/2011/2. The CMP noted that the SBSTA had deliberated on the implications of the establishment of new HCFC-22 facilities seeking to obtain certified emission reductions for the destruction of HFC-23 and the means to address such implications. The CMP, acting upon the recommendation of the SBSTA, completed its consideration of the relevant agenda item.

45. The CMP took note of the conclusions of the SBSTA and its completion of its consideration of this matter.

B. Report of the Subsidiary Body for Implementation

(Agenda item 3(b))

46. The CMP had before it the report on SBI 38, held in Bonn from 3 to 14 June 2013.²⁰

47. At the 9th meeting, the Chair of the SBI, Mr. Chruszczow, introduced the draft report on SBI 39²¹ and gave an oral report on the results pertaining to the CMP. The SBI, at SBI 39, recommended one draft conclusion²² and four draft decisions for consideration and adoption by the CMP.²³

48. Also at the same meeting, the CMP, on a proposal by the President, took note of the report on SBI 38, the draft report on SBI 39 and the oral report provided by the Chair of the SBI. The President expressed his appreciation to Mr. Chruszczow, not only for his skilful guidance of the work of the SBI but also for his contribution in delivering the significant outcomes to advance the UNFCCC process at CMP 9.

49. With regard to SBI agenda sub-item 7(d), entitled “Modalities for expediting the establishment of eligibility for Parties included in Annex I to the Convention with commitments for the second commitment period whose eligibility has not yet been established”, SBI 39 forwarded a draft decision²⁴ for further consideration by the CMP.

50. At the same meeting, the CMP adopted decision 7/CMP.9, entitled “Modalities for expediting the establishment of eligibility for Parties included in Annex I with commitments for the second commitment period whose eligibility has not yet been established”.

IV. Issues relating to the clean development mechanism

(Agenda item 4)

A. Guidance relating to the clean development mechanism

(Agenda item 4(a))

51. At the 2nd meeting, the President referred to document FCCC/KP/CMP/2013/5 (Parts I and II), containing the annual report of the CDM Executive Board and its recommendations. The annual report covers the activities of the CDM Executive Board in the period from 14 September 2012 to 4 October 2013.

¹⁹ FCCC/SBSTA/2013/5, paragraph 116.

²⁰ FCCC/SBI/2013/10.

²¹ FCCC/SBI/2013/L.1.

²² FCCC/SBI/2013/20, paragraph 17.

²³ FCCC/SBI/2013/20, paragraphs 14, 65, 80 and 122.

²⁴ FCCC/SBI/2013/20, paragraph 80.

52. The Chair of the Executive Board, Mr. Peer Stiansen (Norway), reported to the CMP on the progress of the work of the Executive Board. He drew attention to the various recommendations of the Board to the CMP. The President thanked all of the Board members for their hard work and acknowledged the achievements made in the past year.

53. Representatives of eight Parties made statements, including one on behalf of the African States and one on behalf of the European Union and its 28 member States. Statements were also made by a related organization of the United Nations system and by a representative of environmental NGOs.

54. The President noted that the CMP would need to adopt a decision in order to acknowledge the work of the Executive Board and to guide it further. Following his proposal, the CMP established a contact group, co-chaired by Mr. Giza Gaspar Martins (Angola) and Mr. Marko Berglund (Finland), on the matter.

55. The President reminded Parties that several members and alternate members of the CDM Executive Board needed to be elected by the CMP at the session.

56. At the resumed 9th meeting, a representative of one Party made a statement. On a proposal by the President,²⁵ the CMP adopted decision 3/CMP.9, entitled "Guidance relating to the clean development mechanism".

57. At the same meeting, on a proposal by the President, the CMP elected the following members and alternate members of the Executive Board of the CDM.²⁶ The President urged groups and constituencies to come forward with the pending nominations by 31 January 2014. The CMP agreed that once those nominations had been received by the secretariat they would be deemed to have been elected at CMP 9 in accordance with established practice.

Executive Board of the clean development mechanism

	Member	Alternate
Eastern European States	Ms. Nataliya Kushko (Ukraine)	Ms. Diana Harutyunyan (Armenia)
Small island developing States	Mr. Hugh Sealy (Nauru)	Mr. Amjad Abdulla (Maldives)
Parties included in Annex I to the Convention	Mr. Frank Wolke (Germany)	
Parties not included in Annex I to the Convention	Mr. Jose Domingos Gonzalez Miguez (Brazil)	
	Mr. Quazi Kholiquzzaman Ahmad (Bangladesh)	
	Replacement member	
Western European and other States	Mr. Martin Enderlin (Switzerland)	

²⁵ FCCC/KP/CMP/2013/L.10.

²⁶ The latest list of members is available at
<http://unfccc.int/bodies/election_and_membership/items/6558.php>.

B. Review of the modalities and procedures for the clean development mechanism

(Agenda item 4(b))

- 58. At the 1st meeting, this agenda sub-item was referred to the SBI for consideration.
- 59. At its 9th meeting, on a recommendation by the SBI,²⁷ the CMP adopted decision 4/CMP.9, entitled “Review of the modalities and procedures for the clean development mechanism”.

V. Issues relating to joint implementation

(Agenda item 5)

A. Guidance relating to joint implementation

(Agenda item 5(a))

- 60. At the 2nd meeting, the President referred delegates to document FCCC/KP/CMP/2013/4 and Corr.1, containing the annual report of the Joint Implementation Supervisory Committee (JISC), which covers its activities in the period from 28 September 2012 to 24 September 2013.
- 61. The Chair of the JISC, Mr. Derrick Oderson (Barbados), gave an oral report to the CMP on the progress of the work of the JISC. He highlighted the recommendations of the JISC to be considered by the CMP. The President thanked all of the members and alternate members of the JISC for their hard work over the past year.
- 62. The President noted that the CMP would need to adopt a decision in order to acknowledge the work of the JISC and to guide it further. Following his proposal, the CMP established a contact group, co-chaired by Mr. Yaw Osafo (Ghana) and Mr. Dimitar Nikov (France), on the matter.
- 63. The President reminded Parties that several members and alternate members of the JISC needed to be elected by the CMP at the session.
- 64. At the 9th meeting, the President reported that the contact group had produced a draft decision for adoption by the CMP. On a proposal by the President,²⁸ the CMP adopted decision 5/CMP.9, entitled “Guidance on the implementation of Article 6 of the Kyoto Protocol”.
- 65. At the same meeting, on a proposal by the President, the CMP elected the following members and alternate members of the JISC.²⁹ The President urged groups and constituencies to come forward with the pending nominations by 31 January 2014. The CMP agreed that once those nominations had been received by the secretariat they would be deemed to have been elected at CMP 9 in accordance with established practice.

Joint Implementation Supervisory Committee

	Member	Alternate
Small island developing States	Mr. Derrick Oderson (Barbados)	Mr. Albert Williams (Vanuatu)

²⁷ FCCC/SBI/2013/20, paragraph 65.

²⁸ FCCC/KP/CMP/2013/L.3.

²⁹ As footnote 26 above.

Parties included in Annex I to the Convention	Mr. Konrad Raeschke-Kessler (Germany)	
Parties included in Annex I to the Convention with economies in transition	Mr. Piotr Dombrowicki (Poland)	Mr. Yury V. Fedorov (Russian Federation)
Parties not included in Annex I to the Convention	Ms. Carola Aracelly Borja Osorio (Ecuador)	Mr. Carlos Fuller (Belize)
	Mr. Guoqiang Qian (China)	

B. Review of the joint implementation guidelines

(Agenda item 5(b))

66. At the 1st meeting, this agenda sub-item was referred to the SBI for consideration.
67. At its 9th meeting, the CMP took note of SBI conclusions³⁰ on this sub-item.

VI. Report of the Compliance Committee

(Agenda item 6)

68. At the 2nd meeting, the President referred delegates to document FCCC/KP/CMP/2013/3, containing the eighth annual report of the Compliance Committee to the CMP, which provides information on the activities of the Compliance Committee in the period from 26 October 2012 to 18 September 2013.

69. The co-chairperson of the plenary of the Compliance Committee, Mr. Khalid Abuleif (Saudi Arabia), provided an overview of the annual report to the CMP. He noted that a substantial change in the membership of the Committee is to occur and recalled the goal of promoting gender balance in nominations. The President thanked all of the members and alternate members of the Committee for their hard work over the past year and then opened the floor for statements to be made by Parties, but there were none.

70. The President noted that the CMP would need to adopt a decision and, following his proposal, the CMP established informal consultations, co-facilitated by Mr. Ilhomjon Rajabov (Tajikistan) and Ms. Ida Kärnström (Sweden), on the matter.

71. The President reminded Parties that several members and alternate members of the Compliance Committee needed to be elected by the CMP at the session.

72. At the 9th meeting, on a proposal by the President,³¹ the CMP adopted decision 8/CMP.9, entitled "Compliance Committee".

73. At the same meeting, on a proposal by the President, the CMP elected the following members and alternate members of the Compliance Committee.³² The President urged groups and constituencies to come forward with the pending nominations by 31 January 2014. The CMP agreed that once those nominations had been received by the secretariat they would be deemed to have been elected at CMP 9 in accordance with established practice.

³⁰ FCCC/SBI/2013/20, paragraphs 70–72.

³¹ FCCC/KP/CMP/2013/L.2.

³² As footnote 26 above.

Compliance Committee – facilitative branch

	Member	Alternate
African States	Mr. Ladislaus Kyaruzi (United Republic of Tanzania)	Mr. Bubu Jallow (Gambia)
Asia-Pacific States	Ms. Sara Baashen (Saudi Arabia)	Mr. Xiang Gao (China)
Latin America and Caribbean States	Ms. Lisa Benjamin (Bahamas)	Mr. Teddy St. Louis (Grenada)
Parties included in Annex I to the Convention	Ms. Mona Aarhus (Norway)	Mr. Kunihiko Shimada (Japan)
	Replacement member	
Western European and other States	Ms. Emanuela Sardellitti (Italy)	
	Replacement alternate	
Small island developing States	Mr. Delano Bart (Saint Kitts and Nevis)	

Compliance Committee – enforcement branch

	Member	Alternate
African States	Mr. Mohamed Nasr (Egypt)	Ms. Marília Telma António Manjate (Mozambique)
Asia-Pacific States	Mr. Mohammad Alam (Jordan)	Mr. Leonardo Massai (Papua New Guinea)
Latin America and Caribbean States	Mr. Orlando Ernesto Rey Santos (Cuba)	Mr. Jose A. González Norris (Peru)
Parties included in Annex I to the Convention	Mr. Milan Zvara (Slovakia)	Mr. Jacob Werksman (European Union)
Parties not included in Annex I to the Convention	Mr. Ahmad Rajabi (Islamic Republic of Iran)	
	Replacement alternate	
Parties not included in Annex I to the Convention	Mr. Nauman Bashir Bhatti (Pakistan)	

VII. Adaptation Fund

(Agenda item 7)

A. Report of the Adaptation Fund Board

(Agenda item 7(a))

74. At the 2nd meeting, the President referred delegates to document FCCC/KP/CMP/2013/2, containing the annual report of the Adaptation Fund Board, which covers the period from 30 November 2012 to 31 July 2013.

75. The Vice-Chair of the Adaptation Fund Board, Mr. Mamadou Honadia (Burkina Faso), gave an oral report to the CMP. The Chair of the Adaptation Fund Board highlighted the results achieved in the course of the last reporting period. The President thanked all of the members and alternate members of the Adaptation Fund Board for their hard work during the past year.

76. Representatives of six Parties made statements, including one on behalf of the G77 and China. Statements were also made by representatives of environmental and youth NGOs.

77. The President noted that the CMP would need to adopt a decision and, following his proposal, the CMP established a contact group, co-chaired by Ms. Suzanty Sitorus (Indonesia) and Ms. Ana Fornells de Frutos (Spain), on the matter.

78. The President reminded Parties that several members and alternate members of the Adaptation Fund Board needed to be elected by the CMP at the session.

79. At its 9th meeting, on a proposal by the President,³³ the CMP adopted decision 1/CMP.9, entitled "Report of the Adaptation Fund Board".

80. The CMP thanked Austria, Belgium, Finland, France, Germany, Norway, Sweden and Switzerland for their generous pledges, totalling over USD 100 million, to the Adaptation Fund. A statement was made by the Vice-Chair of the Adaptation Fund Board, who also thanked those above-mentioned Parties for their contributions and made an appeal for further contributions to fund remaining projects. Statements were made by representatives of three Parties, including one on behalf of the G77 and China and one on behalf of the African States.

81. At the same meeting, on a proposal by the President, the CMP elected the following members and alternate members of the Adaptation Fund Board.³⁴ The President urged groups and constituencies to come forward with the pending nominations by 31 January 2014. The CMP agreed that once those nominations had been received by the secretariat they would be deemed to have been elected at CMP 9 in accordance with established practice.

Adaptation Fund Board

	Member	Alternate
African States	Mr. Ezzat Lewis Hannalla Agaiby (Egypt)	Mr. Zaheer Fakir (South Africa)
Asia-Pacific States	Mr. Nauman Bashir Bhatti (Pakistan)	
Eastern European States	Ms. Laura Dzelzyte (Lithuania)	Mr. Aram Ter- Zakaryan (Armenia)

³³ FCCC/KP/CMP/2013/L.6.

³⁴ As footnote 26 above.

Latin America and Caribbean States	Mr. Philip S. Weech (Bahamas)	Mr. Jeffery Elleson Spooner (Jamaica)
Western European and other States	Mr. Anton Hilber (Switzerland)	
Least developed countries	Mr. Mamadou Honadia (Burkina Faso)	Mr. Adao Soares Barbosa (Timor-Leste)
Parties included in Annex I to the Convention		Ms. Ana Fornells de Frutos (Spain)
Parties not included in Annex I to the Convention	Mr. Boubacar Sidiki Dembele (Mali)	Ms. Wenhong Huang (China)

B. Second review of the Adaptation Fund

(Agenda item 7(b))

82. At the 1st meeting, this agenda sub-item was referred to the SBI for consideration.
83. At its 9th meeting, on a recommendation by the SBI,³⁵ the CMP adopted decision 2/CMP.9, entitled “Second review of the Adaptation Fund”.

VIII. National communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol

(Agenda item 8)

84. At the 1st meeting, this agenda item was referred to the SBI for consideration.
85. At its 9th meeting, on a recommendation by the SBI,³⁶ the CMP adopted decision 9/CMP.9, entitled “Supplementary information incorporated in sixth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol”.

IX. Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period

(Agenda item 9)

86. At the 1st meeting, this agenda item was referred to the SBI for consideration.
87. At its 9th meeting, the CMP took note of the SBI conclusions³⁷ on this item and urged the SBI to continue its consideration of this item with a view to recommending a draft decision for consideration and adoption at CMP 10 (December 2014).

³⁵ FCCC/SBI/2013/20, paragraph 86.

³⁶ FCCC/SBI/2013/20, paragraph 14.

³⁷ FCCC/SBI/2013/20, paragraph 214.

X. Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol

(Agenda item 10)

1. Proceedings

88. At the 1st meeting, this agenda item was referred to the SBI for consideration.

89. At its 9th meeting, on a recommendation by the SBI,³⁸ the CMP adopted conclusions on the annual compilation and accounting report for Annex B Parties under the Kyoto Protocol.

2. Conclusions

90. The CMP took note of the annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2013.³⁹

XI. Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”

(Agenda item 11)

1. Proceedings

91. At the 1st meeting, this agenda item was referred to the SBSTA for consideration.

92. At the 9th meeting, the President recalled that the SBSTA had forwarded a draft decision⁴⁰ for further consideration by the CMP. The President also recalled that, following the conclusion of SBSTA 39, he had requested the Chair of the SBSTA to continue consultations on this item jointly with consultations on SBSTA agenda sub-item 12(a), “Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol”. He further recalled that, following an initial round of such joint consultations, at his request, distinct and separate consultations were undertaken on this item by Ms. Rummel-Bulska and Ms. Chodor. On the basis of those consultations, on a proposal by the President,⁴¹ the CMP adopted conclusions on this issue.

2. Conclusions

93. The CMP was not able to conclude its work with regard to the request made by Kazakhstan for clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be used to determine the “average annual emissions for the first three years of the preceding commitment period”.

94. The CMP requested the SBSTA to continue consideration of this matter at SBSTA 40.

³⁸ FCCC/SBI/2013/20, paragraph 17.

³⁹ FCCC/KP/CMP/2013/6 and Add.1.

⁴⁰ FCCC/SBSTA/2013/5, paragraph 101.

⁴¹ FCCC/KP/CMP/2013/L.5.

XII. Capacity-building under the Kyoto Protocol

(Agenda item 12)

95. At the 1st meeting, this agenda item was referred to the SBI for consideration.

96. At its 9th meeting, the CMP took note of the SBI conclusions⁴² on this item.

XIII. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

(Agenda item 13)

97. At the 1st meeting, this agenda item was referred to the SBSTA for consideration.

98. At the resumed 9th meeting, the President recalled that the SBSTA Chair had reported that this issue was considered jointly with the SBI sub-item on “Matters relating to Article 3, paragraph 14, of the Kyoto Protocol” under SBSTA sub-item 9(a), “Forum and work programme”.

99. The CMP, on a recommendation by the SBSTA, took note of the conclusions adopted by the SBSTA⁴³ and the SBI⁴⁴ on this issue and noted that the SBSTA agreed to continue consideration of this item at its next session.⁴⁵

XIV. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

(Agenda item 14)

100. At the 1st meeting, this agenda item was referred to the SBI for consideration.

101. At the resumed 9th meeting, the President recalled that the SBI Chair had reported that this issue was considered jointly with the SBSTA agenda item “Matters relating to Article 2, paragraph 3, of the Kyoto Protocol” under SBI sub-item 15(a), “Forum and work programme”.

102. The CMP, on a recommendation by the SBI, took note of the conclusions adopted by the SBI⁴⁶ and the SBSTA⁴⁷ on this issue and noted that the SBI agreed to continue consideration of this item at its next session.⁴⁸

XV. Other matters referred to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol by the subsidiary bodies

(Agenda item 15)

103. No other matters were raised under this agenda item.

XVI. Administrative, financial and institutional matters

(Agenda item 16)

⁴² FCCC/SBI/2013/20, paragraphs 146–147.

⁴³ FCCC/SBSTA/2013/5, paragraph 56.

⁴⁴ FCCC/SBI/2013/20, paragraph 155.

⁴⁵ Further information can be found in document FCCC/CP/2013/10, paragraph 49.

⁴⁶ As footnote 44 above.

⁴⁷ As footnote 43 above.

⁴⁸ Further information can be found in document FCCC/CP/2013/10, paragraph 57.

A. Budget performance for the biennium 2012–2013

(Agenda item 16(a))

104. At the 1st meeting, this agenda sub-item was referred to the SBI for consideration.

105. At its 9th meeting, the CMP recalled that, on a recommendation by the SBI,⁴⁹ the COP had adopted decision 26/CP.19, entitled “Budget performance for the biennium 2012–2013”. On a proposal by the President, the CMP endorsed decision 26/CP.19.⁵⁰

B. Programme budget for the biennium 2014–2015

(Agenda item 16(b))

106. At the 1st meeting, this sub-item was referred to the SBI for consideration.

107. The SBI considered, but did not conclude, its consideration thereof and agreed to invite the COP and the CMP to further consider the matter. The President invited Mr. Van Lierop (Saint Kitts and Nevis) and Mr. Mpanu Mpanu (Democratic Republic of the Congo) to facilitate consultations (see para. 15 above).

108. At its resumed 9th meeting, on a proposal by the President,⁵¹ the CMP adopted decision 10/CMP.9, entitled “Programme budget for the biennium 2014–2015”.

C. Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

(Agenda item 16(c))

109. At the 1st meeting, this agenda sub-item was referred to the SBI for consideration.

110. At its 9th meeting, the CMP took note of the SBI action on this sub-item.⁵²

XVII. High-level segment

(Agenda item 17)

A. Opening of the high-level segment

111. The joint high-level segment of the COP and the CMP was opened by the President of COP 19 and CMP 9, Mr. Korolec, at the 3rd meeting of the COP and the CMP, on 19 November.

112. The opening of the joint high-level segment was attended by the Prime Minister of Poland, Mr. Donald Tusk, the Secretary-General of the United Nations, Mr. BAN Ki-moon, the President of the United Nations General Assembly, Mr. John W. Ashe, the President of the United Republic of Tanzania, Mr. Jakaya Mrisho Kikwete, and the Executive Secretary of the UNFCCC, Ms. Christiana Figueres.

113. Statements at the opening of the high-level segment were made by the Prime Minister of Poland, the Secretary-General of the United Nations, the President of the United Nations General Assembly and the Executive Secretary of the UNFCCC.

114. To mark the opening of the high-level segment, the Government of Poland organized a cultural event.

⁴⁹ FCCC/SBI/2013/20, paragraph 178.

⁵⁰ FCCC/CP/2013/10, paragraphs 144 and 145.

⁵¹ FCCC/KP/CMP/2013/L.9.

⁵² FCCC/SBI/2013/20, paragraph 184.

B. Statements by Parties and observer States

115. During the high-level segment, statements⁵³ were made by 143 Parties, of which 4 were given by Heads of State or Government, 2 by either Vice-Presidents or Deputy Prime Ministers, 84 by ministers and 54 by representatives of Parties.⁵⁴ In addition, two statements were given by representatives of observer States. Statements were made by representatives of six Parties on behalf of negotiating and other groups.

XVIII. Statements by observer organizations

(Agenda item 18)

116. At the joint 9th meeting of the COP and 8th meeting of the CMP, on 22 November, statements were made by representatives of IGOs and NGOs.⁵⁵

XIX. Other matters

(Agenda item 19)

117. At the 2nd meeting, the President opened this agenda item. Representatives of two Parties made statements, including one on behalf of the G77 and China.

118. On a proposal by the President, the CMP agreed to the organization by the President of an informal briefing on the arrangements for the June 2014 high-level ministerial round table, which was mandated⁵⁶ to consider information from Parties with quantified emission limitation and reduction commitments inscribed in the third column of Annex B as contained in annex I to decision 1/CMP.8.⁵⁷

XX. Conclusion of the session

(Agenda item 20)

A. Adoption of the report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its ninth session

(Agenda item 20(a))

119. At its resumed 9th meeting, the CMP considered the draft report on its ninth session⁵⁸ and, on a proposal by the President, the CMP authorized the Rapporteur to complete the report on the session, under the guidance of the President and with the assistance of the secretariat.

B. Closure of the session

(Agenda item 20(b))

120. On a proposal by Peru,⁵⁹ the CMP adopted resolution 1/CMP.9, entitled "Expression of gratitude to the Government of the Republic of Poland and the people of the city of Warsaw". The President then declared the ninth session of the CMP closed.

⁵³ Statements made during the high-level segment are available at <https://unfccc.int/meetings/warsaw_nov_2013/statements/items/7878.php>.

⁵⁴ A statement from one Party was made jointly by two representatives.

⁵⁵ As footnote 53 above.

⁵⁶ Decision 1/CMP.8, paragraphs 9 and 10.

⁵⁷ The informal briefing was held on 15 November.

⁵⁸ FCCC/KP/CMP/2013/L.4.

⁵⁹ FCCC/CP/2013/L.1–FCCC/KP/CMP/2013/L.1.

Annex I

[English only]

Parties to the Kyoto Protocol, observer States and United Nations organizations attending the ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

A. Parties to the Kyoto Protocol

Afghanistan	Comoros	Guatemala
Albania	Congo	Guinea
Algeria	Cook Islands	Guinea-Bissau
Angola	Costa Rica	Guyana
Antigua and Barbuda	Côte d'Ivoire	Haiti
Argentina	Croatia	Honduras
Armenia	Cuba	Hungary
Australia	Cyprus	Iceland
Austria	Czech Republic	India
Azerbaijan	Democratic People's Republic of Korea	Indonesia
Bahamas	Democratic Republic of the Congo	Iran (Islamic Republic of)
Bahrain	Denmark	Iraq
Bangladesh	Djibouti	Ireland
Belarus	Dominica	Israel
Belgium	Dominican Republic	Italy
Belize	Ecuador	Jamaica
Benin	Egypt	Japan
Bhutan	El Salvador	Jordan
Bolivia (Plurinational State of)	Equatorial Guinea	Kazakhstan
Bosnia and Herzegovina	Eritrea	Kenya
Botswana	Estonia	Kiribati
Brazil	Ethiopia	Kuwait
Brunei Darussalam	European Union	Kyrgyzstan
Bulgaria	Fiji	Lao People's Democratic Republic
Burkina Faso	Finland	Latvia
Burundi	France	Lebanon
Cabo Verde	Gabon	Lesotho
Cambodia	Gambia	Liberia
Cameroon	Georgia	Libya
Central African Republic	Germany	Liechtenstein
Chad	Ghana	Lithuania
Chile	Greece	Luxembourg
China	Grenada	Madagascar
Colombia		

Malawi	Philippines	The former Yugoslav Republic of Macedonia
Malaysia	Poland	Timor-Leste
Maldives	Portugal	Togo
Mali	Qatar	Tonga
Malta	Republic of Korea	Trinidad and Tobago
Marshall Islands	Republic of Moldova	Tunisia
Mauritania	Romania	Turkey
Mauritius	Russian Federation	Turkmenistan
Mexico	Rwanda	Tuvalu
Micronesia (Federated States of)	Saint Kitts and Nevis	Uganda
Monaco	Saint Lucia	Ukraine
Mongolia	Samoa	United Arab Emirates
Montenegro	Sao Tome and Principe	United Kingdom of Great Britain and Northern Ireland
Morocco	Saudi Arabia	United Republic of Tanzania
Mozambique	Senegal	Uruguay
Myanmar	Serbia	Uzbekistan
Namibia	Seychelles	Vanuatu
Nauru	Sierra Leone	Venezuela (Bolivarian Republic of)
Nepal	Singapore	Viet Nam
Netherlands	Slovakia	Yemen
New Zealand	Slovenia	Zambia
Nicaragua	Solomon Islands	Zimbabwe
Niger	Somalia	
Nigeria	South Africa	
Niue	Spain	
Norway	Sri Lanka	
Oman	Sudan	
Pakistan	Swaziland	
Palau	Sweden	
Panama	Switzerland	
Papua New Guinea	Syrian Arab Republic	
Paraguay	Tajikistan	
Peru	Thailand	

B. Observer States

Canada
 Holy See
 State of Palestine
 United States of America

C. United Nations bodies and programmes

United Nations

United Nations Capital Development Fund
United Nations Children's Fund
United Nations Department of Economic and Social Affairs
United Nations Development Programme
United Nations Economic Commission for Africa
United Nations Economic Commission for Europe
United Nations Entity for Gender Equality and the Empowerment of Women
United Nations Environment Programme
United Nations Global Compact
United Nations High Commissioner for Refugees
United Nations Human Settlements Programme
United Nations Information Centre Warsaw
United Nations Institute for Training and Research
United Nations Office for Disaster Risk Reduction
United Nations Office for Project Services
United Nations Population Fund
United Nations System Chief Executives Board for Coordination
United Nations University
United Nations University – Institute for Environment and Human Security
World Food Programme

D. Convention secretariats

Convention on Biological Diversity
United Nations Convention to Combat Desertification

E. Specialized agencies and institutions of the United Nations system

Food and Agriculture Organization of the United Nations
Global Environment Facility
Intergovernmental Oceanographic Commission
Intergovernmental Panel on Climate Change
International Civil Aviation Organization
International Fund for Agricultural Development
International Maritime Organization
International Telecommunication Union
United Nations Educational, Scientific and Cultural Organization

United Nations Industrial Development Organization

World Health Organization

World Intellectual Property Organization

World Meteorological Organization

F. Related organizations of the United Nations system

Green Climate Fund secretariat

International Atomic Energy Agency

International Finance Corporation

International Monetary Fund

World Bank

World Trade Organization

Annex II

[English only]

Calendar of meetings of Convention and Kyoto Protocol bodies, 2014–2018

- First sessional period in 2014: 4–15 June¹
- Second sessional period in 2014: 1–12 December²
- First sessional period in 2015: 3–14 June
- Second sessional period in 2015: 30 November to 11 December³
- First sessional period in 2016: 18–29 May
- Second sessional period in 2016: 30 November to 11 December
- First sessional period in 2017: 10–21 May
- Second sessional period in 2017: 29 November to 10 December
- First sessional period in 2018: 2–13 May
- Second sessional period in 2018: 7–18 November

¹ The Ad Hoc Working Group on the Durban Platform for Enhanced Action will hold its first additional session in Bonn, Germany, from 10 to 14 March 2014 and will decide whether to hold a second additional session in the second half of 2014 (FCCC/ADP/2013/3, paras. 32 and 33).

² This is a revision of the dates of the twentieth session of the Conference of the Parties (COP) and the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) as indicated in decision 28/CP.19.

³ This is a revision of the dates of COP 21 and CMP 11 as indicated in decision 28/CP.19.

Annex III

[English only]

Documents before the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session

FCCC/KP/CMP/2013/1	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/KP/CMP/2013/2	Report of the Adaptation Fund Board. Note by the Chair of the Adaptation Fund Board
FCCC/KP/CMP/2013/3	Annual report of the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
FCCC/KP/CMP/2013/4 and Corr. 1	Annual report of the Joint Implementation Supervisory Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
FCCC/KP/CMP/2013/5 (Parts I and II)	Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
FCCC/KP/CMP/2013/6	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2013. Note by the secretariat
FCCC/KP/CMP/2013/6/Add.1	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2013. Note by the secretariat. Addendum. Compilation and accounting information by Party
FCCC/KP/CMP/2013/7	Request from Kazakhstan for clarification of the text of Article 3, paragraph 7 ter, (section G) of the Doha Amendment. Note by the secretariat
FCCC/CP/2013/9– FCCC/KP/CMP/2013/8	Report on credentials. Report of the Bureau
FCCC/CP/2013/L.1– FCCC/KP/CMP/2013/L.1	Expression of gratitude to the Government of the Republic of Poland and the people of the city of Warsaw. Draft resolution submitted by Peru
FCCC/KP/CMP/2013/L.2	Compliance Committee. Proposal by the President
FCCC/KP/CMP/2013/L.3	Guidance on the implementation of Article 6 of the Kyoto Protocol. Proposal by the President
FCCC/KP/CMP/2013/L.4	Draft report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its ninth session
FCCC/KP/CMP/2013/L.5	Clarification of the text in section G (Article 3, paragraph 7 ter) of the Doha Amendment to the Kyoto Protocol, in particular the information to be

	used to determine the “average annual emissions for the first three years of the preceding commitment period”. Draft conclusions proposed by the President
FCCC/KP/CMP/2013/L.6	Report of the Adaptation Fund Board. Proposal by the President
FCCC/KP/CMP/2013/L.7	Second review of the Adaptation Fund. Proposal by the President
FCCC/KP/CMP/2013/L.8	Review of the modalities and procedures for the clean development mechanism. Proposal by the President
FCCC/KP/CMP/2013/L.9	Programme budget for the biennium 2014–2015. Proposal by the President
FCCC/KP/CMP/2013/L.10	Guidance relating to the clean development mechanism. Proposal by the President
FCCC/KP/CMP/2013/L.11	Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol. Proposal by the President
FCCC/KP/CMP/2013/L.11/Add.1	Guidance for reporting information on activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol. Proposal by the President. Addendum
FCCC/SBSTA/2013/3 and Add.1 and 2	Report of the Subsidiary Body for Scientific and Technological Advice on its thirty-eighth session, held in Bonn from 3 to 14 June 2013
FCCC/SBSTA/2013/5	Report of the Subsidiary Body for Scientific and Technological Advice on its thirty-ninth session, held in Warsaw from 11 to 17 November 2013
FCCC/SBSTA/2013/L.21	Draft report of the Subsidiary Body for Scientific and Technological Advice on its thirty-ninth session
FCCC/SBSTA/2013/L.24/Add.1	Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23). Draft conclusions proposed by the Chair. Addendum
FCCC/SBSTA/2013/L.31	Implications of the implementation of decisions 2/CMP.7 to 4/CMP.7 and 1/CMP.8 on the previous decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8 of the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2013/10	Report of the Subsidiary Body for Implementation on its thirty-eighth session, held in Bonn from 3 to 14 June 2013

FCCC/SBI/2013/20	Report of the Subsidiary Body for Implementation on its thirty-ninth session, held in Warsaw from 11 to 18 November 2013
FCCC/SBI/2013/L.1	Draft report of the Subsidiary Body for Implementation on its thirty-ninth session
FCCC/SBI/2013/L.3	Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2013. Draft conclusions proposed by the Chair
FCCC/SBI/2013/L.6	Adaptation Fund under the Kyoto Protocol. Draft conclusions proposed by the Chair
FCCC/SBI/2013/L.7/Add.2	Sixth national communications from Parties included in Annex I to the Convention. Draft conclusions proposed by the Chair. Addendum
FCCC/SBI/2013/L.11	Review of the joint implementation guidelines. Draft conclusions proposed by the Chair
FCCC/SBI/2013/L.13	Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period. Draft conclusions proposed by the Chair
FCCC/SBI/2013/L.14/Add.1	Modalities for expediting the establishment of eligibility for Parties included in Annex I to the Convention with commitments for the second commitment period whose eligibility has not yet been established. Draft conclusions proposed by the Chair
FCCC/SBI/2013/L.18/Rev.1	Capacity-building under the Kyoto Protocol. Revised draft conclusions proposed by the Chair
FCCC/SBI/2013/L.20	Budget performance for the biennium 2012–2013. Draft conclusions proposed by the Chair
