



Subsidiary Body for Implementation

Thirty-sixth session

Bonn, 14–25 May 2012

Agenda item 8(a) and (b)

National adaptation plans

National adaptation plans

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Implementation (SBI) welcomed the synthesis report on the support for the national adaptation plan (NAP) process for the least developed countries (LDCs).¹
2. The SBI welcomed the information on activities and support programmes for the NAP process provided by Parties, the Global Environment Facility, United Nations organizations and other organizations through their submissions.²
3. The SBI reiterated the invitation to Parties by the Conference of the Parties (COP) to strengthen their engagement with regional centres and networks in supporting the NAP process.³
4. The SBI also reiterated the urging of developed country Parties by the COP to mobilize financial support for the NAP process for LDC Parties through bilateral and multilateral channels, including through the Least Developed Countries Fund (LDCF), in accordance with decision 1/CP.16 and 5/CP.17.
5. The SBI further reiterated the request to developed country Parties by the COP to continue to provide LDC Parties with finance, technology and capacity-building in accordance with decision 1/CP.16, including paragraph 18, decision 5/CP.17 and other relevant decisions of the COP.
6. The SBI took note of work relevant for the NAP process undertaken by constituted bodies and expert groups under the Convention, in particular the work of the Least Developed Countries Expert Group (LEG).

¹ FCCC/SBI/2012/8.

² FCCC/SBI/2012/MISC.1, FCCC/SBI/2012/MISC.2 and Add.1 and FCCC/SBI/2012/MISC.3.

³ Decision 5/CP.17, paragraph 19.

7. The SBI noted the progress made by the LEG in developing technical guidelines for the NAP process, and looked forward to the completion of these guidelines by the eighteenth session of the COP.
8. The SBI took note of the regional training workshops to be conducted by the LEG as part of the rolling work programme of the LEG for 2012–2013 and noted that these will include considerations of medium- and long-term adaptation.
9. The SBI looked forward to the analysis and compilation of support needs for the NAP process by the LEG as included in the rolling work programme of the LEG for 2012–2013,⁴ which will help to inform how LDC Parties could be supported.
10. The SBI also looked forward to the compilation of case studies on national adaptation planning processes, including tools and approaches used for the prioritization and implementation of actions, to be prepared under the Nairobi work programme on impacts, vulnerability and adaptation to climate change by the thirty-seventh session of the Subsidiary Body for Scientific and Technological Advice.⁵
11. The SBI invited relevant United Nations organizations, specialized agencies and other relevant organizations to continue their support for the NAP process in the LDC Parties, within their mandates and as appropriate, and to provide information to Parties on a regular basis on their efforts.
12. The SBI took note of work undertaken by organizations and institutions outside the Convention, that may be relevant to the NAP process, and extended an invitation for this work to continue.
13. The SBI recalled the request by the COP to the Adaptation Committee, in accordance with its agreed functions, to consider, in its workplan, the relevant modalities for supporting interested developing countries that are not LDC Parties to plan, prioritize and implement their national adaptation planning measures, including through the use of modalities contained in decision 5/CP.17, and to report on this matter to the COP at its eighteenth session.
14. The SBI reaffirmed the invitation by the COP to the operating entities of the financial mechanism of the Convention, bilateral and multilateral organizations and other institutions, as appropriate, to provide financial and technical support to developing country Parties to plan, prioritize and implement their national adaptation planning measures, consistent with decision 1/CP.16 and relevant provisions of the Convention.
15. The SBI looked forward to continuing its consideration at its thirty-seventh session of guidance on policies and programmes to enable financial, technology and capacity-building support for the NAP process for the LDC Parties, using the document contained in the annex as a basis for discussion, with a view to making recommendations to the COP at its eighteenth session.

⁴ FCCC/SBI/2012/7, annex 1.

⁵ Decision 6/CP.17, paragraph 7.

Annex

Draft decision -/CP.18

National adaptation plans

[The Conference of the Parties,

[Recalling Article 4, paragraphs 1, 4 and 9, and Article 11, paragraph 5, of the Convention,]

[Also recalling decisions 11/CP.1, 27/CP.7, 1/CP.16 and 5/CP.17,]

Further recalling the initial guidelines for the formulation of national adaptation plans by the least developed country Parties adopted under decision 5/CP.17,

Reaffirming that because of their development status, climate change risks magnify the development challenges for the least developed country Parties,

Recalling that the national adaptation plans are a process to enable the least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action, as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs; and that other developing country Parties were invited to employ the modalities formulated to support the national adaptation plans in the elaboration of their planning effort,¹

Underlining that planning for adaptation at the national level is a continuous, progressive and iterative process, the implementation of which should be based on nationally identified priorities, including those reflected in the relevant national documents, plans and strategies, and coordinated with national sustainable development objectives, plans, policies and programmes,

[Recalling the request to the Adaptation Committee, in accordance with its agreed functions, to consider, in its workplan, the relevant modalities for supporting interested developing countries that are not least developed country Parties to plan, prioritize and implement their national adaptation planning measures, including through the use of the modalities contained in decision 5/CP.17,]

[Reaffirming the importance of the need to address adaptation planning in the broader context of sustainable development planning,]

[Underlining that the national adaptation plan process should build on and complement existing adaptation planning, should not be prescriptive and should facilitate country-driven, gender-sensitive, participatory action taking into consideration vulnerable groups, communities and ecosystems,]

[Recognizing the value of the experiences gained in preparing and implementing national adaptation programmes of action and stressing that support for the national adaptation plan process should not be at the expense of the national adaptation programmes of action,]

[Appreciating the contributions made by developed country parties to the Least Developed Countries Fund to date,]

¹ Decision 1/CP.16, paragraphs 15 and 16.

[*Recognizing* that the Green Climate Fund will support developing countries in pursuing project-based and programmatic approaches in accordance with climate change strategies and plans, such as national adaptation programmes of action, national adaptation plans and other related activities,]

[*Also recognizing* the important role of the Convention in catalysing support for the least developed country Parties to undertake the national adaptation plan process, noting the range of activities and programmes, both under and outside the Convention process, which could contribute to, and enhance, the national adaptation plan process,]

[*Recalling* its request to the Subsidiary Body for Implementation to consider guidance on policies and programmes to enable support for the national adaptation plan process for the least developed country Parties, at its thirty-sixth session, for consideration by the Conference of the Parties at its eighteenth session,]

1.

[Option 1:

{*Decides* to adopt the following guidance for the Global Environment Facility, as the entity entrusted with the operation of the financial mechanism of the Convention for the operation of the Least Developed Countries Fund, to support activities by the least developed country Parties undertaken under the national adaptation plan process; while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action, the operating entity is requested:

(a) As a first step under the national adaptation plan process, to provide funding from the Least Developed Countries Fund, to meet the agreed full cost of activities to enable the formulation of national adaptation plans as described in the elements contained in paragraphs 2–6 of the initial guidelines for national adaptation plans in the annex to decision 5/CP.17;

(b) To ensure separation of the funding for the national adaptation plan process from funds for the national adaptation programmes of action under the Least Developed Countries Fund, noting that there are linkages between the two;

(c) To ensure complementarity of funding between the Least Developed Countries Fund and other funds with which the operating entity is entrusted, such as the Special Climate Change Fund;

(d) To adopt simplified procedures and arrange for expedited access, including direct access to the Least Developed Countries Fund by the least developed country Parties for the national adaptation plan process, while ensuring sound financial management;

(e) To ensure transparency in all steps relating to the funding of the development of the national adaptation plans;

(f) To ensure a flexible, multiple-entry approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed countries Parties in response to national needs and circumstances;

(g) To encourage the use of national and, where appropriate, regional experts;

(h) To adopt streamlined procedures for the operation of the Least Developed Countries Fund in supporting the national adaptation plan process;}

Option 2:

{*Requests/Invites* the Global Environment Facility, as the entity entrusted with the operation of the financial mechanism of the Convention for the operation of the Least

Developed Countries Fund, to provide support to activities in the least developed country Parties for the preparation of the national adaptation plan process, in laying the groundwork for, addressing gaps in, and undertaking preparatory elements, while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action;

Also requests/Invites the Global Environment Facility to continue its efforts to improve access by the least developed country Parties to the Least Developed Countries Fund for the activities in support of the national adaptation plan process, inter alia by ensuring a flexible multi-entry approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed country Parties, in response to national needs and circumstances;}}

2. [*Requests* the operating entity referred to in paragraph 1 above to include in its report to the Conference of the Parties information on the steps it has undertaken to implement the provisions of this decision;]

3. [*Invites* developed country Parties to further contribute to the Least Developed Countries Fund to support the national adaptation plan process, and *invites* developed country Parties, and other Parties in a position to do so, to continue their efforts to support the least developed country Parties in the national adaptation plan process [, through provision of finance, technology and capacity-building, as appropriate, in accordance with decision 1/CP.16, including paragraph 18, and other relevant decisions of the Conference of the Parties];]

4. [*Invites* the operating entities of the financial mechanism of the Convention, bilateral and multilateral agencies and other relevant organizations, as appropriate, to take this decision into account when providing financial and technical support to developing country Parties in responding to decision 5/CP.17;]

5. [*Invites* the Green Climate Fund Board to take into account the national adaptation plan process when designing its modalities, including for direct access, in accordance with decision 3/CP.17, paragraph 3;]

6. [*Requests* the Standing Committee of the Green Climate Fund to develop recommendations for the predictability, accessibility, and adequacy of financial resources for the formulation and implementation of national adaptation plans;]

7. [*Invites* Parties and relevant organizations to continue to assist the least developed country Parties, in close collaboration with the Least Developed Countries Expert Group, in building national institutional arrangements and capacities, and to support scientific and technical capacity needs, as identified by the least developed country Parties, to undertake the national adaptation plan process;]

8. [*Invites* United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to support the national adaptation plan process in the least developed country Parties and, where possible, to consider establishing or enhancing support programmes for the national adaptation plan process within their mandates, as appropriate, which could facilitate financial and technical support to the least developed country Parties, in close collaboration with the Least Developed Countries Expert Group, and to keep the Subsidiary Body for Implementation informed, through the secretariat, on how they have responded to this invitation;]

9. [*Invites* Parties and relevant organizations to share best practices and lessons learned in addressing adaptation, through the ongoing work of the Least Developed Countries Expert Group and work under the Nairobi work programme on impacts, vulnerability and adaptation to climate change, as well as through other efforts outside the Convention;]

10. [(Placeholder for consideration of recommendations on national adaptation plans for developing country Parties that are not least developed country Parties);]
11. [*Decides* to assess progress made in implementing this decision, and to consider the adoption of further guidance, as appropriate, at its twentieth session.]]
