



## Framework Convention on Climate Change

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### Subsidiary Body for Scientific and Technological Advice

Thirty-fifth session

Durban, 28 November to 3 December 2011

Item 2(a) of the provisional agenda

**Organizational matters**

**Adoption of the agenda**

### Provisional agenda and annotations

#### Note by the Executive Secretary

#### I. Provisional agenda

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2. Organizational matters:
  - (a) Adoption of the agenda;
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4. Methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.
5. Development and transfer of technologies.
6. Research and systematic observation.
7. Forum on the impact of the implementation of response measures at the thirty-fourth and thirty-fifth sessions of the subsidiary bodies, with the objective of developing a work programme under the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to address these impacts, with a view to adopting, at the seventeenth session of the Conference of the Parties,

modalities for the operationalization of the work programme and a possible forum on response measures.<sup>1</sup>

8. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol.
9. Methodological issues under the Convention:
  - (a) Emissions from fuel used for international aviation and maritime transport;
  - (b) Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in Annex I to the Convention;
  - (c) Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention.
10. Methodological issues under the Kyoto Protocol:
  - (a) Carbon dioxide capture and storage in geological formations as clean development mechanism project activities;
  - (b) Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23);
  - (c) Implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities;
  - (d) Materiality standard under the clean development mechanism;
  - (e) Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol.
11. Other matters.
12. Report on the session.

## II. Annotations to the provisional agenda

### 1. Opening of the session

1. The thirty-fifth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) will be opened by the Chair on Monday, 28 November 2011.

### 2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda for the session will be presented for adoption.

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| <p><i>FCCC/SBSTA/2011/3</i></p> | <p><i>Provisional agenda and annotations. Note by the Executive Secretary</i></p> |
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(b) Organization of the work of the session

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<sup>1</sup> Decision 1/CP.16, paragraph 93.

3. *Background:* The thirty-fifth session of the SBSTA will be held from 28 November to 3 December 2011, in conjunction with the seventeenth session of the Conference of the Parties (COP) and the seventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP). A detailed schedule of the session will be posted on the UNFCCC website.

4. Given that six bodies will be meeting during the sessional period, the time available for contact groups and informal consultations will be very limited. To maximize the use of meeting time, the Chairs may, in consultation with Parties, propose time-saving measures. To this end, the Chair suggests that only delegations speaking on behalf of a group of Parties make brief remarks during the opening plenary. Representatives of Parties and international organizations are requested to keep their oral statements as brief as possible. Those representatives wishing to make available a written statement should bring copies for distribution.

5. The session will be organized taking into account, to the extent possible, the related recommendations of the Subsidiary Body for Implementation (SBI) at its previous sessions.<sup>2</sup> In order to enable delegations to participate fully in other meetings taking place in parallel, the SBSTA will be invited to conduct its deliberations as efficiently as possible, including optimizing the time used for plenary and for informal negotiations, and to finish its work within the time foreseen. Items that are not concluded at this session will be forwarded to the SBSTA for consideration at its thirty-sixth or thirty-seventh session.

6. Parties are invited to refer to the overview of the session posted on the UNFCCC website and to consult the Daily Programme, published during the session, for a detailed and up-to-date schedule of the work of the SBSTA, as well as for more information on mandated in-session events.

7. *Action:* The SBSTA will be invited to agree on the organization of the work of the session.

FCCC/SBSTA/2011/3

*Provisional agenda and annotations. Note by the  
Executive Secretary*

(c) Election of officers other than the Chair

8. *Background:* Pursuant to rule 27 of the draft rules of procedure<sup>3</sup> being applied, the SBSTA shall elect its Vice-Chair and Rapporteur. At the request of the President of the COP, consultations on the nomination of officers of the SBSTA, together with consultations on the election of members of the other bodies of the Convention and its Kyoto Protocol, were initiated at SBSTA 34 with the coordinators and chairs of the regional groups and other constituencies. If necessary, further consultations will be held during the thirty-fifth session. The current officers of the SBSTA will remain in office until their successors are elected. Parties are invited to recall decision 36/CP.7 and to give active consideration to the nomination of women for elective posts for any body established under the Convention or its Kyoto Protocol.

9. *Action:* The SBSTA will be invited to elect its officers at the earliest opportunity following the completion of consultations.

(d) Election of replacement officers

10. *Background:* Pursuant to Article 15, paragraph 3, of the Kyoto Protocol, when the SBSTA exercises its functions with regard to matters concerning the Kyoto Protocol, any

<sup>2</sup> FCCC/SBI/2011/7, paragraph 167, and FCCC/SBI/2010/10, paragraphs 164 and 165.

<sup>3</sup> FCCC/CP/1996/2.

member of its Bureau representing a State that is a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and from among the Parties to the Protocol. If necessary, further consultations with the coordinators of the regional groups will be undertaken.

11. *Action:* If necessary, the SBSTA will be invited to elect an additional officer to replace the Vice-Chair representing a State that is a Party to the Convention but not a Party to the Kyoto Protocol.

### **3. Nairobi work programme on impacts, vulnerability and adaptation to climate change**

12. *Background:* At its twenty-eighth session, in accordance with decision 2/CP.11, the SBSTA requested the secretariat to provide a report, for consideration at each of its sessions, on progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change, including calls for action.<sup>4</sup> The latest report is contained in document FCCC/SBSTA/2011/INF.9.

13. The SBSTA, at its thirty-fourth session, requested the secretariat to undertake the following interim activities under the Nairobi work programme, subject to the availability of resources, until SBSTA 35:<sup>5</sup>

(a) Conduct a survey of national focal points to identify priority needs for the dissemination of products related to impacts, vulnerability and adaptation to climate change under the Nairobi work programme;

(b) Compile information on ecosystem-based approaches to adaptation;

(c) Prepare a technical paper on water and climate change impacts and adaptation strategies;

(d) Organize a joint SBSTA/SBI in-session workshop to consider the outcomes of the Nairobi work programme, to highlight the scientific, technical and socio-economic aspects of impacts, vulnerability and adaptation to climate change most relevant to the SBI.

14. Information on the survey referred to in paragraph 13(a) above will be included in the progress report prepared for this session (see para. 12 above). The compilation of information referred to in paragraph 13(b) above and the technical paper referred to in paragraph 13(c) above are contained in documents FCCC/SBSTA/2011/INF.8 and FCCC/TP/2011/5, respectively. The joint workshop referred to in paragraph 13(d) above will be held during the thirty-fifth sessions of the subsidiary bodies.

15. At the same session, the SBSTA agreed to consider, at its thirty-fifth session, possible areas of further work on impacts, vulnerability and adaptation to climate change under the Nairobi work programme, including, inter alia, potential activities as outlined in annex I to document FCCC/SBSTA/2011/2, with a view to defining the duration of and activities for its next phase. The SBSTA invited Parties and relevant organizations to submit to the secretariat, by 19 September 2011, their proposals for upcoming activities under the Nairobi work programme, taking into consideration the information included in annex I to document FCCC/SBSTA/2011/2.<sup>6</sup> These submissions are contained in document FCCC/SBSTA/2011/MISC.6.

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<sup>4</sup> FCCC/SBSTA/2008/6, paragraph 18.

<sup>5</sup> FCCC/SBSTA/2011/2, paragraph 23.

<sup>6</sup> FCCC/SBSTA/2011/2, paragraphs 24 and 25.

16. The SBSTA also agreed to discuss the impacts of climate change on water resources and integrated water resource management under the agenda item on the Nairobi work programme.<sup>7</sup>

17. *Action:* The SBSTA will be invited to consider the information contained in the documents prepared for the session, with a view to defining the duration of and activities for the next phase of the Nairobi work programme.

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| <i>FCCC/SBSTA/2011/INF.8</i>  | <i>Ecosystem-based approaches to adaptation: compilation of information. Note by the secretariat</i>  |
| <i>FCCC/SBSTA/2011/INF.9</i>  | <i>Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Note by the secretariat</i>                      |
| <i>FCCC/TP/2011/5</i>         | <i>Water and climate change impacts and adaptation strategies. Technical paper</i>  |
| <i>FCCC/SBSTA/2011/MISC.6</i> | <i>Proposals for upcoming activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Submissions from Parties and relevant organizations</i> |

#### **4. Methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries**

18. *Background:* The SBSTA, at its thirty-fourth session, considered views on methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries, taking into account issues identified in appendix II to decision 1/CP.16 and relevant issues.<sup>8</sup>

19. In considering methodological issues referred to in paragraph 18 above, Parties identified a range of issues, including guidance on systems for providing information on how the safeguards referred to in appendix I to decision 1/CP.16 are addressed and respected, modalities relating to forest reference emission levels and forest reference levels, and modalities for measuring, reporting and verifying, as referred to in appendix II to decision 1/CP.16.<sup>9</sup>

20. The SBSTA invited Parties and accredited observers to submit to the secretariat, by 19 September 2011, their views on the issues identified in paragraphs 18 and 19 above.<sup>10</sup> These submissions are contained in document FCCC/SBSTA/2011/MISC.7.

21. The SBSTA requested the secretariat to organize, subject to the availability of supplementary funds, meetings of technical experts, including one before its thirty-fifth session, on the matters referred to in paragraphs 18 and 19 above.<sup>11</sup> The first expert meeting will be held in Panama City, Panama, on 8 and 9 October 2011. The second expert meeting is tentatively scheduled to take place from 3 to 4 November 2011. The reports on these two

<sup>7</sup> FCCC/SBSTA/2011/2, paragraph 6.

<sup>8</sup> FCCC/SBSTA/2011/2, paragraph 28.

<sup>9</sup> FCCC/SBSTA/2011/2, paragraph 29.

<sup>10</sup> FCCC/SBSTA/2011/2, paragraph 31.

<sup>11</sup> FCCC/SBSTA/2011/2, paragraph 31.

technical expert meetings are contained in documents FCCC/SBSTA/2011/INF.17 and FCCC/SBSTA/2011/INF.18, respectively.

22. The SBSTA also requested its Chair to continue to explore ways of facilitating the coordination of activities relating to decisions 2/CP.13, 4/CP.15 and 1/CP.16, and to report back to the SBSTA at its future sessions.<sup>12</sup>

23. *Action:* The SBSTA will be invited to continue its consideration of the methodological guidance referred to in paragraph 18 above, taking into account the information in the documents prepared for the session, with a view to completing its work on these matters and reporting to the COP, at its seventeenth session, on progress made, including any recommendations for draft decisions.

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| <i>FCCC/SBSTA/2011/MISC.7</i> | <i>Views on methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries. Submissions from Parties</i> |
| <i>FCCC/SBSTA/2011/INF.17</i> | <i>Report on the expert meeting on guidance on systems for providing information on how safeguards for REDD-plus activities are addressed and respected. Note by the secretariat</i>  |
| <i>FCCC/SBSTA/2011/INF.18</i> | <i>Report on the expert meeting on forest reference emission levels and forest reference levels for implementation of REDD-plus activities. Note by the secretariat</i>   |

## 5. Development and transfer of technologies

24. *Background:* The COP, by its decision 1/CP.16, decided to establish a Technology Mechanism, comprising a Technology Executive Committee (TEC) and a Climate Technology Centre and Network (CTCN),<sup>13</sup> and decided that the TEC and the CTCN shall report, on an interim basis, to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.<sup>14</sup>

25. By the same decision, the COP decided that the TEC shall convene its first meeting as soon as practicable following the election of its members.<sup>15</sup> The first meeting of the TEC was held in Bonn, Germany, from 1 to 3 September 2011. The report on the activities and performance of the TEC for 2011 is contained in document FCCC/SB/2011/2.

26. The SBSTA, at its thirty-fourth session, took note of the organization of a workshop on technology needs assessments, organized by the secretariat, in collaboration with the Global Environment Facility, the United Nations Development Programme, the United Nations Environment Programme and the Climate Technology Initiative, on 1 and 2 June 2011 in Bonn.<sup>16</sup> The SBSTA further noted that the written report on the outcomes of this workshop would be made available for consideration by the SBSTA at its thirty-fifth session. The report on this workshop is contained in document FCCC/SBSTA/2011/INF.10.

<sup>12</sup> FCCC/SBSTA/2011/2, paragraph 32.

<sup>13</sup> Decision 1/CP.16, paragraph 117.

<sup>14</sup> Decision 1/CP.16, paragraph 126.

<sup>15</sup> Decision 1/CP.16, paragraph 125.

<sup>16</sup> FCCC/SBSTA/2011/2, paragraph 37.

27. *Action:* The SBSTA will be invited to consider the documents prepared for the session, with a view to determining any further action arising from them or recommending a draft decision on these matters for adoption by the COP at its seventeenth session, as appropriate.

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| <i>FCCC/SB/2011/2</i>         | <i>Report on activities and performance of the Technology Executive Committee for 2011</i> |
| <i>FCCC/SBSTA/2011/INF.10</i> | <i>Report on the workshop on technology needs assessments. Note by the secretariat</i>     |

## 6. Research and systematic observation

28. *Background:* At its thirty-fourth session, the SBSTA requested the secretariat, subject to the availability of resources, to continue to support the SBSTA dialogue on developments in research activities relevant to the needs of the Convention being held in the context of decision 9/CP.11 (hereinafter referred to as the research dialogue), including organizing further workshops, as appropriate and to be agreed by the SBSTA, and enhancing the visibility of scientific information of relevance to the Convention on its website.<sup>17</sup> The SBSTA invited Parties to provide, by 19 September 2011, their views on the research dialogue, including ongoing activities, associated modalities and ways to enhance the dialogue. The SBSTA requested the secretariat to compile these submissions into a miscellaneous document for consideration by the SBSTA at its thirty-fifth session.<sup>18</sup> These submissions are contained in document FCCC/SBSTA/2011/MISC.8.

29. At its thirty-third session, the SBSTA invited the Global Terrestrial Observing System (GTOS) to report, at the thirty-fifth session of the SBSTA, on progress made on matters related to, inter alia, the development of methodologies for climate-related terrestrial observation and the implementation of the workplan, improvement of terrestrial networks and synergy with ongoing relevant initiatives.<sup>19</sup> It is expected that the GTOS secretariat will provide information on these matters, which will be included in document FCCC/SBSTA/2011/MISC.14. At its thirty-fourth session,<sup>20</sup> the SBSTA agreed to defer its consideration of matters related to systematic observation, including issues related to the timing of the contributions of the Global Climate Observing System (GCOS) to the SBSTA, to its thirty-sixth session.<sup>21</sup>

30. *Action:* The SBSTA will be invited to take note of the documents prepared for the session and to consider the views on the research dialogue and determine further appropriate actions.

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| <i>FCCC/SBSTA/2011/MISC.8</i>  | <i>Views on the research dialogue, including ongoing activities, associated modalities and ways to enhance it. Submissions from Parties</i>  |
| <i>FCCC/SBSTA/2011/MISC.14</i> | <i>Summary on progress in the development of methodologies, standards and protocols for climate-related terrestrial observations and related matters. Submission from the secretariat of the Global Terrestrial Observing System</i> |

<sup>17</sup> FCCC/SBSTA/2011/2, paragraph 55(a) and (b).

<sup>18</sup> FCCC/SBSTA/2011/2, paragraph 56.

<sup>19</sup> FCCC/SBSTA/2010/13, paragraphs 47–50.

<sup>20</sup> FCCC/SBSTA/2011/2, paragraph 56.

<sup>21</sup> At its thirty-third session, the SBSTA agreed to consider, at its thirty-fifth session, issues related to the timing of GCOS contributions to the SBSTA (FCCC/SBSTA/2010/13, para. 45).

**7. Forum on the impact of the implementation of response measures at the thirty-fourth and thirty-fifth sessions of the subsidiary bodies, with the objective of developing a work programme under the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to address these impacts, with a view to adopting, at the seventeenth session of the Conference of the Parties, modalities for the operationalization of the work programme and a possible forum on response measures<sup>22</sup>**

31. *Background:* The COP, by its decision 1/CP.16, decided to provide a forum on the impact of the implementation of response measures, and to that end requested the Chairs of the SBSTA and the SBI to convene such a forum at the thirty-fourth and thirty-fifth sessions of these bodies, with the objective of developing a work programme under the subsidiary bodies to address this impact, with a view to the COP adopting, at its seventeenth session, modalities for the operationalization of the work programme and a possible forum on response measures.<sup>23</sup>

32. During the thirty-fourth sessions of the SBSTA and the SBI, the Chairs convened such a forum. The SBSTA and the SBI agreed that the forum will continue at their thirty-fifth sessions, in order to carry out the work as agreed in decision 1/CP.16, paragraph 93.<sup>24</sup>

33. Also at their thirty-fourth sessions, the SBSTA and the SBI invited Parties and relevant intergovernmental and non-governmental organizations to submit further views on the elements for the development of a work programme and a possible forum on response measures by 19 September 2011. They requested the secretariat to compile these views into a miscellaneous document for consideration by the SBSTA and the SBI at their thirty-fifth sessions.<sup>25</sup> These submissions are contained in document FCCC/SB/2011/MISC.4.

34. The SBSTA and the SBI requested the secretariat, under the guidance of the Chairs of the SBSTA and the SBI, to prepare a report on the special event held in the context of the forum at their thirty-fourth sessions and make it available at the thirty-fifth sessions of the SBSTA and the SBI.<sup>26</sup> The report is contained in document FCCC/SB/2011/INF.5.

35. *Action:* The SBSTA and the SBI will be invited to consider the documents below, and the outcome of the forum on the impact of the implementation of response measures, held at the thirty-fourth and thirty-fifth sessions of the subsidiary bodies, with a view to recommending a draft decision on modalities for the operationalization of the work programme and a possible forum on response measures, for consideration and adoption by the COP at its seventeenth session.

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| <i>FCCC/SB/2011/MISC.4</i> | <i>Further views on the elements for the development of a work programme and a possible forum on response measures. Submissions from Parties and relevant organizations</i>                                  |
| <i>FCCC/SB/2011/INF.5</i>  | <i>Report on the special event held in the context of the forum on the impact of the implementation of response measures at the thirty-fourth sessions of the subsidiary bodies. Note by the secretariat</i> |

<sup>22</sup> Decision 1/CP.16, paragraph 93.

<sup>23</sup> Decision 1/CP.16, paragraph 93.

<sup>24</sup> FCCC/SBSTA/2011/2, paragraph 62.

<sup>25</sup> FCCC/SBSTA/2011/2, paragraph 60.

<sup>26</sup> FCCC/SBSTA/2011/2, paragraph 61.



## 8. Matters relating to Article 2, paragraph 3, of the Kyoto Protocol

36. *Background:* The SBSTA, at its thirty-third session, considered this agenda item together with the SBI agenda item “Matters relating to Article 3, paragraph 14, of the Kyoto Protocol” in a joint contact group. At the same session, the SBSTA and the SBI requested the secretariat to organize a joint workshop to address matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol, before their thirty-fifth sessions.<sup>27</sup> This workshop will be held in Bonn on 19 and 20 September 2011, and, with a view to maximizing participation, will be held back to back with the workshop on promoting risk management approaches on the specific needs and concerns of developing country Parties arising from the impact of the implementation of response measures (decision 1/CP.10).<sup>28</sup> The report on the workshop is contained in document FCCC/SB/2011/INF.6.

37. The SBSTA and the SBI agreed to continue to discuss matters related to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol, including consideration of the workshop report, in a joint contact group to be established by them at their thirty-fifth sessions.<sup>29</sup>

38. *Action:* The SBSTA and the SBI will be invited to consider the document below, with a view to agreeing on further action, as appropriate.

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| <i>FCCC/SB/2011/INF.6</i> | <i>Report on the joint workshop on matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol. Note by the secretariat</i> |
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## 9. Methodological issues under the Convention

### (a) Emissions from fuel used for international aviation and maritime transport

39. *Background:* The SBSTA, at its thirty-fourth session, invited the secretariats of the International Civil Aviation Organization (ICAO) and the International Maritime Organization (IMO) to continue to report, at its future sessions, on relevant work in relation to addressing emissions from fuel used for international aviation and maritime transport.<sup>30</sup> These reports are contained in document FCCC/SBSTA/2011/MISC.9.

40. *Action:* The SBSTA will be invited to take note of the information contained in the reports provided by the secretariats of ICAO and IMO.

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| <i>FCCC/SBSTA/2011/MISC.9</i> | <i>Information relevant to emissions from fuel used for international aviation and maritime transport. Submissions from international organizations</i> |
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### (b) Revision of the UNFCCC reporting guidelines on annual inventories for Parties included in Annex I to the Convention

41. *Background:* The SBSTA, at its thirtieth session,<sup>31</sup> agreed that the process for Parties included in Annex I to the Convention (Annex I Parties) to implement the use of the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (hereinafter referred to as the 2006 IPCC Guidelines), starting in 2015, should be through a revision of the “Guidelines

<sup>27</sup> FCCC/SBSTA/2010/13, paragraph 105.

<sup>28</sup> FCCC/SBI/2010/27, paragraph 90.

<sup>29</sup> FCCC/SBSTA/2011/2, paragraph 68.

<sup>30</sup> FCCC/SBSTA/2011/2, paragraph 73.

<sup>31</sup> FCCC/SBSTA/2009/3, paragraph 99.

for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories” (hereinafter referred to as the UNFCCC Annex I reporting guidelines).

42. At the same session, the SBSTA agreed to launch a work programme in 2010 for the revision of the UNFCCC Annex I reporting guidelines,<sup>32</sup> in order to address issues relating to them and methodological issues related to reporting when using the 2006 IPCC Guidelines. At its thirty-second session, the SBSTA agreed on the process and timeline for this work programme.<sup>33</sup>

43. At its thirty-fourth session, the SBSTA reiterated a request for the secretariat to organize, subject to the availability of resources, a fourth workshop under the work programme to address the annotated draft of the revised UNFCCC Annex I reporting guidelines.<sup>34</sup> The workshop is scheduled to take place in Bonn from 8 to 10 November 2011, and the report is contained in document FCCC/SBSTA/2011/INF.12.

44. At the same session, the SBSTA requested the secretariat to prepare a new version of the annotated draft of the revised UNFCCC Annex I reporting guidelines,<sup>35</sup> based on the outcomes of its thirty-fourth session, and to make it available in due time for the fourth workshop. It further requested the secretariat to prepare, after the fourth workshop and based on its outcomes, the new version of these guidelines, to be made available to the SBSTA at its thirty-fifth session.<sup>36</sup> This version of the guidelines is contained in document FCCC/SBSTA/2011/INF.11.

45. *Action:* The SBSTA will be invited to consider the documents prepared for the session, with a view to preparing a draft decision on this matter for adoption by the COP at its seventeenth session, in line with the work programme agreed to by the SBSTA at its thirty-second session.

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| <i>FCCC/SBSTA/2011/INF.11</i> | <i>Draft UNFCCC Annex I reporting guidelines. Note by the secretariat</i>  |
| <i>FCCC/SBSTA/2011/INF.12</i> | <i>Report on the workshop on issues relating to the revision of the UNFCCC Annex I reporting guidelines. Note by the secretariat</i> |

(c) Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention

46. *Background:* The COP, by its decision 12/CP.9, requested the secretariat to prepare an annual report on inventory review activities, including any recommendations resulting from the lead reviewers’ meetings, for consideration by the SBSTA. The SBSTA, at its twenty-fourth session,<sup>37</sup> requested the secretariat to continue to prepare annual reports on inventory review activities, for consideration by the SBSTA, and to include in these reports information on the progress made in updating the roster of experts. The latest report is contained in document FCCC/SBSTA/2011/INF.13 and provides information on the review activities in 2011, recommendations from the lead reviewers’ meeting, details of training activities for inventory review experts under the Convention and its Kyoto Protocol and updated information on the roster of experts.

<sup>32</sup> FCCC/SBSTA/2009/3, paragraph 101.

<sup>33</sup> FCCC/SBSTA/2010/6, paragraph 66 and annex II.

<sup>34</sup> FCCC/SBSTA/2011/2, paragraph 78.

<sup>35</sup> The annotated draft of the revised UNFCCC Annex I reporting guidelines prepared for the thirty-fourth session of the SBSTA can be found on the UNFCCC website at <<http://unfccc.int/5333.php>>.

<sup>36</sup> FCCC/SBSTA/2011/2, paragraph 79.

<sup>37</sup> FCCC/SBSTA/2006/5, paragraph 95.

47. *Action:* The SBSTA will be invited to take note of document FCCC/SBSTA/2011/INF.13.

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| <i>FCCC/SBSTA/2011/INF.13</i> | <i>Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention. Note by the secretariat</i> |
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## 10. Methodological issues under the Kyoto Protocol

### (a) Carbon dioxide capture and storage in geological formations as clean development mechanism project activities

48. *Background:* The CMP, by its decision 7/CMP.6, decided that carbon dioxide capture and storage in geological formations is eligible as project activities under the clean development mechanism (CDM), provided that the issues identified in decision 2/CMP.5, paragraph 29, are addressed and resolved in a satisfactory manner.

49. The CMP requested the SBSTA, at its thirty-fifth session, to elaborate modalities and procedures for the inclusion of carbon dioxide capture and storage in geological formations as CDM project activities, with a view to recommending a decision to the CMP at its seventh session, and decided that these modalities and procedures shall address the issues referred to in decision 7/CMP.6, paragraph 3.

50. The CMP invited Parties and admitted observer organizations to submit to the secretariat, by 21 February 2011, their views on how the issues referred to in decision 7/CMP.6, paragraph 3, can be addressed in the modalities and procedures, and requested the secretariat to prepare a synthesis report based on the submissions. The submissions from Parties are contained in document FCCC/SBSTA/2011/MISC.10, a list of relevant organizations that provided submissions is contained in document FCCC/SBSTA/2011/MISC.11 and the synthesis of views is contained in document FCCC/SBSTA/2011/INF.7.

51. The CMP also requested the secretariat to conduct a technical workshop with technical and legal experts, after the thirty-fourth session of the SBSTA but prior to its thirty-fifth session, to consider the submissions and the synthesis report and to discuss how the issues referred to in decision 7/CMP.6, paragraph 3, can be addressed in modalities and procedures. The workshop was held on 7 and 8 September 2011 in Abu Dhabi, United Arab Emirates, and the report on this workshop is contained in document FCCC/SBSTA/2011/INF.14.

52. The CMP further requested the secretariat to prepare draft modalities and procedures, based on the submissions and the technical workshop, for consideration by the SBSTA at its thirty-fifth session. The draft modalities are contained in document FCCC/SBSTA/2011/4.

53. *Action:* The SBSTA will be invited to consider the documents prepared for the session and elaborate modalities and procedures for the inclusion of carbon dioxide capture and storage in geological formations as CDM project activities, with a view to recommending a decision for adoption by the CMP at its seventh session.

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| <i>FCCC/SBSTA/2011/4</i>       | <i>Draft modalities and procedures for carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Note by the secretariat</i> |
| <i>FCCC/SBSTA/2011/MISC.10</i> | <i>Views on carbon dioxide capture and storage in geological formations as clean development mechanism</i>  |

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|                                | <i>project activities. Submissions from Parties</i>   |
| <i>FCCC/SBSTA/2011/MISC.11</i> | <i>Views on carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Submissions from admitted observer organizations</i>                       |
| <i>FCCC/SBSTA/2011/INF.7</i>   | <i>Synthesis of views on modalities and procedures for carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Note by the secretariat</i>     |
| <i>FCCC/SBSTA/2011/INF.14</i>  | <i>Report on the workshop on modalities and procedures for carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Note by the secretariat</i> |

(b) Implications of the establishment of new hydrochlorofluorocarbon-22 (HCFC-22) facilities seeking to obtain certified emission reductions for the destruction of hydrofluorocarbon-23 (HFC-23)

54. *Background:* The SBSTA, at its thirty-fourth session, took note of the technical paper prepared by the secretariat,<sup>38</sup> its previous conclusions under this agenda sub-item and the views expressed by Parties during the session, and agreed to continue its consideration of the matter at its thirty-fifth session.<sup>39</sup>

55. *Action:* The SBSTA will be invited to continue its consideration of this issue, with a view to determining any further action on this matter, as appropriate.

(c) Implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities

56. *Background:* The CMP, by its decision 2/CMP.5, requested the SBSTA to assess the implications of the recommendation regarding forests in exhaustion, as contained in annex I to the annual report of the CDM Executive Board to the CMP.<sup>40</sup>

57. The SBSTA, at its thirty-third session, invited Parties and admitted observer organizations to submit to the secretariat, by 28 March 2011, their views on the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation CDM project activities.<sup>41</sup> These views are contained in document FCCC/SBSTA/2011/MISC.12.

58. It requested the secretariat to prepare a synthesis report based on the views submitted by Parties and admitted observer organizations, which is contained in document FCCC/SBSTA/2011/INF.15.

59. *Action:* The SBSTA will be invited to consider the documents prepared for the session and to decide on any further action, as appropriate.

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| <i>FCCC/SBSTA/2011/MISC.12</i> | <i>Views on the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities. Submissions from Parties</i> |
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<sup>38</sup> FCCC/TP/2011/2.

<sup>39</sup> FCCC/SBSTA/2011/2, paragraphs 88 and 89.

<sup>40</sup> FCCC/KP/CMP/2009/16.

<sup>41</sup> FCCC/SBSTA/2010/13, paragraph 93.

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| <i>and relevant organizations</i>  |
| <i>FCCC/SBSTA/2011/INF.15 Synthesis of views on the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities. Note by the secretariat</i> |

(d) Materiality standard under the clean development mechanism

60. *Background:* The CMP, by its decision 3/CMP.6, requested the SBSTA to consider the issue of materiality, with a view to recommending a draft decision on this matter for adoption by the CMP at its seventh session.

61. The SBSTA, at its thirty-fourth session, agreed to continue the consideration of this matter, at its thirty-fifth session, on the basis of a draft text contained in annex III to document FCCC/SBSTA/2011/2.

62. The SBSTA invited<sup>42</sup> Parties, intergovernmental organizations, admitted observer organizations and designated operational entities to submit to the secretariat, by 19 September 2011, their views on:

- (a) Whether the concept of materiality could be applied in the context of the CDM;
- (b) If appropriate:
  - (i) How materiality should be defined in the context of the CDM;
  - (ii) The appropriate thresholds used to define the conditions under which a piece of information should be regarded as material;
  - (iii) The areas to which the concept of materiality should be applied;
- (c) The relationship, as well as the differences, between uncertainty and materiality.

63. The SBSTA requested the secretariat to compile the submissions referred to in paragraph 62 above into a miscellaneous document for consideration by the SBSTA at its thirty-fifth session. The submissions are contained in document FCCC/SBSTA/2011/MISC.13.

64. *Action:* The SBSTA will be invited to continue its consideration of this matter, based on the draft text contained in annex III to document FCCC/SBSTA/2011/2, and on the submissions referred to in paragraphs 62 and 63 above, with a view to recommending elements to be incorporated into a draft decision on further guidance relating to the CDM, for consideration and adoption by the CMP at its seventh session.

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| <i>FCCC/SBSTA/2011/MISC.13 Views on the materiality standard under the clean development mechanism. Submissions from Parties and relevant organizations</i> |
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(e) Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol

65. *Background:* In accordance with decision 22/CMP.1, the secretariat shall prepare an annual report to the SBSTA on the composition of the expert review teams, including the

<sup>42</sup> FCCC/SBSTA/2011/2, paragraph 94.

selection of experts for the review teams and the lead reviewers.<sup>43</sup> The lead reviewers collectively shall prepare an annual report to the SBSTA with suggestions on how to improve the review process and shall give advice on the standardized data comparisons of inventory information to be conducted by the secretariat based on the electronic common reporting format submissions to be used in the review process.<sup>44</sup> The report contained in document FCCC/SBSTA/2011/INF.16 provides information on the review activities in 2011 under the Kyoto Protocol, including information on the selection of experts for the review teams, and recommendations from the lead reviewers on how to improve the review process.

66. *Action:* The SBSTA will be invited to take note of document FCCC/SBSTA/2011/INF.16.

*FCCC/SBSTA/2011/INF.16 Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol. Note by the secretariat*

## 11. Other matters

67. Any other matters arising during the session will be taken up under this item.

## 12. Report on the session

68. *Background:* A draft report on the work of the session will be prepared for adoption by the SBSTA at the end of the session.

69. *Action:* The SBSTA will be invited to adopt the draft report and authorize the Rapporteur to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

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<sup>43</sup> Decision 22/CMP.1, annex, paragraph 35.

<sup>44</sup> Decision 22/CMP.1, annex, paragraph 40.

**Annex**

[English only]

**Documents before the Subsidiary Body for Scientific and Technical Advice at its thirty-fifth session****Documents prepared for the session**

|                        |  |
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| FCCC/SBSTA/2011/3      | Provisional agenda and annotations. Note by the Executive Secretary  |
| FCCC/SBSTA/2011/4      | Draft modalities and procedures for carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Note by the secretariat   |
| FCCC/SBSTA/2011/INF.7  | Synthesis of views on modalities and procedures for carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Note by the secretariat                             |
| FCCC/SBSTA/2011/INF.8  | Ecosystem-based approaches to adaptation: compilation of information. Note by the secretariat  |
| FCCC/SBSTA/2011/INF.9  | Progress made in implementing activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Note by the secretariat  |
| FCCC/SBSTA/2011/INF.10 | Report on the workshop on technology needs assessments. Note by the secretariat  |
| FCCC/SBSTA/2011/INF.11 | Draft UNFCCC Annex I reporting guidelines. Note by the secretariat   |
| FCCC/SBSTA/2011/INF.12 | Report on the workshop on issues relating to the revision of the UNFCCC Annex I reporting guidelines. Note by the secretariat  |
| FCCC/SBSTA/2011/INF.13 | Annual report on the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention. Note by the secretariat  |
| FCCC/SBSTA/2011/INF.14 | Report on the workshop on modalities and procedures for carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Note by the secretariat                         |
| FCCC/SBSTA/2011/INF.15 | Synthesis of views on the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities. Note by the secretariat |

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| FCCC/SBSTA/2011/INF.16  | Annual report on the technical review of greenhouse gas inventories and other information reported by Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol under Article 7, paragraph 1, of the Kyoto Protocol. Note by the secretariat       |
| FCCC/SBSTA/2011/INF.17  | Report on the expert meeting on guidance on systems for providing information on how safeguards for REDD-plus activities are addressed and respected. Note by the secretariat  |
| FCCC/SBSTA/2011/INF.18  | Report on the expert meeting on forest reference emission levels and forest reference levels for implementation of REDD-plus activities. Note by the secretariat   |
| FCCC/SBSTA/2011/MISC.6  | Proposals for upcoming activities under the Nairobi work programme on impacts, vulnerability and adaptation to climate change. Submissions from Parties and relevant organizations   |
| FCCC/SBSTA/2011/MISC.7  | Views on methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries. Submissions from Parties |
| FCCC/SBSTA/2011/MISC.8  | Views on the research dialogue, including ongoing activities, associated modalities and ways to enhance it. Submissions from Parties   |
| FCCC/SBSTA/2011/MISC.9  | Information relevant to emissions from fuel used for international aviation and maritime transport. Submissions from international organizations   |
| FCCC/SBSTA/2011/MISC.10 | Views on carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Submissions from Parties   |
| FCCC/SBSTA/2011/MISC.11 | Views on carbon dioxide capture and storage in geological formations as clean development mechanism project activities. Submissions from admitted observer organizations   |
| FCCC/SBSTA/2011/MISC.12 | Views on the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities. Submissions from Parties and relevant organizations  |
| FCCC/SBSTA/2011/MISC.13 | Views on the materiality standard under the clean development mechanism. Submissions from Parties and relevant organizations   |



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| FCCC/SBSTA/2011/MISC.14 | Summary on progress in the development of methodologies, standards and protocols for climate-related terrestrial observations and related matters. Submission from the secretariat of the Global Terrestrial Observing System |
| FCCC/SB/2011/2          | Report on activities and performance of the Technology Executive Committee for 2011   |
| FCCC/SB/2011/INF.5      | Report on the special event held in the context of the forum on the impact of the implementation of response measures at the thirty-fourth sessions of the subsidiary bodies. Note by the secretariat                         |
| FCCC/SB/2011/INF.6      | Report on the joint workshop on matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol. Note by the secretariat   |
| FCCC/SB/2011/MISC.4     | Further views on the elements for the development of a work programme and a possible forum on response measures. Submissions from Parties and relevant organizations  |
| FCCC/TP/2011/5          | Water and climate change impacts and adaptation strategies. Technical paper   |

**Other documents before the session**

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| FCCC/SBSTA/2011/2                         | Report of the Subsidiary Body for Scientific and Technological Advice on its thirty-fourth session, held in Bonn from 6 to 16 June 2011                                       |
| FCCC/SBI/2011/7 and Add.1                 | Report of the Subsidiary Body for Implementation on its thirty-fourth session, held in Bonn from 6 to 17 June 2011  |
| FCCC/CP/2010/7 and Add.1 and 2 and Corr.1 | Report of the Conference of the Parties on its sixteenth session, held in Cancun from 29 November to 10 December 2010   |
| FCCC/KP/CMP/2010/12 and Add.1 and 2       | Report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its sixth session, held in Cancun from 29 November to 10 December 2010 |
| FCCC/CP/1996/2                            | Organizational matters: Adoption of the rules of procedure. Note by the secretariat   |