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Conference of the Parties serving as the meeting of the Parties to the Paris Agreement

Report of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on its third session, held in Glasgow from 31 October to 13 November 2021

Part one: Proceedings

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Abbreviations and acronyms

AOSIS Alliance of Small Island States

CMA Conference of the Parties serving as the meeting of the Parties to the Paris

Agreement

CMP Conference of the Parties serving as the meeting of the Parties to the

Kyoto Protocol

COP Conference of the Parties

SBI Subsidiary Body for Implementation

SBSTA Subsidiary Body for Scientific and Technological Advice

I. Opening of the session

(Agenda item 1)

1. CMA 3, convened pursuant to Article 16, paragraph 6, of the Paris Agreement, was held at the Scottish Event Campus in Glasgow, United Kingdom of Great Britain and Northern Ireland, from 31 October to 13 November 2021. Alok Sharma (United Kingdom), President of CMA 3, opened the session on Sunday, 31 October.

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda sub-item 2(a))

- 2. At its 1st meeting,² on 31 October, the CMA considered document FCCC/PA/CMA/2021/1/Add.2, containing the provisional agenda.³ The President noted that the document reflected the outcome of intensive consultations and thanked all Parties for their constructive engagement. The President recalled the understanding reached among Parties outlined at the 1st plenary meeting of COP 26.⁴
- 3. The President proposed to hold Presidency consultations on the proposal from Gabon on behalf of the African Group entitled "Special needs and special circumstances of Africa" and the proposal from Switzerland on behalf of the Environmental Integrity Group entitled "Reporting and review pursuant to Article 13 of the Paris Agreement: provision of financial and capacity-building support to developing countries".
- 4. The President then proposed that the agenda be adopted as contained in document FCCC/PA/CMA/2021/1/Add.2.
- 5. On the proposal by the President, the CMA adopted the agenda as follows:
 - 1. Opening of the session.
 - 2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Election of additional officers;
 - (c) Organization of work, including for the sessions of the subsidiary bodies;
 - (d) Approval of the report on credentials;
 - (e) Status of ratification of the Paris Agreement.
 - 3. Reports of the subsidiary bodies:

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¹ CMA 3 was held in conjunction with COP 26 and CMP 16. The proceedings of the COP and the CMP are contained in documents FCCC/CP/2021/12 and FCCC/KP/CMP/2021/8, respectively. The proceedings of the joint meetings of the COP, the CMP and the CMA convened at the sessions are contained in the report of the COP and cross-referenced in the reports of the CMP and the CMA.

² Meetings of the CMA referred to in this report are plenary meetings.

On 3 September 2021, the secretariat issued document FCCC/PA/CMA/2021/1, containing the provisional agenda and annotations, prepared in agreement with the President of CMA 2 after consultation with the Bureau. On 27 October 2021, the secretariat issued document FCCC/PA/CMA/2021/1/Add.1, containing the supplementary provisional agenda. Following extensive consultations during the pre-sessional week, the secretariat, in agreement with the President of CMA 2 and in consultation with the incoming President of CMA 3, issued document FCCC/PA/CMA/2021/1/Add.2, containing the provisional agenda, which was presented by the President of CMA 3 for consideration and adoption by the CMA.

⁴ See document FCCC/CP/2021/12, para. 7.

- (a) Report of the Subsidiary Body for Scientific and Technological Advice;
- (b) Report of the Subsidiary Body for Implementation.
- 4. Matters relating to adaptation:
 - (a) Reports of the Adaptation Committee (for 2019 and 2020);
 - (b) Report of the Adaptation Committee (2021) and the work on the global goal on adaptation.
- 5. Methodological issues relating to the enhanced transparency framework for action and support referred to in Article 13 of the Paris Agreement.
- 6. Public registries under the Paris Agreement:
 - (a) Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement;
 - (b) Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement.
- Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.⁵
- 8. Matters relating to finance:
 - (a) Matters relating to the Standing Committee on Finance;⁶
 - (b) Guidance to the Green Climate Fund;
 - (c) Guidance to the Global Environment Facility;
 - (d) Matters relating to the Adaptation Fund;
 - (e) New collective quantified goal on climate finance;
 - (f) Compilation and synthesis of, and summary report on the in-session workshop on, biennial communications of information related to Article 9, paragraph 5, of the Paris Agreement.
- 9. Development and transfer of technologies:
 - (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021);
 - (b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21;
 - (c) First periodic assessment referred to in paragraph 69 of decision 1/CP.21.
- 10. Capacity-building under the Paris Agreement.
- 11. Report of the forum on the impact of the implementation of response measures.
- 12. Matters relating to Article 6 of the Paris Agreement:
 - (a) Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement;
 - (b) Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement;
 - (c) Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement.

Neither the inclusion of this item in the agenda nor the annotations to it prejudge outcomes on matters related to the governance of the Warsaw International Mechanism.

⁶ Recognizing the proposal received from Gabon on behalf of the African Group on 17 August 2021, available at https://unfccc.int/documents/302688.

- 13. Report of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement (for 2020 and 2021).
- 14. Administrative, financial and institutional matters:
 - (a) Audit report and financial statements for 2019 and 2020;
 - (b) Budget performance for the bienniums 2018–2019 and 2020–2021;
 - (c) Programme budget for the biennium 2022–2023.
- 15. High-level segment:
 - (a) Statements by Parties;
 - (b) Statements by observer organizations.
- 16. Other matters.
- 17. Conclusion of the session:
 - (a) Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on its third session;
 - (b) Closure of the session.
- 6. Representatives of two Parties made statements.⁷ One Party asked that its statement be noted in the report on the session.⁸
- 7. The President informed the CMA that he would appoint senior members of his delegation to facilitate joint consultations on agenda item 7, "Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts", and the equally titled COP 26 agenda item 7, and agenda sub-item 8(a), "Matters relating to the Standing Committee on Finance", and the equally titled COP 26 agenda sub-item 8(b).

B. Election of additional officers

(Agenda sub-item 2(b))

- 8. The CMA considered this matter at its 1st meeting and at its resumed 12th meeting, on 13 November. No election of additional officers was required.⁹
- 9. At its resumed 12th meeting, the President informed Parties that nominations for elections to bodies under the Paris Agreement were outstanding and he urged constituencies to continue consultations and submit the outstanding nominations to the secretariat as soon as possible and by 28 February 2022 at the latest. Once the nominations have been received by the secretariat, the nominees will be deemed elected at CMA 3 in accordance with established practice.
- 10. The President invited regional groups and constituencies to consult and transmit the nominations for members and alternate members of the Supervisory Body under Article 6, paragraph 4, of the Paris Agreement as soon as possible and by the deadline of 28 February 2022. Once the nominations have been received by the secretariat, the nominees will be deemed elected at CMA 3 in accordance with established practice.

⁷ The statements can be heard at https://unfccc-cop26.streamworld.de/webcast/opening-plenary-of-the-cop-followed-by-cmp-and-cma (starting at 2:25:29).

⁸ The statement of the Bolivarian Republic of Venezuela can be heard at https://unfccc-cop26.streamworld.de/webcast/opening-plenary-of-the-cop-followed-by-cmp-and-cma (starting at 2:25:29).

⁹ See document FCCC/CP/2021/12, para. 16, for a list of the members of the Bureau of COP 26.

C. Organization of work, including for the sessions of the subsidiary bodies

(Agenda sub-item 2(c))

1. Referral of work to the subsidiary bodies and organization of the Conference

- 11. At the 1st meeting, the President proposed that the CMA follow the organization of work agreed by the COP.¹⁰
- 12. The President informed Parties that the CMA would take appropriate action on the basis of recommendations of the SBSTA and the SBI on the following items on its agenda:

<u>Subsidiary Body for Scientific and Technological Advice and Subsidiary Body for Implementation</u>

Sub-item 4(a) Reports of the Adaptation Committee (for 2019 and 2020)

Sub-item 4(b) Report of the Adaptation Committee (2021) and the work on the

global goal on adaptation

Item 7 Warsaw International Mechanism for Loss and Damage associated

with Climate Change Impacts

Sub-item 9(a) Joint annual report of the Technology Executive Committee and the

Climate Technology Centre and Network (for 2020 and 2021)

Item 11 Report of the forum on the impact of the implementation of response

measures

Subsidiary Body for Scientific and Technological Advice

Item 5 Methodological issues relating to the enhanced transparency

framework for action and support referred to in Article 13 of the Paris

Agreement

Item 12 Matters relating to Article 6 of the Paris Agreement

Subsidiary Body for Implementation

Sub-item 9(b) Alignment between processes pertaining to the review of the Climate

Technology Centre and Network and the periodic assessment referred

to in paragraph 69 of decision 1/CP.21

Item 10 Capacity-building under the Paris Agreement

Item 14 Administrative, financial and institutional matters

- 13. With regard to agenda item 12, "Matters relating to Article 6 of the Paris Agreement", the President noted that some aspects may overlap with those under CMP 16 agenda item 5, "Matters relating to the clean development mechanism".
- 14. The President recalled that the secretariat had prepared document FCCC/PA/CMA/2021/8 and Add.1–3 and Rev.1 on nationally determined contributions in response to the mandates contained in paragraph 25 of decision 1/CP.21 and paragraph 10 of decision 1/CMA.2. A Party sought clarification on the document and any envisioned follow-up.¹¹
- 15. The President informed Parties that on Thursday, 11 November, and Friday, 12 November, the CMA would reconvene to consider draft decisions and conclusions for adoption.
- 16. The CMA agreed to proceed on the basis of the proposals by the President.

¹⁰ See document FCCC/CP/2021/12, paras. 23–27.

The clarification can be heard at https://unfccc-cop26.streamworld.de/webcast/opening-plenary-of-the-cop-followed-by-cmp-and-cma (starting at 2:36:30).

2. Opening statements

17. On 31 October, a joint plenary of the CMA, COP 26, CMP 16, SBSTA 52–55 and SBI 52–55 was held to hear opening statements.¹²

3. Informal stocktaking

- 18. During the Conference, the President convened five informal stocktaking plenaries, on 8, 10, 11, 12 and 13 November, 13 with the aim of enhancing the openness, inclusiveness and transparency of the negotiations. During the informal plenaries, the President provided an assessment of progress and shared his plans for advancing work in order to reach successful outcomes.
- 19. At the first informal stocktaking plenary, the President stated that he would appoint ministers and senior members of his delegation to assist him in facilitating discussions on the matters that had been forwarded by the subsidiary bodies to the governing bodies for further guidance and on specific issues on the agendas of the governing bodies.
- 20. Consequently, the President appointed the following ministers during the second week of the Conference:
- (a) Espen Barth Eide (Norway) and Grace Fu (Singapore) on Article 6 of the Paris Agreement;
- (b) Jeanne d'Arc Mujawamariya (Rwanda) and Simonetta Sommaruga (Switzerland) on common time frames for nationally determined contributions;
- (c) Molwyn Joseph (Antigua and Barbuda) and James Shaw (New Zealand) on the enhanced transparency framework;
 - (d) Teresa Ribera (Spain) and Aminath Shauna (Maldives) on adaptation;
- (e) Dan Jørgensen (Denmark) and Simon Stiell (Grenada) on mitigation and keeping 1.5 $^{\circ}\mathrm{C}$ within reach;
- (f) Pearnel P. Charles Jr. (Jamaica) and Carole Dieschbourg (Luxembourg) on loss and damage;
 - (g) Per Bolund (Sweden) and Yasmine Fouad (Egypt) on finance;
 - (h) Andrea Meza (Costa Rica) on ensuring coherence across interlinked issues.

4. Conclusion of work

- 21. At the 12th meeting, on 13 November, the President expressed his appreciation for the work accomplished and the willingness of Parties to work constructively to reach consensus on an unprecedented agenda. The President also expressed his appreciation to the ministers who facilitated consultations on his behalf.
- 22. On a proposal by the President, the CMA adopted decision 1/CMA.3, entitled "Glasgow Climate Pact", as orally revised.
- 23. A representative of a Party speaking on behalf of AOSIS requested that the President acknowledge the position of the Group of 77 and China on loss and damage and record the statement in the report on the session. ¹⁴ The President took note of the statement.

¹² See document FCCC/CP/2021/12, paras. 28–29.

See https://unfccc-cop26.streamworld.de/webcast/informal-stocktaking-plenary-by-the-president-2, https://unfccc-cop26.streamworld.de/webcast/informal-stocktaking-plenary-by-the-president-3, https://unfccc-cop26.streamworld.de/webcast/informal-stocktaking-plenary-by-the-president-4 and https://unfccc-cop26.streamworld.de/webcast/informal-stocktaking-plenary-by-the-president-4 and https://unfccc-cop26.streamworld.de/webcast/informal-stocktaking-plenary-by-the-president-4 and https://unfccc-cop26.streamworld.de/webcast/informal-stocktaking-plenary-by-the-president-6, respectively.

The statement by the representative speaking on behalf of AOSIS can be heard at https://unfccc-cop26.streamworld.de/webcast/closing-plenary-of-the-cop-followed-by-cmp-and-c-4 (starting at 0:22:14).

- 24. A representative of another Party made a statement. The President took note of the statement. 15
- 25. At the resumed 12th meeting, the President recalled that he had appointed John Murton, a senior member of his delegation and COP 26 Envoy, to carry out informal consultations during the session on the special needs and special circumstances of Africa.
- 26. The President informed the CMA that during the consultations, which were undertaken under the initiative and responsibility of the President, many Parties and groups of Parties, including the African Group, the Independent Association for Latin America and the Caribbean, and AOSIS, elaborated on their own vulnerability to the adverse effects of climate change. The President also informed the CMA that no consensus had been reached during the consultations.

D. Approval of the report on credentials

(Agenda sub-item 2(d))

- 27. At the 10th meeting, on 11 November, the President referred to the report on credentials, ¹⁶ which the Bureau, in accordance with rule 20 of the draft rules of procedure being applied, had examined and approved.
- 28. On the basis of the report of the Bureau and additional credentials presented, the CMA accepted the credentials of Parties attending the session.¹⁷ In addition, the secretariat received credentials from Antigua and Barbuda on 15 November 2021, Tonga on 30 November 2021, Costa Rica on 1 December 2021 and Dominica on 14 January 2022.

E. Status of ratification of the Paris Agreement

(Agenda sub-item 2(e))

- 29. At the 1st meeting, the President informed the CMA that, as at 30 October 2021, 192 Parties to the Convention had ratified the Paris Agreement. The most recent ratification had been received from Turkey on 11 October 2021, and it would become a Party to the Paris Agreement on 10 November 2021.
- 30. At the 10th meeting, the President informed the CMA that, as at 11 November 2021, 193 Parties to the Convention had ratified the Paris Agreement. He congratulated Turkey for becoming a Party to the Paris Agreement. He informed the CMA that a ratification had been received from Iraq on 1 November 2021, and it would become a Party to the Paris Agreement on 1 December 2021.
- 31. On proposals by the President at the 1st and 10th meetings, the CMA took note of the status of ratification of the Paris Agreement and invited Parties to the Convention that had not yet ratified the Paris Agreement to expedite their ratification.

F. Attendance

- 32. Information on participation in the sessions in Glasgow is available in document FCCC/CP/2021/INF.3.
- 33. According to decision 2/CMA.1, the admission of organizations as observers as decided by the COP also applies to the CMA. Observer organizations newly admitted to the sessions of the COP, the CMP and the CMA are listed in document FCCC/CP/2021/7.¹⁸

The statement can be heard at https://unfccc-cop26.streamworld.de/webcast/closing-plenary-of-the-cop-followed-by-cmp-and-c-4 (starting at 0:24:04).

¹⁶ FCCC/CP/2021/11–FCCC/KP/CMP/2021/7–FCCC/PA/CMA/2021/9.

For a list of additional credentials presented after the issuance of the credentials report, see document FCCC/CP/2021/12, para. 44.

¹⁸ For the complete proceedings related to the COP agenda sub-item on the admission of organizations as observers, see document FCCC/CP/2021/12, paras. 18–19.

G. Documentation

34. The documents that were before CMA 3 are available on the UNFCCC website. 19

III. Reports of the subsidiary bodies

(Agenda item 3)

A. Report of the Subsidiary Body for Scientific and Technological Advice

(Agenda sub-item 3(a))

- 35. At the 10th meeting, the SBSTA Vice-Chair, Kakhaberi Mdivani (Georgia), introduced the draft report on SBSTA 52–55²⁰ and gave an oral report on the results of the session.²¹
- 36. On a proposal by the President, the CMA took note of the draft report and the oral report. The President expressed his appreciation to the SBSTA Chair, Tosi Mpanu-Mpanu (Democratic Republic of the Congo), for guiding the work of the SBSTA over the past two years and for his collaboration and support during the Presidency consultations in the lead-up to and during the Conference.²²

B. Report of the Subsidiary Body for Implementation

(Agenda sub-item 3(b))

- 37. At the 10th meeting, the SBI Chair, Marianne Karlsen (Norway), introduced the draft report on SBI 52–55²³ and gave an oral report on the results of the session.²⁴
- 38. On a proposal by the President, the CMA took note of the draft report and the oral report. The President expressed his appreciation to Ms. Karlsen for guiding the work of the SBI over the past two years and for her collaboration and support during the Presidency consultations in the lead-up to and during the Conference.
- 39. On a recommendation of the SBI,²⁵ the CMA adopted decision 22/CMA.3, entitled "Glasgow work programme on Action for Climate Empowerment".²⁶
- 40. At the 12th meeting, the President recalled that the matter of common time frames for nationally determined contributions was on the agenda of the SBI.²⁷ The President thanked the SBI Chair for forwarding the outcome of its work, and stated that he had undertaken further consultations.
- 41. On a proposal by the President, the CMA adopted decision 6/CMA.3, entitled "Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement".

¹⁹ https://unfccc.int/event/cma-3.

²⁰ FCCC/SBSTA/2021/L.1.

²¹ See https://unfccc-cop26.streamworld.de/webcast/closing-plenary-of-the-cop-followed-by-cmp-and-c-2 (starting at 1:08:06).

²² Recommendations of the SBSTA are reflected in this report under the relevant agenda items.

²³ FCCC/SBI/2021/L.1.

See https://unfccc-cop26.streamworld.de/webcast/closing-plenary-of-the-cop-followed-by-cmp-and-c-2 (starting at 1:19:48).

²⁵ Other recommendations of the SBI are reflected in this report under the relevant agenda items.

²⁶ See document FCCC/SBI/2021/16, para. 94.

²⁷ SBI 52–55 agenda item 5, "Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement".

IV. Matters relating to adaptation

(Agenda item 4)

A. Reports of the Adaptation Committee (for 2019 and 2020)

(Agenda sub-item 4(a))

B. Report of the Adaptation Committee (2021) and the work on the global goal on adaptation

(Agenda sub-item 4(b))

- 42. At its resumed 12^{th} meeting, the CMA noted that these matters were on the agendas of the SBSTA and the SBI.²⁸
- 43. The President thanked the SBSTA and SBI Chairs for forwarding the outcome of their work,²⁹ and stated that he had undertaken further consultations.
- 44. On a proposal by the President, the CMA adopted:
- (a) Decision 7/CMA.3, entitled "Glasgow-Sharm el-Sheikh work programme on the global goal on adaptation";
- (b) Decision 8/CMA.3, entitled "Report of the Adaptation Committee for 2019, 2020 and 2021".
- 45. Statements were made by representatives of two Parties offering to host workshops under the Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation.³⁰

V. Methodological issues relating to the enhanced transparency framework for action and support referred to in Article 13 of the Paris Agreement

(Agenda item 5)

- 46. At its 12th meeting, the CMA noted that this matter was referred to the SBSTA.³¹
- 47. The President thanked the SBSTA Chair for forwarding the outcome of its work,³² and stated that he had undertaken further consultations.
- 48. On a proposal by the President, the CMA adopted decision 5/CMA.3, entitled "Guidance for operationalizing the modalities, procedures and guidelines for the enhanced transparency framework referred to in Article 13 of the Paris Agreement", as orally revised.

²⁸ SBSTA 52–55 agenda item 4 and SBI 52–55 agenda item 9, "Report of the Adaptation Committee (for 2019, 2020 and 2021)".

²⁹ See documents FCCC/SBSTA/2021/3, paras. 35–36, and FCCC/SBI/2021/16, paras. 55–56.

The statements can be heard at https://unfccc-cop26.streamworld.de/webcast/closing-plenary-of-the-cop-followed-by-cmp-and-c-4 (starting at 0:40:41).

³¹ Under SBSTA 52–55 agenda item 14, "Methodological issues under the Paris Agreement".

³² See document FCCC/SBSTA/2021/3, para. 91.

VI. Public registries under the Paris Agreement

(Agenda item 6)

A. Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

(Agenda sub-item 6(a))

- 49. At the 1st meeting, the President recalled that CMA 1 had adopted the modalities and procedures for the operation and use of the public registry referred to in Article 4, paragraph 12, of the Paris Agreement.³³
- 50. The President invited the CMA to consider and conclude whether the prototype of the public registry developed by the secretariat conforms to those modalities and procedures.
- 51. On a proposal by the President, the CMA established a contact group on the matter co-chaired by Emily Massawa (Kenya) and Peter Wittoeck (Belgium).
- 52. At its 11th meeting, on 12 November, on a proposal by the President, the CMA adopted decision 20/CMA.3, entitled "Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement".

B. Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement

(Agenda sub-item 6(b))

- 53. At the 1st meeting, the President recalled that CMA 1 had decided to establish the public registry for adaptation communications referred to in Article 7, paragraph 12, of the Paris Agreement to contain adaptation communications submitted by Parties in accordance with Article 7, paragraph 11, of the Paris Agreement and to adopt the modalities and procedures for the operation and use of the public registry.³⁴
- 54. The President invited the CMA to consider and conclude whether the prototype of the public registry developed by the secretariat in accordance with those modalities and procedures shall serve as the public registry referred to in Article 7, paragraph 12, of the Paris Agreement.
- 55. On a proposal by the President, the CMA established a contact group on the matter co-chaired by Ms. Massawa (Kenya) and Mr. Wittoeck (Belgium).
- 56. At its 11th meeting, the CMA adopted decision 21/CMA.3, entitled "Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement".

VII. Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

(Agenda item 7)

57. At its 1st meeting, on a proposal by the President, the CMA agreed the Presidency would hold consultations under this agenda item and the equally titled COP 26 agenda item 7. The CMA noted that this matter was on the agendas of the SBSTA and the SBI.³⁵

³³ Decision 5/CMA.1.

³⁴ Decision 10/CMA.1.

SBSTA 52–55 agenda item 5, "Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (for 2020 and 2021) and SBI 52–55 agenda item 10, "Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (for 2020 and 2021).

- 58. At the resumed 12th meeting, the President thanked the SBSTA and SBI Chairs for forwarding the outcome of their work,³⁶ and stated that he had undertaken further consultations.
- 59. On a proposal by the President, the CMA adopted decision 19/CMA.3, entitled "Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts".
- 60. At the same meeting, on a proposal by the President, the CMA elected the following members to the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts:

Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

Parties included in Annex I to the

Maxine Burkett (United States of America)

Convention

Maude Dufort-Labbe (Canada) Frode Neergaard (Denmark)

Evgeniy Sokolov (Russian Federation) Gemma Tanner (United Kingdom)

Parties not included in Annex I to the Faisal Al Swied (Saudi Arabia)

Convention

Igor Tola Kogadou (Central African Republic)

igor Tota Kogadou (Central African Keput

Angela Rivera (Colombia)

Camila Rodriguez (Dominican Republic)

Le-Anne Roper (Jamaica)

VIII. Matters relating to finance

(Agenda item 8)

A. Matters relating to the Standing Committee on Finance

(Agenda sub-item 8(a))

- 61. At its 6th meeting, on 2 November, on a proposal by the President, the CMA agreed to consider these matters in the contact group established under the equally titled COP 26 agenda sub-item 8(b),³⁷ co-chaired by Gard Lindseth (Norway) and Richard Muyungi (United Republic of Tanzania).
- 62. At its resumed 12th meeting, on a proposal by the President, the CMA adopted decision 10/CMA.3, entitled "Matters relating to the Standing Committee on Finance".
- 63. At the same meeting, the President recalled that consultations with groups and Parties on the matter of the review of the functions of the Standing Committee on Finance were undertaken under his authority. The President informed the CMA that Parties could not conclude consideration of the matter and that discussions will continue at CMA 4 (November 2022).

B. Guidance to the Green Climate Fund

(Agenda sub-item 8(b))

64. At the 1st meeting, the President invited the CMA to provide guidance to the Green Climate Fund on policies, programme priorities and eligibility criteria related to the Paris Agreement, to be transmitted by the COP.³⁸

³⁶ See documents FCCC/SBSTA/2021/3, para. 38, and FCCC/SBI/2021/16, para. 57.

³⁷ Recognizing the proposal received from Gabon on behalf of the African Group on 17 August 2021.

³⁸ Relevant information is contained in documents FCCC/CP/2020/5, FCCC/CP/2021/8 and FCCC/CP/2021/10/Add.3–FCCC/PA/CMA/2021/7/Add.3.

- 65. On a proposal by the President, this matter was considered by the contact group established under COP 26 agenda sub-items 8(c), "Report of the Green Climate Fund to the Conference of the Parties and guidance to the Green Climate Fund (for 2020 and 2021)", and 8(d), "Report of the Global Environment Facility to the Conference of the Parties and guidance to the Global Environment Facility (for 2020 and 2021)", co-chaired by Diann Black-Layne (Antigua and Barbuda) and Toru Sugio (Japan).
- 66. At its resumed 12th meeting, on a proposal by the President, the CMA adopted decision 11/CMA.3, entitled "Guidance to the Green Climate Fund".

C. Guidance to the Global Environment Facility

(Agenda sub-item 8(c))

- 67. At the 1st meeting, the President invited the CMA to provide guidance to the Global Environment Facility on policies, programme priorities and eligibility criteria related to the Paris Agreement, to be transmitted by the COP.³⁹
- 68. On a proposal by the President, this matter was considered by the contact group referred to in paragraph 65 above.
- 69. At its resumed 12th meeting, on a proposal by the President, the CMA adopted decision 12/CMA.3, entitled "Guidance to the Global Environment Facility", as orally revised.

D. Matters relating to the Adaptation Fund

(Agenda sub-item 8(d))

- 70. At the 1st meeting, the President invited the CMA to provide guidance to the Adaptation Fund Board and to consider any recommendations of the CMP.⁴⁰
- 71. On a proposal by the President, these matters were considered by the contact group established under the equally titled CMP 16 agenda item 7, co-chaired by Claudia Keller (Germany) and Ali Waqas Malik (Pakistan).
- 72. At its resumed 12th meeting, on a proposal by the President, the CMA adopted decision 13/CMA.3, entitled "Matters relating to the Adaptation Fund".

E. New collective quantified goal on climate finance

(Agenda sub-item 8(e))

- 73. At the 1st meeting, the President invited the CMA to initiate deliberations on the new collective quantified goal.
- 74. On a proposal by the President, the CMA established a contact group on this matter co-chaired by Zaheer Fakir (South Africa) and Outi Honkatukia (Finland).
- 75. At its resumed 12th meeting, on a proposal by the President, the CMA adopted decision 9/CMA.3, entitled "New collective quantified goal on climate finance".

Relevant information is contained in documents FCCC/CP/2020/1 and Add.1, FCCC/CP/2021/9 and Add.1 and FCCC/CP/2021/10/Add.3–FCCC/PA/CMA/2021/7/Add.3.

⁴⁰ Relevant information is contained in documents FCCC/KP/CMP/2020/2–FCCC/PA/CMA/2020/2 and FCCC/KP/CMP/2021/2–FCCC/PA/CMA/2021/4 and Add.1.

F. Compilation and synthesis of, and summary report on the in-session workshop on, biennial communications of information related to Article 9, paragraph 5, of the Paris Agreement

(Agenda sub-item 8(f))

- 76. At the 1st meeting, the President invited the CMA to consider the compilation and synthesis of first biennial communications and the summary report on the 2021 in-session workshop.⁴¹
- 77. On a proposal by the President, this matter was considered by the contact group established under the equally titled COP 26 agenda sub-item 8(f), co-chaired by Georg Børsting (Norway) and Carlos Fuller (Belize).
- 78. At its resumed 12th meeting, on a proposal by the President, the CMA adopted decision 14/CMA.3, entitled "Compilation and synthesis of, and summary report on the in-session workshop on, biennial communications of information related to Article 9, paragraph 5, of the Paris Agreement".

IX. Development and transfer of technologies

(Agenda item 9)

A. Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)

(Agenda sub-item 9(a))

- 79. At its 1^{st} meeting, the CMA noted that this matter was on the agendas of the SBSTA and the SBI.⁴²
- 80. At its 11th meeting, on a joint recommendation of the SBSTA and the SBI,⁴³ the CMA adopted decision 15/CMA.3, entitled "Enhancing climate technology development and transfer to support implementation of the Paris Agreement".

B. Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21

(Agenda sub-item 9(b))

- 81. At its 1st meeting, the CMA noted that this matter was on the agenda of the SBI.44
- 82. At its 11th meeting, on a recommendation of the SBI,⁴⁵ the CMA adopted decision 16/CMA.3, entitled "Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21".

⁴¹ FCCC/PA/CMA/2021/3 and FCCC/PA/CMA/2021/5.

SBSTA 52–55 agenda item 7, "Development and transfer of technologies: joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)", and SBI 52–55 agenda sub-item 13(a), "Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)".

⁴³ See documents FCCC/SBSTA/2021/3, para. 41, and FCCC/SBI/2021/16, para. 83.

⁴⁴ SBI 52-55 agenda sub-item 13(b), "Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21".

⁴⁵ See document FCCC/SBI/2021/16, para. 84.

C. First periodic assessment referred to in paragraph 69 of decision 1/CP.21 (Agenda sub-item 9(c))

- 83. At the 1st meeting, the President recalled that CMA 1 had adopted the scope of and modalities for the periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer. The President also recalled that CMA 1 had decided to initiate the first periodic assessment in 2021 in accordance with the above-mentioned scope and modalities with a view to completing the first periodic assessment in 2022.⁴⁶
- 84. The President invited the CMA to initiate the first periodic assessment. On a proposal by the President, the CMA established a contact group on the matter co-chaired by Gabriela Fischerova (Slovakia) and Duduzile Nhlengethwa-Masina (Eswatini).
- 85. At its 11th meeting, on a proposal by the President, the CMA adopted decision 17/CMA.3, entitled "First periodic assessment referred to in paragraph 69 of decision 1/CP.21".

X. Capacity-building under the Paris Agreement

(Agenda item 10)

- 86. At its 1st meeting, the CMA noted that this matter was on the agenda of the SBI.⁴⁷
- 87. At its 10th meeting, on a recommendation of the SBI,⁴⁸ the CMA adopted decision 18/CMA.3, entitled "Annual technical progress report of the Paris Committee on Capacity-building for 2020 and 2021".

XI. Report of the forum on the impact of the implementation of response measures

(Agenda item 11)

- 88. At its 1^{st} meeting, the CMA noted that this matter was on the agendas of the SBSTA and the SBI.⁴⁹
- 89. At the resumed 12th meeting, the President thanked the SBSTA and SBI Chairs for their work,⁵⁰ and stated that he had undertaken further consultations.
- 90. On a proposal by the President, the CMA adopted decision 23/CMA.3, entitled "Matters relating to the forum on the impact of the implementation of response measures".

⁴⁶ Decision 16/CMA.1.

⁴⁷ SBI 52–55 agenda sub-item 15(c), "Annual technical progress report of the Paris Committee on Capacity-building (for 2020 and 2021)".

⁴⁸ See document FCCC/SBI/2021/16, para. 91.

⁴⁹ SBSTA 52–55 agenda item 11 and SBI 52–55 agenda item 16, "Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement".

⁵⁰ See documents FCCC/SBSTA/2021/3, para. 84, and FCCC/SBI/2021/16, para. 92.

XII. Matters relating to Article 6 of the Paris Agreement

(Agenda item 12)

A. Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement

(Agenda sub-item 12(a))

- 91. At its 1st meeting, the CMA noted that this matter was on the agenda of the SBSTA.⁵¹
- 92. At the 12th meeting, the President thanked the SBSTA Chair for forwarding the outcome of its work.⁵² and stated that he had undertaken further consultations.
- 93. On a proposal by the President, the CMA adopted decision 2/CMA.3, entitled "Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement".

B. Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement

(Agenda sub-item 12(b))

- 94. At its 1st meeting, the CMA noted that this matter was on the agenda of the SBSTA.⁵³
- 95. At the 12th meeting, the President thanked the SBSTA Chair for forwarding the outcomes of its work,⁵⁴ and stated that he had undertaken further consultations.
- 96. On a proposal by the President, the CMA adopted decision 3/CMA.3, entitled "Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement".
- 97. At the same meeting, on a proposal by the President, the CMA elected the following members to the Supervisory Body established under Article 6, paragraph 4, of the Paris Agreement:

Supervisory Body established under Article 6, paragraph 4, of the Paris Agreement

	Member	Alternate
Small island developing States	Kristin Qui (Trinidad and Tobago)	Benedict Chia (Singapore)

C. Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement

(Agenda sub-item 12(c))

- 98. At its 1st meeting, the CMA noted that this matter was on the agenda of the SBSTA.55
- 99. At the 12th meeting, the President thanked the SBSTA Chair for forwarding the outcome of its work,⁵⁶ and stated that he had undertaken further consultations.

⁵¹ SBSTA 52–55 agenda sub-item 15(a), "Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement".

⁵² See document FCCC/SBSTA/2021/3, paras. 93–94.

⁵³ SBSTA 52–55 agenda sub-item 15(b), "Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement".

⁵⁴ See document FCCC/SBSTA/2021/3, paras. 95–96.

⁵⁵ SBSTA 52–55 agenda sub-item 15(c), "Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement".

⁵⁶ See document FCCC/SBSTA/2021/3, paras. 97–98.

100. On a proposal by the President, the CMA adopted decision 4/CMA.3, entitled "Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement".

XIII. Report of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement (for 2020 and 2021)

(Agenda item 13)

- 101. At the 6^{th} meeting, the President referred the CMA to documents FCCC/PA/CMA/2020/1 and FCCC/PA/CMA/2021/6.
- 102. The President thanked the Co-Chairs of the Paris Agreement Implementation and Compliance Committee, Haseeb Gohar (Pakistan) and Christina Voigt (Norway), for their leadership and the members and alternate members of the Committee for their hard work.
- 103. On a proposal by the President, the CMA established a contact group on this matter co-chaired by Arne Riedel (Germany) and Kunzang (Bhutan).
- 104. At its 10th meeting, on a proposal by the President, the CMA adopted decision 24/CMA.3, entitled "Rules of procedure of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement".
- 105. At its resumed 12th meeting, on a proposal by the President, the CMA elected the following members and alternate members to the Paris Agreement Implementation and Compliance Committee:

Paris Agreement Implementation and Compliance Committee

	Member	Alternate		
African States	Happy Khambule (South Africa)	Chokri Mezghani (Tunisia)		
Asia-Pacific States	Haseeb Gohar (Pakistan)	Seung Jick Yoo (Republic of Korea)		
Eastern European States	Eva Adamová (Czechia)	Grzegorz Grobicki (Poland)		
	Grigory Yulkin (Russian Federation)			
Latin American and	Jimena Nieto (Colombia)	Edgar Fernandez (Costa Rica)		
Caribbean States	José Félix Pinto-Bazurco Barandiarán (Peru)			
Western European and other States	Christina Voigt (Norway)	Andrew Neustaetter (United States)		

XIV. Administrative, financial and institutional matters

(Agenda item 14)

A. Audit report and financial statements for 2019 and 2020

(Agenda sub-item 14(a))

B. Budget performance for the bienniums 2018–2019 and 2020–2021

(Agenda sub-item 14(b))

C. Programme budget for the biennium 2022–2023

(Agenda sub-item 14(c))

- 106. At its 1st meeting, the CMA noted that these matters were on the agenda of the SBI.57
- 107. At its 10th meeting, on a proposal by the President, the CMA endorsed the following decisions adopted by the COP:
 - (a) Decision 22/CP.26, entitled "Programme budget for the biennium 2022–2023";
 - (b) Decision 23/CP.26, entitled "Administrative, financial and institutional matters".

XV. High-level segment

(Agenda item 15)58

XVI. Other matters

(Agenda item 16)

108. At its 14th meeting, on 13 November, the CMA noted that no other matters had been raised under this agenda item.

XVII. Conclusion of the session

(Agenda item 17)

109. At the joint 13th meeting of the CMA, 13th meeting of COP 26 and 11th meeting of CMP 16, on 13 November, the President and representatives of groups of Parties and observer organizations made closing statements.⁵⁹

A. Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on its third session

(Agenda sub-item 17(a))

- 110. At its 14th meeting, the CMA considered the draft report on the session⁶⁰ and, on a proposal by the President, authorized the Rapporteur to complete the report under the guidance of the President and with the assistance of the secretariat.
- 111. A representative of the secretariat informed the CMA that decisions it adopted under 10 agenda items have financial and human resource implications beyond the provisions included in the budget for the biennium 2022–2023, and that funding estimates and categorization of activities are preliminary and based on the information available at the time:

⁵⁷ Under SBI 52–55 agenda item 20, "Administrative, financial and institutional matters".

⁵⁸ See document FCCC/CP/2021/12, paras. 113–117.

⁵⁹ See document FCCC/CP/2021/12, paras. 119–121.

⁶⁰ FCCC/PA/CMA/2021/L.3.

- (a) Under agenda item 2, "Organizational matters", supplementary funding of EUR 430,000 will be required in 2022 and EUR 380,000 in 2023 to update the synthesis report on nationally determined contributions under the Paris Agreement; EUR 1.23 million will be required in 2022–2023 to establish a work programme to scale up mitigation ambition, convene an annual high-level ministerial round table on pre-2030 ambition and prepare a synthesis report on long-term low-emission development strategies; and EUR 400,000 will be required in 2022–2023 to organize the Glasgow Dialogue in cooperation with the Executive Committee of the Warsaw International Mechanism. These are mostly temporary or short-term activities, with some being long-term or recurring activities. Long-term or recurring activities would be funded from the core budget in future budget periods (after 2023) if approved by the appropriate governing bodies; temporary or short-term activities would be covered from voluntary contributions under the Trust Fund for Supplementary Activities, subject to availability of funding;
- (b) Under agenda sub-item 3(b), "Report of the Subsidiary Body for Implementation", EUR 116,000 will be required in 2022–2023 to support the Doha work programme on Article 6 of the Convention. The same estimate was reported under SBI 52–55 agenda sub-item 18(a), "Review of the Doha work programme on Article 6 of the Convention". These are recurring or long-term activities, to be funded from the core budget in future budget periods (after 2023) if approved by the appropriate governing bodies;
- (c) Under agenda item 4, "Matters relating to adaptation", EUR 1.66 million will be required in 2022–2023 to conduct four workshops, prepare a compilation and synthesis of the submissions of views on achieving the objectives of the Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation, and prepare a single annual report on the workshops. These are temporary or short-term activities, to be funded from voluntary contributions under the Trust Fund for Supplementary Activities;
- (d) Under agenda item 5, "Methodological issues relating to the enhanced transparency framework for action and support referred to in Article 13 of the Paris Agreement", EUR 11.3 million will be required in 2022–2023 to develop the reporting software for the electronic reporting of the common reporting tables and common tabular formats, develop and conduct technical training workshops and establish an interactive web portal. This includes the training and certification of the review experts for the biennial transparency reports and the development of the training courses. These are temporary or short-term activities, to be funded from voluntary contributions under the Trust Fund for Supplementary Activities;
- (e) Under agenda item 7, "Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts", EUR 330,000 will be required in 2022–2023 to organize a technical workshop to elaborate on the submissions of views on the various aspects of the Santiago network for averting, minimizing and addressing loss and damage associated with the adverse effects of climate change and provide support for developing countries that are particularly vulnerable to the adverse effects of climate change that may seek technical assistance from organizations, bodies, networks and experts under the Santiago network. These are temporary or short-term activities, to be funded from voluntary contributions under the Trust Fund for Supplementary Activities;
- (f) Under agenda sub-item 8(e), "New collective quantified goal on climate finance", EUR 4.2 million will be required in 2022–2023 to organize four technical expert dialogues per year, two in conjunction with the sessions of the subsidiary bodies and the other two in different regions, support the relevant ad hoc work programme, provide substantive support to the co-chairs thereof, prepare technical inputs to the expert dialogues, convene the high-level ministerial dialogues and prepare annual reports for the CMA. These are recurring or long-term activities, to be funded from the core budget in future budget periods (after 2023) if approved by the appropriate governing bodies;
- (g) Under agenda item 11, "Report of the forum on the impact of the implementation of response measures", EUR 26,000 will be required in 2022 to organize a two-day workshop to further advance work on implementation of the forum workplan.

⁶¹ As defined for the UNFCCC budget in document FCCC/SBI/2019/4, para. 36(c) and (b), respectively.

Additional staff costs of EUR 19,000 will be covered from existing resources. The same estimate was reported under the equally titled COP 26 agenda item 12 and CMP 16 agenda item 9. This is a temporary or short-term activity, to be funded from voluntary contributions under the Trust Fund for Supplementary Activities;

- (h) Under agenda sub-item 12(a), "Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement", EUR 9.5 million will be required in 2022–2023 to organize technical workshops, design, develop and maintain the mandated information technology systems, including the international registry, Article 6 database and centralized accounting and reporting platform, and prepare and deliver the annual compilation and synthesis of the results of the Article 6 technical expert review and related capacity-building activities. Some of these activities are recurring or long-term, to be funded from the core budget in future budget periods (after 2023) if approved by the appropriate governing bodies; others are temporary or short-term activities, to be funded from voluntary contributions under the Trust Fund for Supplementary Activities;
- Under agenda sub-item 12(b), "Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement", EUR 14.5 million will be required in 2022–2023 to operate the mechanism established by Article 6, paragraph 4, of the Paris Agreement, including the design, development and maintenance of the mechanism registry and information system, support the operation of the constituted body, assess activities, including the operation of the accreditation system, and design and implement a capacity-building programme to assist Parties wishing to voluntarily participate in the mechanism. Some of the activities relating to Article 6, paragraph 4, of the Paris Agreement, are recurring or long-term, and others are temporary or short-term. The decision adopted by CMP 16 under agenda item 5, "Matters relating to the clean development mechanism", includes the authorization to transfer USD 30 million from the Trust Fund for the Clean Development Mechanism to the Trust Fund for Supplementary Activities for the work of the Supervisory Body to facilitate the expedited implementation of the mechanism under Article 6, paragraph 4, of the Paris Agreement, as well as the authorization to transfer USD 10 million from the Trust Fund for the Clean Development Mechanism to the Trust Fund for Supplementary Activities for the work of the Supervisory Body to provide, through regional collaboration centres, capacity-building in developing countries for using the mechanism, and to support the transition of eligible clean development mechanism project activities and programmes of activities to the mechanism;
- (j) Under agenda sub-item 12(c), "Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement", EUR 2.9 million will be required in 2022–2023 to develop a web-based platform, organize the work of the Glasgow Committee on Non-market Approaches and a workshop, with the broad participation of relevant experts, on matters related to non-market approaches, and prepare synthesis reports. Some of these activities are recurring or long-term, to be funded from the core budget in future budget periods (after 2023) if approved by the appropriate governing bodies; others are temporary or short-term activities, to be funded from voluntary contributions under the Trust Fund for Supplementary Activities;
- 112. The representative of the secretariat informed the CMA that resource requirements in 2024 and beyond resulting from decisions taken at this session will be treated in line with the established budgetary process.

B. Closure of the session

(Agenda sub-item 17(b))

- 113. At its 14th meeting, on a proposal by a Party, the CMA adopted resolution 1/CMA.3, entitled "Expression of gratitude to the Government of the United Kingdom of Great Britain and Northern Ireland and the people of the city of Glasgow".
- 114. The President then declared CMA 3 closed.