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**Item 3 (d) of the provisional agenda**

**National communications from Parties not included in Annex I to the Convention  
Provision of financial and technical support**

## **Status of preparation of national communications from Parties not included in Annex I to the Convention**

**Note by the secretariat**

### *Summary*

This note contains information on the status of the preparation of national communications from Parties not included in Annex I to the Convention as at March 2005.

## **I. Mandate**

1. The Conference of the Parties, by its decision 10/CP.2 (FCCC/CP/1996/15/Add.1), requested the secretariat to facilitate assistance to Parties, particularly developing country Parties, in the preparation of their initial national communications and to provide to the subsidiary bodies, at each of their sessions, a report on how it is facilitating such assistance. Further, the Subsidiary Body for Implementation, at its eighteenth session, requested the secretariat to make available to Parties information on the status of preparation of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) that had not yet submitted them, as well as details of the financial support provided to non-Annex I Parties by the Global Environment Facility (GEF) for the preparation of initial and subsequent national communications (FCCC/SBI/2003/8, para. 19 (b)).

## **II. Approach and scope of the note**

2. In response to this mandate, two tables have been prepared based on responses from non-Annex I Parties to a questionnaire distributed by the secretariat in December 2004, other input from non-Annex I Parties and information provided by the GEF and its implementing agencies. The first table (annex I) indicates the status of preparation of second national communications from non-Annex I Parties; the second table (annex II) contains information on the status of preparation of initial national communications from non-Annex I Parties that have yet to submit them. The status of preparation of third national communications is summarized in chapter III below.

## **III. Status of preparation of third national communications**

3. Two Parties (Mexico and Uruguay) have reported that they have started the process of preparing their third national communication. Mexico has received funding from the GEF under its expedited procedure. Uruguay's proposal for expedited GEF financing is under development.

Annex I

**Status of second national communications from Parties not included in Annex I to the Convention**

Party ( <i>least developed countries in italics</i> )	Date of submission of initial national communication	Status of Global Environment Facility (GEF) phase II enabling activity project ( <i>Expedited financing for (interim) measures for capacity-building in priority areas</i> )			GEF funding for stocktaking activities to prepare a project proposal			Status of second national communication						
		Approved	Ongoing	Completed	Requested	Approved	Received	GEF project				Preparation of second national communication		
								Requested	Approved	Received	Proposal submitted	Approval date	Initial disbursement of funds	Ongoing
Albania	13 September 2002			x			x		x	27 January 2005				
Algeria	30 April 2001	x				x								
Antigua and Barbuda	10 September 2001	x				x								
Argentina	25 July 1997		x				x		x	2004		x		
Armenia	4 November 1998			x			x		x					
Azerbaijan	23 May 2000			x			x	x						
Bahamas	5 November 2001			x			x	x						
<i>Bangladesh</i>	12 November 2002													
Barbados	30 October 2001		x			x								
Belize	16 September 2002						x	x						
<i>Benin</i>	21 October 2002			x		x		x						



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		Approved	Ongoing	Completed	Requested	Approved	Received	GEF project				Preparation of second national communication		
								Proposed under development (x)/ completed (x*)	Proposal submitted	Approval date	Initial disbursement of funds	Ongoing	Completed	
Colombia	18 December 2001	x												
<i>Comoros</i>	5 April 2003		x											
Congo	30 October 2001	x					x	x						
Cook Islands	30 October 1999			x		x								
Costa Rica	18 November 2000		x					x*		1 March 2004		x		
Côte d'Ivoire	2 February 2001		x				x							
Cuba	28 September 2001													
Democratic People's Republic of Korea	7 May 2004						x							
<i>Democratic Republic of the Congo</i>	21 November 2000	x				x								
<i>Djibouti</i>	6 June 2002				x									
Dominica	4 December 2001	x					x							
Dominican Republic	4 June 2003		x				x	x						
Ecuador	15 November 2000			x		x								

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		Approved	Ongoing	Completed	Requested	Approved	Received	GEF project			Preparation of second national communication			
								Proposal submitted	Approval date	Initial disbursement of funds	Ongoing	Completed		
								Proposal under development (x)/ completed (x*)						
Egypt	19 July 1999		x				x	x						
El Salvador	10 April 2000			x		x								
<i>Eritrea</i>	16 September 2002						x	x						
<i>Ethiopia</i>	16 October 2001	x												
Gabon	22 December 2004	x												
<i>Gambia</i>	6 October 2003		x			x		x						
Georgia	10 August 1999			x			x		x					
Ghana	2 May 2001	x					x	x						
Grenada	21 November 2000		x			x								
Guatemala	1 February 2002	x				x								
<i>Guinea</i>	28 October 2002		x											
Guyana	16 May 2002													
<i>Haiti</i>	3 January 2002			x			x	x						

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		Approved	Ongoing	Completed	Requested	Approved	Received	GEF project				Preparation of second national communication		
								Proposed under development (x)/ completed (x*)	Proposal submitted	Approval date	Initial disbursement of funds	Ongoing	Completed	
Honduras	15 November 2000			x			x	x						
India	22 June 2004				x			x						
Indonesia	27 October 1999			x		x								
Iran (Islamic Republic of)	31 March 2003		x				x	x*						
Israel	18 November 2000													
Jamaica	21 November 2000		x			x								
Jordan	6 March 1997		x			x								
Kazakhstan	5 November 1998						x		x	14 March 2004				
Kenya	22 October 2002			x			x	x						
<i>Kiribati</i>	30 October 1999			x	x									
Kyrgyzstan	31 March 2003						x		x					
<i>Lao People's Democratic Republic</i>	2 November 2000		x											
Lebanon	2 November 1999	x					x	x						

Party ( <i>least developed countries in italics</i> )	Date of submission of initial national communication	Status of Global Environment Facility (GEF) phase II enabling activity project ( <i>Expedited financing for (interim) measures for capacity-building in priority areas</i> )			GEF funding for stocktaking activities to prepare a project proposal			Status of second national communication						
		Approved	Ongoing	Completed	Requested	Approved	Received	Proposal under development (x)/ completed (x*)	GEF project			Preparation of second national communication		
									Proposal submitted	Approval date	Initial disbursement of funds	Ongoing	Completed	
<i>Lesotho</i>	17 April 2000			x		x								
<i>Madagascar</i>	22 February 2004						x	x						
<i>Malawi</i>	2 December 2003			x		x								
Malaysia	22 August 2000													
<i>Maldives</i>	5 November 2001													
<i>Mali</i>	13 November 2000			x										
Malta	16 June 2004		x		x			x						
Marshall Islands	24 November 2000			x		x								
<i>Mauritania</i>	30 July 2002			x			x	x						
Mauritius	28 May 1999			x			x	x						
Micronesia (Federated States of)	4 December 1997			x		x								
Mongolia	1 November 2001			x										
Morocco	1 November 2001							x	2 March 2004					



Party ( <i>least developed countries in italics</i> )	Date of submission of initial national communication	Status of Global Environment Facility (GEF) phase II enabling activity project ( <i>Expedited financing for (interim) measures for capacity-building in priority areas</i> )			GEF funding for stocktaking activities to prepare a project proposal			Status of second national communication						
		Approved	Ongoing	Completed	Requested	Approved	Received	GEF project				Preparation of second national communication		
								Proposal under development (x)/ completed (x*)	Proposal submitted	Approval date	Initial disbursement of funds	Ongoing	Completed	
Namibia	7 October 2002	x					x	x						
Nauru	30 October 1999			x		x								
<i>Nepal</i>	1 September 2004		x		x									
Nicaragua	25 July 2001			x			x		x	4 February 2004				
<i>Niger</i>	13 November 2000	x				x								
Nigeria	17 November 2003						x	x						
Niue	2 October 2001			x			x		x	11 November 2004	20 December 2004	x		
Pakistan	15 November 2003		x		x									
Palau	18 June 2003						x	x						
Panama	20 July 2001		x				x							
Papua New Guinea	27 February 2002					x								
Paraguay	10 April 2002	x					x	x						
Peru	21 August 2001			x				x						



Party ( <i>least developed countries in italics</i> )	Date of submission of initial national communication	Status of Global Environment Facility (GEF) phase II enabling activity project ( <i>Expedited financing for (interim) measures for capacity-building in priority areas</i> )			GEF funding for stocktaking activities to prepare a project proposal			Status of second national communication						
		Approved	Ongoing	Completed	Requested	Approved	Received	GEF project				Preparation of second national communication		
								Proposal under development (x)/ completed (x*)	Proposal submitted	Approval date	Initial disbursement of funds	Ongoing	Completed	
Swaziland	21 May 2002		x			x								
Tajikistan	8 October 2002			x			x		x					
Thailand	13 November 2000	x				x								
The former Yugoslav Republic of Macedonia	25 March 2003			x			x		x	4 February 2005				
<i>Togo</i>	20 December 2001	x												
Trinidad and Tobago	30 November 2001		x				x	x						
Tunisia	27 October 2001								x					
Turkmenistan	11 November 2000	x					x	x						
<i>Tuvalu</i>	30 October 1999			x										
<i>Uganda</i>	26 October 2002		x		x									
<i>United Republic of Tanzania</i>	4 July 2003		x		x			x*						
Uzbekistan	22 October 1999			x					x	10 February 2005	21 February 2005	x		
<i>Vanuatu</i>	30 October 1999			x		x								



Annex II

**Status of the preparation of initial national communications from Parties not included in Annex I  
to the Convention that have yet to submit them**

<b>Party</b> <i>(least developed countries in italics)</i>	<b>Date of ratification of the Convention</b>	<b>Global Environment Facility (GEF) funding for stocktaking activities to prepare a project proposal</b>			<b>Date of initial disbursement of GEF funds</b>	<b>Indicated date of submission of national communication</b>	<b>Status of national communication</b>
		<b>Requested</b>	<b>Approved</b>	<b>Received</b>			
<i>Afghanistan</i>	19 September 2002						Has yet to finalize project proposal
<i>Angola</i>	17 May 2000						Has not applied for funds; has yet to finalize project proposal
Bahrain	28 December 1994				November 1997	March 2005	Completed
Bosnia and Herzegovina	7 September 2000			x			
Cyprus	15 October 1997						Has not applied for GEF financing
<i>Equatorial Guinea</i>	16 August 2000						Has not applied for funds; has yet to finalize project proposal
Fiji	25 February 1993				June 1997		Ongoing
<i>Guinea-Bissau</i>	27 October 1995				September 1998		Completed
Kuwait	28 December 1994						Has not applied for GEF financing
<i>Liberia</i>	5 November 2002			x			Has yet to finalize project proposal
Libyan Arab Jamahiriya	14 June 1999				February 2002		Ongoing
<i>Mozambique</i>	25 August 1995				September 1997	May 2005	In the process of finalizing
<i>Myanmar</i>	25 November 1994						No request to begin process

Party <i>(least developed countries in italics)</i>	Date of ratification of the Convention	Global Environment Facility (GEF) funding for stocktaking activities to prepare a project proposal			Date of initial disbursement of GEF funds	Indicated date of submission of national communication	Status of national communication
		Requested	Approved	Received			
Oman	8 February 1995						Project document being finalized
Qatar	18 April 1996						Ongoing; has not applied for GEF financing
<i>Rwanda</i>	18 August 1998				November 2001	March 2005	Completed
San Marino	28 October 1994						
<i>São Tomé and Príncipe</i>	29 September 1999				June 2002		Almost complete
Saudi Arabia	28 December 1994				June 2002		Completed
Serbia and Montenegro	12 March 2001			x			Has yet to finalize project proposal
<i>Sierra Leone</i>	22 June 1995					Towards the end of 2005	Ongoing
Suriname	14 October 1996				December 1999		First draft ready
Syrian Arab Republic	4 January 1996	x				At the end of 2005	Preparatory work conducted during the period 1996–1998 resulted in a draft initial communication. There is a need to update this draft to produce the final communication
Tonga	20 July 1998				December 2000	April 2005	Ongoing
United Arab Emirates	29 December 1995					December 2005	Ongoing
Venezuela	28 December 1994				January 2002		Ongoing