



AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION  
UNDER THE CONVENTION

**Report of the Ad Hoc Working Group on Long-term Cooperative Action  
under the Convention on its fourth session,  
held in Poznan from 1 to 10 December 2008**

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A shared vision for long-term cooperative action		
Enhanced national/international action on mitigation of climate change		
Enhanced action on adaptation		
Enhanced action on technology development and transfer to support action on mitigation and adaptation		



## **I. Opening of the session**

(Agenda item 1)

1. The fourth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) was held at the Poznan International Fair, Poznan, Poland, from 1 to 10 December 2008.
2. The Chair of the AWG-LCA, Mr. Luiz Alberto Figueiredo Machado (Brazil), opened the session and welcomed all Parties and observers. He also welcomed Mr. Michael Zammit Cutajar (Malta) as Vice-Chair of the AWG-LCA and Ms. Lilian Portillo (Paraguay) as Rapporteur.
3. The Chair noted that the AWG-LCA had reached the halfway point between the thirteenth session of the Conference of the Parties (COP) held in Bali, where the group was established, and COP 15 in Copenhagen, at which the AWG-LCA shall present its work for adoption. He further noted that all the ideas and proposals received from Parties and observer organizations on the elements contained in paragraph 1 of the Bali Action Plan (decision 1/CP.13) had been assembled in one document<sup>1</sup> (hereinafter referred to as the assembly document) issued prior to the present session.
4. The Chair drew delegates' attention to the summary of views expressed at the third session of the AWG-LCA and to the scenario note he had prepared for the present session.<sup>2</sup>
5. The Chair informed Parties that, as agreed at its first session, the AWG-LCA would hold three in-session workshops.

## **II. Organizational matters**

(Agenda item 2)

### **A. Adoption of the agenda**

(Agenda item 2 (a))

6. At its 1<sup>st</sup> meeting, on 1 December, the AWG-LCA considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/AWGLCA/2008/14).
7. At the same meeting, the agenda was adopted as follows:
  1. Opening of the session.
  2. Organizational matters:
    - (a) Adoption of the agenda;
    - (b) Organization of the work of the session;
    - (c) Election of officers.
  3. Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:
    - (a) A shared vision for long-term cooperative action;

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<sup>1</sup> FCCC/AWGLCA/2008/16. This document was revised during the session, taking into account new submissions. This revised assembly document is available as FCCC/AWGLCA/2008/16/Rev.1.

<sup>2</sup> FCCC/AWGLCA/2008/13 and FCCC/AWGLCA/2008/15.

- (b) Enhanced national/international action on mitigation of climate change;
  - (c) Enhanced action on adaptation;
  - (d) Enhanced action on technology development and transfer to support action on mitigation and adaptation;
  - (e) Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation.
4. Work programme for 2009.
  5. Report to the Conference of the Parties at its fourteenth session on progress made.
  6. Other matters.
  7. Report on the session.

#### **B. Organization of the work of the session**

(Agenda item 2 (b))

8. The AWG-LCA considered this sub-item at its 1<sup>st</sup> meeting, on 1 December.
9. The Chair proposed that the AWG-LCA begin by taking up agenda item 3 followed by items 4, 5 and 6 respectively. The Chair further proposed that sub-item 2 (c) be taken up at the 2<sup>nd</sup> meeting on Wednesday, 10 December. The AWG-LCA agreed to the proposed organization of work.

#### **C. Election of officers**

(Agenda item 2 (c))

10. The AWG-LCA considered this sub-item at its 2<sup>nd</sup> meeting, on 10 December. Pursuant to the proposal of the President of the COP at the first meeting of its fourteenth session, the Chair informed the AWG-LCA that the election of the AWG-LCA officers would take place at the final COP plenary, on 12 December.<sup>3</sup>

### **III. Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:**

(Agenda item 3)

#### **A shared vision for long-term cooperative action**

(Agenda item 3 (a))

#### **Enhanced national/international action on mitigation of climate change**

(Agenda item 3 (b))

#### **Enhanced action on adaptation**

(Agenda item 3 (c))

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<sup>3</sup> At the 7<sup>th</sup> meeting of the COP, on 12–13 December, on the proposal of the President, the COP elected Mr. Michael Zammit Cutajar (Malta) as AWG-LCA Chair, Mr. Luiz Alberto Figueiredo Machado (Brazil) as AWG-LCA Vice-Chair and Ms. Lilian Portillo (Paraguay) as AWG-LCA Rapporteur.

**Enhanced action on technology development and transfer to support action on mitigation and adaptation**

(Agenda item 3 (d))

**Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation**

(Agenda item 3 (e))

11. The AWG-LCA considered these sub-items together at its 1<sup>st</sup> and 2<sup>nd</sup> meetings, on 1 and 10 December, respectively. It had before it documents FCCC/AWGLCA/2008/13, FCCC/AWGLCA/2008/15, FCCC/AWGLCA/2008/16, FCCC/AWGLCA/2008/INF.2, FCCC/AWGLCA/2008/MISC.5 and Add.1 and Add.1/Corr.1, FCCC/AWGLCA/2008/MISC.6 and Add.1, FCCC/TP/2008/7, FCCC/TP/2008/8 and FCCC/TP/2008/9.
12. At the first meeting, the Chair recalled the request to prepare, under his own responsibility, a document assembling the ideas and proposals presented by Parties on the elements contained in paragraph 1 of the Bali Action Plan, taking into account the ideas and proposals presented by accredited observer organizations. The Chair underlined how, in assembling the ideas and proposals by Parties, his intention was to capture the essence, including specific nuances, as much as possible and to combine ideas and proposals in cases where this was warranted. The Chair also recalled the mandate to update the assembly document before the end of the fourth session of the AWG-LCA, based on submissions received after 30 September 2008 and the ideas and proposals submitted to the secretariat during this session. The Chair reminded Parties of the deadline of 6 December for submissions to be considered in the update.
13. At the same meeting, following informal consultations facilitated by the Chair, the AWG-LCA agreed to establish four contact groups for its fourth session, on:
- (a) A shared vision for long-term cooperative action, chaired by the Vice-Chair of the AWG-LCA;
  - (b) Enhanced action on adaptation and its associated means of implementation, co-chaired by Mr. William Kojo Agyemang-Bonsu (Ghana) and Mr. Thomas Kolly (Switzerland);
  - (c) Enhanced action on mitigation and its associated means of implementation, chaired by the Vice-Chair of the AWG-LCA;
  - (d) Delivering on technology and financing, including consideration of institutional arrangements, chaired by the Chair of the AWG-LCA.
14. The task of the contact groups was to focus on the relevant elements of the assembly document with a view to identifying elements and options for inclusion in the negotiation of an agreed outcome as called for in the Bali Action Plan.
15. Also at the 1<sup>st</sup> meeting, opening statements were made by representatives of six Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Alliance of Small Island States (AOSIS), one on behalf of the African Group, one on behalf of the European Community and its member States,<sup>4</sup> one on behalf of the Umbrella Group, and one on behalf of the least developed countries.

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<sup>4</sup> This position was supported by Albania, Bosnia and Herzegovina, Croatia, the former Yugoslav Republic of Macedonia, Serbia, Montenegro and Turkey.

16. The AWG-LCA held three in-session workshops between 2 and 6 December on:
- (a) A shared vision for long-term cooperative action, chaired by the Chair of the AWG-LCA;
  - (b) Risk management and risk reduction strategies, including risk sharing and transfer mechanisms such as insurance, chaired by Mr. Leon Charles (Grenada);
  - (c) Cooperation on research and development of current, new and innovative technology, including win-win solutions, chaired by Mr. Kunihito Shimada (Japan).
17. The chairs of the workshops prepared summaries of the views expressed at the workshops to inform the work of the AWG-LCA on the respective issues during the session. These summaries were issued as conference room papers<sup>5</sup> and will also be incorporated into the Chair's summary of the views expressed at the fourth session.
18. At the 2<sup>nd</sup> meeting, the Chair drew delegates' attention to the update of the assembly document<sup>6</sup> and to the latest submissions received from Parties and intergovernmental organizations contained in documents FCCC/AWGLCA/2008/MISC.5/Add.2 and FCCC/AWGLCA/2008/MISC.6/Add.2, respectively. The Chair thanked Parties and observer organizations for their contributions.
19. The Chair further thanked the chairs of the workshops and the chairs and co-chairs of the contact groups for their valuable work.
20. The Chair noted that the progress made in the contact groups would be captured in the Chair's summary of views.

#### **IV. Work programme for 2009**

(Agenda item 4)

##### **1. Proceedings**

21. The AWG-LCA considered this item at its 1<sup>st</sup> and 2<sup>nd</sup> meetings, on 1 and 10 December, respectively.
22. At the 1<sup>st</sup> meeting, the Chair recalled conclusions of the AWG-LCA at its third session on the consideration of a work programme for 2009.<sup>7</sup>
23. At the same meeting, the AWG-LCA agreed to consider this item in informal consultations facilitated by the Vice-Chair and convened jointly with consultations on agenda item 5, facilitated by the Chair.
24. At its 2<sup>nd</sup> meeting, the AWG-LCA considered and adopted conclusions<sup>8</sup> proposed by the Chair.

##### **2. Conclusions**

25. The AWG-LCA expressed its appreciation to its Chair for his response to its invitation to prepare and update a document assembling the ideas and proposals of Parties on the elements contained in paragraph 1 of the Bali Action Plan. It considered that this assembly document<sup>9</sup> has made and will

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<sup>5</sup> FCCC/AWGLCA/2008/CRP.6, FCCC/AWGLCA/2008/CRP.7 and FCCC/AWGLCA/2008/CRP.8.

<sup>6</sup> FCCC/AWGLCA/2008/16/Rev.1.

<sup>7</sup> FCCC/AWGLCA/2008/12, paragraphs 32–37.

<sup>8</sup> Adopted as document FCCC/AWGLCA/2008/L.10.

<sup>9</sup> FCCC/AWGLCA/2008/16/Rev.1.

continue to make a valuable contribution to the group's consideration of these ideas and proposals, enabling Parties to discern areas of convergence and divergence in their views and thus helping to advance the negotiations in 2009.

26. The AWG-LCA invited its Chair to prepare, under his own responsibility, the following documents to facilitate negotiations among Parties, building upon the ideas and proposals of Parties and upon the assembly document mentioned in paragraph 25 above and taking account of the organization of work under the AWG-LCA:

- (a) A document for consideration at its fifth session, taking account of further submissions received from Parties by 6 February 2009, that would further focus the negotiating process on the fulfilment of the Bali Action Plan and on the components of the agreed outcome to be adopted by the COP at its fifteenth session, describing areas of convergence in the ideas and proposals of Parties, exploring options for dealing with areas of divergence and identifying any gaps that might need to be filled in reaching an agreed outcome;
- (b) A negotiating text for consideration at its sixth session in June 2009, taking account of the proceedings of the AWG-LCA at its fifth session and of further submissions received from Parties by 24 April 2009.

27. These documents shall:

- (a) Encompass all aspects of the Bali Action Plan in a balanced manner;
- (b) Not attribute ideas and proposals to their sources;
- (c) Be drafted in language that does not prejudge the form of the agreed outcome;
- (d) Be made available by the secretariat in a timely manner, preferably two weeks in advance of the sessions to which they are submitted.

28. Bearing in mind paragraph 3 of the Bali Action Plan and the conclusions on its work programme for 2009 reached at its third session,<sup>10</sup> the AWG-LCA requested its Chair to keep the need for additional meeting time in 2009 under review in consultation with Parties, the Bureau of the COP and the Executive Secretary, and to propose any action that might need to be taken by the AWG-LCA in a manner that would ensure the effective participation of all Parties, in particular developing country Parties.

## **V. Report to the Conference of the Parties at its fourteenth session on progress made**

(Agenda item 5)

### **1. Proceedings**

29. The AWG-LCA considered this item at its 1<sup>st</sup> and 2<sup>nd</sup> meetings, on 1 and 10 December, respectively.

30. At the 1<sup>st</sup> meeting, the Chair recalled that the COP, at its thirteenth session,<sup>11</sup> requested the group to report to it at its fourteenth session on progress made.

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<sup>10</sup> FCCC/AWGLCA/2008/12, paragraphs 33, 36 and 37.

<sup>11</sup> Decision 1/CP.13, paragraph 9.

31. At the same meeting, the AWG-LCA agreed to consider this item in informal consultations facilitated by the Chair and convened jointly with consultations on agenda item 4, facilitated by the Vice-Chair.

32. At its 2<sup>nd</sup> meeting, the AWG-LCA considered and adopted conclusions<sup>12</sup> proposed by the Chair.

## 2. Conclusions

33. The AWG-LCA, recalling decision 1/CP.13, paragraph 9, requested its Chair to present to the COP at its fourteenth session the report of the group on progress made, which is contained in the annex.

## **VI. Other matters**

(Agenda item 6)

34. No other matters were raised or considered.

## **VII. Report on the session**

(Agenda item 7)

35. At its 2<sup>nd</sup> meeting, on 10 December, the AWG-LCA considered and adopted the draft report on its fourth session.<sup>13</sup> At the same meeting, on a proposal by the Chair, the AWG-LCA authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

## **VIII. Closure of the session**

36. At the 2<sup>nd</sup> meeting, the Chair thanked the Vice-Chair, the Rapporteur and the secretariat for their work in 2008. The Chair also thanked delegates for their contributions. Statements were made by representatives of seven Parties, including one speaking on behalf of AOSIS, one on behalf of the European Community and its member States, and one on behalf of the African Group. Statements were also made by representatives of the Business Council for Sustainable Energy speaking on behalf of business and industry non-governmental organizations (NGOs), Christian Aid speaking on behalf of environmental NGOs, International Trade Union Confederation speaking on behalf of trade union NGOs, Amazon Alliance speaking on behalf of indigenous peoples and TakingItGlobal speaking on behalf of the youth delegation.

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<sup>12</sup> Adopted as document FCCC/AWGLCA/2008/L.11.

<sup>13</sup> FCCC/AWGLCA/2008/L.9.



Annex I

**Report of the Ad Hoc Working Group on Long-term Cooperative Action  
under the Convention to the Conference of the Parties  
at its fourteenth session**

1. In Bali, Parties recognized that deep cuts in global emissions will be required to achieve the ultimate objective of the Convention and that enhanced action on adaptation is needed to enable climate-resilient development and reduce vulnerability of all Parties. They adopted the Bali Action Plan (decision 1/CP.13), entrusting the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) to carry forward a comprehensive process to enable the full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012. The objective of this process is to reach an agreed outcome to be adopted by the Conference of the Parties at its fifteenth session in Copenhagen.
2. The AWG-LCA has met at four sessions this year. At its first session it agreed to seek progress on all the elements assigned to it by the Bali Action Plan and to undertake its work in a coherent, integrated and transparent manner. The Bali Action Plan identifies five elements to be considered: a shared vision for long-term cooperative action, enhanced action on adaptation and on mitigation, enhanced action on technology development and transfer, and on the provision of financial resources and investment to support such action. The working group has considered all of the elements of the Bali Action Plan at each session, taking into account the interlinkages among them. This has been done by addressing a shared vision for long-term cooperative action, enhanced action on adaptation and its associated means of implementation, enhanced action on mitigation and its associated means of implementation, and delivering on technology and financing, including consideration of institutional arrangements.
3. During this first year, Parties have participated actively and made progress, articulating their visions and concerns and presenting concrete ideas and proposals. Their work has been facilitated by in-depth consideration of key issues in eight in-session workshops. This has fostered mutual understanding on what provisions, mechanisms and institutional arrangements would enhance implementation of the Convention. Further workshops will be held at the group's next session.
4. At the third session of the working group, the Chair was asked to assemble ideas and proposals presented by Parties, taking into account inputs from observer organizations. This assembly document was made available prior to the present session and has since been updated to capture additional ideas and proposals submitted.<sup>1</sup> The document thus provides an overview of the ideas and proposals on the table to date, and enables Parties to discern areas of convergence and divergence on the elements of the Bali Action Plan. It will contribute to moving negotiations forward in 2009.
5. The AWG-LCA has adopted conclusions on its work programme for 2009. It has agreed to shift into full negotiating mode in 2009 and to organize its work accordingly, advancing negotiations on all elements of the Bali Action Plan in a comprehensive and balanced way. The group has invited its Chair to prepare further documentation, including a negotiating text, to help focus the negotiations. It has also called for further proposals from Parties regarding the content and form of the agreed outcome in order to review and assess the scope and the progress of the negotiations at the sixth session of the AWG-LCA in June 2009. Four sessions of a total duration of up to eight weeks have been scheduled for 2009, and the need for additional meeting time in 2009 is under review.

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<sup>1</sup> FCCC/AWGLCA/2008/16/Rev.1.

Annex II

**Documents before the Ad Hoc Working Group on Long-term  
Cooperative Action under the Convention  
at its fourth session**

**Documents prepared for the session**

FCCC/AWGLCA/2008/13	Summary of views expressed during the third session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair
FCCC/AWGLCA/2008/14	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/AWGLCA/2008/15	Scenario note on the fourth session. Note by the Chair
FCCC/AWGLCA/2008/16/Rev.1 <sup>1</sup>	Ideas and proposals on paragraph 1 of the Bali Action Plan. Revised note by the Chair
FCCC/AWGLCA/2008/INF.2	Adaptation-related activities within the United Nations system. Note by the secretariat
FCCC/AWGLCA/2008/MISC.5 and Add.1 and Add.1/Corr.1 and Add.2 (Parts I and II) and Add.2 (Part II)/Corr.1	Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from Parties
FCCC/AWGLCA/2008/MISC.6 and Add.1 and Add.2	Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from intergovernmental organizations
FCCC/TP/2008/7	Investment and financial flows to address climate change: an update. Technical paper
FCCC/TP/2008/8	Challenges and opportunities for mitigation in the agricultural sector. Technical paper
FCCC/TP/2008/9	Mechanisms to manage financial risks from direct impacts of climate change in developing countries. Technical paper
FCCC/AWGLCA/2008/L.9	Draft report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its fourth session
FCCC/AWGLCA/2008/L.10	Work programme for 2009. Draft conclusions proposed by the Chair
FCCC/AWGLCA/2008/L.11	Report to the Conference of the Parties at its fourteenth session on progress made. Draft conclusions proposed by the Chair
FCCC/AWGLCA/2008/CRP.6	Report on the workshop on a shared vision for long-term cooperative action. Summary by the chair of the workshop

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<sup>1</sup> FCCC/AWGLCA/2008/16 was revised during the session, taking into account new submissions; this revised assembly document is available as FCCC/AWGLCA/2008/16/Rev.1.

- FCCC/AWGLCA/2008/CRP.7 Report on the workshop on risk management and risk reduction strategies, including risk sharing and transfer mechanisms such as insurance. Summary by the chair of the workshop
- FCCC/AWGLCA/2008/CRP.8 Report on the workshop on cooperation on research and development of current, new and innovative technology, including win-win solutions. Summary by the chair of the workshop

**Other documents before the session**

- FCCC/AWGLCA/2008/3 Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its first session, held in Bangkok from 31 March to 4 April 2008
- FCCC/AWGLCA/2008/6 Summary of views expressed during the first session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on the development of the two-year work programme that was mandated under paragraph 7 of the Bali Action Plan. Note by the Chair
- FCCC/AWGLCA/2008/8 Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its second session, held in Bonn from 2 to 12 June 2008
- FCCC/AWGLCA/2008/11 Summary of views expressed during the second session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair
- FCCC/AWGLCA/2008/12 and Corr.1 Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its third session, held in Accra from 21 to 27 August 2008

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