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SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE

**REPORT OF THE SUBSIDIARY BODY FOR SCIENTIFIC AND
TECHNOLOGICAL ADVICE ON ITS FIFTEENTH SESSION,
HELD AT MARRAKESH,
FROM 29 OCTOBER TO 6 NOVEMBER 2001**

CONTENTS

	<u>Paragraphs</u>	<u>Page</u>
I. OPENING OF THE SESSION (Agenda item 1)	1 - 2	4
II. ORGANIZATIONAL MATTERS (Agenda item 2)	3 - 8	4
A. Adoption of the agenda	3 - 4	4
B. Organization of the work of the session	5	5
C. Election of officers other than the Chairman	6 - 8	5
III. MATTERS REFERRED TO THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE BY THE CONFERENCE OF THE PARTIES (Agenda item 3)	9 - 13	6
A. Third Assessment Report of the Intergovernmental Panel on Climate Change	9 - 12	6
B. Any other matters	13	7

	<u>Paragraphs</u>	<u>Page</u>
IV. METHODODOLOGICAL ISSUES (Agenda item 4)	14 - 29	8
A. Ongoing activities on reporting and review of greenhouse gas inventories from Parties included in Annex I to the Convention (implementing decisions 3/CP.5 and 6/CP.5)	14 - 15	8
B. Emissions resulting from fuel used in international transportation	16 - 19	9
C. Methods and tools to evaluate impacts and adaptation .	20 - 23	10
D. Development of good practice guidance and other information for the land use, land-use change and forestry sector	24 - 25 & 29	11
E. Issues relating to emissions from forest harvesting and wood products	26 - 29	12
V. DEVELOPMENT AND TRANSFER OF TECHNOLOGIES (Agenda item 5)	30 - 33	14
VI. “GOOD PRACTICES” IN POLICIES AND MEASURES (Agenda item 6)	34 - 35	15
VII. COOPERATION WITH RELEVANT INTERNATIONAL ORGANIZATIONS (Agenda item 7)	36 - 41	16
VIII. ARTICLE 6 OF THE CONVENTION: EDUCATION, TRAINING AND PUBLIC AWARENESS (Agenda item 8)	42 - 45	20
IX. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE (Agenda item 9)	46 - 47	21
X. OTHER MATTERS (Agenda item 10)	48 - 56	22
Topic 1: Proposal on cleaner or less greenhouse gas-emitting energy	48 - 51	22

	<u>Paragraphs</u>	<u>Page</u>
Topic 2: Special circumstances of the Republic of Croatia under Article 4.6 of the Convention	52 - 54	23
Topic 3: Relationship between efforts to protect the stratospheric ozone layer and efforts to safeguard the global climate system: issues relating to hydrofluorocarbons and perfluorocarbons.....	55 - 56	23
XI. REPORT ON THE SESSION (Agenda item 11)	57 - 58	24
XII. CLOSURE OF THE SESSION	59	24

Annexes

I. Nominees to the expert group on technology transfer	25
II. Draft terms of reference of the workshop for the development of a work programme on Article 6 of the Convention	26
III. List of documents before the Subsidiary Body for Scientific and Technological Advice at its fifteenth session	27

I. OPENING OF THE SESSION

(Agenda item 1)

1. The fifteenth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) was held at the Palais des Congrès, Marrakesh, Morocco, from 29 October to 6 November 2001.
2. The Chairman of the SBSTA, Mr. Harald Dovland (Norway), opened the session on 29 October and welcomed all Parties and observers. He noted that the significant progress made at the second part of the sixth session of the Conference of the Parties left the SBSTA with a substantial agenda for its fifteenth session, including items referred to it by the seventh session of the Conference of the Parties (COP) and by the Subsidiary Body for Implementation (SBI).

II. ORGANIZATIONAL MATTERS

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2 (a))

3. At its 1st meeting, on 29 October, the SBSTA considered the provisional agenda contained in document FCCC/SBSTA/2001/3. Statements were made by the representatives of four Parties, including one speaking on behalf of the European Community and its member States.
4. At the same meeting, the SBSTA adopted the following agenda:
 1. Opening of the session.
 2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session;
 - (c) Election of officers other than the Chairman.
 3. Matters referred to the Subsidiary Body for Scientific and Technological Advice by the Conference of the Parties:
 - (a) Third Assessment Report of the Intergovernmental Panel on Climate Change;
 - (b) Any other matters.
 4. Methodological issues:
 - (a) Ongoing activities on reporting and review of greenhouse gas inventories from Parties included in Annex I to the Convention (implementing decisions 3/CP.5 and 6/CP.5);

- (b) Emissions resulting from fuel used in international transportation;
 - (c) Methods and tools to evaluate impacts and adaptation;
 - (d) Development of good practice guidance and other information for the land use, land-use change and forestry sector;
 - (e) Issues relating to emissions from forest harvesting and wood products.
5. Development and transfer of technologies.
 6. “Good practices” in policies and measures.
 7. Cooperation with relevant international organizations.
 8. Article 6 of the Convention: Education, training and public awareness.
 9. Activities implemented jointly under the pilot phase.
 10. Other matters.
 11. Report on the session.

B. Organization of the work of the session

(Agenda item 2 (b))

5. The SBSTA considered this sub-item at its 1st meeting, on 29 October, at which the Chairman informed the SBSTA of the schedule of meetings. It had before it document FCCC/SBSTA/2001/3.

C. Election of officers other than the Chairman

(Agenda item 2 (c))

1. Proceedings

6. The SBSTA considered this sub-item at its 1st and 5th meetings, on 29 October and 6 November, respectively. It had before it document FCCC/SBSTA/2001/3.

7. At the 1st meeting, the Chairman recalled rule 27 of the draft rules of procedure being applied, whereby the SBSTA is expected to elect its Vice-Chairman and Rapporteur. Such an election was last held at the end of 1998 leading to the election of the current incumbents. At the same meeting, the Chairman stated that he had held consultations with representatives of the five United Nations regional groups concerning the vacant positions of the SBSTA, namely those of Vice-Chairman and Rapporteur. He also informed the SBSTA that consultations were still going on and that he would keep delegates informed of any progress made. Pending the election of the Vice-Chairman and the Rapporteur, the current incumbents of those positions would continue in office.

2. Conclusions

8. At its 5th meeting, the SBSTA elected Mr. Philip Weech (Bahamas) as its Vice-Chairman and Ms. Tatyana Ososkova (Uzbekistan) as its Rapporteur, both serving for a term of one year, which could be renewed for another year. The Chairman noted that they will take up office after the fifteenth session, and thanked the current SBSTA Bureau for its work.

III. MATTERS REFERRED TO THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE BY THE CONFERENCE OF THE PARTIES

(Agenda item 3)

A. Third Assessment Report of the Intergovernmental Panel on Climate Change (IPCC)

(Agenda item 3 (a))

1. Proceedings

9. The SBSTA considered this sub-item at its 1st and 5th meetings, on 29 October and 6 November, respectively. It had before it document FCCC/SBSTA/2001/INF.6. Statements were made by the representatives of 22 Parties, including one speaking on behalf of the European Community and its member States, one on behalf of the Alliance of Small Island States and one on behalf of the Group of 77 and China.

10. At the 1st meeting, the Chairman invited Dr. Robert Watson, Chairman of the IPCC, to introduce the Third Assessment Report (TAR). At the same meeting, the Chairman invited Mr. Halldor Thorgeirsson (Iceland) to assist him in conducting informal consultations on this sub-item.

11. At the 5th meeting, on 6 November, Mr. Thorgeirsson reported to the SBSTA on the results of his informal consultations.

2. Conclusions

12. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note with appreciation of the presentation made by the Chairman of the IPCC, Dr. Robert Watson, on the TAR and, in particular, its Synthesis Report;

(b) The SBSTA took note of document FCCC/SBSTA/2001/INF.6, which provides relevant information on the TAR;

(c) The SBSTA invited Parties to provide their views by 15 February 2002 for compilation in a miscellaneous document on:

(i) The information contained in the TAR;

(ii) Possible IPCC activities in support of the needs of the Convention and its Kyoto Protocol;

- (d) The SBSTA requested the secretariat:
- (i) To organize, prior to the sixteenth session of the SBSTA, a workshop to explore the information contained in the TAR and how the content of the TAR can facilitate the work of the SBSTA and the other Convention bodies relating to climate change, research and systematic observation, scientific uncertainty, impacts of and adaptation to climate change, effects of measures, innovative technology development, methodological issues and other matters contained in the TAR, under the guidance of the Chairman of the SBSTA and with participation from experts identified by the IPCC;
 - (ii) To prepare a report on that workshop for consideration by the SBSTA at its sixteenth session;
- (e) The SBSTA decided to consider, at its sixteenth session, the report on the workshop referred to above and the information contained in the submissions;
- (f) The SBSTA recognized the importance of dissemination of the results of the TAR at the regional and subregional levels in the context of Article 6 of the Convention: Education, Training and Awareness;
- (g) The SBSTA requested the secretariat to report, at the sixteenth session of the SBSTA, on the efforts towards dissemination of information contained in the TAR mentioned in paragraph 12 (f) above;
- (h) The SBSTA urged Parties, in their capacity as governments represented in the IPCC, to strengthen their efforts to expand the number of developing country experts in all IPCC activities. The SBSTA requested the secretariat to examine how further to enhance capacity building relating to future research into climate change and its impacts on developing countries. The SBSTA additionally requested the secretariat to continue to cooperate with the IPCC through the Joint Working Group to facilitate further the active and effective participation in IPCC activities of experts from developing countries. It also requested the secretariat to report the results to the SBSTA at its sixteenth session;
- (i) The SBSTA decided to recommend a draft decision on this subject for adoption by the Conference of the Parties at its seventh session.¹

B. Any other matters
(Agenda item 3 (b))

13. There were no other matters referred to the SBSTA by the COP for consideration at its fifteenth session.

¹ For the text, as adopted, see document FCCC/CP/2001/13/Add.4, decision 25/CP.7.

IV. METHODOLOGICAL ISSUES

A. Ongoing activities on reporting and review of greenhouse gas inventories from Parties included in Annex I to the Convention (implementing decisions 3/CP.5 and 6/CP.5)

(Agenda item 4 (a))

1. Proceedings

14. The SBSTA considered this sub-item, which was also on the agenda of the SBI at its fifteenth session, at its 2nd and 5th meetings, on 30 October and 6 November respectively. The Chairman reported that, having consulted the Chairman of the SBI, it was agreed that the SBSTA would take up this issue and forward, as necessary, any decisions to the SBI. It had before it documents FCCC/SBSTA/2001/5 and Add.1, FCCC/SBSTA/2001/MISC.4, FCCC/SBSTA/2001/MISC.5, and FCCC/SBI/2001/12. A statement was made by the representative of one Party.

2. Conclusions

15. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of the documents prepared for this session (FCCC/SBSTA/2001/5 and Add.1, FCCC/SBSTA/2001/MISC.4, FCCC/SBSTA/2001/MISC.5, and FCCC/SBI/2001/12) and the oral report of the secretariat on this agenda item;

(b) The SBSTA welcomed the organization by the secretariat of an expert meeting on methodological and operational issues relating to the use of the guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories (reporting guidelines), and the UNFCCC guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention (review guidelines), to be held from 4-6 December 2001 in Bonn. The SBSTA requested the secretariat to prepare a report of the expert meeting for consideration at its sixteenth session;

(c) The SBSTA agreed to defer the consideration of revisions to the reporting guidelines to its sixteenth session, when the technical review of greenhouse gas inventories conducted during the trial period would have been completed and the report of the expert meeting would be available. It also agreed to recommend an extension of the trial period for the reporting and review guidelines, which had been set by the subsidiary bodies at their tenth sessions, in order to facilitate the preparations for individual reviews of inventories from all Parties included in Annex I to the Convention to be initiated in 2003. The reviews to be conducted in 2002 should take into account the experience gained so far in the trial period;

(d) The SBSTA recommended a draft decision on this subject for adoption by the Conference of the Parties at its seventh session.²

² For the text, as adopted, see document FCCC/CP/2001/13/Add.4, decision 34/CP.7.

B. Emissions resulting from fuel used in international transportation³

(Agenda item 4 (b))

1. Proceedings

16. The SBSTA considered this sub-item at its 2nd and 5th meetings, on 30 October and 6 November respectively. Statements were made by the representatives of six Parties, including one speaking on behalf of the European Community and its member States.

17. At the 2nd meeting, the Chairman invited the IPCC to brief the SBSTA on the status of its work in relation to good practice guidance and uncertainty management in national greenhouse gas inventories and the methodological aspects relating to emissions based upon fuel sold to ships and aircraft engaged in international transport. At the same meeting, the Chairman invited Mr. José Romero (Switzerland) to assist him in conducting informal consultations on this item.

18. At the 5th meeting, Mr. Romero reported to the SBSTA on the results of his informal consultations.

2. Conclusions

19. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA noted with appreciation the presentation by the IPCC regarding aspects of the IPCC Good Practice Guidance and Uncertainty Management in National Greenhouse Gas Inventories relating to the reporting of emissions based upon fuel sold to ships and aircraft engaged in international transport;

(b) In addition, the SBSTA noted with appreciation resolution A33-7 adopted by the Assembly of the International Civil Aviation Organization (ICAO) at its thirty-third session regarding continuing ICAO policies and practices relating to environmental protection and the written statement presented by ICAO;

(c) The SBSTA also noted the information contained in document FCCC/SBSTA/2001/5 and Add.1, relating to emissions resulting from fuel used in international aviation and marine transportation, on the use of Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories;

(d) The SBSTA invited the International Maritime Organization (IMO) to report on the outcome of the 47th meeting of the Marine Environment Protection Committee to be held in March 2002;

(e) The SBSTA requested the secretariat to continue cooperating closely with ICAO and IMO in order to promote mutual understanding of common activities and interests, consistent with the provisions of the Convention and the Kyoto Protocol;

³ This agenda item relates to emissions from international aviation and marine transportation. In future the title will be amended appropriately to reflect this.

(f) The SBSTA invited ICAO and IMO, in consultation with the secretariat, to explore opportunities for examining and improving the quality of data reporting and comparability under the relevant provisions of the Convention and the Kyoto Protocol, and under ICAO and IMO.

C. Methods and tools to evaluate impacts and adaptation

(Agenda item 4 (c))

1. Proceedings

20. The SBSTA considered this sub-item at its 2nd and 5th meetings, on 30 October and 6 November respectively. It had before it document FCCC/SBSTA/2001/MISC.6 and Add.1 and 2. Statements were made by the representatives of seven Parties, including one speaking on behalf of the European Community and its member States.

21. At the 2nd meeting, the Chairman invited Mr. Pierre Giroux (Canada) and Ms. Martha Yvette Munguía de Aguilar (El Salvador) to assist him in conducting informal consultations on this sub-item.

22. At the 5th meeting, on 6 November, Mr. Giroux and Ms. Munguía de Aguilar reported to the SBSTA on the results of their informal consultations.

2. Conclusions

23. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of document FCCC/SBSTA/2001/MISC.6 and Add.1 containing submissions by Parties on the report of the workshop on methodologies on climate change impact and adaptation, held at Sainte Adèle, Canada, in June 2001 (FCCC/SBSTA/2001/INF.4), and on the work of the SBSTA on methodologies for assessing climate change impacts, vulnerability and adaptation;

(b) The SBSTA supported the conclusions of the workshop, and noted that they included elements of great importance for the development of adaptation strategies in developing countries. It also noted that more scientific work should be done in the area of improving and developing of the methodologies for assessing impacts and adaptation. It invited Parties and international organizations to take this into consideration in developing their future work programmes, noting the methodological needs and priorities of developing countries. It also invited the IPCC to give further consideration to the assessment of these methods in its future work;

(c) The SBSTA further noted the linkages between the work on methodologies and other related activities under both the SBI and the SBSTA, such as activities relating to Article 4.8 and 4.9, matters relating to national communications from Parties not included in Annex I to the Convention, and the work on technology transfer and capacity building;

(d) The SBSTA also noted the importance and complex nature of the work on methodologies and endorsed the acceleration and broadening of the work of the secretariat in this area. It requested the secretariat:

- (i) To consult with various United Nations bodies and other organizations on how to advance the development and assessment of methods and tools for evaluating impacts and adaptation, and the collection and dissemination of information;
- (ii) To hold an initial workshop before the seventeenth session of the SBSTA with the participation of Parties and global and regional international organizations, to explore the means of, and possible mechanisms for, supporting the development and dissemination of methods and tools for evaluating impacts and adaptation as referred to in document FCCC/SBSTA/2001/INF.4, and/or to integrate such considerations into the terms of reference for other proposed workshops relating to adaptation issues;

(e) The SBSTA requested the secretariat to report to the sixteenth session of the SBSTA on the matters outlined in paragraph 23 (d) above.

D. Development of good practice guidance and other information for the land use, land-use change and forestry sector

(Agenda item 4 (d))

1. Proceedings

24. The SBSTA considered this sub-item at its 2nd and 5th meetings, on 30 October and 6 November respectively. Statements were made by the representatives of 10 Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Alliance of Small Island States.

25. At the 2nd meeting, the Chairman invited Dr. Robert Watson, Chairman of the IPCC, to brief the SBSTA on its planned activities relating to good practice guidance for the land use, land-use change and forestry sector and any other related activities. At the same meeting, the IPCC work programme on land use, land-use change and forestry was made available to the SBSTA. Also at that same meeting, the Chairman invited Ms. Margaret Mukahanana-Sangarwe (Zimbabwe) and Mr. Audun Rosland (Norway) to assist him in conducting informal consultations on this sub-item, noting the need to integrate the conclusions of this sub-item with those of sub-item 4 (e).

E. Issues relating to emissions from forest harvesting and wood products

(Agenda item 4 (e))

1. Proceedings

26. The SBSTA considered this sub-item at its 2nd and 3rd meetings, on 30 October, and at its 5th meeting on 6 November. Statements were made by the representatives of 10 Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Alliance of Small Island States.

27. At the 3rd meeting, the Chairman invited Ms. Margaret Mukahanana-Sangarwe (Zimbabwe) and Mr. Audun Rosland (Norway) to assist him in conducting informal consultations on this sub-item.

28. At the 5th meeting, on 6 November, Ms. Mukahanana-Sangarwe and Mr. Rosland reported to the SBSTA on the results of their informal consultations on agenda items 4 (d) and 4 (e).

2. Conclusions

(relating to agenda items 4 (d) and 4 (e))

29. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA noted with appreciation the presentation by the IPCC on the progress of its work and its proposed work programme on land use, land-use change and forestry. The SBSTA noted that in developing this work programme, in accordance with decision 11/CP.7, the IPCC should give priority to the development of good practice guidance as mentioned in paragraph 29 (b) below;

(b) The SBSTA welcomed the work programme proposed by the IPCC relating to the development of good practice guidance for land use, land-use change and forestry including separate sections for the purposes of the UNFCCC and the Kyoto Protocol, noting specific additional requirements of the Kyoto Protocol, as elaborated in the draft decisions of the COP serving as the meeting of the Parties to the Kyoto Protocol (COP/MOP), on land use, land-use change and forestry, on good practice guidance and adjustments under Article 5.2 and on guidelines for the preparation of the information on Article 7, as contained in COP decisions 11/CP.7, 21/CP.7 and 22/CP.7;

(c) In the context of the UNFCCC requirements, the SBSTA took note of the IPCC plan to aim for consistent representation of land areas;

(d) Noting paragraphs 29 (b) and 29 (c) above, the SBSTA also encouraged the IPCC to ensure that any elaboration of, or change to, the reporting of categories in chapter 5 of the 1996 Revised IPCC Guidelines for National Greenhouse Gas Inventories allows for a comparison of information reported using the Good Practice Guidance with previous inventory reporting under the Convention;

(e) The SBSTA took note of the scoping paper proposed by the IPCC on the development of definitions for direct human-induced degradation and devegetation of forests and other vegetation types, and methodological options for inventoring and reporting on emissions resulting from these activities;⁴

(f) The SBSTA also took note of the proposed scoping paper of the IPCC on the development of practicable methodologies for factoring out direct human-induced changes in carbon stocks, and greenhouse gas emissions by sources and removals by sinks, from changes in carbon stocks and greenhouse gas emissions by sources and removals by sinks due to indirect human-induced effects, natural effects and effects due to past practices in forests;

(g) The SBSTA noted the intention of the IPCC to include harvested wood in the development of good practice guidance, maintaining consistency with decision 3/CP.5. The SBSTA encouraged the IPCC to incorporate into its work any future COP and COP/MOP decisions and SBSTA conclusions on harvested wood products. Such work should not pre-empt future discussions and work and any relevant decisions referred to in paragraphs 29 (l) and 29 (m) below;

(h) The SBSTA welcomed the involvement of the IPCC in the organization of a workshop on forest-related definitions, including biome-specific definitions and the terms 'forest degradation' and 'devegetation', organized by the Food and Agriculture Organization of the United Nations (FAO), the IPCC, and the Centre for International Forestry Research (CIFOR), to be held in Rome in January 2002;

(i) The SBSTA invited the IPCC to report at its next session and periodically thereafter on the progress of its work on the above issues;

(j) The SBSTA encouraged those Parties interested in accounting for emissions from harvested wood products to continue their work and informal collaboration on aspects of this topic, and welcomed any future input from Parties regarding new insights and findings;

(k) The SBSTA invited Parties to provide, by 15 January 2003, submissions on the implications of harvested wood products accounting, including views on different approaches and methodologies, for compilation into an addendum to the miscellaneous document FCCC/SBSTA/2001/MISC.1, for consideration at its eighteenth session;

(l) The SBSTA requested the secretariat to prepare a technical paper on harvested wood products accounting, taking into account socio-economic and environmental impacts, including impacts on developing countries, for consideration at its nineteenth and, if necessary, subsequent sessions. This paper should be based on, *inter alia*, submissions from Parties contained in FCCC/SBSTA/2001/MISC.1 and the addendum referred to in paragraph 29 (k) above, the outcome of the IPCC expert meeting in Dakar, any technical information relating to paragraph 29 (j) above, and other relevant sources of information. The SBSTA requested the secretariat to prepare this technical paper with the assistance of a limited number of experts from the UNFCCC roster of experts, ensuring an adequate participation of experts from developing

⁴ For the text as adopted see document FCCC/CP/2001/13/Add.1, decision 11/CP.7, para. 3 (c).

and developed countries, as well as from countries with economies in transition, given the issues to be addressed in the technical paper;

(m) The SBSTA will further consider harvested wood products accounting and reporting at its twentieth and twenty-first sessions, taking into account the work and information referred to in paragraphs 29 (j), 29 (k) and 29 (l) above and the work of the IPCC on good practice guidance for land use, land-use change and forestry, with a view to recommending any relevant decisions to the COP and COP/MOP.

V. DEVELOPMENT AND TRANSFER OF TECHNOLOGIES

(Agenda item 5)

1. Proceedings

30. The SBSTA considered this item at its 2nd and 5th meetings, on 30 October and 6 November respectively. It had before it documents FCCC/SBSTA/2001/4 and FCCC/TP/2001/2. Statements were made by the representatives of 11 Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

31. At the 2nd meeting, the Chairman invited Parties to register and test the secretariat's web-based technology information system, which is still in the pilot phase. At the same meeting, the Chairman invited Mr. Jukka Uosukainen (Finland) to assist him in conducting informal consultations on this item.

32. At the 5th meeting, on 6 November, Mr. Uosukainen reported to the SBSTA on the results of his informal consultations.

2. Conclusions

33. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA noted with appreciation the progress report and comprehensive presentation by the secretariat on the development of the technology information system and its web pages. It also noted the technical paper entitled "Technology transfer clearing house and international information network: proposal for activities" (FCCC/TP/2001/2);

(b) The SBSTA invited the Parties and relevant international organizations to register and to test the system further;⁵

(c) The SBSTA invited the Parties to submit their views to the secretariat by 15 February 2002 on:

(i) The technical paper indicated above;

(ii) The issues identified in the annex to document FCCC/SBSTA/2001/4;

⁵ <http://ttclear.unfccc.com/ttclear/Jsp>.

- (iii) The roles of Parties in supporting the technology information system; and
 - (iv) Any feedback on testing the system.
- (d) The SBSTA requests the secretariat:
- (i) To continue its work on the technology information system, drawing on links between its work and the work of existing institutions and networks, in particular, information relating to adaptation technologies; and to explore the feasibility of including information on private and publicly-owned technologies, joint research and development programmes, examples of success stories and case studies on technology transfer; and
 - (ii) To summarize the above-mentioned submissions from Parties, including feedback on their experience in using the system, and to report to the SBSTA at its sixteenth session;
- (e) The SBSTA, pending the adoption of decision 4/CP.7 on the development and transfer of technologies:
- (i) Took note of the experts that were nominated by the Parties to serve on the expert group on technology transfer (see Annex I);
 - (ii) Requested the secretariat under the guidance of the Chairman, to organize an expert workshop on technology information to consider matters identified in the annex to decision 4/CP.7 on the development and transfer of technologies, taking into account the technical paper referred to in paragraph 33 (a) above (FCCC/TP/2001/2) as well as submissions on this matter received from Parties, and to make recommendations to the SBSTA for further consideration at its sixteenth session;
 - (iii) Requested the secretariat to organize a preparatory meeting of the expert group on technology transfer to enable it to develop a programme of work for further consideration by the SBSTA at its sixteenth session.

VI. “GOOD PRACTICES” IN POLICIES AND MEASURES

(Agenda item 6)

1. Proceedings

34. The SBSTA considered this item at its 3rd and 5th meetings, on 30 October and 6 November respectively. It had before it document FCCC/SBSTA/2001/INF.5. Statements were made by the representatives of 11 Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

35. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of the Chairman's report (FCCC/SBSTA/2001/INF.5) on the workshop on "good practices" in policies and measures held at Copenhagen from 8 to 10 October 2001, pursuant to the request by the Conference of the Parties (COP) at the first part of its sixth session.⁶ The SBSTA expressed its appreciation to the Governments of Denmark and Norway for sponsoring this workshop;

(b) The SBSTA concluded that it would give further consideration to the workshop report and to what further action should be taken at its sixteenth session in advancing the work on "good practices" in policies and measures. To facilitate this, the SBSTA invited Parties to submit their views by 15 February 2002, within the terms of reference of the workshop, on such possible action;

(c) The SBSTA requested the secretariat to compile information on the policies and measures reported by Parties included in Annex I to the Convention in their third national communications, for consideration at its seventeenth session.

VII. COOPERATION WITH RELEVANT INTERNATIONAL ORGANIZATIONS

(Agenda item 7)

1. Proceedings

36. The SBSTA considered this item at its 3rd and 5th meetings, on 30 October and 6 November respectively. It had before it documents FCCC/SBSTA/2001/MISC.7, FCCC/SBSTA/2001/MISC.8 and Add.1 and 2, and FCCC/SBSTA/2001/MISC.9. Statements were made by the representatives of 12 Parties, including one speaking on behalf of the European Community and its member States.

Cooperation with scientific organizations

37. At the 3rd meeting, the Chairman invited Dr. Alan Thomas, Director of GCOS, to elaborate further on the GCOS report (FCCC/SBSTA/2001/MISC.9). He also invited Dr. Robert Watson, Chairman of the IPCC, to make a statement regarding the millenium assessment. At the same meeting, the Chairman invited Ms. Sue Barrell (Australia) and Mr. S.N. Sok Appadu (Mauritius) to assist him in conducting informal consultations on cooperation with scientific organizations.

38. At the 5th meeting, Ms. Barrell and Mr. Sok Appadu reported to the SBSTA on the results of their informal consultations.

⁶ See FCCC/CP/2000/5/Add.2, section III, F.

Cooperation with other conventions and United Nations bodies

39. At the 3rd meeting, the Chairman invited the IPCC to inform delegates about the status of its technical paper on interlinkages between the UNFCCC, the Convention on Biological Diversity (CBD) and the United Nations Convention to Combat Desertification (UNCCD). He also invited the CBD, the UNCCD and the Ramsar Wetlands Convention to make statements. The Chairman further invited the World Health Organization (WHO) to inform the SBSTA about WHO activities relating to human health and climate change, and in particular about the WHO guidelines for assessing climate change impacts on human health. At the same meeting, the Chairman invited Mr. Ian Carruthers (Australia) and Ms. Jimena Nieto Carrasco (Colombia) to assist him in conducting informal consultations on cooperation with other conventions.

40. At the 5th meeting, Ms. Nieto Carrasco and Mr. Carruthers reported to the SBSTA on the results of their informal consultations.

2. Conclusions

41. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

Cooperation with scientific organizations

(a) The SBSTA welcomed the statement by the Director of the Global Climate Observing System (GCOS) secretariat, on behalf of the agencies participating in the Climate Agenda, regarding its activities relating to decisions 14/CP.4 and 5/CP.5. It further noted the information provided by GCOS contained in document FCCC/SBSTA/2001/MISC.9;

(b) The SBSTA noted with concern the ongoing deterioration of global observation systems for climate, as was also emphasized in the IPCC TAR. It encouraged GCOS to continue to address this problem, working with its sponsors and its partners in global observation systems as well as through capacity-building programmes such as the System for Analysis, Research and Training (START);

(c) The SBSTA endorsed the preparation by the GCOS secretariat of a second report on the adequacy of the global climate observing systems. It noted the necessity for the report to address the needs of the Convention for climate-relevant observations, including those associated with the development of adaptation strategies. The SBSTA invited the GCOS secretariat, in its preparation of the adequacy report, to take into account relevant decisions of the Conference of the Parties on capacity building, technology transfer and adaptation. It also invited the GCOS secretariat to consider in its report an integrated approach to global climate observation systems, including the exploitation of new and emerging methods of observation;

(d) The SBSTA noted the need to complete the adequacy report in the shortest possible time in order to provide a framework for further work to improve global monitoring systems. It invited the GCOS secretariat to prepare, in time for consideration by the SBSTA at its sixteenth session, an interim report on the synthesis and analysis of national reports from Parties provided in accordance with decision 5/CP.5. It encouraged the GCOS secretariat to

complete the final adequacy report by the eighteenth session of the SBSTA in order to enable substantive consideration of the report to take place at the ninth session of the COP;

(e) The SBSTA also noted the completion of two regional workshops in the South Pacific and Africa to identify priority capacity-building needs of developing countries in relation to their participation in systematic observation. It invited the GCOS secretariat to make the follow-up regional action plans available to the SBSTA for consideration at its sixteenth session, with a view to recommending a draft decision on this matter for consideration by the COP at its eighth session. It encouraged the GCOS secretariat, through continued collaboration with the United Nations Development Programme (UNDP) and the Global Environment Facility (GEF), to expedite the remaining programme of regional workshops;

(f) The SBSTA urged Parties to work in collaboration with the GCOS secretariat in formulating project proposals to correct deficiencies in global observing systems for climate, including related data management;

Cooperation with other conventions

(g) The SBSTA reaffirmed the need for enhanced cooperation between the UNFCCC, the Convention on Biological Diversity (CBD) and the United Nations Convention to Combat Desertification (UNCCD), with the aim of ensuring the environmental integrity of the conventions and promoting synergies under the common objective of sustainable development, in order to avoid duplication of effort and use available resources more efficiently;

(h) The SBSTA took note of the information provided in documents FCCC/SBSTA/2001/MISC.7 and FCCC/SBSTA/2001/MISC.8 and Add.1 and 2. It welcomed an oral report provided by the secretariat relating to the work of a joint liaison group between the secretariats of the UNFCCC, the CBD and the UNCCD, and the information provided by the representatives of the CBD and UNCCD secretariats. The SBSTA also welcomed information on the pilot assessment of the interlinkages between climate change and biological diversity which was launched by the CBD last March, and expressed its interest in learning about how this work is proceeding;

(i) The SBSTA noted with appreciation the report provided by the IPCC on the preparations under way to develop a technical paper, in response to a request from the CBD, on the interlinkages between climate change, biodiversity and desertification. It encouraged the IPCC to make the findings of this report available to the SBSTA at its next session;

(j) The SBSTA welcomed the information provided by the representative of the Ramsar Convention on Wetlands on its activities on issues relating to wetlands and climate change. It welcomed the offer by the Ramsar Convention to make the findings of the report *Climate change and wetlands: Impact, adaptation and management*, developed in collaboration with the IPCC, available to the SBSTA at a future session;

(k) The SBSTA noted that enhancing cooperation between the UNFCCC, the CBD, the UNCCD and other global environmental conventions involves action at the national level, the level of convention bodies and potentially at other levels. The SBSTA invited Parties to submit

further views including suggestions for specific action towards achieving such cooperation with other relevant intergovernmental bodies, in particular the UNFCCC, the CBD and the UNCCD, by 15 March 2002, to be compiled into a miscellaneous document;

(l) The SBSTA emphasized the role of Parties at the national level in improving coordination and cooperation and in minimizing, whenever possible, conflicts in the implementation of the three conventions. It also noted a range of views by Parties on the role of convention bodies and, in particular, of the joint liaison group in improving cooperation and coordination;

(m) The SBSTA took note of suggestions by some Parties regarding possible areas for improved cooperation and coordination at a national level, for example through implementation of national action plans and pilot activities at a local level. The SBSTA also noted views by some Parties regarding possible areas for enhanced cooperation at the level of the conventions, for example in capacity building, exchange of information, technology transfer and reporting;

(n) The SBSTA requested the joint liaison group to collect and share information on the work programmes and operations of each convention, including:

- (i) The roles and responsibilities of the secretariats, and any relevant scientific and technical bodies or expert groups;
- (ii) The types of activities under each convention;
- (iii) Potential areas of cooperation, possible joint activities, and any potential conflicts associated with different mandates.

(o) The SBSTA also requested the joint liaison group to examine the possibility of holding a joint workshop before the eighteenth session of the SBSTA to explore the issues relating to interlinkages between the three conventions and/or to integrate such considerations into the terms of reference for other proposed workshops relating to cooperation between United Nations environmental conventions, taking into consideration the need to avoid duplication and overlap between workshops;

(p) The SBSTA agreed to consider these issues further and requested the secretariat to report, at its sixteenth session, on the matters identified in paragraphs 41 (n) and 41 (o) above;

Cooperation with United Nations bodies:

(q) The SBSTA noted with appreciation the information provided by WHO on its activities relating to climate change, particularly those relating to the development of methods, including a toolkit for assessing the impact of climate change on human health and evaluating adaptation strategies. The SBSTA invited WHO to make additional information on this subject, as well as any other relevant information, available at its sixteenth session.

VIII. ARTICLE 6 OF THE CONVENTION: EDUCATION, TRAINING AND PUBLIC AWARENESS

(Agenda item 8)

1. Proceedings

42. The SBSTA considered this item at its 3rd, 4th and 5th meetings, on 30 and 31 October and on 6 November respectively. It had before it document FCCC/SBSTA/2001/6 and Add.1. Statements were made by the representatives of 11 Parties, including one speaking on behalf of the European Community and its member States, and by the representative of one intergovernmental organization.

43. At the 4th meeting, the Chairman invited Ms. Teresa Fogelberg (the Netherlands) to assist him in conducting informal consultations on this item.

44. At the 5th meeting, Ms. Gladys Kenabetsho Ramothwa (Botswana), who assisted Ms. Fogelberg in concluding the informal consultations, reported to the SBSTA on their results.

2. Conclusions

45. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of document FCCC/SBSTA/2001/6 and Add.1. It reaffirmed the importance of work in the area of education, training and public awareness, and its funding by, *inter alia*, the GEF. It drew the attention of the Parties to the fact that no resources were provided in the programme budget for 2002-2003 for work in this area, and invited Parties, particularly those included in Annex I to the Convention, to contribute generously for this purpose;

(b) The SBSTA took note of the report of the Consultative Group of Experts (CGE) on national communications from Parties not included in Annex I to the Convention (FCCC/SBI/2001/15), in particular the sections relating to education, training and public awareness, and noted the importance of implementing country-driven strategies;

(c) As a first step, the SBSTA encouraged the secretariat, in cooperation with relevant organizations, to facilitate cost-effective dissemination of lessons learned, best practices and other information products;

(d) The SBSTA requested the secretariat, resources permitting, and building on the technology information system TT:CLEAR described in document FCCC/TP/2001/2, to develop a new, broader web site which could serve as a clearing house and a resource centre for Parties in the implementation of Article 6;

(e) The SBSTA requested the secretariat, resources permitting, to organize a workshop to develop a work programme on Article 6 activities with regionally balanced categories of participants. These participants should include government representatives involved in the climate change process and experts in education, communications or training from governments, intergovernmental, non-governmental or other organizations, and the

business sector. The draft terms of reference of the workshop are set out in annex II. Parties are invited to provide comments on these to the secretariat by 31 December 2001. The SBSTA also requested the secretariat to prepare a report on the results of the workshop for consideration at its sixteenth session;

(f) The SBSTA noted that, after its sixteenth session, regional and subregional workshops could be a means of further developing and implementing the SBSTA work programme on Article 6;

(g) The SBSTA recognized the need to disseminate the results of the IPCC Third Assessment Report to, *inter alia*, policy makers and the public, using a variety of mechanisms such as regional and subregional workshops, and to prepare and disseminate popularized versions intended for the general public. It welcomed efforts being made in this regard by relevant United Nations bodies such as the United Nations Environment Programme (UNEP), UNDP, the World Meteorological Organization (WMO) and the United Nations Institute for Training and Research (UNITAR), as well as the UNFCCC secretariat, and encouraged further efforts in this area with scientific and technical advice from the IPCC. It also requested the secretariat to make use of and disseminate relevant IPCC and UNFCCC information, such as reports from regional workshops, at all workshops organized by the secretariat;

(h) The SBSTA requested the secretariat to report on the implementation of Article 6 as part of the compilation and synthesis of national communications from Annex I Parties for consideration by the SBSTA at its seventeenth session;

(i) The SBSTA noted the important role a United Nations Climate Change Day could play in raising awareness at the national, regional and international levels, and requested the secretariat to provide more information to the SBSTA on the procedures for designating such a day and the resource requirements for its implementation. The SBSTA also requested the secretariat to explore with the organizations responsible the feasibility of making climate change the theme of internationally designated days. In this context, the SBSTA expressed its appreciation of the offer by UNEP to explore the possibility that climate change be made the theme of World Environment Day in 2003, and requested UNEP to confirm this offer prior to the sixteenth session of the SBSTA;

(j) The SBSTA invited the SBI, while considering the report of the GEF, to take note of the financial requirements of the implementation of Article 6 of the Convention, and to urge the GEF to make financial resources available for this purpose.

IX. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE

(Agenda item 9)

1. Proceedings

46. The SBSTA considered this item at its 4th and 5th meetings, on 31 October and 6 November respectively. It had before it document FCCC/SBSTA/2001/7. A statement was made by the representative of one Party.

2. Conclusions

47. At its 5th meeting, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of the fifth synthesis report on activities implemented jointly under the pilot phase (FCCC/SBSTA/2001/7) and invited Parties to submit, by 15 February 2002, their views on their experience with the pilot phase of activities implemented jointly, for inclusion in a miscellaneous document to be made available to participants in the workshop referred to in decision 8/CP.7 on activities implemented jointly under the pilot phase;

(b) The SBSTA decided that the deadline for the submission of reports on activities implemented jointly under the pilot phase, to be considered in the sixth annual synthesis report, shall be 17 June 2002.

X. OTHER MATTERS

(Agenda item 10)

Topic 1: Proposal on cleaner or less greenhouse gas-emitting energy

1. Proceedings

48. The SBSTA considered this matter at its 4th and 5th meetings, on 31 October and 6 November respectively. Statements were made by the representatives of nine Parties, including one speaking on behalf of the European Community and its member States.

49. At the 4th meeting, the Chairman invited the Government of Canada to report to the SBSTA on the outcome of an informal meeting it had convened on cleaner or less greenhouse gas-emitting energy. A representative of the Government of Canada reported on the informal meeting held in Calgary, Canada, from 2 to 5 October 2001. The meeting had identified several methodological questions relating to trade in cleaner or less greenhouse gas-emitting energy, including the relationship of such trade to various aspects of the Kyoto Protocol. At the same meeting, the Chairman invited Mr. Mohammad S. Barkindo (Nigeria) to assist him in conducting informal consultations on this matter.

50. At its 5th meeting, on 6 November, Mr. Barkindo reported to the SBSTA on the results of his informal consultations.

2. Conclusions

51. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA took note of the report by the Government of Canada on a meeting on the subject of cleaner or less greenhouse gas-emitting energy;

(b) The SBSTA requested the secretariat to organize a workshop under the guidance of its Chairman on the topic of cleaner or less greenhouse gas-emitting energy, if possible prior to its sixteenth session, and to prepare a report on the workshop;

(c) The SBSTA accepted an offer from the Government of Canada to host the workshop;

(d) The SBSTA invited Parties to provide views on the structure and scope of the workshop by 15 February 2002, and requested the secretariat to compile such views into a miscellaneous document.

Topic 2: Special circumstances of the Republic of Croatia under Article 4.6 of the Convention

1. Proceedings

52. At its 4th and 5th meetings, on 31 October and 6 November respectively, the SBSTA considered the request from the SBI to review the methodology used by the Government of Croatia to estimate its base year emissions. It had before it document FCCC/SBI/2001/MISC.3.

53. At the 4th meeting, the Chairman invited the Government of the Republic of Croatia to make a statement on this matter.

2. Conclusions

54. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

(a) The SBSTA noted the request from the SBI to review the methodology used by the Government of Croatia to estimate its base year emissions as described in document FCCC/SBI/2001/MISC.3. It invited Parties to send their views on this matter to the secretariat by 15 February 2002 for compilation into a miscellaneous document;

(b) The SBSTA also requested the secretariat to organize a review of the national communication of the Republic of Croatia as soon as possible and decided to consider this matter further at its sixteenth session.

Topic 3: Relationship between efforts to protect the stratospheric ozone layer and efforts to safeguard the global climate system: issues relating to hydrofluorocarbons and perfluorocarbons

1. Proceedings

55. The SBSTA considered this item at its 4th and 5th meetings, on 31 October and 6 November respectively. Statements were made by the representatives of five Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

56. At its 5th meeting, on 6 November, having considered a proposal by the Chairman, the SBSTA adopted the following conclusions:

- (a) The SBSTA recalled decision 17/CP.5 entitled “Relationship between efforts to protect the stratospheric ozone layer and efforts to safeguard the global climate system”;
- (b) The SBSTA noted the information contained in document FCCC/SBSTA/1999/MISC.6 and Add.1 and 2 and also on the UNFCCC web site,⁷ and encouraged Parties included in Annex I to the Convention, the relevant bodies of the Montreal Protocol, the IPCC, intergovernmental organizations and non-governmental organizations to update further the information on available and potential ways and means of limiting emissions of hydrofluorocarbons and perfluorocarbons, including their use as replacements for ozone-depleting substances;
- (c) The SBSTA also invited Parties to provide their views, by 1 March 2002, on information aspects noted in decision 17/CP.5, for compilation into a miscellaneous document;
- (d) The SBSTA requested the secretariat to prepare a document for consideration by the SBSTA at its sixteenth session, drawing upon information received by 1 March 2002, provided in accordance with paragraph 56 (b) above;
- (e) The SBSTA decided to consider this issue further at its sixteenth session.

XI. REPORT ON THE SESSION

(Agenda item 11)

57. At its 5th meeting on 6 November, the SBSTA considered the draft report on its fifteenth session (FCCC/SBSTA/2001/L.6). At the same meeting, following a proposal by the Chairman, the SBSTA authorized the Rapporteur, with the assistance of the secretariat, to complete the report on the session, under the guidance of the Chairman.

58. Also at that same meeting, the secretariat noted that the SBSTA at its fifteenth session had requested the secretariat to conduct several activities, particularly workshops, for which no funds were provided in the programme budget for the next biennium. Such workshops could only be organized if sufficient funds were to be made available by the Parties. The Chairman therefore encouraged the secretariat to seek additional resources, as required.

XII. CLOSURE OF THE SESSION

59. In concluding the fifteenth session, the Chairman thanked the outgoing Bureau members and the delegates and observers for all their efforts under his chairmanship towards reaching the goals of the SBSTA. He also thanked the secretariat for its professional support and wished the SBSTA and its new Bureau every success in the future.

⁷ <http://www.unfccc.int/program/wam/index.html>

Annex I**NOMINEES TO THE EXPERT GROUP ON TECHNOLOGY TRANSFER**Africa

Mr. Lambert Gnapelet	Central African Republic
Mr. William Kojo Agyemang-Bonsu	Ghana
Mr. Anthony Olusegun Adegbulugbe	Nigeria

Asia and the Pacific

Mr. Imran Habib Ahmad	Pakistan
Mr. Suk-Hoon Woo	Republic of Korea
Mr. Vute Wangwacharakul	Thailand

Latin America and the Caribbean

Mr. Rawleston Moore	Barbados
Ms. Martha Yvette Munguía De Aguilar	El Salvador
Mr. Carlos A. Grezzi	Uruguay

Small island developing States

Mr. Kishan Kumarsingh	Trinidad and Tobago
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Annex I

Mr. Bernard Mazijn	Belgium
Ms. Jean Cooper	Canada
Mr. Jukka Uosukainen	Finland
Mr. Holger Liptow	Germany
Ms. Renata Cybulska-Witkiewicz	Poland
Mr. Viacheslav M. Lipinsky	Ukraine
Mr. Richard Bradley	United States of America

Annex II

**DRAFT TERMS OF REFERENCE OF THE WORKSHOP FOR THE DEVELOPMENT
OF A WORK PROGRAMME ON ARTICLE 6 OF THE CONVENTION**

Duration: Four days

Place: To be determined

Objectives:

1. To define and prioritize the elements of a SBSTA work programme on Article 6.
2. To identify how United Nations organizations and other intergovernmental and non-governmental organizations could support Parties, particularly Parties not included in Annex I to the Convention and Parties with economies in transition, in implementing such a programme at the national level.

Activities:

1. To review the needs identified in document FCCC/SBSTA/2001/6 and Add.1, and to identify elements for inclusion in a possible SBSTA work programme, including the establishing of such priorities.
2. To consider the needs and recommendations in document FCCC/SBI/2001/15 concerning education, training and public awareness and to suggest how relevant aspects might be integrated into the SBSTA work programme.
3. To consider information received from United Nations organizations and intergovernmental and non-governmental organizations on their activities relating to Article 6, and to identify ways in which Parties might use this existing knowledge and capacity in the implementation of Article 6 at the national level.
4. To identify elements of good practice, information products and mechanisms for information exchange.

Annex III**LIST OF DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE AT ITS FIFTEENTH SESSION**

FCCC/SBSTA/2001/2	Report of the Subsidiary Body for Scientific and Technological Advice on its fourteenth session, Bonn, 24-27 July 2001
FCCC/SBSTA/2001/3	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/SBSTA/2001/4	Development and transfer of technologies: Progress report on technology information
FCCC/SBSTA/2001/5 and Add.1	Methodological issues. Report on experience in the use of UNFCCC reporting guidelines on annual inventories by Annex I Parties, particularly the common reporting format
FCCC/SBSTA/2001/6 and Add.1	Article 6 of the Convention: Education, training and public awareness. Proposals and options on how to integrate Article 6 into the work programme of the SBSTA
FCCC/SBSTA/2001/7	Activities implemented jointly under the pilot phase. Fifth synthesis report
FCCC/SBSTA/2001/INF.5	“Good practices” in policies and measures. Workshop on “good practices” in policies and measures among Parties included in Annex I to the Convention
FCCC/SBSTA/2001/INF.6	Third Assessment Report of the Intergovernmental Panel on Climate Change. Note by the secretariat
FCCC/SBSTA/2001/MISC.4	Methodological issues. Experience in the use of the UNFCCC reporting guidelines on annual inventories by Annex I Parties and alternative formats for sectoral background data tables 5A-D on land-use change and forestry of the common reporting format. Submissions from Parties
FCCC/SBSTA/2001/MISC.5	Methodological issues. Experience with using the good practice guidance in preparing the 2001 inventories by Annex I Parties. Submissions from Parties

FCCC/SBSTA/2001/MISC.6 and Add.1-2	Methods and tools to evaluate impacts and adaptation. Submissions from Parties
FCCC/SBSTA/2001/MISC.7	Cooperation with relevant international organizations. Views on IPCC's technical paper. Submissions from Parties
FCCC/SBSTA/2001/MISC.8 and Add. 1-2	Cooperation with relevant international organizations. Views on cooperation between the three Conventions (UNFCCC/CBD/UNCCD). Submissions from Parties
FCCC/SBSTA/2001/MISC.9	Cooperation with relevant international organizations. Global Climate Observing System: Progress report on developments in the global observing system and activities relating to decision 5/CP.5. Submissions from Parties
FCCC/TP/2001/2	Development and transfer of technologies. Technology transfer clearing house and international information network technical paper. Proposal for activities
FCCC/SBI/2001/12	National communications from Parties included in Annex I to the Convention. Technical review of inventories, progress report on the implementation of decision 6/CP.5
FCCC/SBI/2001/15	National communications from Parties not included in Annex I to the Convention. Report of the Consultative Group of Experts to the subsidiary bodies
FCCC/SBI/2001/MISC.3	National communications from Parties included in Annex I to the Convention. Third national communications: review and the roster of experts. Views from a Party
