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**Ad Hoc Working Group on Long-term Cooperative Action
under the Convention****Report of the Ad Hoc Working Group on Long-term
Cooperative Action under the Convention on the first
and second parts of its fourteenth session, held in Bangkok
from 5 to 8 April 2011, and Bonn from 7 to 17 June 2011**

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I. Opening of the session

(Agenda item 1)

A. Host government welcoming ceremony

1. A welcoming ceremony, organized by the Government of Thailand, marked the opening of the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) and the sixteenth session of the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol.

2. Statements were made by Ms. Christiana Figueres, Executive Secretary of the UNFCCC, Ms. Noeleen Heyzer, Executive Secretary of the United Nations Economic and Social Commission for Asia and the Pacific, and Mr. Suwit Khunkitti, Minister of Natural Resources and Environment of the Kingdom of Thailand.

B. Opening and resumption of the session

3. The first part of the fourteenth session of the AWG-LCA was held at the United Nations Conference Centre at the United Nations Economic and Social Commission for Asia and the Pacific in Bangkok, Thailand, from 5 to 8 April 2011.

4. On 5 April, the Chair of the AWG-LCA, Mr. Daniel A. Reifsnyder (United States of America), opened the session and welcomed all Parties and observers. Also, the Chair expressed appreciation to the Government of Thailand for the excellent arrangements made for the first part of the session. The session was suspended on 8 April.

5. The session was resumed at the Maritim Hotel in Bonn, Germany, where the second part of the session was held from 7 to 17 June 2011.

6. At the opening of the second part of the session, on 7 June, the Chair invited Parties to present the outcomes of initiatives taken by Parties that are of direct relevance to the negotiations under the AWG-LCA. Three Parties provided information on relevant initiatives, including Mexico, in its capacity as the Presidency of the Conference of the Parties (COP) at its sixteenth session and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its sixth session, and South Africa, in its capacity as incoming Presidency of the COP at its seventeenth session and the CMP at its seventh session. Mexico informed delegates about activities undertaken in order to facilitate the work towards the success of COP 17 and about the ongoing arrangements for further initiatives. South Africa announced that the incoming Presidency of COP 17 and CMP 7 would be holding open-ended informal consultations during the June sessions on the expectations of Parties and observers for the United Nations Climate Change Conference in Durban, South Africa. South Africa invited all Parties and observers to share with the incoming Presidency of COP 17 and CMP 7 their expectations regarding the outcomes of that conference.

7. The Chair of the AWG-LCA drew the attention of delegates to the Transitional Committee, established by decision 1/CP.16 to design the Green Climate Fund,¹ and invited the secretariat to inform delegates on the activities of the Transitional Committee.

8. At the same meeting, the Chair informed delegates that an information document on fast-start finance, containing information submitted by Parties as requested by decision

¹ FCCC/CP/2010/7/Add.1, paragraph 109.

1/CP.16,² would be made available after the second part of the fourteenth session. The Chair further informed delegates that the submissions received from Parties on fast-start finance are available on the UNFCCC website and he invited all interested delegates to attend and contribute to an information event, organized by the secretariat at the request of the Chair, to take place during the second part of the fourteenth session.

9. Also at that meeting, statements were made by representatives of 12 Parties, including statements on behalf of the Group of 77 and China, the Umbrella Group, the European Union and its member States, four Parties undergoing the process of transition to a market economy, the Environmental Integrity Group (EIG), the Alliance of Small Island States (AOSIS), the League of Arab States, the least developed countries (LDCs), the African Group, six Parties from the Bolivarian Alliance for the Peoples of Our America – Peoples’ Trade Treaty, and the Coalition of Rainforest Nations. Statements were also made on behalf of business and industry non-governmental organizations (BINGOs), farmers non-governmental organizations, local government and municipal authorities (LGMAs), trade union non-governmental organizations (TUNGOS), women and gender non-governmental organizations and youth non-governmental organizations (YOUNGOS), as well as by two representatives of environmental non-governmental organizations (ENGOS).

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2(a))

10. At its 1st meeting, on 5 April, the AWG-LCA considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/AWGLCA/2011/1 and Add.1–5). The AWG-LCA agreed that informal consultations would be convened by the Chair on the agenda of the AWG-LCA at its fourteenth session.

11. At the same meeting, statements were made by representatives of 27 Parties, including statements on behalf of the Group of 77 and China, the EIG, the Group of Mountainous Landlocked Developing Countries, the League of Arab States, the African Group, AOSIS, the LDCs, the European Union and its member States, the Umbrella Group, the Bolivarian Alliance for the Peoples of Our America – Peoples’ Trade Treaty and five Parties.

12. On 5 April, the secretariat received a submission from a group of Parties containing views related to the provisional agenda. This submission was made available to Parties as document FCCC/AWGLCA/2011/CRP.1.

13. At the 2nd meeting, on 8 April, the agenda was adopted as follows:

1. Opening of the session.
2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session.
3. Preparation of a comprehensive and balanced outcome to be presented to the Conference of the Parties for adoption at its seventeenth session to enable the full, effective and sustained implementation of the Convention through long-

² FCCC/CP/2010/7/Add.1, paragraph 96.

term cooperative action now, up to and beyond 2012, pursuant to the results of the thirteenth and sixteenth sessions of the Conference of the Parties and recognizing that the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention includes both implementation tasks and issues that are still to be concluded:

- 3.1. A shared vision for long-term cooperative action;
- 3.2. Enhanced action on mitigation:
 - 3.2.1. Nationally appropriate mitigation commitments or actions by developed country Parties;
 - 3.2.2. Nationally appropriate mitigation actions by developing country Parties;
 - 3.2.3. Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries;
 - 3.2.4. Cooperative sectoral approaches and sector-specific actions, in order to enhance the implementation of Article 4, paragraph 1(c), of the Convention;
 - 3.2.5. Various approaches, including opportunities for using markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries;
 - 3.2.6. Economic and social consequences of response measures;
- 3.3. Enhanced action on adaptation;
- 3.4. Finance;
- 3.5. Technology development and transfer;
- 3.6. Capacity-building.
4. Review: further definition of its scope and development of its modalities.
5. Continued discussion of legal options with the aim of completing an agreed outcome based on decision 1/CP.13, the work done at the sixteenth session of the Conference of the Parties and proposals made by Parties under Article 17 of the Convention.
6. Other matters:
 - (a) Parties included in Annex I to the Convention undergoing the process of transition to a market economy;
 - (b) Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties.
7. Additional matters.
8. Report on the session.

B. Organization of the work of the session

(Agenda item 2(b))

14. The AWG-LCA considered this sub-item at its 3rd and 4th meetings, on 7 and 17 June, respectively. It had before it documents FCCC/AWGLCA/2011/5 and FCCC/AWGLCA/2011/6.

15. At its 3rd meeting, the AWG-LCA agreed to establish a contact group on agenda items 3, 4, 5 and 6, chaired by the Chair of the AWG-LCA. The task of the contact group was to carry out work on all substantive items and sub-items of the agenda of the AWG-LCA. The contact group also held regular meetings to allow Parties and observer organizations to be informed of the work undertaken and to have an overview of the progress made.

16. The AWG-LCA, at its 4th meeting, agreed to a proposal by the Chair that the fourteenth session be suspended at the end of its 4th meeting and be resumed at its next meeting, with the same organization of the work as applied in the second part of the session.

III. Preparation of a comprehensive and balanced outcome to be presented to the Conference of the Parties for adoption at its seventeenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, pursuant to the results of the thirteenth and sixteenth sessions of the Conference of the Parties and recognizing that the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention includes both implementation tasks and issues that are still to be concluded

(Agenda item 3)

Review: further definition of its scope and development of its modalities

(Agenda item 4)

Continued discussion of legal options with the aim of completing an agreed outcome based on decision 1/CP.13, the work done at the sixteenth session of the Conference of the Parties and proposals made by Parties under Article 17 of the Convention

(Agenda item 5)

Other matters

(Agenda item 6)

17. The AWG-LCA considered these items jointly at its 2nd, 3rd and 4th meetings, on 8 April, 7 June and 17 June, respectively. It had before it documents FCCC/AWGLCA/2011/3, FCCC/AWGLCA/2011/4, FCCC/AWGLCA/2011/6, FCCC/AWGLCA/2011/7, FCCC/AWGLCA/2011/8, FCCC/AWGLCA/2011/INF.2, FCCC/TP/2011/1, FCCC/AWGLCA/2011/MISC.1 and Add.1 and 2, FCCC/AWGLCA/2011/MISC.2 and Add.1–3, FCCC/AWGLCA/2011/MISC.3 and Corr.1 and Add.1 and 2, FCCC/AWGLCA/2011/MISC.4 and Add.1, FCCC/AWGLCA/2011/MISC.5, FCCC/AWGLCA/2011/MISC.6 and Corr.1 and Add.1 and 2, and FCCC/AWGLCA/2011/MISC.7 and Add.1 and 2.

18. At the 2nd meeting, the Chair of the AWG-LCA drew delegates' attention to the workshops on mitigation held in Bangkok prior to the opening of AWG-LCA 14, namely:

(a) A workshop on assumptions and conditions related to the attainment of quantified economy-wide emission reduction targets by developed country Parties, as requested by decision 1/CP.16, paragraph 38, held on 3 April 2011 and co-chaired by Mr. Maas Goote (Netherlands) and Mr. Richard Muyungi (United Republic of Tanzania);

(b) A workshop on nationally appropriate mitigation actions submitted by developing country Parties, underlying assumptions, and any support needed for implementation of these actions, as requested by decision 1/CP.16, paragraph 51, held on 4 April 2011 and co-chaired by Mr. Goote and Mr. Philip Gwage (Uganda);

(c) An expert workshop on the Technology Mechanism, requested by decision 1/CP.16, paragraph 129, held from 4 to 5 April 2011 and chaired by Mr. Jukka Uosukainen (Finland).

19. The Chair invited the co-chairs of the workshops on mitigation to deliver oral reports to the AWG-LCA.

20. Also at its 2nd meeting, the AWG-LCA requested the secretariat to prepare written reports on the mitigation workshops, under the authority of the co-chairs of the workshops, and to make them available in the six United Nations languages as soon as possible after the first part of the session.³

21. Further, the Chair informed delegates that, in accordance with decision 1/CP.16, the written report on the expert workshop on the Technology Mechanism would be prepared by the secretariat under the authority of the chair of the workshop and made available to Parties prior to the second part of the fourteenth session.⁴

22. The Chair thanked the chair and co-chairs of the workshops for their valuable work.

23. At the same meeting, statements were made by representatives of 19 Parties, including statements on behalf of the Group of 77 and China, the EIG, the African Group, the Umbrella Group, the European Union and its member States, AOSIS, the LDCs, the League of Arab States, four Parties undergoing the process of transition to a market economy, eight Parties from the Central American Integration System and five Parties.

24. The AWG-LCA, at its 3rd meeting, established a contact group on agenda items 3, 4, 5 and 6, as referred to in paragraph 15 above, to carry out the substantive work on these items.

25. At the 4th meeting of the AWG-LCA, the Chair drew delegates' attention to the second round of workshops on mitigation, namely:

(a) A workshop on assumptions and conditions related to the attainment of quantified economy-wide emission reduction targets by developed country Parties, as requested by decision 1/CP.16, paragraph 38, held on 9 June 2011;

(b) A workshop on nationally appropriate mitigation actions submitted by developing country Parties, underlying assumptions, and any support needed for implementation of these actions, as requested by decision 1/CP.16, paragraph 51, held on 10 June 2011.

26. The Chair informed delegates that the co-chairs of the workshops, Mr. Christian Pilgaard (Denmark) and Mr. José Alberto Garibaldi Fernandez (Peru), had prepared summary notes on the workshops, which were available to Parties at the documents counter and posted on the UNFCCC website.⁵ The AWG-LCA requested the secretariat to prepare written reports on the mitigation workshops, under the authority of the co-chairs of the workshops, and to make them available in the six United Nations languages as soon as possible after the second part of the session.

27. The Chair thanked the co-chairs of the workshops for their valuable contribution.

28. Also at the 4th meeting, the Chair informed delegates that submissions pursuant to the invitations contained in paragraphs 47 and 67 of decision 1/CP.16 continue to be welcome.

³ The reports on the workshops on mitigation referred to in subparagraphs 19(a) and (b) above were made available as documents FCCC/AWGLCA/2011/7 and FCCC/AWGLCA/2011/8, respectively.

⁴ The report on the expert workshop on the Technology Mechanism was made available as document FCCC/AWGLCA/2011/INF.2.

⁵ <http://unfccc.int/meetings/ad_hoc_working_groups/lca/items/6050.php>.

29. Further, the Chair recalled the open invitation to Parties to provide additional information, views and proposals on all issues under the Bali Action Plan.⁶

30. Further to the report by the Chair on the results of the work of the contact group on agenda items 3, 4, 5 and 6, the AWG-LCA agreed that:

(a) Written inputs submitted by Parties during the second part of the fourteenth session would be included in a miscellaneous document; and, further, that any Party wishing to do so may make a request to the secretariat for its written input to be issued as a conference room paper;

(b) The notes prepared by the facilitators during the second part of the session would be carried forward to the next part of the session and would remain available on the UNFCCC website;

(c) Submissions as referred to in paragraphs 28 and 29 above to be included in miscellaneous documents for the third part of the fourteenth session should be sent to the secretariat by 9 September 2011 with an indication of to which agenda item they relate.

31. Also at the 4th meeting, the Chair of the AWG-LCA informed delegates of his intention to prepare a proposal for the organization of the work for the third part of the session, in consultation with the Vice-Chair and the facilitators and with the support of the secretariat, taking into account recommendations made during the second part of the session, which would allow for in-depth work to be undertaken on technical issues. The Chair also informed delegates that this proposal would be included in his scenario note for the third part of AWG-LCA 14. The AWG-LCA agreed to this approach.

32. The Chair thanked the delegates who had supported the work of the contact group on agenda items 3, 4, 5 and 6 by facilitating work in informal groups under the contact group.

33. South Africa reported on the results of the informal consultations on the expectations of Parties and observers for the United Nations Climate Change Conference in Durban, referred to in paragraph 6 above. Further, South Africa informed Parties about upcoming meetings organized by the incoming Presidency of COP 17 and CMP 7 to discuss issues and ideas to deepen understanding and to explore ways of taking the negotiations forward.

34. At the same meeting, interventions were made by representatives of seven Parties, including statements on behalf of the Group of 77 and China, the European Union and its member States and the EIG.

IV. Additional matters

(Agenda item 7)

35. The AWG-LCA considered this item at its 3rd and 4th meetings. No additional matters were raised.

V. Report on the first and second parts of the session

(Agenda item 8)

36. At its 4th meeting, the AWG-LCA considered and adopted the draft report on the first and second parts of its fourteenth session, amended to reflect the decision of the AWG-

⁶ FCCC/AWGLCA/2008/3, paragraph 23.

LCA to suspend its fourteenth session at the end of its 4th meeting.⁷ At the same meeting, on a proposal by the Chair, the AWG-LCA authorized the Rapporteur to complete the report on the first and second parts of the session, with the assistance of the secretariat and under the guidance of the Chair.

VI. Suspension of the session

37. At the 4th meeting of the AWG-LCA, the Chair invited the Executive Secretary to provide delegates with an update regarding the possible organization of an additional meeting of the ad hoc working groups before COP 17 and CMP 7. Ms. Figueres informed Parties that the secretariat had received pledges of contributions that would allow for an additional meeting of the ad hoc working groups to be held in the September to October time frame and thanked Parties for their pledges. She further informed Parties that, as the amount of the pledges received would not suffice to replenish the funds expended for the first part of the sessions of the ad hoc working groups held in Bangkok in April 2011, there was no possibility of advancing funds for the additional upcoming meeting in September to October, and that, therefore, expedited payment of the contributions was absolutely necessary.

38. At the same meeting, statements were made by representatives of 13 Parties, including statements on behalf of the Group of 77 and China, the African Group, the European Union and its member States, the Umbrella Group, the LDCs, AOSIS, the Bolivarian Alliance for the Peoples of Our America – Peoples' Trade Treaty, the Coalition of Rainforest Nations and three Parties undergoing the process of transition to a market economy. Statements were also made on behalf of farmers non-governmental organizations, LGMAs, TUNGOs and YOUNGOs, as well as by two representatives of ENGOs.

39. The Chair of the AWG-LCA expressed his appreciation to Parties for their support and confidence and declared the fourteenth session of the AWG-LCA suspended.

⁷ FCCC/AWGLCA/2011/L.2.

Annex

Documents before the Ad Hoc Working Group on Long-term Cooperative Action under the Convention at its fourteenth session

Documents prepared for the session

FCCC/AWGLCA/2011/5	Agenda and annotations. Note by the Executive Secretary
FCCC/AWGLCA/2011/6	Scenario note on the second part of the fourteenth session. Note by the Chair
FCCC/AWGLCA/2011/3	Synthesis report on the composition of, and modalities and procedures for, the Adaptation Committee, including linkages with other relevant institutional arrangements. Note by the secretariat
FCCC/AWGLCA/2011/4	Synthesis report on information on various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Note by the secretariat
FCCC/AWGLCA/2011/7	Workshop on assumptions and conditions related to the attainment of quantified economy-wide emission reduction targets by developed country Parties, as requested by decision 1/CP.16, paragraph 38. Report by the Co-Chairs of the workshop
FCCC/AWGLCA/2011/8	Workshop on nationally appropriate mitigation actions submitted by developing country Parties, underlying assumptions, and any support needed for implementation of these actions, as requested by decision 1/CP.16, paragraph 51. Report by the co-chairs of the workshop
FCCC/AWGLCA/2011/INF.2	Expert workshop on the Technology Mechanism in conjunction with the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Report by the Chair of the workshop
FCCC/TP/2011/1	Quantified economy-wide emission reduction targets by developed country Parties to the Convention: assumptions, conditions and comparison of the level of emission reduction efforts. Technical paper
FCCC/AWGLCA/2011/MISC.1 and Add.1 and 2	Views on the composition of, and modalities and procedures for, the Adaptation Committee, including linkages with other relevant institutional arrangements. Submissions from Parties
FCCC/AWGLCA/2011/MISC.2 and Add.1–3	Views on the elaboration of market-based mechanisms. Submissions from Parties

FCCC/AWGLCA/2011/MISC.3 and Corr.1 and Add.1 and 2	Views on the elaboration of non-market-based mechanisms. Submissions from Parties
FCCC/AWGLCA/2011/MISC.4 and Add.1	Views on the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Submissions from Parties
FCCC/AWGLCA/2011/MISC.5	Views on the elaboration of market-based and non-market-based mechanisms and the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions. Submissions from admitted observer organizations
FCCC/AWGLCA/2011/MISC.6 and Corr.1 and Add.1 and 2	Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 46. Submissions from Parties
FCCC/AWGLCA/2011/MISC.7 and Add.1 and 2	Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 66. Submissions from Parties
FCCC/AWGLCA/2011/L.2	Draft report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its fourteenth session

Documents prepared for the first part of the session

FCCC/AWGLCA/2011/1 and Add.1–5	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/AWGLCA/2011/2	Scenario note on the fourteenth session. Note by the Chair
FCCC/AWGLCA/2011/L.1	Provisional agenda. Proposal by the Chair

Other documents before the session

FCCC/AWGLCA/2010/18	Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its thirteenth session, held in Cancun from 29 November to 10 December 2010
FCCC/AWGLCA/2010/14	Negotiating text. Note by the secretariat
FCCC/AWGLCA/2010/INF.1	In-session draft texts and notes by the facilitators prepared at the twelfth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the secretariat

FCCC/AWGLCA/2010/MISC.8	Preparation of an outcome to be presented to the Conference of the Parties for adoption at its sixteenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012. Submissions from Parties
FCCC/AWGLCA/2010/MISC.6/Add.2	Preparation of an outcome to be presented to the Conference of the Parties for adoption at its sixteenth session to enable the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012. Submissions from Parties. Addendum
FCCC/CP/2010/7 and Corr.1 and Add.1	Report of the Conference of the Parties on its sixteenth session, held in Cancun from 29 November to 10 December 2010
FCCC/CP/2010/3	Proposed protocol to the Convention submitted by Grenada for adoption at the sixteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/11 and Add.1	Report of the Conference of the Parties on its fifteenth session, held in Copenhagen from 7 to 19 December 2009
FCCC/CP/2009/7	Draft implementing agreement under the Convention prepared by the Government of the United States of America for adoption at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/6	Draft protocol to the Convention prepared by the Government of Costa Rica to be adopted at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/5	Draft protocol to the Convention prepared by the Government of Australia for adoption at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2009/4	Draft protocol to the Convention presented by the Government of Tuvalu under Article 17 of the Convention. Note by the secretariat
FCCC/CP/2009/3	Draft protocol to the Convention prepared by the Government of Japan for adoption at the fifteenth session of the Conference of the Parties. Note by the secretariat
FCCC/CP/2007/6 and Add.1	Report of the Conference of the Parties on its thirteenth session, held in Bali from 3 to 15 December 2007
