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气候变化框架公约

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缔约方会议
第二十六届会议
2021年11月1日至12日，格拉斯哥

作为《巴黎协定》缔约方会议的
《公约》缔约方会议
第三届会议
2021年11月1日至12日，格拉斯哥

资金问题常设委员会的报告

概要

本报告介绍了资金问题常设委员会 2020 年的工作情况、工作计划和所开展活动的成果。它载有第四次(2020 年)气候融资流量两年期评估和概览的修订时间表和外联计划；在筹备关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需求的第一份报告方面的进展情况；筹备下一届资金问题常设委员会论坛(关于为基于自然的解决方案提供资金)的进展情况；资金问题常设委员会 2020-2021 年工作计划；以及委员会成员名单。



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简称和缩略语

AC	适应委员会
BA	气候融资流量两年期评估和概览
CMA	作为《巴黎协定》缔约方会议的《公约》缔约方会议
COP	缔约方会议
GCF	绿色气候基金
GEF	全球环境基金
G20	二十国集团
LDCF	最不发达国家基金
LEG	最不发达国家专家组
MDB	多边开发银行
NAP	国家适应计划
NDC	国家自主贡献
OECD	经济合作与发展组织
PCCB	巴黎能力建设委员会
Q*	季度
SB	附属机构届会
SBI	附属履行机构
SCCF	气候变化特别基金
SCF	资金问题常设委员会
WIM	气候变化影响相关损失和损害华沙国际机制

* 仅在附件二中使用。

一. 引言

A. 任务

1. 资金问题常设委员会(SCF)向缔约方会议提交年度报告。¹《公约》缔约方会议第二十五届会议(COP 25)和作为《巴黎协定》缔约方会议的《公约》缔约方会议第二届会议(CMA 2)核可了² SCF 2020 年工作计划。³

B. 本报告的范围

2. 本报告介绍 SCF 2020 年的工作成果，包括关于 SCF 22 和 SCF 23 的信息，供 COP 26 和 CMA 3 审议。

二. 资金问题常设委员会成员和 2020 年的各次会议

A. 成员

3. 在 2020 年 8 月 25 日的会议上，理事机构主席团决定根据需要延长《公约》、《京都议定书》和《巴黎协定》机构的现有成员任期，直到可以任命或选举继任者为止。⁴

4. SCF 主席和副主席从成员中选出，任期一年，其中一名成员来自非《公约》附件一所列缔约方，另一名成员来自《公约》附件一所列缔约方。⁵此外，COP 18 决定，主席和副主席应担任 SCF 的联合主席，自 2013 年 SCF 第一次会议起生效。⁶ SCF 决定将 Ayman Shasly 和 Ismo Ulvila 担任 SCF 联合主席的任期延长至 SCF 2021 年第一次会议开始时。

5. 关于成员的变动，Ali Waqas Malik(巴基斯坦)取代 Jonghun Lee(大韩民国)，朱留财(中国)被提名填补未列入《公约》附件一的其他缔约方的空缺席位。

6. 截至 2020 年 12 月 31 日的 SCF 成员名单载于附件一。

B. 会议

7. 2020 年，由于与 2019 年冠状病毒病相关的情况，SCF 调整了工作方式，增加了非正式会议和闭会期间工作，以确保在执行其工作计划方面继续取得进展。特别是，SCF 全力推进关于第四次(2020)气候融资流量两年期评估和概览的技术工作、关于确定发展中国家缔约方执行与《公约》和《巴黎协定》相关的需求的第一份报告以及下一届 SCF 论坛。此外，它还通过网络研讨会和虚拟协商促进利

¹ 根据第 2/CP.17 号决定，第 120 段。

² 第 11/CP.25 号决定，第 2 段，以及第 5/CMA.2 号决定，第 2 段。

³ FCCC/CP/2019/10-FCCC/PA/CMA/2019/3, 附件五。

⁴ 见

https://unfccc.int/sites/default/files/resource/message_to_parties_and_observers_outcomes_of_cop_bureau%20meeting.pdf

⁵ 根据第 2/CP.17 号决定，附件六，第 4 段。

⁶ 第 5/CP.18 号决定，第 7 段。

害关系方的参与，并与《公约》和《巴黎协定》下的其他组成机构进行协作，参加它们的虚拟会议，交流关于其工作计划和成果的信息。

8. 尽管在 2020 年仅举行虚拟会议放慢了其正常的决策步伐，但在可能的情况下，SCF 作出了实质性和程序性的决定，以推进其气候融资议程，为筹备 COP 26 和 CMA 3 做准备。

9. 2020 年，SCF 举行了两次虚拟会议，与会者超过 122 人，包括缔约方观察员、观察员组织(非政府组织、政府间组织、智库和多边开发银行)的代表以及资金机制经营实体的代表，他们为 SCF 的审议做出了贡献。在 SCF 22 之前，SCF 联合主席举办了一次网络研讨会，向观察员通报议程和预期成果。20 多名观察员类组联络人以及政府间组织和多边开发银行的代表出席了网络研讨会，随后参加了 SCF 会议。

10. SCF 以全体会议的形式举行了虚拟会议。SCF 请观察员组织的代表就所讨论的问题发表意见，并积极参与审议。

11. 会议文件和录音可在 SCF 网页上查阅。⁷ 共编制了 16 份背景文件和技术文件，以支持 SCF 的审议。

12. 在 2020 年 9 月 28 日至 30 日虚拟举行的 SCF 22 上，SCF 商定：

(a) 根据修订的指示性时间表和将在 2020-2021 年开展的经修改的外联活动，推进筹备关于第四次气候融资流量两年期评估和概览的技术报告二稿的工作；

(b) 根据修订的指示性时间表和更新的工作计划，推进关于确定发展中国家缔约方与执行《公约》和《巴黎协定》有关的需要的第一份报告初稿的工作；

(c) 组织计划于 2021 年举行的下一届 SCF 论坛，讨论为基于自然的解决方案提供资金的问题，完善方案草案，就关键专题领域开展利害关系方虚拟协商，并继续探讨可能的地点和日期；

(d) 为《公约》和《巴黎协定》下的组成机构任命新的联络人和确认现有的联络人，并在 2020-2021 年继续由联络人在有关会议和活动中代表 SCF 的做法；

(e) 由于 COP 26 推迟到 2021 年，2020 年不向资金机制的经营实体提供指导意见草案；

(f) 将其 2020 年工作计划延长以涵盖 2021 年，因为由于与 2019 年冠状病毒病有关的情况，为 2020 年规划的大部分活动已延至 2021 年，COP 26 已推迟至 2021 年(见附件三)。

13. 在 2020 年 12 月 16 日和 17 日虚拟举行的 SCF 23 上，SCF 商定：

(a) 开展进一步工作，包括数据收集和分析，以编写关于第四次气候融资流量两年期评估和概览的技术报告三稿，并按照商定的时间表启动与概要和建议要点草案有关的活动；

⁷ <http://unfccc.int/6881.php>。

(b) 开展关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关的需要的第一份报告的进一步工作，包括数据收集和分析，以期编写三稿，并按照商定的时间表启动与概要和建议要点草案有关的活动；

(c) 向缔约方和组成机构通报关于编写资金机制经营实体指导意见草案时应考虑的内容提交意见和建议的进程，并在 SCF 24 上继续讨论指导意见草案的格式和编写进程。

三. 资金问题常设委员会 2020 年的工作

A. 第四次(2020 年)气候融资流量两年期评估和概览

14. COP 25 和 CMA 2 注意到关于第四次气候融资流量两年期评估和概览的讨论结果，包括技术报告大纲、工作计划、外联计划和编写报告的指示性时间表。⁸ 它们请 SCF 考虑缔约方对气候融资的实用定义的意见，以加强在第四次气候融资流量两年期评估和概览背景下的技术工作，⁹ 并鼓励 SCF 尽可能提供分类资料，除其他外，应反映各部门的数据可获得性和缺口，评估气候资金流量，并提供关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需求的信息。¹⁰

15. SCF 22 商定了第四次气候融资流量两年期评估和概览的经修订指示性时间表和外联计划(见附件二)，包括提议在 2021 年年中公布。在联合召集人 Seyni Nafo 和 Vicky Noens 的指导下，技术报告的编写工作在 2020 年取得了重大进展。

16. 在 SCF 22 和 23 上，SCF 分别审议了关于第四次气候融资流量两年期评估和概览的技术报告初稿和二稿，包括与数据可获得性、方法更新和气候资金流量的有效性以及梳理与《巴黎协定》第二条第一款(三)项有关的信息有关的问题。开展了以下闭会期间工作，SCF 在其会议上就这些工作提供了指导：

(a) 处理了 13 个缔约方就其对第四次气候融资流量两年期评估和概览背景下的气候融资实用定义提交的意见，¹¹ 这些意见概要已纳入技术报告草稿，供 SCF 在其会议上审议；

(b) 作为对根据 SCF 21 商定的¹² 并于 2019 年 11 月 22 日发布的证据(用于编制气候融资流量两年期评估和概览的信息和数据)征集启事所作的回应，在 2020 年 10 月 30 日这一延长后的截止日期之前收到了 29 份提交材料，并对其进行了分析，并且酌情将信息纳入了技术报告草稿；¹³

(c) 为贡献方和专家举办了四次非正式网络研讨会(2020 年 4 月 7 日、10 月 13 日、10 月 20 日和 11 月 6 日)，作为外联活动，为筹备第四次气候融资流量两年期评估和概览收集投入；¹⁴

⁸ 第 11/CP.25 号决定，第 3 段，以及第 5/CMA.2 号决定，第 3 段。

⁹ 第 11/CP.25 号决定，第 10 段，以及第 5/CMA.2 号决定，第 10 段。

¹⁰ 第 11/CP.25 号决定，第 9 段，以及第 5/CMA.2 号决定，第 9 段。

¹¹ 可查阅：<https://unfccc.int/topics/climate-finance/resources/standing-committee-on-finance-info-repository#eq-6>。

¹² SCF 文件，SCF/2019/21/11，第 13 段。

¹³ 证据征集和所提交的材料，可查阅：<https://unfccc.int/topics/climate-finance/resources/standing-committee-on-finance-info-repository#eq-5>。

¹⁴ 网络研讨会，可访问以下网址：<https://cop23.unfccc.int/topics/climate-finance/resources/biennial-assessment-of-climate-finance>。

(d) SCF 关于气候融资流量两年期评估和概览的工作组举行了两次非正式会议(2020年4月2日和7月22日),讨论草拟技术报告的进度和下一步工作。随后,收到了SCF成员的书面意见,并已将其纳入技术报告草稿。

17. SCF 23 商定在闭会期间继续相关的技术工作,以期在 SCF 24 上审议关于第四次气候融资流量两年期评估和概览的技术报告的高级版本以及概要和建议要点草案,并在 SCF 25 上最后完成相关工作。

B. 关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需求的第一份报告

18. COP 24 请 SCF 从 COP 26 和 CMA 3 开始,每四年编制一份关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需求的报告。¹⁵ 它还请 SCF 在编写该报告时,酌情与资金机制的经营实体、附属机构和组成机构、多边和双边渠道以及观察员组织开展协作。¹⁶ COP 25 和 CMA 2 鼓励 SCF 尽可能提供分类资料,包括梳理各部门的数据可获得性和缺口,评估气候资金流量,并提供关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需求的信息。¹⁷ 它们还鼓励 SCF 在执行战略外联计划的过程中在现有努力基础上再接再厉,与发展中国家缔约方和相关发展中国家利害关系方接触,以生成确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需求的数据和信息。¹⁸

19. SCF 20 商定,有关报告的工作应按照气候融资流量两年期评估和概览的方式加以组织和实施,即包括技术工作和委员会层面的工作。技术工作将文献审查与技术会议、专家会议、网络研讨会和外联活动结合起来,涉及数据提供者和在国家、区域和全球三级有评估发展中国家需求经验的其他贡献者,并涉及从各种来源和数据库收集数据和信息。这种做法使 SCF 能够收集必要的信息和方法,以编写关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关的需求的第一份报告,同时也为后续报告建立一个协作者网络。这项工作分为三个阶段:技术工作以及与缔约方和协作者的互动;在两位联合召集人的协助下,讨论技术工作的交付成果并审查委员会层面的进展情况;讨论 SCF 的结论和建议。

20. 在联合召集人 Zaheer Fakir 和 Mattias Frumerie 的指导下,在 SCF 21 商定的报告大纲的基础上,第一份技术报告的编写工作在 2020 年取得了长足进展。¹⁹

21. 在 2020 年 10 月 30 日这一延长后的截止日期之前,收到了缔约方和缔约方集团为响应在 SCF 21 商定的²⁰并于 2019 年 11 月 22 日发布的证据(编写报告所需的信息和数据)征集启事²¹提交的 17 份资料。2020 年,为贡献者和专家举办了四次非正式区域网络研讨会,作为外联活动,为编写报告收集投入。网络研讨会分别于 2020 年 4 月 7 日、9 月 17 日、9 月 24 日和 10 月 14 日举行。²²

¹⁵ 第 4/CP.24 号决定,第 13 段。

¹⁶ 第 4/CP.24 号决定,第 14 段。

¹⁷ 第 11/CP.25 号决定,第 9 段,以及第 5/CMA.2 号决定,第 9 段。

¹⁸ 第 11/CP.25 号决定,第 12 段,以及第 5/CMA.2 号决定,第 12 段。

¹⁹ 见 FCCC/CP/2019/10-FCCC/PA/CMA/2019/3 号文件,附件三,第一章。

²⁰ SCF 文件,SCF/2019/21/11,第 13 段。

²¹ 征集证据和提交材料的网址是:<https://unfccc.int/topics/climate-finance/workstreams/needs-report/repository-of-information-on-the-needs-of-developing-country-parties>。征集证据的截止日期延至 2020 年 10 月 30 日。

²² 网络研讨会的网址是:<https://unfccc.int/topics/climate-finance/workstreams/needs-report>。

22. 关于起草确定发展中国家缔约方与执行《公约》和《巴黎协定》相关需要的报告的技术工作，SCF 相关工作组举行了两次非正式会议(2020年4月2日和7月21日)，并邀请各方就草稿发表意见。随后，收到了书面意见，并已将其纳入草稿。SCF 22 和 23 编写报告时考虑了所开展的技术工作。

23. 该报告的工作是在 SCF 22 和 23 上并在联合召集人的指导下在闭会期间进行的。SCF 22 商定了报告的最新工作计划和经修订的报告指示性时间表，包括提议在 2021 年年中发布报告。²³ SCF 22 和 23 分别审议了报告的一稿和二稿，包括与术语、数据编排、信息和格式有关的问题，并就闭会期间的工作提供了指导。

24. SCF 23 商定在闭会期间继续技术工作，以期编写三稿，并启动与概要和建议要点草案有关的活动，供 SCF 24 审议。

C. 资金问题常设委员会论坛

25. COP 17²⁴ 授权 SCF 组织一个论坛，在处理气候融资的机构和实体之间进行沟通 and 持续交流信息，以促进联系和连贯性。此外，COP 要求 SCF 为私营部门、金融机构和学术界参与 SCF 论坛提供便利，并进一步加强其与利害关系方的接触。²⁵ COP 25 和 CMA 2 欢迎将为基于自然的解决方案提供资金作为下一届 SCF 论坛的主题。

26. 在 COP 25 之后的闭会期间，联合召集人 Fiona Gilbert 和 Mohamed Nasr 为下一届 SCF 论坛制定了一份方案纲要草案。

27. 2020 年 6 月 15 日至 7 月 31 日期间，向缔约方和观察员征集了投入，为论坛的筹备工作提供信息。收到了缔约方和其他利害关系方(包括非政府组织、政府间组织、联合国机构、多边和双边金融机构、智囊团和学术机构)提交的 42 份材料。这些材料提请注意一系列实质性问题，例如基于自然的解决方案的定义和原则、与里约三公约和 2020 年后全球生物多样性框架的协同作用、土著人民和传统知识的重要性以及私营部门的作用，并提及一些案例研究和正在开展的举措，介绍了推广行动以及为基于自然的项目提供资金的实例。这些投入可以在 SCF 论坛的网页上找到。²⁶

28. SCF 22 审议了论坛的日程草案以及日期和地点备选方案。举办面对面的论坛对于实现促进参与者之间的互动和有意义的参与的目标至关重要。鉴于在 2020 年举行国际面对面会议存在相当大的不确定性，并注意到与基于自然的解决方案有关的几项关键活动和《联合国气候变化框架公约》会议已推迟到 2021 年，SCF 商定将论坛推迟到 2021 年。

29. 联合召集人于 2020 年 11 月 5 日与 50 多名与会者(上文第 27 段所述已提交投入的利害关系方)举行了虚拟协商，以收集他们对论坛主题所涉关键实质性问题的反馈，包括基于自然的解决方案的定义、气候适应和减缓方面具有高潜力的基

²³ 见 SCF 22 报告，附件三，可查阅：

https://unfccc.int/sites/default/files/resource/Report%20of%20the%20meeting_SCF_22_Final.pdf。

²⁴ 根据第 2/CP.17 号决定，第 121 段(a)。

²⁵ 第 5/CP.18 号决定，第 4 段以及第 8/CP.23 号决定，第 14 段。

²⁶ <https://unfccc.int/topics/climate-finance/events-meetings/scf-forum/the-next-scf-forum-finance-for-nature-based-solutions>。

于自然的解决方案、基于自然的项目的主要利害关系方、与其他政府间进程的联系方式以及论坛的范围和形式。

30. 在 SCF 23 上, 联合召集人介绍了虚拟利害关系方协商的结果和 2021 年论坛筹备活动的时间表。

31. 在 SCF 24 之前的闭会期间, 联合召集人将进一步完善方案, 并继续评估论坛的可能地点。

D. 针对资金机制经营实体的指导意见草案

32. COP 17 授权 SCF 向 COP 提供针对资金机制经营实体的指导意见草案, 以期提高此类指导意见的一致性和实用性, 同时考虑到经营实体的年度报告以及缔约方提交的资料。²⁷ 此外, COP 21 决定, SCF 应按照 COP 确定的职能和责任, 为《巴黎协定》服务,²⁸ 这一点在 CMA 1.3 上得到了确认。²⁹

33. SCF 22 审议了绿色气候基金和全球环境基金 2020 年年度报告的发布时间(这两个基金的秘书处传达的时间), 以及编制指导意见草案所需开展的活动的活动时间表。SCF 商定于 2021 年向各经营实体提供指导意见草案, 及时提交供 COP 26 和 CMA 3 审议。SCF 还商定, 一旦发布绿色气候基金和全球环境基金的 2020 年年度报告, 将与缔约方和组成机构分享, 并提醒缔约方注意 COP 邀请³⁰ 其就编写指导意见草案时应考虑的内容向秘书处提交意见和建议。SCF 还商定将通知缔约方和组成机构, 可在 2020 年和/或 2021 年提交这些资料。

34. SCF 23 注意到绿色气候基金和全球环境基金 2020 年年度报告的提交情况, 并重申上文第 33 段提到的商定内容。

35. SCF 商定在 SCF 24 上继续讨论编制指导意见草案的格式和程序。它请联合召集人 Diann Black-Layne 和 Toru Sugio 编写一份工作计划, 并提供推进指导意见草案编写工作的备选方案, 供 SCF 24 审议。

E. 性别问题

36. COP 22 请《公约》之下所有组成机构在其定期报告中列入资料, 说明在将性别视角纳入各自工作进程方面取得的进展。³¹ 作为回应, 2020 年, SCF:

(a) 通过列入将各种来源(包括根据《公约》提交的国家报告和其他报告和研究的)的性别信息纳入气候资金跟踪和报告之中, 继续将性别考虑因素纳入第四次气候融资流量两年期评估和概览;

(b) 在为贡献者和专家举办的与第四次气候融资流量两年期评估和概览会议有关的两次非正式网络研讨会上强调了性别和气候融资主题(见上文第 16(c) 段)。一次网络研讨会包括一位特邀发言者就性别和气候融资问题所作的介绍发言;

²⁷ 根据第 2/CP.17 号决定, 第 121 段(c)。

²⁸ 第 1/CP.21 号决定, 第 63 段。

²⁹ 第 3/CMA.1 号决定, 第 6 段。

³⁰ 第 7/CP.20 号决定, 第 26 段。

³¹ 第 21/CP.22 号决定, 第 14 段。

(c) 审议了如何将现有报告中提供的关于发展中国家需要的按性别分列的信息纳入关于确定发展中国家缔约方与执行《公约》和《巴黎协定》有关的需求的第一份报告；

(d) 在下一届 SCF 论坛的方案草案中为讨论减缓和适应气候变化的基于性别的包容性办法提供了空间；

(e) 在其网络研讨会、利害关系方协商和在 2020 年《联合国气候变化框架公约》气候对话期间举行的最新进展情况介绍会上，努力在受邀嘉宾中实现性别平衡。

F. 与附属履行机构和《公约》之下各组成机构之间的联系

37. COP 21 请 SCF 继续加强与所有相关的利害关系方和《公约》之下各机构的接触。³² 在考虑到现有资源和工作模式的情况下，SCF 继续采取与其他组成机构保持联系的总体方针。³³

38. 通过分享其工作计划并向它们通报其各种工作流程下的工作，SCF 与咨询委员会、专家咨询小组、最不发达国家专家组、巴黎能力建设委员会、履行机构、附属科学技术咨询机构、技术执行委员会和华沙国际机制执行委员会保持联系。

39. SCF 任命了新的联络人并重新确认了现有联络人，代表 SCF 在《公约》和《巴黎协定》下的其他组成机构的专题领域下开展工作，即：

(a) Gabriela Blatter、Zaheer Fakir 和 Mohamed Nasr, 负责与适应有关的事项，包括代表 SCF 参加适应委员会国家适应计划工作组和适应问题技术审查进程工作组以及最不发达国家专家组的工作；

(b) Diann Black-Layne 和 Vicky Noens, 负责与技术有关的事项，包括代表 SCF 参加气候技术中心和网络咨询委员会的工作；

(c) Mattias Frumerie 和 Ismo Ulvila, 负责与能力建设有关的事项，包括代表 SCF 参加巴黎能力建设委员会的工作；

(d) Randy Caruso 和 Paul Herbert Oquist Kelley, 负责与损失和损害相关的事项，包括与华沙国际机制执行委员会联络；

(e) Eva Schreuder, 负责与性别有关的事项；

(f) Ivan Zambrana Flores, 负责森林筹资方面的一致性和协调。

40. 自上一次 SCF 报告以来，SCF 联络人和成员参加了以下会议和活动：

(a) 2020 年 6 月 22 日至 25 日以虚拟方式举行的巴黎能力建设委员会第 4 次会议，包括为建立非正式协调机制提供投入；

(b) 2020 年 8 月 17 日至 21 日以虚拟方式举行的最不发达国家专家组第 38 次会议，包括讨论国家适应计划的执行情况；

(c) 2020 年 11 月 9 日至 12 日以虚拟方式举行的适应委员会第 18 次会议，特别是关于适应委员会将为全球盘点编写的综合报告的讨论；

³² 第 6/CP.21 号决定，第 2 段。

³³ 根据第 8/CP.23 号决定，第 11 段。

(d) 2020年11月10日至12日举行的气候技术中心和网络咨询委员会虚拟会议，包括介绍SCF工作的最新情况；

(e) 联合国粮食及农业组织2020年5月13日组织的一次关于农业部门气候融资问题的网络研讨会，包括介绍国际气候融资架构和SCF的作用；

(f) 2020年9月举行的第十四届“基于社区的气候变化适应国际会议”，包括主持一节关于多边气候基金和多边发展基金的会议；

(g) 气候对话，包括积极代表SCF参加任务授权之内和之外的多项活动：

- (一) 绿色气候基金与组成机构举行的第5次年度会议；
- (二) 巴黎能力建设委员会的关于保持能力建设势头的活动；
- (三) 华沙国际机制执行委员会的一次活动；
- (四) 关于长期全球目标第二次定期审查的有结构的专家对话；
- (五) 海洋与气候变化对话；
- (六) 与组成机构的一次非正式对话。

G. 加强与利害关系方的接触

41. COP 25 和 CMA 2 注意到 SCF 关于加强与利害关系方接触的战略外联计划。³⁴ SCF 在 2020 年开展了几项活动，以期让广泛的利害关系方参与其工作流程，包括：

(a) 在第四次气候融资流量两年期评估和概览背景下举办了四次外联网络研讨会(见上文第 16(c)段)(约 350 人参加)；

(b) 在关于确定发展中国家缔约方与执行《公约》和《巴黎协定》相关的需求的第一份报告背景下举办了三次区域外联网络研讨会(约 230 人参加)和为贡献者和专家举办的关于编写报告的第四次网络研讨会(约 120 人参加)(见上文第 21 段)；

(c) 与对公众意见征集启事作出答复的利害关系方举行了虚拟协商，内容涉及为基于自然的解决方案提供资金问题 SCF 论坛(见上文第 29 段)；

(d) 气候对话期间关于 SCF 工作的最新进展情况虚拟介绍会(约 80 人参加)。SCF 联合主席和联合召集人就 SCF 工作计划的现状和各工作流程的进展情况进行了交流，并主持了一次互动小组会议，讨论 SCF 旗舰产品如何支持缔约方和非缔约方利害关系方的工作并为其提供信息。

³⁴ 第 11/CP.25 号决定，第 11 段，以及第 5/CMA.2 号决定，第 11 段。

Annex I

Members of the Standing Committee on Finance as at 31 December 2020

[English only]

I. Parties included in Annex I to the Convention

Gabriela Blatter (Switzerland)
Randy Caruso (United States of America)
Sergey Chestnoy (Russian Federation)
Delphine Eyraud (France)
Mattias Frumerie (Sweden)
Fiona Gilbert (Australia)
Vicky Noens (Belgium)
Eva Schreuder (Netherlands)
Toru Sugio (Japan)
Ismo Ulvila (European Union)

II. Parties not included in Annex I to the Convention

African States

Zaheer Fakir (South Africa)
Mohamed Nasr (Egypt)

Asia-Pacific States

Ali Waqas Malik (Pakistan)
Ayman Shasly (Saudi Arabia)

Latin American and Caribbean States

Paul Herbert Oquist Kelley (Nicaragua)
Ivan Zambrana Flores (Plurinational State of Bolivia)

Least developed countries

Zerihun Getu Mekuria (Ethiopia)

Small island developing States

Diann Black-Layne (Antigua and Barbuda)

Other Parties not included in Annex I to the Convention

Hussein Alfa Nafu (Mali)
Liucai Zhu (China)

Annex II

Revised indicative timeline and outreach plan for the preparation of the fourth (2020) Biennial Assessment and Overview of Climate Finance Flows

[English only]

Table II.1

Revised indicative timeline and milestones

Activities and deliverables	2019				2020				2021			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Phase I: developing and finalizing the outline												
Scope, structure and annotated outline												
Phase II: research and drafting												
Literature review												
Engagement with data providers, producers and aggregators		Collaborators meeting			Stakeholder webinar		Stakeholder webinars (to be confirmed)					
Open call for evidence period												
Phase III: developing the BA												
Developing the first- and second-order and final drafts of the technical report					Zero-order draft	First-order draft		Second-order draft	Final draft			
Working group discussions on draft chapters of the technical report												
SCF discussions on draft report							SCF 22	SCF 23 (to be confirmed)	SCF 24 (to be confirmed)	SCF 25 (to be confirmed)		
Drafting and finalizing the summary and recommendations									First-order draft	Final draft		

Activities and deliverables	2019				2020				2021			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Consolidating the final drafts of the technical report and the summary and recommendations												
Phase IV: publication												
Layout, design and production												
Development of web-based content												
Phase V: outreach and dissemination												
Website updates on the development of the report												
Updates at SCF side events at COP and subsidiary body sessions												
Meetings with constituted bodies (to be confirmed)												
Communication and promotion of the technical report and the summary and recommendations												
Webinars and launch event at COP 26												
Continued outreach												

Revised outreach plan

1. Outreach is an important component of the BA preparation process, particularly for collecting and reviewing data, addressing methodological issues, and obtaining inputs on work relevant to Article 2, paragraph 1(c), of the Paris Agreement.¹ Additionally, the SCF has identified the need to promote and disseminate the findings and recommendations from the fourth BA once it has been launched across multiple communication and engagement platforms.

A. Phase I of outreach: stakeholder engagement during report development

1. Call for evidence

2. The call for evidence² period was extended to 30 October 2020. Awareness-raising of the call included online news articles, social media posts and dissemination of the call through SCF networks.

2. Stakeholder engagement webinars

3. In the light of restrictions on meetings and travel throughout 2020, webinars offer an opportunity to engage with a broad group of stakeholders. One webinar was held in April 2020, attracting approximately 140 participants. For the remainder of 2020, three webinars are proposed, as described in paragraphs 4–12 below.³

(a) Webinar on improving reporting on climate finance inputs, impacts and results

4. This webinar will be open to stakeholders from public and private financial institutions, civil society and governments. The proposed agenda of the webinar will focus on gathering inputs in order to follow up on recommendations from the 2018 BA related to:

(a) Reporting on the impacts of climate finance (**recommendation (n)**): invite, as for the 2016 BA, multilateral climate funds, MDBs, other financial institutions and relevant international organizations to continue to advance work on tracking and reporting on the impacts of mitigation and adaptation finance);

(b) Reporting on ‘climate proofing’ and climate-resilience measures in climate finance (**recommendation (o)**): encourage all relevant United Nations agencies as well as international, regional and national financial institutions to provide information to Parties through the secretariat on how their development assistance and climate finance programmes incorporate ‘climate proofing’ and climate-resilience measures, in line with new available scientific information);

(c) Gender and climate finance (**recommendation (m)**): encourage climate finance providers to improve the tracking of and reporting on gender-related aspects of climate finance, impact measurement and mainstreaming);

(d) Domestic institutional capacities for programming climate action and tracking impacts (**recommendation (k)**): encourage developing countries to take advantage of available resources through the operating entities of the Financial Mechanism to strengthen institutional capacity for programming their priority climate actions as well as for tracking climate finance, effectiveness and impacts).

5. **Proposed date and time:** The webinar is planned to be held in the week of 5 October 2020, at 2–4 p.m. Central European Summer Time.

¹ As per decision 4/CP.24, para. 10.

² See https://unfccc.int/sites/default/files/resource/Call_for_evidence_2020BA_30Oct.pdf.

³ The webinar dates and details were tentative at the time of consideration by the SCF. See para. 16(c) of this document for the actual webinar dates, and for more information on the webinars see <https://cop23.unfccc.int/topics/climate-finance/resources/biennial-assessment-of-climate-finance>.

6. **Format:** Brief introductory remarks by the co-facilitators will be followed by three 40-minute sessions comprising presentations and discussions led by members of the climate finance community (climate funds, development finance institutions and civil society), with the opportunity for audience intervention. The first session will focus on measuring and reporting on impacts related to adaptation and climate resilience; the second on gender and climate finance; and the third on best practices for improving domestic institutional capacities.

(b) **Webinar on climate finance methodological issues, data availability, and quality and assessment of effectiveness**

7. This webinar will be open to stakeholders, partners, data providers, contributors and experts involved in the fourth BA. Its aim will be for them to provide (1) the latest information on data availability and methodologies related to climate finance and (2) the recipient perspective of the assessment of climate finance delivery and impacts.

8. **Proposed date and time:** The webinar is planned to be held in the week of 19 October 2020, at 2–3.30 p.m. Central European Summer Time.

9. **Format:** The webinar will provide an overview of each chapter of the fourth BA and the opportunity to gather feedback by chapter.

(c) **Webinar on Article 2, paragraph 1(c), of the Paris Agreement**

10. This webinar will be open to all stakeholders and enable them to provide input and feedback on their activities relevant to achieving the purpose and goals of the Paris Agreement that may be reflected in the fourth BA.

11. **Proposed date and time:** The webinar is planned to be held in the week of 9 November 2020, at 2–3.30 p.m. Central European Summer Time.

12. **Format:** The webinar is to be moderated by Nick Robins, with introductory remarks by the SCF co-facilitators providing background, and the participation of selected discussants from ministries of finance, central banks and the investor community.

3. **Informal outreach to stakeholders**

13. Informal outreach may consist of bilateral calls and meetings with stakeholders, including Parties and other bodies, on specific topics to gather information relevant to the preparation of the fourth BA or to improve the prospects for disseminating the BA outputs, once available, to target groups. The technical team conduct such activities as part of the research and information gathering process for drafting the technical report on the BA. In addition, organized calls with targeted groups of stakeholders may be arranged for feedback.

Proposed call on mapping information relevant to Article 2, paragraph 1(c), of the Paris Agreement

14. This call would provide an opportunity for finance experts and officials from ministries of finance and central banks as well as members of the investment community to exchange perspectives and provide the SCF with inputs on their activities relevant to achieving the goals of the Paris Agreement. The call is proposed to be held after the Annual Meetings of the International Monetary Fund and the World Bank Group, from which updates on the work of these two stakeholders may be forthcoming.

15. **Proposed date and time:** The webinar is planned to be held in the week of 26 October 2020, at 2–3.30 p.m. Central European Summer Time.

16. **Format:** The webinar is to be moderated by Nick Robins, with introductory remarks by the SCF co-facilitators providing background, and the participation of selected discussants from ministries of finance, central banks and the investor community.

4. **Technical expert meetings**

17. These meetings are organized as stand-alone technical expert workshops in collaboration with partners and/or in conjunction with the SCF. A stand-alone technical

expert workshop to address climate finance data availability and gaps as well as methodological issues would be organized in conjunction with the SCF meetings in 2021.

B. Phase II of outreach: report launch and dissemination

18. The second phase of outreach will focus on launching the report and disseminating its key findings and recommendations to a broad group of stakeholders through presentations at both in-person and virtual events as well as on social media and the UNFCCC website. A communications plan is to be developed by the co-facilitators with the UNFCCC communications team in the fourth quarter of 2020 to guide coordination of the report launch. The plan will cover:

(a) The selection of **events** with opportunities for presenting and launching the report (potential events in 2021 include those relevant to the UNFCCC and those listed in table II.2);

(b) The preparation of a **dissemination pack** comprising slides for presentations, talking points and quotes for social media for potential use by SCF members participating at various events in that capacity;

(c) The role of the BA web page on the **UNFCCC website**,⁴ which will feature interactive graphics and key messages from the report as well as a press release;

(d) The role of the UNFCCC **social media** channels, which will be used, in coordination with the Communications division, to disseminate the report findings and recommendations. SCF members will be encouraged to disseminate the report findings.

Table II.2

Possible list of international forums for consideration for representation

<i>Event</i>	<i>Dates</i>	<i>Place</i>
2020		
26 th GCF Board meeting	18–21 August	Virtual
Helsinki Principle 6 workshop on the role of ministers of finance in NDC development, review and implementation	19 August	Virtual
G20: 3 rd International Financial Architecture Working Group meeting	22–23 September	Seoul, Republic of Korea
7 th OECD Forum on Green Finance and Investment and OECD Research Collaborative workshop	6–9 October	Virtual
G20: 5 th Finance and Central Bank Deputies meeting	15 October	Washington, D.C., United States
G20: 4 th Finance Ministers and Central Bank Governors meeting	15–16 October	Washington, D.C., United States
75 th session of the United Nations General Assembly	15–30 September	New York, United States
Climate Week NYC 2020	21–27 September	Virtual
2020 Annual Meetings of the International Monetary Fund and the World Bank Group	12–18 October	Washington, D.C., United States, and virtual
United Nations Environment Programme Finance Initiative 16 th	13–14 October	Virtual

⁴ <https://unfccc.int/topics/climate-finance/resources/biennial-assessment-of-climate-finance>.

<i>Event</i>	<i>Dates</i>	<i>Place</i>
Global Roundtable (“Financing a resilient future”)		
14 th International Conference on Climate Change Economics	29–30 October	Paris, France
Finance in Common Summit for public development banks (during the Paris Peace Forum)	10–12 November	In-person and online sessions Paris, France
London Climate Action Week (second instalment)	14–20 November	London, United Kingdom
G20: Sherpas and Finance Deputies joint meeting	19 November	Riyadh, Saudi Arabia
15 th G20 Leaders’ Summit	21–22 November	Riyadh, Saudi Arabia
59 th meeting of the GEF Council and 29 th meeting of the LDCF/SCCF Council	7–11 December	Virtual
23 rd ASEAN, Japan, China and Korea (ASEAN+3) Finance Ministers’ and Central Bank Governors’ meeting	18 September	Virtual
2021		
Global Commission on Adaptation Climate Adaptation Summit	25 January	Virtual
International Monetary Fund and World Bank Spring Meetings	9–11 April	Washington, D.C., United States
15 th meeting of the Conference of the Parties to the Convention on Biological Diversity and related meetings	17–30 May	Kunming, China
Principles for Responsible Investment in Person 2021	14–16 September	Tokyo, Japan
2021 Annual Meetings of the International Monetary Fund and the World Bank Group, including the Annual Meeting of the Development Committee	15–17 October	Marrakech, Morocco
UNFCCC regional climate weeks	To be confirmed	To be confirmed

Annex III

Workplan of the Standing Committee on Finance for 2020–2021

<i>Activity</i>	<i>Outcomes/results</i>	<i>Time frame</i>
1. Mandated activities of the SCF under decision 2/CP.17, paragraph 121		
(a) Organize a forum for the communication and continued exchange of information among bodies and entities dealing with climate change finance in order to promote linkages and coherence	SCF Forum on finance for nature-based solutions	Pending adoption of the date and venue of the Forum by the SCF
	Continuous update and implementation of the SCF strategic outreach plan	Ongoing
	Established linkages and continued exchange with bodies and entities dealing with climate finance under and outside the Convention	Ongoing Forum outreach activities
Decision 1/CP.18, paragraph 70: implement the work programme of the SCF, including the creation of a climate finance forum that will enable all Parties and stakeholders to, inter alia, exchange ideas on scaling up climate finance		Ongoing
Decision 5/CP.18, paragraph 4: facilitate the participation of the private sector, financial institutions and academia in the Forum		Ongoing
Decision 8/CP.23, paragraph 12: ensure the value added of the Forum when deciding on the topic of each Forum; provide clear recommendations to the COP, as appropriate, regarding follow-up actions on the Forum; and enhance the dissemination, use and ownership of the accumulated knowledge and expertise gathered at the Forum	Continuous update and implementation of the SCF strategic outreach plan	Ongoing
	Established linkages and continued exchange with bodies and entities dealing with climate finance under and outside the Convention	
(b) Maintain linkages with the SBI and the constituted bodies of the Convention , including decision 8/CP.23, paragraph 11: further refine the approach to maintaining linkages with the subsidiary and constituted bodies according to resources available and in the context of existing working modalities	SCF Co-Chairs inform the presiding officers of the constituted bodies under the Convention about the activities of the SCF and establish working relationships	Annual
	Continuous update and implementation of the SCF strategic outreach plan	Ongoing
	Enhanced linkages with the SBI and the constituted bodies under the Convention	Ongoing

<i>Activity</i>	<i>Outcomes/results</i>	<i>Time frame</i>
(c) Provide the COP with draft guidance to the operating entities of the Financial Mechanism with a view to improving the consistency and practicality of such guidance, taking into account the annual reports of the operating entities and relevant submissions from Parties	Draft guidance provided to the COP and the CMA	COP 26
Decision 3/CMA.1, paragraph 8: prepare draft guidance for the entities entrusted with the operation of the Financial Mechanism, and on the LDCF and the SCCF, for consideration and adoption at CMA 2	Draft guidance for consideration by Parties	COP 26
Decisions 11/CP.25, paragraph 13, and 5/CMA.2, paragraph 13: consider inputs that may be provided by the WIM Executive Committee to the work of the SCF in preparing elements of draft guidance for the operating entities	Draft guidance for consideration by Parties	COP 26
(d) Make recommendations on how to improve the coherence, effectiveness and efficiency of the operating entities of the Financial Mechanism	Recommendations provided to the COP, as appropriate	Sessions of the COP
Functions of the SCF as per decision 1/CP.16, paragraph 112: rationalize the Financial Mechanism, including the undertaking of analyses and information exchanges	Recommendations provided to the COP, as appropriate Exchanges through the SCF Forum, as appropriate	Sessions of the COP Ongoing
(e) Prepare a biennial assessment and overview of climate finance flows, to include information on the geographical and thematic balances of such flows	Ongoing technical work for the fourth BA, including data collection and engagement with climate finance data producers and aggregators	Outcome at COP 26
Decision 3/CP.19, paragraph 11: in the context of the preparation of the BA, consider ongoing technical work on operational definitions of climate finance, including private finance mobilized by public interventions, to assess how adaptation and mitigation needs can most effectively be met by climate finance, and include the results in the annual report of the SCF to the COP		Ongoing
Decision 9/CP.21, paragraph 13: take into account the enhanced information provided by Parties included in Annex II to the Convention referred to in paragraph 6 of decision 9/CP.21 in the BA		Ongoing
Decision 4/CP.24, paragraph 5: use the established terminology in the provisions of the Convention and the Paris Agreement in relation to climate finance, where applicable		Ongoing
Decision 4/CP.24, paragraph 10: map, every four years, as part of the BA, the available information relevant to Article 2, paragraph 1(c) of the Paris Agreement, including its reference to Article 9 thereof		Ongoing
Decision 19/CMA.1, paragraph 24: prepare synthesis reports for the technical assessment of the global stocktake		Ongoing

<i>Activity</i>	<i>Outcomes/results</i>	<i>Time frame</i>
Decisions 11/CP.25, paragraph 10, and 5/CMA.2, paragraph 10: consider the views of Parties on the operational definitions of climate finance in order to enhance technical work in the context of preparing the fourth BA		Call for submissions by 30 April 2020
Decisions 11/CP.25, paragraph 9, and 5/CMA.2, paragraph 9: present, to the extent possible, disaggregated information in relation to, inter alia, mapping data availability and gaps by sector, assessing climate finance flows and presenting information on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement		Ongoing
2. Determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement		
Decision 4/CP.24, paragraph 13: prepare, every four years, a report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement for consideration starting at COP 26 and CMA 3	Ongoing technical work for preparation of the report	Outcome at COP 26
Decision 4/CP.24, paragraph 14: collaborate, as appropriate, with the operating entities of the Financial Mechanism, the subsidiary and constituted bodies, multilateral and bilateral channels, and observer organizations		Ongoing
Decisions 11/CP.25, paragraph 9, and 5/CMA.2, paragraph 9: present, to the extent possible, disaggregated information in relation to, inter alia, mapping data availability and gaps by sector, assessing climate finance flows and presenting information on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement		Ongoing
Decisions 11/CP.25, paragraph 12, and 5/CMA.2, paragraph 12: in implementing the strategic outreach plan, build on existing efforts to reach out to developing country Parties and relevant developing country stakeholders when generating data and information for the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement		Ongoing
3. Additional SCF mandates: general		
Decision 1/CP.21, paragraph 63: serve the Paris Agreement in line with its functions and responsibilities established under the COP		Ongoing
Decision 6/CP.21, paragraph 2: continue to strengthen engagement with all relevant stakeholders and bodies under the Convention	Continuous update and implementation of the SCF strategic outreach plan	Ongoing

<i>Activity</i>	<i>Outcomes/results</i>	<i>Time frame</i>
	Enhanced linkages with the SBI and the constituted bodies under the Convention	Ongoing
Decision 8/CP.23, paragraph 14: further strengthen stakeholder engagement	Enhanced stakeholder engagement	Ongoing
4. Additional SCF mandates: gender		
Decision 21/CP.22, paragraph 14: all constituted bodies under the UNFCCC process to include in their regular reports information on progress towards integrating a gender perspective into their processes according to the entry points identified in the technical paper referred to in paragraph 13 of decision 21/CP.22	Integration of a gender perspective into SCF processes according to the entry points identified in the technical paper	Ongoing
Decisions 11/CP.25, paragraph 14, and 5/CMA.2, paragraph 14: continue to enhance efforts towards ensuring gender-responsiveness in implementing the workplan	Thematic integration into the SCF Forum on finance for nature-based solutions, the BA and the report on determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement	Ongoing
5. Additional SCF mandates: forests		
Decision 7/CP.19, paragraph 11: consider in the work on coherence and coordination, inter alia, the issue of financing for forests, taking into account different policy approaches	Financing for forest-related considerations integrated into existing workplan, where appropriate, and work on this matter continued in the context of the overall issue of improving coherence and coordination in the delivery of climate change financing Thematic integration into the SCF Forum on finance for nature-based solutions	Pending adoption of the date and venue of the SCF Forum
Decision 8/CP.22, paragraph 10: integrate financing for forest-related considerations into the workplan, where appropriate, and continue work on this matter in the context of the overall issue of improving coherence and coordination in the delivery of climate change financing, taking into account all relevant decisions on forests	Financing for forest-related considerations integrated into existing workplan, where appropriate, and work on this matter continued in the context of the overall issue of improving coherence and coordination in the delivery of climate change financing Thematic integration into the SCF Forum on finance for nature-based solutions	Pending adoption of the date and venue of the SCF Forum