

A6.4-SB008-AA-A09

**Revision of regulatory documents for the
transition of CDM activities to the Article 6.4
mechanism**

Version 02.0



United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its third session, adopted rules, modalities and procedures (RMPs) for the mechanism established by Article 6, paragraph 4, of the Paris Agreement (the Article 6.4 mechanism). Chapter XI.A of the RMPs contains provisions that allow for the transition of project activities and programmes of activities (PoAs) registered under the clean development mechanism (CDM) under Article 12 of the Kyoto Protocol or listed as provisional as per the temporary measures adopted by the Executive Board of the CDM to the Article 6.4 mechanism.
2. The CMA, at its fourth session, elaborated key conditions and processes for such transition. At the same session, the CMA also requested the Supervisory Body for the Article 6.4 mechanism to facilitate the tasks related to the transition of CDM activities to the Article 6.4 mechanism by:
 - (a) Developing and operationalizing a procedure for requesting transition, which includes relevant forms, by no later than June 2023;
 - (b) Developing and operationalizing the transition process and reporting back to the CMA at its fifth session.
3. The Supervisory Body, at its fifth meeting, agreed to postpone developing provisions specific to the transition of afforestation and reforestation CDM activities until the CMA provides guidance on activities involving removals under the Article 6.4 mechanism.
4. The Supervisory Body, at its sixth meeting, adopted the “Standard for the transition of CDM activities to the Article 6.4 mechanism” (transition standard) and the “Procedure for the transition of CDM activities to the Article 6.4 mechanism” (transition procedure), and agreed to make them effective from 1 January 2024, subject to the availability of detailed regulatory elements to enable the operationalization of the transition process referred to in the transition standard and the transition procedure. These detailed regulatory elements are to be developed by the Supervisory Body and include:
 - (a) A decision on identifying projects with a risk of non-permanence;
 - (b) Approval by the Supervisory Body of the addendum, which details the information to be supplied, including the templates for:
 - (i) A summary of the analysis of non-permanence risks for activities determined to be at risk of non-permanence;
 - (ii) A summary of the analysis of environmental and social impacts;
 - (iii) A confirmation that the activity continues to apply the CDM methodology currently applied to the project design document (PDD), or the programme of activities design document (PoA-DD) and component project activity

design documents (CPA-DDs), with an associated attestation of the project participants.

5. The Supervisory Body, at its seventh meeting, considered the concept note on the detailed regulatory elements referred to in paragraph 4 above, and requested the secretariat to present a draft revised transition standard at its eighth meeting for its consideration.

2. Purpose

6. The purpose of revision of the regulatory documents on the transition of CDM activities to the Article 6.4 mechanism is to include provisions on the detailed regulatory elements referred to in paragraph 4 above.

3. Key issues and proposed solutions

3.1. Non-permanence risk

7. To address non-permanence risk of CDM activities transitioning to the Article 6.4 mechanism, the concept note presented to the Supervisory Body at its seventh meeting referred to in paragraph 5 above proposed:
- (a) For all transitioning CDM activities, the activity participants shall assess if the use of fossil fuels for co-firing or as a backup fuel is plausible, and whether existing monitoring plans of the activities address this aspect;
 - (b) For the transitioning CDM activities applying methodologies listed under footnote 10 of the concept note,¹ the activity participants shall assess all the monitoring reports of the activities beginning from the start date of the activities to check for any accrual of net negative emission reductions;
 - (c) For the transition of cookstove CDM activities that combust woody biomass (fuelwood or charcoal):

¹ Identified methodologies include:

- (a) ACM0005 Increasing the blend in cement production;
- (b) ACM0006 Consolidated methodology for electricity and heat generation from biomass;
- (c) ACM0017 Production of biodiesel for use as fuel;
- (d) ACM0018 Electricity generation from biomass residues in power-only plants;
- (e) ACM0020 Co-firing of biomass residues for heat generation and/or electricity generation in grid connected power plants;
- (f) ACM0022 Alternative waste treatment processes;
- (g) AM0036 Fuel switch from fossil fuels to biomass residues in heat generation equipment;
- (h) AM0057 Avoided emissions from biomass wastes through use as feed stock in pulp and paper, cardboard, fibreboard or bio-oil production;
- (i) AM0061 Methodology for rehabilitation and/or energy efficiency improvement in existing power plants;
- (j) AM0094 Distribution of biomass based stove and/or heater for household or institutional use;
- (k) AM0108 Interconnection between electricity systems for energy exchange.

- (i) Ensure that the fraction of non-renewable biomass (fNRB) value applied is reliable and conservative and based on the latest data and information, and the project participants shall demonstrate that it is reliable and conservative;
- (ii) If the current 5 per cent discount on leakage is deemed not sufficient, choose a more appropriate value [x].
8. Concerning the proposal referred to in paragraph 7(c) above, the Supervisory Body requested the secretariat to provide more information on cookstove CDM activities that are eligible for transition to the Article 6.4 mechanism to gauge the material impact of transitioning cookstove activities, if any, on the atmosphere due to the non-permanence risk.
9. In the meantime, it has also been identified that water purification activities have the same non-permanence risk, as they also use biomass collected from woodlands as the source of fuels in the baseline scenarios. Such activities can be identified as applying the CDM methodology AMS-III.AV. (Low greenhouse gas emitting safe drinking water production systems).
10. Table 1 below summarizes the statistical information on cookstove and water purification CDM activities that may be eligible for transition to the Article 6.4 mechanism.

Table 1. Significance of CDM cookstove and water purification activities in the transition to the Article 6.4 mechanism

End year of CDM methodology application**	CDM cookstove and water purification activities eligible for transition*			All CDM activities eligible for transition*		
	Number of project activities***	Number of PoAs***	Potential maximum emission reductions (Mt CO ₂ eq)***	Number of project activities	Number of PoAs	Potential maximum emission reductions (Mt CO ₂ eq)
2021	4 (0)	11 (2)	43.3 (14.6)	555	31	448.5
2022	28 (0)	5 (0)	40.1 (12.7)	982	11	362.1
2023	2 (0)	2 (0)	37.9 (12.7)	441	14	256.9
2024	3 (0)	3 (2)	37.3 (12.5)	310	6	199.9
2025	0 (0)	34 (7)	28.7 (12.5)	1,041	103	144.5
Total	37 (0)	55 (11) including 488 (203) CPAs	187.4 (52.6)	3,329	165 (1,246 CPAs)	1,411.8

* "Eligible for transition" in this table is determined solely by whether the activity has an active crediting period or PoA period as at 1 January 2021.

** After the end of the current crediting period or 31 December 2025, whichever is earlier, all transitioned CDM activities have to apply Article 6.4 mechanism methodologies. Therefore, this table is intended to indicate the significance of transitioning CDM cookstove activities that continue to apply CDM methodologies at the transition.

*** The numbers without brackets are for cookstove activities, and those in brackets are for water purification activities.

11. As can be seen in the table, the numbers of CDM cookstove and water purification project activities and PoAs that are eligible for transition to the Article 6.4 mechanism account for 1 per cent and 40 per cent of all CDM activities that are eligible for transition, respectively, the latter of which is significant. However, in terms of potential emission reductions that

may be achieved by transitioning CDM activities, cookstove and water purification activities account for 17 per cent. In addition, it should be noted that the historical “issuance rate”, which is the proportion of issued certified emission reductions (CERs) against potential CERs that may be issued as per the registered design documents for all CDM activities is relatively small (around 16 per cent) due to the low rate of proceeding with issuance requests after registration. As of 10 October 2023, 19 requests for transition have been submitted for cookstove and water purification CDM project activities and PoAs which is 11 per cent of the total number of requests for transition.

12. At its seventh meeting, the Supervisory Body also requested the secretariat to provide information on co-benefits brought about by cookstove activities to households and local communities. According to the Clean Cooking Alliance,² cooking without clean stoves and fuels have the following negative impacts:
 - (a) **Health:** Open fires and inefficient stoves create household pollutants, which put the families living in the home at risk for several serious diseases and even death;
 - (b) **Environment:** The use of open fires and inefficient stoves in cooking emits black carbon and other pollutants, and contributes to climate change, sea ice melt, and deforestation;
 - (c) **Gender:** Women in particular are exposed to the unhealthy pollutants released by cooking with biomass fuels (ex: wood, charcoal) and outdated stoves. The time dedicated to cooking and collecting fuel also interferes with their ability to attend school and generate income;
 - (d) **Humanitarian:** People in need of humanitarian assistance living in aid camps most often cook using biomass fuels, exposing them to harmful, unhealthy pollutants and putting them at risk for additional harm.
13. Switching to clean cooking by using modern stoves and fuels transforms lives by improving health, protecting the climate and the environment, empowering women, and helping consumers save time and money. The same can be said for switching to clean water purification.
14. It should be reminded that two stakeholders provided input on this proposal at the seventh meeting of the Supervisory Body, opposing the proposal due to the difficulty in obtaining new data for the re-evaluation of fNRB values (as they can only be collected by the host Party governments, which are mostly in least developed countries with poor or absent institutional setup to do so, and could be time-consuming), and any increase in discount factor would make cookstove activities unattractive for investors.
15. Based on the information above, it is now proposed not to require re-evaluation of fNRB values and the discount factor for the transitioning cookstove and water purification CDM activities.
16. On the other hand, other proposed measures in the concept note referred to in paragraph 7(a)–(b) above may be required for the applicable transitioning CDM activities.

² <https://cleancooking.org/the-value-of-clean-cooking/>

3.2. Environmental and social impacts

17. The current transition standard already contains provisions that the project participants of transitioning CDM activities shall assess environmental and social impacts as well as sustainable development co-benefits in accordance with the “Article 6.4 sustainable development tool”, and if this tool is not yet available, in accordance with the “Sustainable development co-benefit tool” developed under the CDM. The draft revised transition standard elaborates this, clarifying that the monitoring of these impacts and co-benefits is mandatory even if the latter tool is used, to be consistent with the requirements for new Article 6.4 mechanism activities.

3.3. Compliance with applied methodology

18. The current transition procedure already contains provisions that the project participants of transitioning CDM activities shall attest that the CDM activities have been implemented in accordance with the registered design documents (PDDs, or PoA-DDs and CPA-DDs). This consequently covers the attestation on compliance with the applied methodologies. In this context, the concept note referred to in paragraph 5 above discussed a situation where there is a post-registration change (PRC) that has occurred after 2020 to transitioning CDM activities that necessitates the revision of the registered design documents, in which case the above attestation is not possible. To address such situation, the concept note proposed that the project participants should attest that such transitioning CDM activities would undergo the PRC process under the Article 6.4 mechanism once transitioned.
19. Based on this, a form for an addendum was added to the revised draft transition procedure containing a section on the attestation referred in paragraph 18 above.

4. Impacts

20. The adoption of the revised transition standard and procedure containing detailed regulatory elements presented in this document would enable the full operationalization of the transition process.

5. Subsequent work and timelines

21. The secretariat will publish the revised transition standard and procedure on the UNFCCC website, communicate with the relevant project participants, and further prepare for the implementation of the transition process accordingly.

6. Recommendations to the Board

22. The secretariat recommends that the Supervisory Body adopt the revised transition standard and procedure contained in the appendices with modifications, as appropriate and agree to make them effective on 1 January 2024.

Appendix 1. Draft standard for transition of CDM activities to the Article 6.4 mechanism (version 02.0)

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1. Background

1. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its third session, adopted rules, modalities and procedures (RMPs) for the mechanism established by Article 6, paragraph 4, of the Paris Agreement (the Article 6.4 mechanism).¹ Chapter XI.A of the RMPs contains provisions that allow transition of project activities and programmes of activities (PoAs) registered under the clean development mechanism (CDM) under Article 12 of the Kyoto Protocol or listed as provisional as per the temporary measures adopted by the Executive Board of the CDM² (hereinafter referred to as provisional requests) to the Article 6.4 mechanism.
2. The CMA, at its fourth session, elaborated key conditions and processes for such transition ~~(hereinafter referred to as the elaboration of the RMPs)~~.³ At the same session, the CMA also requested⁴ the Supervisory Body to facilitate the tasks related to the transition of CDM activities to the Article 6.4 mechanism by:
 - (a) Developing and operationalizing a procedure for requesting transition, which includes relevant forms, by no later than June 2023;
 - (b) Developing and operationalizing the transition process and reporting back to the CMA at its fifth session.
3. Pursuant to its mandate from the CMA to operationalize the transition process, the Supervisory Body has adopted the “Standard for transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as this standard) as contained in this document and the “Procedure for transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as the transition procedure) and relevant forms.

2. Objective and scope

4. This standard sets out required activity design and other attributes of CDM project activities, PoAs and component project activities (CPAs) therein that may transition to the Article 6.4 mechanism, as well as corresponding requirements for provisional requests that may be finalized under the Article 6.4 mechanism.

3. Entry into force

5. ~~Version 01.0~~ This version of this standard enters into force on 1 January 2024 except for the process of submission of transition requests to the secretariat, which is 30 June 2023, ~~subject to the availability of the detailed regulatory elements to enable the~~

¹ Decision 3/CMA.3, annex, as contained in document FCCC/PA/CMA/2021/10/Add.1 available at: https://unfccc.int/sites/default/files/resource/cma2021_10_add1_adv.pdf#page=25.

² At its 108th meeting (see paragraphs 7–8 of the meeting report) and later clarified in the document entitled “Clarification: Regulatory requirements under temporary measures for post-2020 cases” available at http://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20220314132358671/Reg_Clar03v02.pdf.

³ Decision 7/CMA.4, annex I, chapter I, in document FCCC/PA/CMA/2022/10/Add.2 (Advance Version) available at: https://unfccc.int/sites/default/files/resource/cma2022_10a02_adv.pdf#page=33.

⁴ Decision 7/CMA.4, paragraph 23.

~~operationalization of the transition process referred to in this standard and the transition procedure, to be developed by the Supervisory Body.~~

4. Terms and definitions

6. The following terms apply in this standard:

- (a) “Shall” is used to indicate requirements to be followed;
- (b) “Should” is used to indicate that among several possibilities, one course of action is recommended as particularly suitable;
- (c) “May” is used to indicate what is permitted;
- (d) “The project participant” shall be read as the focal point entity designated by the project participants of the CDM project activity or PoA for scope (c) as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” and the “CDM project cycle procedure for programmes of activities”, respectively.⁵

5. Transition requirements on crediting period

5.1. Project activities

- 7. A CDM project activity that is not an afforestation or reforestation project activity⁶ may transition to the Article 6.4 mechanism if its crediting period would have been active as of 1 January 2021 had the crediting period under the CDM continued after the end of the second commitment period of the Kyoto Protocol, provided it meets design requirements for transition as contained in section 6 below.
- 8. The crediting period type (i.e. renewable or fixed) of the transitioning CDM project activity shall not change at and after the transition.

⁵ In accordance with paragraph 42 of the “CDM project cycle procedure for project activities” (version 03.0), and paragraph 33 of the “CDM project cycle procedure for programmes of activities” (version 03.0), the focal point entities designated by the project participants for scope (c) are granted the authority to communicate on their behalf with the Board and the secretariat on all other project-related matters not covered by: (a) communication in relation to requests for forwarding of certified emission reductions to individual accounts of the project participants; or (b) communication in relation to requests for addition and/or voluntary withdrawal of the project participants and focal points, as well as changes to company names, legal status, contact details and specimen signatures.

⁶ The Supervisory Body, at its fifth meeting, agreed to postpone developing provisions specific to the transition of afforestation and reforestation CDM activities until the CMA provides guidance on activities involving removals under the Article 6.4 mechanism.

9. For a CDM project activity with the renewable crediting period type that successfully transitioned to the Article 6.4 mechanism:
- (a) The current crediting period under the Article 6.4 mechanism shall start on 1 January 2021 and end, whichever is earlier:
 - (i) When the current crediting period would have ended had the crediting period under the CDM continued after the end of the second commitment period of the Kyoto Protocol;
 - (ii) On 31 December 2025;
 - (iii) On the date determined under the conditions of the crediting period that may be specified by the host Party in accordance with paragraph 27(b) of the RMPs;
 - (b) The maximum remaining number of renewals of crediting period under the CDM prior to the transition shall be carried over to the Article 6.4 mechanism unless the host Party specifies that the crediting period may not be renewed pursuant to paragraph 27(b) of the RMPs;
 - (c) After the first renewal of the crediting period under the Article 6.4 mechanism, the duration of each subsequent crediting period shall be the same as that for any new Article 6.4 mechanism activities (A6.4 activities) (i.e. a maximum of 5 years ~~or, in respect of activities involving removals, a maximum of 15 years~~), subject to approval by the Supervisory Body or any shorter crediting period specified by the host Party pursuant to paragraph 27(b) of the RMPs.
10. For a CDM project activity with the fixed crediting period type that successfully transitioned to the Article 6.4 mechanism, the current crediting period under the Article 6.4 mechanism shall start on 1 January 2021 and end, whichever is earlier:
- (a) When the current crediting period would have ended had the crediting period under the CDM continued after the end of the second commitment period of the Kyoto Protocol;
 - (b) On the date determined under the conditions of the crediting period that may be specified by the host Party in accordance with paragraph 27(b) of the RMPs.

5.2. Programmes of activities

11. A CDM PoA ~~that is not an afforestation or reforestation PoA~~ may transition to the Article 6.4 mechanism if its PoA period would have been active as of 1 January 2021 had the PoA period under the CDM continued after the end of the second commitment period of the Kyoto Protocol, provided it meets design requirements for transition as contained in section 6 below.

12. For a CDM PoA that successfully transitioned to the Article 6.4 mechanism:
- (a) The current PoA period under the Article 6.4 mechanism shall start on 1 January 2021 and end, whichever is earlier:
 - (i) When the current PoA period would have ended had the PoA period under the CDM continued after the end of the second commitment period of the Kyoto Protocol;
 - (ii) On 31 December 2025;
 - (iii) On the date determined under the conditions of the PoA period that may be specified by the respective host Party(ies) in accordance with paragraph 27(b) of the RMPs;
 - (b) The maximum remaining number of renewals of PoA periods under the CDM prior to the transition shall be carried over to the Article 6.4 mechanism unless the host Party specifies that the PoA period may not be renewed pursuant to paragraph 27(b) of the RMPs;
 - (c) After the first renewal of the PoA period under the Article 6.4 mechanism, the duration of each subsequent PoA period shall be the same as that for any new Article 6.4 mechanism PoAs (i.e. 5 years ~~or, in respect of activities involving removals, 15 years~~), subject to approval by the Supervisory Body or any shorter PoA period specified by the host Party pursuant to paragraph 27(b) of the RMPs.

5.3. Component project activities

13. The CPAs included in a CDM PoA that is eligible for transition in accordance with paragraph 11 above may transition to the Article 6.4 mechanism if their crediting periods would have been active as of 1 January 2021 had the crediting periods under the CDM continued after the end of the second commitment period of the Kyoto Protocol, provided they meet design requirements for transition as contained in section 6 below.
14. The transition of CPAs shall be in conjunction with the transition of the CDM PoA that they are included in, in accordance with the transition procedure.
15. The crediting period type, the end of the current crediting period, the renewability of the crediting period after transition, and the duration of each subsequent crediting period after the first renewal under the Article 6.4 mechanism of transitioning CPAs shall follow the same rules for transitioning CDM project activities referred to in paragraphs 8–10 above.

5.4. Activities in provisional requests

16. The crediting period type, the duration of each crediting period, and the renewability of the crediting period of the project activities in the provisional requests for registration shall follow the same rules for any new Article 6.4 mechanism projects.
17. The duration of each PoA period and the renewability of the PoA period of the PoAs in the provisional requests for registration shall follow the same rules for any new Article 6.4 mechanism PoAs.

18. The crediting period type, the duration of each crediting period, and the renewability of the crediting period of the CPAs in the ~~requests for~~ inclusion listed as provisional under the temporary measures shall follow the same rules for any new Article 6.4 mechanism CPAs.

6. Transition requirements on activity design

6.1. Activity type

19. The activity type of a CDM project activity, or PoA and the CPAs therein, that may transition to the Article 6.4 mechanism shall be among those indicated publicly by the host Party to the Supervisory Body as it would consider approving pursuant to paragraph 26(e) of the RMPs.

6.2. Methodology

20. A CDM project activity, or PoA and CPAs therein, that may transition to the Article 6.4 mechanism may continue to apply the currently applied CDM methodology until the earlier of the end of the current crediting period or PoA period as applicable, or 31 December 2025. After that date, it shall apply an Article 6.4 mechanism methodology (hereinafter referred to as mechanism methodology).
21. For a CDM project activity or CPA with the fixed crediting period type, if the replacement of the currently applied CDM methodology with a mechanism methodology is due before the end of the current crediting period under the Article 6.4 mechanism, such replacement shall be made through the post-registration change process applicable to any new A6.4 activities.
22. Notwithstanding the provision in paragraph 20 above, if the currently applied CDM methodology does not meet the methodological requirements that may be specified by the host Party in accordance with paragraph 27(a) of the RMPs, it shall be replaced with a mechanism methodology.
23. A CDM project activity, or PoA and CPAs therein, may voluntarily replace the currently applied CDM methodology with a mechanism methodology at transition. Also, they may be required to do so in accordance with paragraph 22 above. In these cases, the project design document, or PoA design document and CPA design documents, shall be revised by the project participant, validated by a designated operational entity, and approved by the Supervisory Body in accordance with the transition procedure.
24. For a transitioning CDM PoA,:
- (a) If the PoA continues to apply the currently applied CDM methodology at transition in accordance with paragraph 20 above, no new CPA may be included in the PoA until the PoA period is renewed under the Article 6.4 mechanism applying a mechanism methodology;
 - (b) The mandatory replacement of the currently applied CDM methodology with a mechanism methodology referred to in paragraph 22 above shall be made to both the PoA and the CPAs therein at transition;
 - (c) If the voluntary replacement of the currently applied CDM methodology with a mechanism methodology referred to in paragraph 23 above takes place at the PoA

level at transition, any new CPAs that may be included after the transition shall apply the mechanism methodology, while the existing CPAs may choose to continue applying the currently applied CDM methodology until the earlier of the end of their respective current crediting periods or 31 December 2025, or switch to the mechanism methodology at transition;

- (d) If the voluntary replacement of the currently applied CDM methodology with a mechanism methodology referred to in paragraph 23 above takes place for any of the existing CPAs at transition, such voluntary replacement shall also take place at the PoA level at transition.
25. A CDM project activity, or PoA and CPAs therein, that may transition to the Article 6.4 mechanism are in compliance with the requirement of paragraph 31(a) of the RMPs regarding additionality of their mitigation of GHG emissions as long as they continue to apply the CDM methodology in accordance with paragraphs 20–24 above. If the CDM methodology is replaced with a mechanism methodology mandatorily or voluntarily, additionality shall be demonstrated in accordance with the relevant requirements applicable to any new A6.4 activities.

6.3. Global warming potentials

26. For transitioning CDM project activities, PoAs and CPAs therein, the 100-year time-horizon global warming potentials (GWPs) from the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC) as contained in the attachment, or 100-year time-horizon GWPs from a subsequent IPCC assessment report as agreed upon by the CMA, shall be used to report aggregate emission reductions ~~or removals~~ of greenhouse gases achieved or expected to achieve in the period from 1 January 2021, expressed in tonnes of carbon dioxide equivalent. This requirement shall be consistently applied to all aspects of the activity design, including for:
- (a) Estimation of emission reductions ~~or removals~~ each year during the crediting period;
- (b) Calculation of transition fee in accordance with the transition procedure;
- (c) Demonstration of additionality, if applicable;
- (d) Calculation of emission reductions ~~or removals~~ achieved for a specific monitoring period.

6.4. Other design requirements

6.4.1. Long-term benefits related to climate change

27. A CDM project activity, or PoA and CPAs therein, that may transition to the Article 6.4 mechanism is in compliance with the requirement of paragraph 31(d)(i) of the RMPs regarding delivering long-term benefits related to climate change referred to in paragraph 37(b) of decision 1/CP.21 if the host Party approves the transition and the activities comply with the methodological conditions that may be specified by the host Party in accordance with paragraph 27(a) of the RMPs.

6.4.2. Addressing non-permanence risk

28. The project participant of A-a CDM project activity, or PoA and CPAs therein, that may transition to the Article 6.4 mechanism ~~is in compliance with the requirement of paragraph 31(d)(ii) of the RMPs regarding minimizing the risk of non-permanence of GHG emission reductions or net GHG removals over multiple nationally determined contribution (NDC) implementation periods and, where reversals occur, ensure that these are addressed in full, unless they are the types of activities to be specified by the Supervisory Body as having such risk. For these specified types of activities, compliance of this requirement shall be demonstrated in accordance with a specific guidance to be developed by the Supervisory Body shall determine whether the use of fossil fuels for co-firing or as a backup fuel is possible, and whether existing monitoring plan of the activity takes into account emissions from the use of such fossil fuels.~~
29. The project participant of a CDM project activity, or PoA and CPAs therein, that may transition to the Article 6.4 mechanism shall, if the activity apply(ies) one or more of the following CDM methodologies identified as having a risk of negative emission reductions, assess all the monitoring reports of the activity(ies) beginning from the start of the crediting period of the activity(ies) under the CDM, to determine if there was any accrual of net negative emission reductions and ensure that such negative emission reductions are to be taken into account in emission reductions occurring after 2020:
- (a) ACM0005: Increasing the blend in cement production;
 - (b) ACM0006: Consolidated methodology for electricity and heat generation from biomass;
 - (c) ACM0017: Production of biodiesel for use as fuel;
 - (d) ACM0018: Electricity generation from biomass residues in power-only plants;
 - (e) ACM0020: Co-firing of biomass residues for heat generation and/or electricity generation in grid connected power plants;
 - (f) ACM0022: Alternative waste treatment processes;
 - (g) AM0036: Fuel switch from fossil fuels to biomass residues in heat generation equipment;
 - (h) AM0057: Avoided emissions from biomass wastes through use as feed stock in pulp and paper, cardboard, fibreboard or bio-oil production;
 - (i) AM0061: Methodology for rehabilitation and/or energy efficiency improvement in existing power plants;
 - (j) AM0094: Distribution of biomass based stove and/or heater for household or institutional use;
 - (k) AM0108: Interconnection between electricity systems for energy exchange.

6.4.3. Environmental and social impacts

30. The project participant of A-a CDM project activity, or PoA and CPAs therein, that may transition to the Article 6.4 mechanism shall ~~undergo an analysis of analyse~~ environmental

and social impacts and sustainable development benefits of their operation, and provide a summary of the analysis and a monitoring plan of such impacts and planned remedial measures of negative impacts, if any, during the operation of the activity, in accordance with the “Article 6.4 mechanism sustainable development tool”. ~~If this tool is not available at the time of submission of additional documentation to the secretariat to initiate the processing of the transition request in accordance with the transition procedure, the “Sustainable development co-benefits tool” developed under the CDM shall be followed for the same purpose.~~

31. If the tool referred to in paragraph 30 above is not available at the time of the submission of additional documentation to the secretariat to initiate its processing of the transition request in accordance with the transition procedure, the project participant shall prepare a “Sustainable development co-benefits description report” in accordance with the “Sustainable development co-benefits tool” developed under the CDM.⁷ In this case, the project participant shall additionally develop a document describing how the project participant intends to monitor sustainable development co-benefits of the activity, including the frequency of reporting of monitoring results.

6.5. Activities in provisional requests

32. For provisional requests for registration **and provisional inclusions of CPAs**, the same requirements on the activity type, applicable methodology and GWPs, and other design requirements as for transitioning CDM project activities, PoAs and CPAs referred to in sections 6.1–6.4 above shall apply mutatis mutandis.
33. For provisional requests for issuance, provided that the underlying CDM project activity or PoA has successfully transitioned to the Article 6.4 mechanism without replacing the currently applied CDM methodology, the calculation of achieved emission reductions **or removals** shall be revised by applying the GWPs referred to in section 6.3 above. If the underlying CDM project activity or PoA voluntarily replaced the currently applied CDM methodology with a mechanism methodology at transition in accordance with paragraph 23 above, provisional requests for issuance shall not be **eligible** for finalization under the transition procedure. Such issuance requests shall follow the same rules for any new issuance requests under the Article 6.4 mechanism.
34. Provisional requests for renewal of crediting period may not be finalized under the transition process.

⁷ Available at: <https://www4.unfccc.int/sites/sdcmicrosite/Pages/SD-Tool.aspx>.

Attachment. Global warming potentials for transitioning activities

- The table below lists the GWP values of greenhouse gases for the 100-year time horizon from the Fifth Assessment Report of the IPCC, applicable to CDM activities that transition to the Article 6.4 mechanism for reporting aggregate emission reductions **or removals** of greenhouse gases achieved or expected to be achieved by the activities in the period from 1 January 2021, expressed in tonnes of carbon dioxide equivalent.

Table. Global warming potentials applicable for transitioning CDM activities

Greenhouse gas	GWP for 100-year time horizon
Carbon dioxide (CO ₂)	1
Methane (CH ₄)	28
Nitrous oxide (N ₂ O)	265
Hydrofluorocarbons (HFCs)	
HFC-23	12,400
HFC-134a	1,300
Perfluorocarbons (PFCs)	
CF ₄	6,630
C ₂ F ₆	11,100
Sulfur hexafluoride (SF ₆)	23,500
Nitrogen trifluoride (NF ₃)	16,100

- For greenhouse gases that are not listed in this table, see “Climate Change 2015: Physical Science Basis – Working Group I Contribution to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change”, Table 8.A.1 (pages 731–738).¹

¹ https://www.ipcc.ch/site/assets/uploads/2018/02/WG1AR5_all_final.pdf.

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Appendix 2. Draft procedure for transition of CDM activities to the Article 6.4 mechanism (version 02.0)

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1. Background

3. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its third session, adopted rules, modalities and procedures (RMPs) for the mechanism established by Article 6, paragraph 4, of the Paris Agreement (the Article 6.4 mechanism).¹ Chapter XI.A of the RMPs contains provisions that allow transition of project activities and programmes of activities (PoAs) registered under the clean development mechanism (CDM) under Article 12 of the Kyoto Protocol or listed as provisional as per the temporary measures adopted by the Executive Board of the CDM² (hereinafter referred to as provisional requests) to the Article 6.4 mechanism.
4. The CMA, at its fourth session, elaborated key conditions and processes for such transition ~~(hereinafter referred to as the elaboration of the RMPs)~~.³ At the same session, the CMA also requested⁴ the Supervisory Body to facilitate the tasks related to the transition of CDM activities to the Article 6.4 mechanism by:
 - (a) Developing and operationalizing a procedure for requesting transition, which includes relevant forms, by no later than June 2023;
 - (b) Developing and operationalizing the transition process and reporting back to the CMA at its fifth session.
5. Pursuant to its mandate from the CMA to operationalize the transition process, the Supervisory Body has adopted the “Standard for transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as the transition standard) and the “Procedure for transition of CDM activities to the Article 6.4 mechanism” (hereinafter referred to as this procedure) and relevant forms as contained in this document.

2. Scope

6. This procedure sets out procedural steps for CDM project activities, PoAs and component project activities (CPAs) therein to transition to the Article 6.4 mechanism, as well as corresponding procedural steps for provisional requests to be finalized under the Article 6.4 mechanism.

3. Entry into force

7. ~~Version 01.0~~ This version of this procedure enters into force on 1 January 2024 except for the process of submission of transition requests to the secretariat, which is 30 June 2023, ~~subject to the availability of the detailed regulatory elements to enable the~~

¹ Decision 3/CMA.3, annex, as contained in document FCCC/PA/CMA/2021/10/Add.1 available at: https://unfccc.int/sites/default/files/resource/cma2021_10_add1_adv.pdf#page=25.

² At its 108th meeting (see paragraphs 7–8 of the meeting report) and later clarified in the document entitled “Clarification: Regulatory requirements under temporary measures for post-2020 cases” available at http://cdm.unfccc.int/sunsetcms/storage/contents/stored-file-20220314132358671/Reg_Clar03v02.pdf.

³ Decision 7/CMA.4, annex I, chapter I, in document FCCC/PA/CMA/2022/10/Add.2 (Advance Version) available at: https://unfccc.int/sites/default/files/resource/cma2022_10a02_adv.pdf#page=33.

⁴ Decision 7/CMA.4, paragraph 23.

~~operationalization of the transition process referred to in this procedure and the transition standard, to be developed by the Supervisory Body.~~

4. Terms and definitions

8. The following terms apply in this procedure:
- (a) “Shall” is used to indicate requirements to be followed;
 - (b) “Should” is used to indicate that among several possibilities, one course of action is recommended as particularly suitable;
 - (c) “May” is used to indicate what is permitted;
 - (d) “The project participant” shall be read as the focal point entity designated by the project participants of the CDM project activity or PoA for scope (c) as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” and the “CDM project cycle procedure for programmes of activities”, respectively”.⁵

5. Process for transition

5.1. Submission of transition request

9. The project participants of a CDM project activity or PoA that is eligible for transition to the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard shall, if they it wishes for such transition, submit a request for transition to indicate the wish of transition to the secretariat through a dedicated interface on the UNFCCC website, providing the information in the form contained in attachment 1,⁶ by 31 December 2023, 24:00 (Central European Time).
10. In requesting the transition of a CDM PoA that had included CPAs under the CDM, the project participants shall specify which of the included CPAs that are eligible for transition to the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard they it wishes to transition to the Article 6.4 mechanism. CPAs included in a PoA under the CDM may transition to the Article 6.4 mechanism only in conjunction with the transition of the PoA to the Article 6.4 mechanism.
11. The secretariat shall check the completeness of the information provided in the submitted request for transition and, if found complete, publish the request on the UNFCCC website providing the web link to the project information page of the CDM project activity or CDM

⁵ In accordance with paragraph 42 of the “CDM project cycle procedure for project activities” (version 03.0) and paragraph 33 of the “CDM project cycle procedure for programmes of activities” (version 03.0), the focal point entities designated by the project participants for scope (c) are granted the authority to communicate on their behalf with the Board and the secretariat on all other project-related matters not covered by: (a) communication in relation to requests for forwarding of certified emission reductions to individual accounts of the project participants; or (b) communication in relation to requests for addition and/or voluntary withdrawal of the project participants and focal points, as well as changes to company names, legal status, contact details and specimen signatures.

⁶ The secretariat may convert the form into an electronic interface.

PoA on the UNFCCC CDM website, and assigning a unique reference number(s) that enable(s) the identification of the CDM project activity, or PoA and CPAs therein, transitioned to the Article 6.4 mechanism, distinguishing them from new activities registered under the Article 6.4 mechanism. If found incomplete, the secretariat shall promptly notify the reason for the incompleteness to the project participants. The project participants shall submit a corrected request for transition within 14 days of the notification; otherwise the secretariat shall reject the request.

12. After publishing the request for transition on the UNFCCC website, the secretariat shall promptly inform the DNA for the Article 6.4 mechanism and the DNA for the CDM of the host Party of the CDM project activity or PoA of the receipt of the request. If the host Party has not yet established the DNA for the Article 6.4 mechanism, the secretariat shall inform its national focal point to the UNFCCC (hereinafter collectively referred to as the DNA for the Article 6.4 mechanism) of the request.⁷ With this, the request for transition shall be deemed as having been submitted by the project participants to both the secretariat and the host Party in accordance with paragraph 73(a) of the RMPs.

5.2. Global stakeholder consultation

13. Parties, stakeholders and UNFCCC admitted observer organizations may submit comments, in English, on the transition of the CDM project activity, or PoA and CPAs therein, to the secretariat through a dedicated interface on the UNFCCC website within 28 days of the publication of the request for transition on the UNFCCC website. The submitters of the comments shall provide the names and contact details of the individuals or organizations on whose behalf the comments are submitted. Comments from stakeholders shall:
 - (a) Be specific to the CDM project activity, or PoA and CPAs therein, being requested for transition;
 - (b) Be related to the compliance with applicable rules and regulations for transition.
14. The secretariat shall make the comments that meet the requirements in paragraph 13 above publicly available on the UNFCCC website where the transition request is displayed and inform the publication of the comments to the Supervisory Body and the DNAs of the host Party and other Parties involved.

5.3. Submission of host Party approval

15. The host Party of the CDM project activity, or PoA and CPAs therein, regarding which a request for transition has been published on the UNFCCC website shall, if it approves such transition, submit an approval of transition from its DNA for the Article 6.4 mechanism to the Supervisory Body through a dedicated interface on the UNFCCC website, providing the information in the form contained in attachment 2,⁸ by 31 December 2025, 24:00

⁷ The contacts of national focal points of Parties to the UNFCCC are listed at: <https://unfccc.int/process/parties-non-party-stakeholders/parties/national-focal-point>.

⁸ The secretariat may convert the form into an electronic interface.

(Central European Time). If there is more than one host Party for a transitioning CDM PoA,⁹ all host Parties of the PoA shall submit such approval by the deadline.

16. The host Party may submit an approval of transition only after it has fulfilled the requirements for participating in the Article 6.4 mechanism referred to in paragraph 26 of the RMPs by demonstrating the fulfilment in accordance with the relevant provisions in the “Article 6.4 ~~mechanism~~ activity cycle procedure for projects” or the “Article 6.4 ~~mechanism~~ activity cycle procedure for programmes of activities”, as applicable.

17. *[Placeholder for possible provisions on the submission of the statement of authorization on the use of A6.4ERs that will be issued for the proposed A6.4 project]*¹⁰

18. The secretariat shall publish the host Party approval of transition on the UNFCCC website and promptly inform the project participants^s of the receipt of such approval.

5.4. Submission of additional documentation

19. If the project participants^s continues^s to apply the currently applied CDM methodology to the transitioning CDM project activity, or PoA and CPAs therein, in accordance with the relevant provisions in the transition standard, ~~they-it~~ shall, within six months of the publication of the host Party approval of transition in accordance with paragraph 18 above, submit to the secretariat, through a dedicated interface on the UNFCCC website, an addendum to the respective project design document (PDD), or PoA design document (PoA-DD) and CPA design documents (CPA-DDs), using the “~~Addendum form for transition request~~” (A6.4M-TR-ADD-FORM)-form contained in attachment 3¹¹ to attest that the CDM project activity, or PoA and CPAs therein, have been implemented in accordance with the registered PDD, or PoA-DD and CPA-DDs, as well as to demonstrate the compliance with additional requirements for registration under the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard. With the attestation in the addendum, the relevant PDD, or PoA-DD and CPA-DDs, shall be deemed submitted by the project participants^s.
20. If the project participants^s voluntarily replaces^s, or ~~are-is~~ required to replace, the CDM methodology currently applied to the transitioning CDM project activity, or PoA and CPAs therein, with an Article 6.4 mechanism methodology (hereinafter referred to as mechanism methodology) in accordance with the relevant provisions in the transition standard, ~~they-it~~ shall revise the PDD, or PoA-DD and CPA-DDs, accordingly, using the corresponding forms developed for Article 6.4 mechanism activities, and request a designated operational entity (DOE) accredited in the relevant sectoral scope under the Article 6.4 mechanism to validate them to demonstrate appropriate application of the mechanism methodology and the compliance with additional requirements for registration under the Article 6.4 mechanism in accordance with the relevant provisions in the transition standard. If the validation outcome is positive (i.e. the mechanism methodology is appropriately applied and the compliance with additional requirements for registration under the Article 6.4 mechanism is demonstrated), the DOE shall, within one year of the

⁹ Under the CDM, a PoA may be designed beyond the border of a country and thus could have more than one host Party, while a project activity shall be designed within the border of a country and thus will always have only one host Party.

¹⁰ This placeholder may be developed based on the relevant guidance of the CMA.

¹¹ The secretariat may convert this form into an electronic interface for the submission of the addendum.

publication of the host Party approval of transition in accordance with paragraph 18 above or within one year after the applicable mechanism methodology becomes available, whichever is later, submit to the secretariat, through a dedicated interface on the UNFCCC website, the revised PDD, or PoA-DD and CPA-DDs, as well as the validation report.

5.5. Processing of transition request

21. The secretariat shall, upon receipt of the additional documentation referred to in paragraph 19 or 20 above, issue a statement of the transition fee due, determined based on the following principles, and shall communicate it to the project participants or the DOE who submitted the additional documentation (hereinafter collectively referred to as the proponent):
- (a) Requests for transition of CDM project activities, ~~and~~ PoAs ~~and CPAs~~ are subject to the share of proceeds applicable to requests for registration of projects and PoAs ~~and inclusion of component projects (CPs)~~, respectively, under the Article 6.4 mechanism;¹²
 - (b) All fees for requests for registration, issuance, renewal and post-registration changes to project activities and PoAs as well as inclusion of CPAs under the Article 6.4 mechanism are waived for activities in least developed countries and small island developing States.¹³
22. The project participants shall pay the transition fee by bank transfer, quoting the unique reference number referred to in paragraph 11 above. The project participants shall submit a proof of payment (e.g. bank transfer record) through a dedicated interface on the UNFCCC website.
23. The paid transition fee may be reimbursed in full or partially if the request for transition is withdrawn by the proponent or rejected by the Supervisory Body in line with the corresponding provisions on the reimbursement of the registration fee as contained in the “Article 6.4 ~~mechanism~~ activity cycle procedure for projects” or the “Article 6.4 ~~mechanism~~ activity cycle procedure for programmes of activities”.
24. The secretariat shall, upon receipt of the proof of payment of the transition fee if it is due, or upon receipt of the additional documentation if the transition fee is not due, commence the completeness check of the additional documentation. To commence the completeness check, the secretariat shall prioritize requests for transition for small-scale CDM project activities and CDM PoAs.
25. The secretariat shall conclude the completeness check within seven days of its commencement. If, during the completeness check, the secretariat identifies issues of an editorial nature or of consistency in the documentation, it shall request the proponent to submit revised documents to correct them. In this case, the proponent shall submit the requested documents within two days of receipt of the request. Upon receipt of the revised documents, the secretariat shall resume the completeness check. If the proponent does not submit the requested documents by this deadline, the secretariat shall conclude that the request submission is incomplete and inform the proponent that the request for transition cannot be processed any further. The proponent may restart the process by

¹² In accordance with decision 7/CMA.4, annex I, paragraph 14(a).

¹³ In accordance with decision 7/CMA.4, annex I, paragraph 58.

submitting the additional documentation again with the revised documents in accordance with section 5.4 above, which is subject to a new transition fee.

26. Upon positive conclusion of the completeness check, the secretariat shall commence the substantive check of the additional documentation to determine whether the transitioning CDM project activity, or PoA and CPAs therein, complies with the relevant requirements for transition contained in the transition standard within 21 days of its commencement. Upon conclusion of the substantive check, the secretariat shall publish the additional documentation and indicate the completion of the substantive check on the UNFCCC website and notify the host Party and the Supervisory Body of the completion of the substantive check to initiate the review process in accordance with section 5.6 below. If, during the substantive check, the secretariat identifies substantive issues that may be resolved by revising the additional documentation, it shall request the proponent to submit revised documents to resolve them. In this case, the proponent shall submit the requested documents within four days of receipt of the request. Upon receipt of the revised documents, the secretariat shall resume the substantive check. If the proponent does not submit the requested documents by this deadline, the secretariat shall conclude that the request submission is incomplete.
27. The secretariat shall prepare and send to the Supervisory Body a summary note on the transition request, summarizing its findings in respect of the compliance with the relevant requirements for transition contained in the transition standard and including its recommendation whether to approve the requested transition within 14 days of the date of publication of the additional documentation.

5.6. Requesting review of transition request

28. The host Party of the transitioning CDM project activity or PoA, or any member or alternate member of the Supervisory Body, may request a review of the request for transition within 28 days of receipt of the notification of the completion of the substantive check in accordance with paragraph 26 above, through a dedicated interface on the UNFCCC website, providing reasons for requesting a review.
29. If a review of the request for transition is requested by the host Party or any member or alternate member of the Supervisory Body, the secretariat shall notify the proponent that the request is under review, indicate such status on the UNFCCC website, and present the case to the Supervisory Body at its next meeting or electronically.

5.7. Finalizing transition request

30. If no review of the request for transition is requested by the deadline referred to in paragraph 28 above, the request for transition shall be deemed approved by the Supervisory Body.
31. If a review of the request for transition is requested, the Supervisory Body shall review the request and take one of the following decisions, taking into account the presentation on the case by the secretariat:
- (a) Approve the request as in the additional documentation;
 - (b) Approve the request with modifications to the additional documentation, pending the proponent's agreement with the modifications;

- (c) Reject the request.
32. For the case referred to in paragraph 31(b) above, if the proponent does not agree with the modification within seven days of the decision, the request shall be deemed rejected by the Supervisory Body.
33. The secretariat shall notify the decision of the Supervisory Body to the proponent and the host Party, and indicate it on the UNFCCC website.
34. If the decision of the Supervisory Body is to reject the request for transition, the proponent may not resubmit a request for transition of the same CDM project activity, or PoA and CPAs therein.
35. If the decision of the Supervisory Body is to approve the transition of the CDM project activity, or PoA and CPAs therein, the secretariat shall inform the Executive Board of the CDM of the decision. The secretariat shall register the activity under the Article 6.4 mechanism, to be effective on the day it is deregistered from the CDM.
36. Irrespective of the effective date of transition, the crediting period of the transitioned project activity and CPAs as well as the PoA period of the transitioned PoA under the Article 6.4 mechanism shall start from 1 January 2021.
37. Once registered under the Article 6.4 mechanism, the transitioned project activities, PoAs and CPAs shall be subject to all relevant requirements under the Article 6.4 mechanism at all subsequent steps in the activity cycle of the Article 6.4 mechanism, taking into account the relevant provisions in the transition standard.

6. Process for finalizing provisional requests

38. Process for finalization of provisional requests shall follow the provisions in section 5 above, mutatis mutandis, with the following modifications:
- (a) The project participants may submit a request for finalization of a provisional request for issuance under the transition procedure if the underlying CDM project activity or PoA continues to apply the currently applied CDM methodology at transition, and only after the Supervisory Body has approved the transition of the underlying CDM project activity, or PoA and CPAs therein, to the Article 6.4 mechanism. In this case, the deadline for submission for the transition request referred to in paragraph 9 above is not applicable and additional documentation to be submitted in accordance with paragraph 19 above shall be a revised monitoring report instead of an addendum to the PDD, or PoA-DD and CPA-DDs, applying the global warming potentials for the period from 1 January 2021 as specified in the transition standard;
- (b) Provisional inclusion of CPAs may be finalized if the underlying CDM PoA continues to apply the currently applied CDM methodology at transition, and only in conjunction with the transition of the CDM PoA to the Article 6.4 mechanism. For this reason, the project participants shall include provisionally included CPAs in the request for transition of the underlying CDM PoA in accordance with section 5 above;
- (c) For finalization of the provisional request for issuance and the provisional inclusion of CPAs referred to in subparagraphs (a) and (b) above, an approval of the


finalization by the host Party shall not be required;¹⁴ therefore, section 5.3 above shall be skipped;

- (d) The project participants may not submit a request for finalization of the following provisional requests, noting that the corresponding requests may be submitted under the Article 6.4 mechanism in accordance with the relevant requirements and processes applicable to any Article 6.4 mechanism activities, after the Supervisory Body has approved the transition of the underlying CDM project activity, or PoA and CPAs therein, to the Article 6.4 mechanism:
- (i) Provisional requests for issuance if the underlying CDM project activity, or PoA and CPAs therein, voluntarily replaced, or were required to replace, the currently applied CDM methodology with a mechanism methodology in accordance with paragraph 20 above;
 - (ii) Provisional requests for renewal;
- (e) The fee for finalization of a provisional request shall be determined based on the following principles:¹⁵
- (i) Requests for finalization of provisional requests for registration of project activities and PoAs are subject to the share of proceeds applicable to requests for registration of projects and PoAs, respectively, under the Article 6.4 mechanism;
 - (ii) Requests for finalization of provisional inclusion of CPAs are subject to the share of proceeds applicable to inclusion of CPAs in a registered PoA under the Article 6.4 mechanism;
 - (iii) Requests for finalization of provisional requests for issuance are subject to the share of proceeds applicable to requests for issuance under the Article 6.4 mechanism;
 - (iv) All fees for requests for registration, issuance, renewal and post-registration changes to project activities and PoAs as well as inclusion of CPAs under the Article 6.4 mechanism are waived for activities in least developed countries and small island developing States.

¹⁴ This is due to the fact that a request for finalization of a provisional request for issuance or provisional inclusion of a CPA may be submitted only after the underlying CDM project activity, or PoA and CPAs therein, have successfully transitioned to the Article 6.4 mechanism in accordance with paragraph 38(a)–(b), for which the host Party approval has been provided.

¹⁵ Pursuant to decision 7/CMA.4, annex I, paragraphs 14(b)-(e) and 58.

Attachment 1. Form for transition request

 CDM activity transition request form¹ (Version 01.0)	
Type of transition request (Tick box(es))	<input type="checkbox"/> Transition of CDM activity <input type="checkbox"/> Finalization of provisional request ² <input type="checkbox"/> For registration <input type="checkbox"/> For issuance
Type of activity (Tick box(es))	<input type="checkbox"/> Project activity <input type="checkbox"/> Programme of activities (PoA) <input type="checkbox"/> Including component project activities (CPAs) ³
Title and UNFCCC reference number of activity	
Host Party(ies)	
Crediting period type and expiry date of the current crediting period (for a project activity or PoA) (Tick box(es)) (Indicate the hypothetical expiry date under the CDM rules in the DD/MM/YYYY format)	<input type="checkbox"/> Renewable <input type="checkbox"/> In the first crediting/PoA period expiring on _____ <input type="checkbox"/> In the second crediting/PoA period expiring on _____ <input type="checkbox"/> In the third crediting/PoA period expiring on _____ <input type="checkbox"/> In the fourth PoA period expiring on _____ <i>(applicable only for PoAs)</i> <input type="checkbox"/> Fixed, expiring on _____

¹ This form is to be filled, signed and submitted by the person authorized for scope (c) by the project participants of the CDM project activity or PoA as indicated in the modalities of communication submitted in accordance with the “CDM project cycle procedure for project activities” or “CDM project cycle procedure for programmes of activities” to the secretariat and to the host Party(ies) of the project activity or PoA by no later than 31 December 2023. The secretariat may convert this form into electronic interface for submission of transition requests, in which case, the signature will be replaced with electronically secure means.

² Provisional requests for renewal will not be finalized under the transition process. Therefore, this form is not relevant.


³ CPAs already included in a CDM PoA may transition to the Article 6.4 mechanism only in conjunction with the transition of the PoA. Therefore, this box must be ticked if the project participants wish the CPAs to also transition to the Article 6.4 mechanism.

Crediting period type and duration of activity (for CPAs) (Tick box(es)) (Add rows as needed)	CPA ref. #	Crediting period type (renewable or fixed)	Expiry date of the current crediting period
Applied methodology (Tick boxes)	<input type="checkbox"/> Continue to apply the currently applied CDM methodology at transition <input type="checkbox"/> Replace the currently applied CDM methodology with an Article 6.4 mechanism methodology at transition <ul style="list-style-type: none"> <input type="checkbox"/> For project activity <input type="checkbox"/> For both PoA and all CPAs <input type="checkbox"/> For only PoA⁴ 		
I confirm that the crediting period or the PoA period of the activity was active as of 1 January 2021 and hereby submit request for transition to the Article 6.4 mechanism	Date (DD/MM/YYYY):		
	Names of the entity and the representative of the project participants: ⁵		
	Signature:		

⁴ For a PoA requesting transition, it is possible to voluntarily replace the currently applied CDM methodology with an Article 6.4 mechanism methodology at transition only for the PoA, while continuing to apply the currently applied CDM methodology for CPAs until either the end of the current crediting period or 31 December 2025, whichever is earlier.

⁵ Please write the name of the focal point entity designated by the project participants of the CDM project activity or PoA for scope (c) and the name of its representative as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” or the “CDM project cycle procedure for programmes of activities”, respectively.

Attachment 2. Form for host Party approval

 CDM activity transition approval form³¹ (Version 01.0)	
Type of transition request (Tick box(es))	<input type="checkbox"/> Transition of CDM activity <input type="checkbox"/> Finalization of provisional request ³² <ul style="list-style-type: none"> <input type="checkbox"/> For registration <input type="checkbox"/> For issuance
Type of activity (Tick box(es))	<input type="checkbox"/> Project activity <input type="checkbox"/> Programme of activities (PoA) <ul style="list-style-type: none"> <input type="checkbox"/> Including component project activities (CPAs)³³
Title and UNFCCC reference number of activity	
Confirmation of receipt of transition request (Tick box to confirm)	<input type="checkbox"/> The transition request for this activity(ies) has been received by the host Party by 31 December 2023
Confirmation on activity type (Tick box to confirm)	<input type="checkbox"/> The type of activity in the transition request is among the activity types that the host Party intends to approve as Article 6.4 mechanism activities ³⁴
Approving host Party	

³¹ This form is to be filled, signed and submitted by the representative of the designated national authority for the Article 6.4 mechanism as notified to the UNFCCC secretariat by no later than 31 December 2025. The secretariat may convert this form into an electronic interface for the submission of the host Party approval, in which case, the signature will be replaced with electronically secure means.

³² Provisional requests for renewal will not be finalized under the transition process. Therefore, this form is not relevant.

³³ CPAs already included in a CDM PoA may transition to the Article 6.4 mechanism only in conjunction with the transition of the PoA. Therefore, this box must be ticked if the host Party also approves the transition of the CPAs to the Article 6.4 mechanism.

³⁴ In accordance with paragraph 26(e) of the annex to decision 3/CMA.3, the host Party shall indicate publicly to the Supervisory Body the types of Article 6.4 mechanism activity that it would consider approving and how such types of activity and any associated emission reductions would contribute to the achievement of its nationally determined contribution, if applicable, its long-term low greenhouse gas emission development strategy, if it has submitted one, and the long-term goals of the Paris Agreement. Paragraph 6 of annex I to decision 7/CMA.4 further elaborated that CDM project activities, PoAs and the CPAs therein, and activities in provisional requests that may transition to the Article 6.4 mechanism shall be among the activity types indicated by the host Party.

I hereby approve the transition of the CDM activity to, or finalization of the provisional request under, the Article 6.4 mechanism, subject to approval by the Supervisory Body	Date (DD/MM/YYYY):
	Name of designated national authority organization:
	Name of representative of designated national authority:
	Signature:

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<p>Compliance with the registered design document, including the application of the currently applied CDM methodology</p> <p><i>Tick the applicable box</i></p>	<p><input type="checkbox"/> No post-registration change (PRC) occurred after 2020:</p> <p>I hereby confirm that the transitioning CDM activity has been implemented and monitored in accordance with the registered project design document (PDD), or programme of activities design document (PoA-DD) and component project activity design documents (CPA-DDs), as displayed on the project information page on the UNFCCC CDM website, including the application of the currently applied CDM methodology.</p> <p><input type="checkbox"/> A PRC occurred after 2020:</p> <p>I hereby confirm that I will seek approval of the PRC to the transitioning CDM activity under the mechanism established by Article 6, paragraph 4, of the Paris Agreement (Article 6.4 mechanism) after its transition to the Article 6.4 mechanism, noting that the PRC may not be approved by the Supervisory Body for the Article 6.4 mechanism. This may impact the crediting of Article 6, paragraph 4, emission reductions for the activity occurring after the PRC.</p>
<p>I confirm that the information provided in this form is correct</p>	<p>Date (DD/MM/YYYY):</p> <hr/> <p>Names of the entity and the representative of the project participants:³⁶</p> <hr/> <p>Signature:</p>

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³⁶ Please write the name of the focal point entity designated by the project participants of the CDM project activity or PoA for scope (c) and the name of its representative as communicated to the secretariat in the modalities of communication in accordance with the relevant provisions in the “CDM project cycle procedure for project activities” or the “CDM project cycle procedure for programmes of activities”, respectively.

Document information

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