

## Dialogue between the Adaptation Committee and other adaptation-related constituted bodies and addressing capacity gaps in accessing adaptation funding

### Concept note

#### Recommended action by the Adaptation Committee

The Adaptation Committee (AC), at its 23<sup>rd</sup> meeting, will be invited to consider the information contained in this document and provide guidance to the secretariat on the organization of the dialogue during the 58<sup>th</sup> session of the subsidiary bodies in June 2023.

## 1. Background

1. As part of its mandate to promote the implementation of adaptation action under the Convention in a coherent manner in line with the Cancun Adaptation Framework and the Paris Agreement, the Adaptation Committee (AC), as per its workplan for 2022–2024, agreed to hold **an annual dialogue with adaptation-related constituted bodies to discuss ways of further strengthening coherence and collaboration in addressing adaptation**. The first dialogue took place on 14 June 2022 during the 56<sup>th</sup> session of the subsidiary bodies under the theme “Support for adaptation (capacity-building, finance, technology), with a focus on addressing gaps and needs related to the formulation and implementation of NAPs”.<sup>1</sup> Themes for future dialogues were suggested to cover the other elements of the adaptation cycle, i.e. “Assessing impacts, risks and vulnerabilities”; “Adaptation planning”; “Implementing adaptation”; “Adaptation monitoring and evaluation”; and “Adaptation reporting”. The second dialogue is expected to take place during SB 58 in June 2023.
2. In the context of its workstream B “Providing technical support and guidance to Parties on means of implementation” of its flexible work plan for the years 2019–2021 the AC, in 2019 and 2020, took a closer look at capacity gaps of countries in accessing adaptation funding. Based on submissions it prepared an information document on such capacity gaps and on Parties’ successes and challenges in addressing them.<sup>2</sup> The main capacity gaps identified by countries related to the following areas: (a) raising awareness of climate change impacts and creating enabling environments; b) making the case for adaptation, i.e. determining the funding need, proving a return on investments and preparing fundable projects and proposals; c) navigating and accessing different funding instruments and mechanisms; d) ensuring capability of the funding seeker; and e) using and managing funds.
3. As one of the follow-up activities which were suggested in the information document and subsequently reflected in the AC’s flexible workplan for 2022–2024, the AC agreed on **another dialogue, also to be held at SB 58 on progress in closing the capacity gaps identified in accessing adaptation funding**, and to prepare action-oriented briefs or case studies to demonstrate how such capacity gaps could be closed, in collaboration with universities, NWP partners, the NAP task force and other constituted bodies, Parties and organizations.

<sup>1</sup> More information about the first annual dialogue, including a short summary report, is available at [https://unfccc.int/event/AC\\_dialogue1](https://unfccc.int/event/AC_dialogue1).

<sup>2</sup> Available at <https://unfccc.int/documents/302884>.

## 2. Approach and objective

4. The two dialogues are planned to take place in the midst of a busy year in which the world is set to take stock of global action on adaptation and to set a pathway towards a more climate-resilient future. Considering the possible overlaps between the two dialogues and the full schedule of events expected for SB 58, the AC may wish to consider merging them into one event and adapting the focus of its discussion to fit the overall spirit of this year's negotiations.

5. **The topic of the event could focus on progress made in closing the capacity gaps identified in accessing adaptation funding, and on how to enhance progress.** More specifically, the discussion could dive deeper into countries' challenge of "Making the case for adaptation" which requires capacities such as collecting and using appropriate climate and socio-economic data to proof the need for the respective project, determining the funding need, proving a return on investments and preparing fundable projects and proposals. It would thus touch upon two of the elements of the adaptation cycle, namely "Assessing impacts, risks and vulnerabilities" and "Adaptation planning".

6. The objective of the event for the AC would be to hear from the perspective of the participants about whether and how countries have made progress regarding this challenge, in the spirit of taking stock of where countries are at regarding this element of adaptation planning. Based on this "mini" stocktake, the discussion would then focus on how the participants' work could collectively assist in further closing remaining capacity gaps, identifying, where possible, areas for coherence and collaboration.

## 3. Participants and possible guiding questions

7. Merging the two dialogues would mean to expand the range of participants beyond representatives of the adaptation-related constituted bodies. Participants could include representatives from the following bodies and institutions:

- a) Least Developed Countries Expert Group
- b) Consultative Group of Experts
- c) Executive Committee of the WIM
- d) Facilitative Working Group LCIPP
- e) Paris Committee on Capacity Building
- f) Standing Committee on Finance
- g) Technology Executive Committee/Technology Mechanism
- h) Green Climate Fund
- i) Global Environment Facility
- j) Adaptation Fund
- k) Selection of NWP partners

8. The following possible guiding questions relate to relevant recent work of the respective constituted body or institution and could assist in focusing the discussion. The respective participants could be asked to speak from the particular lens of the guiding question when contributing to the overall "mini" stocktake. In addition, the bodies and institutions could be invited to prepare an action-oriented brief or case study as an input to or an output of the dialogue, subject to available capacities. These briefs or case studies could assist the AC in putting together a broader picture of where countries stand with regard to accessing adaptation funding and how additional action and support could help to close remaining capacity gaps.

Institution	Possible guiding questions
AC	What experiences and lessons has the AC identified with regard to estimating adaptation costs?

LEG	What relevant findings has the LEG identified regarding adaptation funding gaps and needs related to the process of formulating and implementing NAPs and ways to address them?
NWP partner(s)	What progress have countries made and what are remaining challenges in translating climate and socio-economic data and scenarios to local contexts for making the case for adaptation?
TEC/CTCN	How can information from TNAs be used to make the case for adaptation?
PCCB	What are the key findings from the PCCB's recent call for submissions on capacity gaps in accessing adaptation funding for NAPs?
SCF	What are relevant findings from the recent SCF's work on defining climate (adaptation) finance and determining the needs of developing country Parties in implementing the Convention and the Paris Agreement?
FWG LCIPP	How can the needs of indigenous peoples and local communities be mainstreamed into adaptation funding proposals?
WIM ExCom	How can adaptation be delineated from loss and damage funding when making the case for adaptation?
CGE	How can the CGE address capacity gaps in accessing adaptation funding, particularly with regard to vulnerability and adaptation assessments?
Adaptation fund (GCF/GEF/Adaptation Fund)	What progress has been made and what are remaining challenges of countries in determining funding needs, proving a return on investments and preparing fundable adaptation projects and proposals and which ideas exist on how these could be closed?

#### 4. Possible flow

9. The dialogue could have a duration of 1.5 hours and be organized according to the following rough flow (detailed flow and possible interactive elements to be determined):

Who?	What?
AC	Introduction
All	Short intervention based on the specific guiding questions
All	Moderated discussion on how the different inputs relate to each other and how they could collectively assist in further closing capacity gaps in accessing adaptation funding; possible follow-up activities, including action-oriented briefs or case studies
AC	Summary and way forward

#### 5. Next steps

10. The AC may wish to consider the proposed approach to and topic of the SB 58 dialogue and provide further guidance to the secretariat on its organization.

**Documentation information**

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<i>Version</i>	<i>Date</i>	<i>Description</i>
01.0	22 February 2022	AC23 This concept note contains information on a proposed approach and topic of the 58th session of the subsidiary bodies in June 2023 dialogue and the AC is invited to provide guidance to the secretariat on its organization.

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