

Technical paper on monitoring and evaluation at the national and subnational level

Concept note and outline

Recommended action by the Adaptation Committee

The Adaptation Committee (AC), at its 21st meeting, will be invited to consider the outline contained in this document and provide guidance to the secretariat on the preparation of the technical paper.

1. Introduction and background

1. The Adaptation Committee (AC), in its workplan for 2022-2024 agreed to prepare a technical paper featuring case studies on the development and application of monitoring and evaluation (M&E) systems at the national and subnational level, including consideration of the value of monitoring and evaluation systems, existing barriers and opportunities to overcome them. The preparation of this paper offers the AC the opportunity to build upon its portfolio of past work on M&E and bolster its expertise in this area, while continuing to bring attention to this important topic.

2. Draft outline

2. The AC may wish to draft the technical paper along the following outline:
- a) **Chapter I: Introduction and background** – including information on the origin of the paper in the 2022-2024 workplan, previous AC work on M&E, and the structure and objectives of the paper.
 - b) **Chapter II: Methodological and conceptual issues in M&E** – highlighting key concepts and findings from previous AC work on M&E, including in relation to defining adaptation, developing metrics and indicators, aggregating results, securing relevant data, and more. This chapter will also consider the value of M&E as demonstrated by the AC's work thus far.
 - c) **Chapter III: Development and application of M&E systems at the national level**
 - i) **III.I: Information from national reports under the UNFCCC** – a synthesis of information drawn from NAPs, NDCs, ADCOMs, and national communications pertaining to the development and application of M&E systems.
 - ii) **III.II: Case studies** – a selection of around five in-depth cases studies representing different regions, different socioeconomic statuses, and different M&E systems, aiming to showcase the process of developing and applying M&E systems at the national level. Considering insights from and linkages to previous and ongoing AC work, this section will attempt to showcase systems that attempt to evaluate outcomes/impacts and adequacy/effectiveness of adaptation.
 - iii) **III.III: Barriers and opportunities** – a discussion of the various barriers that stand in the way of both developing and applying M&E systems at the national level, and opportunities for overcoming these barriers.
 - d) **Chapter IV: Development and application of M&E systems at the subnational level**
 - i) **IV.I: Case studies** – a selection of around five in-depth cases studies representing different regions, different socioeconomic statuses, and different M&E systems, aiming to showcase the

process of developing and applying M&E systems at the subnational level. Considering insights from and linkages to previous and ongoing AC work, this section will attempt to showcase systems that attempt to evaluate outcomes/impacts and adequacy/effectiveness of adaptation.

ii) IV.II: Barriers and opportunities – a discussion of the various barriers that stand in the way of both developing and applying M&E systems at the national level, and opportunities for overcoming these barriers.

e) Chapter V: Discussion - an analysis of some of the key insights that emerged from chapters II-IV.

f) Chapter VI: Conclusion and next steps - including general conclusions about developing and applying M&E systems at the national and subnational level, and possible next steps for the AC to finalize and/or build upon the paper.

3. To prepare the paper, the AC can consult a range of published resources, including national reports, academic, and grey literature, with priority given to those resources that have not yet featured in AC work on M&E and related areas in the past. As needed, interviews with relevant experts can complement the information drawn from the literature.

3. Next steps

4. At AC21, the AC may wish to agree on the outline for the technical paper, invite case study submissions from Nairobi work programme (NWP) partners to inform the paper, and provide further guidance to the secretariat on its preparation. In addition, the AC may wish to proceed with the technical paper along the following indicative timeline:

- a) **April 2022:** Finalize the outline of the technical paper, issue the invitation for submissions from NWP partners, and begin researching and drafting.
- b) **August 2022:** Review an initial draft of the paper intersessionally.
- c) **September 2022:** Review an updated draft of the paper during AC22 and provide additional guidance.
- d) **March 2023:** Approve a final draft of the paper during AC23 and agree on next steps, as needed.

Documentation information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01.0	22 March 2022	AC21 This concept note contains a draft outline for the respective technical paper for consideration and further guidance by the AC.

Keywords: Resilience, Adaptation monitoring and evaluation.
