

**A6.4-SB006-A03**

## Procedure

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# Article 6.4 mechanism activity cycle procedure for projects

Version 03.0



**United Nations**  
Framework Convention on  
Climate Change

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# 1. Introduction

## 1.1. Background

1. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), at its third session, adopted rules, modalities and procedures (RMPs) for the mechanism established by Article 6, paragraph 4, of the Paris Agreement (the Article 6.4 mechanism)<sup>1</sup> and requested the Supervisory Body, among others, to develop provisions for the development and approval of methodologies, validation, registration, monitoring, verification and certification, issuance, renewal, first transfer from the mechanism registry, voluntary cancellation and other processes pursuant to chapters V.B–L and VIII of the RMPs.<sup>2</sup>
2. The CMA, at its fourth session, elaborated some elements of the RMPs relating to the operation of the activity cycle of the Article 6.4 mechanism.<sup>3</sup>

## 1.2. Objectives

3. The objective of the “Article 6.4 mechanism activity cycle procedure for projects” (hereinafter referred to as this procedure) is to set out all procedural steps and requirements relating to the activity cycle processes for Article 6.4 mechanism projects (A6.4 projects).

# 2. Scope and entry into force

## 2.1. Scope

4. This procedure describes the administrative steps to follow for activity participants, designated national authorities (DNAs), designated operational entities (DOEs), other stakeholders, the Supervisory Body and the UNFCCC secretariat (hereinafter referred to as the secretariat) for registration, post-registration change, renewal of crediting period and deregistration of an A6.4 project, as well as issuance of Article 6, paragraph 4, emission reductions (A6.4ERs) for emission reductions or removals of greenhouse gases (GHGs) achieved by an A6.4 project and other actions related to the activity cycle of the Article 6.4 mechanism.

## 2.2. Entry into force

5. Version 01.0 of this procedure enters into force on 1 January 2024.

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<sup>1</sup> Decision 3/CMA.3, annex. Available at:  
[https://unfccc.int/sites/default/files/resource/cma2021\\_10\\_add1\\_adv.pdf#page=25](https://unfccc.int/sites/default/files/resource/cma2021_10_add1_adv.pdf#page=25).

<sup>2</sup> Decision 3/CMA.3, paragraph 5(a).

<sup>3</sup> Decision 7/CMA.4, annex I, chapters III–VI. Available at:  
[https://unfccc.int/sites/default/files/resource/cma2022\\_10a02\\_adv.pdf#page=33](https://unfccc.int/sites/default/files/resource/cma2022_10a02_adv.pdf#page=33).

### **3. Terms and definitions**

6. The following terms apply in this procedure:

- (a) “Shall” is used to indicate requirements to be followed;
- (b) “Should” is used to indicate that among several possibilities, one course of action is recommended as particularly suitable;
- (c) “May” is used to indicate what is permitted;
- (d) “Activity participant” is a public or private entity that participates in an A6.4 project.

### **4. Pre-registration activities**

#### **4.1. Participation of host Parties in the Article 6.4 mechanism**

7. Each host Party of A6.4 projects shall, prior to participating in the Article 6.4 mechanism, ensure that:

- (a) It is a Party to the Paris Agreement;
- (b) It has prepared, has communicated and is maintaining a nationally determined contribution (NDC) in accordance with Article 4,<sup>4</sup> paragraph 2;
- (c) It has designated a DNA for the Article 6.4 mechanism and has communicated that designation to the secretariat;
- (d) It has indicated publicly to the Supervisory Body how its participation in the Article 6.4 mechanism contributes to sustainable development, while acknowledging that the consideration of sustainable development is a national prerogative;
- (e) It has indicated publicly to the Supervisory Body the types of Article 6, paragraph 4, activity (A6.4 activity) that it would consider approving pursuant to chapter V.C (Approval and authorization) of the RMPs and how such types of activity and any associated emission reductions or removals would contribute to the achievement of its NDC, if applicable, its long-term low GHG emission development strategy, if it has submitted one, and the long-term goals of the Paris Agreement.

8. A host Party may specify to the Supervisory Body, prior to participating in the Article 6.4 mechanism:

- (a) Baseline approaches and other methodological requirements, including additionality, to be applied for A6.4 activities that it intends to host, in addition and subject to and consistent with the RMPs, under the supervision of the Supervisory Body, and subject to further relevant decisions of the CMA, with an explanation of how those approaches and requirements are compatible with its NDC and, if it has submitted one, its long-term low GHG emission development strategy;
- (b) Crediting periods to be applied for A6.4 activities that it intends to host, including whether the crediting periods may be renewed, subject to the RMPs and under the supervision of the Supervisory Body, and in accordance with further relevant

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<sup>4</sup> Unless otherwise stated, “Article” refers to that in the Paris Agreement.

decisions of the CMA, with an explanation of how those crediting periods are compatible with its NDC and, if it has submitted one, its long-term low GHG emission development strategy.

9. Each host Party shall, through its DNA, provide the information referred to in paragraph 7(d)–(e) above, and if the host Party opts to do so, also the information referred to in paragraph 8(a) and/or 8(b) above, to the Supervisory Body through a dedicated interface on the UNFCCC website. A host Party may, through its DNA, revise the information any time by providing the revised information through the same interface. The revised information shall not affect the A6.4 projects that have already been registered or the requests for registration that have already been submitted to the secretariat in accordance with this procedure.<sup>5</sup>
10. Each host Party shall ensure that, on a continuing basis:
  - (a) It is maintaining an NDC in accordance with Article 4, paragraph 2;
  - (b) Its participation in the Article 6.4 mechanism contributes to the implementation of its NDC and its long-term low GHG emission development strategy, if it has submitted one.
11. The secretariat shall publish the information provided by host Parties in accordance with paragraphs 7–9 above on the UNFCCC website.

#### **4.2. Prior consideration of the Article 6.4 mechanism**

12. The activity participants of a proposed A6.4 project shall demonstrate that the Article 6.4 mechanism benefits were considered necessary in the decision to implement the project by notifying the secretariat of the intention to seek registration of the proposed A6.4 project under the Article 6.4 mechanism (hereinafter referred to as prior consideration notification) through a dedicated interface on the UNFCCC website. If the project has already started as per the definition of the “start date” of a project in the “Article 6.4 mechanism activity standard for projects”, the activity participants shall submit such notification no later than 180 days after the start date of the project. The prior consideration notification shall accompany a summary of the project information, which shall include, at minimum:
  - (a) The project title;
  - (b) The names of the activity participants;
  - (c) The precise geographical location;
  - (d) A brief description of the technologies or measures to be deployed;
  - (e) The Article 6.4 mechanism methodology (hereinafter referred to as mechanism methodology) to be applied (if already known);

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<sup>5</sup> If a host Party does not provide information referred to in paragraph 7(a) above to the Supervisory Body, the methodological requirements contained in the applied mechanism methodology and any other methodological requirements as approved by the Supervisory Body for the relevant activity type shall apply. Likewise, if a host Party does not provide information referred to in paragraph 7(b) above to the Supervisory Body, the type and the length of the crediting period selected by the activity participant in accordance with paragraph 31(f) of the RMPs shall apply.

- (f) The type (fixed or renewable), planned start date and duration of the crediting period;
  - (g) The approximate amount of GHG emission reductions or net GHG removals expected to be achieved by the project in each year of the crediting period.
13. Notwithstanding the provision in paragraph 12 above, if the start date of a proposed A6.4 project is after 31 December 2020 and prior to the date of entry into force of version 01.0 of this procedure, the activity participants shall, if they wish to seek registration of the project under the Article 6.4 mechanism, provide a prior consideration notification through the dedicated interface on the UNFCCC website referred to in paragraph 12 above no later than 180 days after the entry into force of version 01.0 of this procedure.
14. Once the secretariat confirms that the required information has been duly provided, it shall assign a unique project reference number and publish the prior consideration notification on the UNFCCC website.
15. The secretariat shall, upon publication of the prior consideration notification on the UNFCCC website, inform the host Party of the receipt of such notification. The host Party may indicate through the dedicated interface on the UNFCCC website that it has no objection for the proposed A6.4 project to proceed with requesting registration under the Article 6.4 mechanism.

#### **4.3. Global stakeholder consultation**

16. The activity participants shall submit a project design document (PDD) prepared in accordance with the “Article 6.4 mechanism activity standard for projects”, using the “Project design document form” (A6.4M-PDD-FORM) within one year of the publication of the prior consideration notification or within one year after the applicable mechanism methodology becomes available, whichever is later. The secretariat shall promptly publish the PDD as submitted on the UNFCCC website.
17. Parties, stakeholders and UNFCCC-admitted observer organizations may submit comments in English on the proposed A6.4 project to the secretariat through a dedicated interface on the UNFCCC website within 28 days of the publication of the prior consideration notification on the UNFCCC website. The submitters of the comments shall provide the name and contact details of the individual or organization on whose behalf the comments are submitted. Comments from stakeholders shall:
- (a) Be specific to the proposed A6.4 project;
  - (b) Be related to the compliance with applicable Article 6.4 mechanism rules and regulations.
18. The secretariat shall make the comments that meet the requirements in paragraph 16 above publicly available on the UNFCCC website where the PDD is displayed, and inform the publication to the activity participants and the DNA of the host Party.

#### **4.4. Host Party approval**

19. The secretariat shall, upon publication of the PDD on the UNFCCC website, inform the host Party of the receipt of the PDD, and request the host Party, based on the project information contained in the PDD and taking into account the comments submitted during the global stakeholder consultation and published on the UNFCCC website in accordance

with section 4.3 above, and the implications of hosting the project on the implementation of its NDC, to respond to the publication, through a dedicated interface on the UNFCCC website any time after it has fulfilled the requirements for participating in the Article 6.4 mechanism referred to in paragraph 7 above by:

- (a) Approving the project to be registered under the Article 6.4 mechanism, subject to a positive validation outcome by a DOE and approval by the Supervisory Body; or
  - (b) Rejecting the project to be registered under the Article 6.4 mechanism, providing the reason for rejection.
20. The host Party, through its DNA, should promptly respond to the publication but no later than 90 days of the notification or in any longer time frame to be indicated by the host Party through the dedicated interface on the UNFCCC website. Before responding, the host Party, through its DNA, may request the activity participants to provide additional information of the proposed A6.4 project to support its consideration on whether to approve the project or authorize the activity participants.
21. If the host Party approves the project, the approval shall include:
- (a) Confirmation that, and information on how, the project fosters sustainable development in the host Party;
  - (b) Approval of any potential renewal of the crediting period, if the Party intends to allow the project to continue beyond the first crediting period, where the Party has specified that the crediting periods of A6.4 activities that it intends to host may be renewed pursuant to paragraph 27(b) of the RMPs;<sup>6</sup>
  - (c) Explanation of how the project relates to the implementation of its NDC and how the expected GHG emission reductions or net GHG removals contribute to the host Party's NDC and the purposes referred to in Article 6, paragraph 1;
  - (d) Authorization of the activity participants.
22. *[Placeholder for possible provisions on the submission of the statement of authorization on the use of A6.4ERs that will be issued for the proposed A6.4 project]*<sup>7</sup>
23. The secretariat shall, upon receipt of the response from the host Party, inform the activity participants of the response and publish the response on the UNFCCC website.

#### **4.5. Participating Party authorization**

24. Parties participating in the A6.4 project other than the host Party (hereinafter referred to as other participating Parties) shall, through a dedicated interface on the UNFCCC website, provide an authorization of an activity participant any time after the publication of the response from the host Party approving the project in accordance with paragraph 23 above but prior to the first transfer of issued A6.4ERs to the account of the activity participant in the mechanism registry.

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<sup>6</sup> See footnote 5 above.

<sup>7</sup> This placeholder may be developed based on the relevant guidance of the CMA.



## **4.6. Preparation and validation of project design document**

### **4.6.1. Project design document**

25. The activity participants of a proposed A6.4 project shall complete a PDD in accordance with the “Article 6.4 mechanism activity standard for projects”, taking into account the comments submitted during the global stakeholder consultation and published on the UNFCCC website in accordance with section 4.3 above, applying the same crediting period type and the start date of the crediting period indicated in the draft PDD referred to in paragraph 16 above.
26. If the activity participants wish to deviate from the selected mechanism methodology when applying it to the proposed A6.4 project, they shall, prior to the preparation of the PDD, submit a request for clarification to the secretariat on whether such deviation is acceptable in accordance with the “Procedure for development, revision and clarification of baseline and monitoring methodologies and methodological tools”.

### **4.6.2. Modalities of communication statement**

27. The activity participants of the proposed A6.4 project shall designate one or more focal point entities (hereinafter referred to as focal points) to communicate on their behalf with the Supervisory Body and the secretariat within the defined scopes of authority referred to in paragraph 29 below, and include this information in a modalities of communication (MoC) statement using the “Modalities of communication statement form” (A6.4M-MOC-FORM).
28. After the submission of a request for registration of the proposed A6.4 project in accordance with paragraph 38 below, all official communication between the activity participants and the Supervisory Body or the secretariat for the project shall be conducted in accordance with the MoC statement, with the exception of communications undertaken in accordance with paragraphs 104 and 116(b) below.
29. The activity participants shall grant the focal points the authority to:
  - (a) Communicate in relation to requests for forwarding of A6.4ERs to individual accounts of the activity participants (scope (a)); and/or
  - (b) Communicate in relation to changes to the MoC statement (scope (b)); and/or
  - (c) Communicate on all other project-related matters not covered by (a) or (b) above (scope (c)).
30. The activity participants may designate one or multiple entities for each scope of authority in a sole, shared or joint focal point role.<sup>8</sup>
31. The activity participants and the focal points may designate one primary authorized signatory and one alternate authorized signatory. The authentication of either the primary or alternate authorized signatory shall suffice for authenticating the activity participant’s or the focal point’s consent or instruction(s). If an entity is an activity participant and also a focal point, the same signatory shall represent the entity in both roles.

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<sup>8</sup> Joint focal point role requires consent from all focal point entities to communicate with the Supervisory Body or the secretariat. Shared focal point role allows any focal point entities to communicate with the Supervisory Body or the secretariat without requiring consent from other focal point entities.

32. The activity participants may voluntarily indicate in the MoC statement the end date of their participation in the proposed A6.4 project. The secretariat shall monitor the end date of participation and mark the activity participants as “withdrawn” on the UNFCCC website on the day after the end date, provided that at least one activity participant authorized by the host Party of the project remains. The activity participants whose participation in the project has ended may request inclusion as an activity participant of the same project any time thereafter in accordance with paragraph 121(a) below.
33. The secretariat shall, when conducting the completeness check of the request for registration in accordance with paragraphs 46–48 below, consider the contact details included in the MoC statement to be the valid contact details of the activity participants whenever such details differ from the details of the activity participants and their representatives included in the PDD of the proposed A6.4 project.
34. The secretariat shall publish non-confidential information included in the MoC statement on the respective A6.4 project webpage of the UNFCCC website following the registration of the project under the Article 6.4 mechanism.

#### **4.6.3. Validation of project design document**

35. The activity participants shall submit the PDD, the MoC statement and any supporting documentation to a DOE accredited in the relevant sectoral scope(s) and contracted by the activity participants to perform validation of the project for registration.
36. The DOE shall perform validation of whether the proposed A6.4 project complies with the relevant requirements in the “Article 6.4 mechanism activity standard for projects” and any other applicable Article 6.4 mechanism rules and requirements based on the information provided in the PDD, the MoC statement and any supporting documentation it has received from the activity participants as well as further information obtained during the validation activity, and prepare a validation report, in accordance with the “Article 6.4 mechanism validation and verification standard for projects”, using the “Validation report form for projects” (A6.4M-VAL-FORM).
37. For the purpose of possible selection of the validation activity for performance assessment of the DOE in accordance with the “Article 6.4 mechanism accreditation procedure”, the DOE shall notify the secretariat, through a dedicated interface on the UNFCCC website, of the timing of the site inspection of the proposed A6.4 project, which is to be conducted in accordance with the “Article 6.4 mechanism validation and verification standard for projects”, no later than four weeks prior to the inspection.

## **5. Registration of project**

### **5.1. Request for registration**

#### **5.1.1. Submission of request for registration**

38. The DOE shall, after validating that the proposed A6.4 project described in the PDD meets all relevant requirements for registration in the “Article 6.4 mechanism project standard for projects” and any other applicable Article 6.4 mechanism rules and requirements, submit a request for registration of the project to the secretariat through a dedicated interface on the UNFCCC website, including the reference to the prior consideration notification of the

project published in accordance with paragraph 14 above and the notification of the timing of the site inspection made in accordance with paragraph 37 above and attaching;

- (a) The PDD and any supporting documentation of the proposed A6.4 project prepared by the activity participants in accordance with paragraph 25 above and validated by the DOE in accordance with paragraph 36 above;
  - (b) The validation report prepared by the DOE in accordance with paragraph 36 above;
  - (c) The MoC statement prepared by the activity participants in accordance with section 4.6.2 above;
  - (d) *[Placeholder for a document that support the demonstration that the activity participants or the proposed A6.4 project are not involved or related to fraudulent or criminal activities]*.
39. A request for registration of a proposed A6.4 project may be submitted any time after the host Party has provided to the Supervisory Body an approval of the project in accordance with paragraph 19(a) above and published on the UNFCCC website in accordance with paragraph 23 above but within one year of the publication of such approval. If the start date of the crediting period of the proposed A6.4 project is a future date at the time of the submission of the request for registration, the submission shall be less than two years before the start date of the crediting period.
40. The secretariat shall issue a statement of the registration fee due, or confirmation that no registration fee is due, determined in accordance with the provisions on the registration fee contained in Appendix 1, and shall communicate this to the DOE.
41. The DOE shall communicate the registration fee due, or a confirmation that no registration fee is due, to the activity participants.
42. The activity participants shall pay the registration fee by bank transfer, quoting the unique project reference number referred to in paragraph 14 above, within one year of the issuance to the DOE of the statement of the registration fee due.
43. The DOE shall submit a proof of payment (e.g. bank transfer record) through a dedicated interface on the UNFCCC website. If the proposed A6.4 project applies:
- (a) A mechanism methodology or methodological tool that has been revised, withdrawn or suspended by the Supervisory Body, either proof of payment must be uploaded within 20 days or payment must be received by the secretariat within 40 days of the end of the grace period for revision or the date of withdrawal or suspension, as defined in the "Procedure for development, revision and clarification of baseline and monitoring methodologies and methodological tools"; and/or
  - (b) A standardized baseline that has been revised, suspended or has expired, either proof of payment must be uploaded within 20 days or payment must be received by the secretariat within 40 days of the end of the grace period for revision, the date of suspension or expiry, as defined in the "Procedure for development, revision, clarification and update of standardized baselines".

44. If the secretariat does not receive the deposit of the registration fee by the one-year deadline referred to in paragraph 42 above, the request for registration shall be deemed withdrawn. The same or a different DOE may submit a new request for registration of the same proposed A6.4 project any time thereafter, following the applicable Article 6.4 mechanism rules and requirements valid at the time of the new submission. In this case, the original prior consideration notification published in accordance with paragraph 14 above remains valid for the new request for registration.

#### **5.1.2. Processing of request for registration**

45. The secretariat shall maintain on the UNFCCC website a publicly available list of all submitted requests for registration for which the applicable registration fee has been received by the secretariat, or for which no registration fee is due. The secretariat shall make publicly available the schedule and the status of processing each request for registration. The secretariat shall schedule the commencement of the processing of the requests for registration in accordance with the secretariat's operational plans, that is, monthly quotas, which shall also incorporate any relevant instructions from the Supervisory Body.
46. The secretariat shall commence the completeness check stage in accordance with the schedule. Upon commencement of the completeness check stage, the secretariat shall, subject to the guidance of the Supervisory Body, conduct within seven days a completeness check to determine whether the request for registration submission is complete in accordance with the completeness check checklist for requests for registration.
47. If the secretariat, during the completeness check, identifies issues of an editorial nature or consistency in the submission, it shall request the DOE by e-mail, copying the activity participants, to submit revised documents and/or information. In this case, the DOE shall submit the requested documents and/or information within two days of receipt of the request. If the DOE does not submit the requested documents and/or information by this deadline, the secretariat shall conclude that the request submission is incomplete.
48. Upon conclusion of the completeness check stage, the secretariat shall notify the activity participants and the DOE of the conclusion of the completeness check stage. If the request submission does not meet the requirements of the completeness check, the secretariat shall also communicate the underlying reasons to the activity participants and the DOE, and make them publicly available on the UNFCCC website. In this case, the DOE may resubmit the request for registration with revised documentation. Upon submission of the revised documentation, the request shall be treated as a new submission of a request for registration.
49. Upon positive conclusion of the completeness check stage, the secretariat shall, subject to the guidance of the Supervisory Body, conduct within 21 days a substantive check in accordance with the substantive check checklist for requests for registration.
50. If the secretariat, during the substantive check, identifies issues of a substantive nature or missing basic information, it shall request the DOE, copying the activity participants, to submit revised documents and/or information. In this case, the DOE shall submit the requested documents and/or information within four days of receipt of the request. If the DOE does not submit the requested documents and/or information by this deadline, the secretariat shall conclude that the request submission is incomplete.

51. Upon conclusion of the substantive check stage, the secretariat shall notify the activity participants and the DOE of the conclusion of the substantive check stage. If the request submission does not meet the requirements of the substantive check, the secretariat shall conclude that the request submission is incomplete and communicate the underlying reasons to the activity participants and the DOE, and make them publicly available on the UNFCCC website. In this case, the DOE may resubmit the request for registration with revised documentation. Upon submission of the revised documentation, the request shall be treated as a new submission of a request for registration.
52. Upon positive conclusion of the substantive check stage, the secretariat shall publish the request for registration on the UNFCCC website, and the request for registration shall be deemed received by the Supervisory Body for consideration.
53. For resubmission of a request for registration after the completeness check or the substantive check in accordance with paragraph 79 or 51 above, respectively, the proposed A6.4 project may apply the same version of the mechanism methodology, methodological tool and/or standardized baseline applied in the initial submission within 90 days of the initial notification of the negative conclusion of the completeness check or the substantive check, even if the version of any of the mechanism methodology, methodological tool or standardized baseline applied is no longer valid. After this period, all resubmissions shall apply the version of the mechanism methodology, methodological tool and/or standardized baseline valid for a new request for registration.
54. The secretariat shall notify the activity participants, the DNAs of the host Party and other participating Parties, and the DOE: that the Supervisory Body has received the request for registration for its consideration; that the secretariat has published the request for registration on the UNFCCC website; and of the last day by which members and alternate members of the Supervisory Body, and the host Party and other participating Parties may request a review of the request for registration, as referred to in paragraph 56 below.
55. The secretariat shall, subject to the guidance of the Supervisory Body, prepare and send to the Supervisory Body a summary note on the request for registration within 14 days of the date of publication of the request for registration.

#### **5.1.3. Requesting review of request for registration**

56. The host Party and any other participating Party, and any member or alternate member of the Supervisory Body, may request a review of the request for registration within 28 days of the date of publication of the request for registration. If the host Party or other participating Party wishes to request a review, its DNA shall submit the request through a dedicated interface on the UNFCCC website. If a member or an alternate member of the Supervisory Body wishes to request a review, he/she shall submit the request through a dedicated interface on the UNFCCC website.
57. The secretariat shall acknowledge receipt of a request for review and promptly notify the Supervisory Body. A request for review shall not be recognized if it is received after 24:00 (Central European Time) on the last day of the 28-day period following the publication of the request for registration.
58. A request for review shall provide, inter alia, the reasons for the request for review based on the "Article 6.4 mechanism activity standard for projects", "Article 6.4 mechanism validation and verification standard for projects" or any other applicable Article 6.4 mechanism rules and requirements.

**5.1.4. Finalizing request for registration if no request for review**

59. If the secretariat does not receive a request for review from the host Party, any other participating Party, or any member or alternate member of the Supervisory Body in accordance with the modalities described section 5.1.3 above, the request for registration shall be deemed approved by the Supervisory Body, and the Supervisory Body shall register the proposed A6.4 project under the Article 6.4 mechanism.
60. If, as a result of the substantive check by the secretariat of the request for registration, the scale of the project in terms of estimated annual average GHG emission reductions or net GHG removals has moved to another tier of the registration fee defined in Appendix 1, the activity participants or the secretariat shall settle the difference in the registration fees. If an additional registration fee is due, the secretariat shall register the project upon receipt by the secretariat of the additional registration fee.
61. The crediting period of the registered A6.4 project shall start from the date indicated in the prior consideration notification referred to section 4.2 above, as reflected in the PDD.

**5.2. Review of request for registration****5.2.1. Commencement of review**

62. If the host Party or any other participating Party, or any member or alternate member, requests a review of the request for registration, the secretariat shall:
- (a) Notify the activity participants and the DOE that the request for registration has been placed under review;
  - (b) Mark the request for registration as “under review” on the UNFCCC website and make publicly available an anonymous version of each request for review;
  - (c) Establish an expert review team, comprising two external experts selected from the roster of experts established for this purpose, to conduct an assessment of the request for review. The secretariat shall appoint one of the team members to serve as the lead, who shall be responsible for all communications with the secretariat and delivery of an assessment report.
63. The DOE or the activity participants may request the secretariat, by e-mail through a dedicated e-mail address, to make a call to them to provide clarifications on the issues identified. Only one such request, regardless of the requesting Party, shall be allowed per review of the request for registration. In this case, the DOE or the activity participants shall provide the contact details of the person to be called and the preferred time slots. The secretariat shall fix an appointment for the call within three days of receipt of the request. The secretariat shall record the call.
64. The activity participants and the DOE shall provide responses to the issues identified in the request for review no later than 28 days after the notification of the request having being placed under review.
65. For each issue (or sub-issue) raised in the request for review, the activity participants and the DOE shall either:

- (a) Respond by making any revisions that they deem necessary to the PDD and/or validation report to ensure, inter alia, that all facts are clearly stated and sufficiently validated; or
  - (b) Respond in writing by explaining why no revisions to the PDD and/or validation report are necessary.
- 66. The secretariat shall schedule the commencement of the review of the request for registration in accordance with its operational plans and any relevant instructions by the Supervisory Body. The secretariat shall make the schedule of reviews publicly available on the UNFCCC website. Upon scheduling the commencement date, the secretariat shall inform the activity participants and the DOE of the scheduled commencement date.
- 67. The date of commencement of the review shall be the date when the secretariat notifies the activity participants and the DOE that the review has commenced.

#### **5.2.2. Assessment**

- 68. The expert review team established in accordance with paragraph 62(c) above shall conduct an assessment of the request for registration in the context of the reasons for the request for review provided by the requesting Party and the applicable Article 6.4 mechanism rules and requirements, taking into account the responses from the activity participants and the DOE, and prepare an assessment report including a proposed decision within 14 days of the commencement of the review. A proposed decision shall suggest that either:
  - (a) The proposed A6.4 project be registered under the Article 6.4 mechanism; or
  - (b) The request for registration be rejected.
- 69. If the proposed decision is to reject the request for registration, the assessment report shall include a proposed ruling. The proposed ruling shall contain an explanation of the reasons and rationale for the proposed decision, including, but not limited to:
  - (a) The facts and any interpretation of the facts that formed the basis of the proposed decision;
  - (b) The Article 6.4 mechanism rules and requirements applied to the facts;
  - (c) The interpretation of the Article 6.4 mechanism rules and requirements as applied to the facts.
- 70. In addition, the expert review team shall, in its assessment report, highlight any issues of significance related to the policies and goals of the Article 6.4 mechanism arising from the assessment. The secretariat, in consultation with the Chair of the Supervisory Body, shall bring these issues to the attention of the Supervisory Body by preparing background notes and policy options and presenting them to the Supervisory Body at its meetings.
- 71. The expert review team shall submit its assessment report to the Supervisory Body through the secretariat.
- 72. The secretariat shall make the assessment report available to the Supervisory Body, together with any responses from the activity participants and the DOE, and any revision to the PDD, validation report and/or other relevant documentation.

### 5.2.3. Consideration by the Supervisory Body

73. At the Supervisory Body meeting for which the case is placed on the agenda, the Supervisory Body shall, taking into account the assessment report prepared by the expert review team and the summary note prepared by the secretariat, decide to either:
- (a) Register the proposed A6.4 project under the Article 6.4 mechanism; or
  - (b) Reject the request for registration.

### 5.2.4. Finalization and implementation of ruling

74. If the Supervisory Body's final decision made in accordance with paragraph 73 above is to register the proposed A6.4 project under the Article 6.4 mechanism, the secretariat shall register it as an A6.4 project on the first working day subsequent to the finalization of the decision.
75. If, as a result of the substantive check by the secretariat or the review by the Supervisory Body of the request for registration, the scale of the project in terms of estimated annual average GHG emission reductions or net GHG removals has moved to another tier of the registration fee defined in Appendix 1, the activity participants or the secretariat shall settle the difference in the registration fees. If additional an registration fee is due, the secretariat shall register the project upon receipt by the secretariat of the additional registration fee.
76. The crediting period of the registered A6.4 project shall start from the date indicated in the prior consideration notification referred to section 4.2 above, as reflected in the PDD.
77. If the Supervisory Body's final decision made in accordance with paragraph 73 above is to reject the request for registration, the secretariat shall update the information on the UNFCCC website accordingly on the first working day subsequent to the finalization of the decision. Furthermore, within 21 days of the finalization of the decision, the secretariat shall provide the Chair of the Supervisory Body with an information note containing a proposed ruling incorporating the final decision.
78. The proposed ruling shall contain an explanation of the reasons and rationale for the final decision of rejection, including, but not limited to:
- (a) The facts and any interpretation of the facts that formed the basis of the proposed ruling;
  - (b) The Article 6.4 mechanism rules and requirements applied to the facts;
  - (c) The interpretation of the Article 6.4 mechanism rules and requirements as applied to the facts.
79. Once approved by the Chair of the Supervisory Body, the secretariat shall immediately make the proposed ruling available to the Supervisory Body. The proposed ruling shall become the final ruling of the Supervisory Body 10 days after the date when the proposed ruling was made available to the Supervisory Body, unless a member or an alternate member of the Supervisory Body objects to the proposed ruling.
80. An objection by a member or an alternate member of the Supervisory Body shall be made by notifying the secretariat, giving reasons in writing. The secretariat shall acknowledge receipt of the objection and make it available to the Supervisory Body.



81. At the Supervisory Body meeting for which the case is placed on the agenda, the Supervisory Body shall finalize the ruling.
82. The secretariat shall publish a ruling note on the UNFCCC website no later than three days after the ruling was finalized.
83. If the request for registration is rejected by the Supervisory Body, the same or a different DOE may resubmit the request for registration with revised documentation, addressing the issues that constitute the reason for the rejection by the Supervisory Body. Upon submission of the revised documentation, the request shall be treated as a new submission of a request for registration.

### **5.3. Withdrawal of request for registration**

#### **5.3.1. Submission of request for withdrawal**

84. For the following cases, the DOE shall submit a request for withdrawal of a request for registration to the secretariat through a dedicated interface on the UNFCCC website:
  - (a) The activity participants voluntarily wish for the request for registration to be withdrawn;<sup>9</sup>
  - (b) The DOE has revised its validation report based on new insights or information.

#### **5.3.2. Processing of request for withdrawal**

85. Upon receipt of the request for withdrawal of a request for registration, the secretariat shall check the information provided as soon as possible, and if the request is complete, the secretariat shall reimburse the registration fee, if applicable, in accordance with Appendix 1 and take the following actions:
  - (a) If the DOE requests the withdrawal prior to the publication of the request for registration made in accordance with paragraph 52 above, the secretariat shall not mark the proposed A6.4 project as “withdrawn” on the UNFCCC website;
  - (b) If the DOE requests the withdrawal after the publication of the request for registration made in accordance with paragraph 52 above, the secretariat shall mark the proposed A6.4 project as “withdrawn” on the UNFCCC website.

## **6. Post-registration activities**

### **6.1. Continuous engagement of stakeholders**

86. Anytime after the registration of a project under the Article 6.4 mechanism, Parties, stakeholders and UNFCCC-admitted observer organizations may submit comments in English on the registered A6.4 project to the secretariat through a dedicated interface on the UNFCCC website. The submitters of the comments shall provide the name and contact details of the individual or organization on whose behalf the comments are submitted. Comments from stakeholders shall:
  - (a) Be specific to the registered A6.4 project;

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<sup>9</sup> In such cases, the DOE shall process the request expeditiously.

- (b) Be related to the compliance with applicable Article 6.4 mechanism rules and regulations.

87. The secretariat shall promptly make the comments that meet the requirements in paragraph 86 above publicly available on the UNFCCC website where the project information is displayed, and inform the activity participants of the publication the comments.

88. The activity participants shall take into account the comments in their future actions in the Article 6.4 mechanism activity cycle, as appropriate.

## **6.2. *[Placeholder for possible section on integrity safeguards]***

### **6.3. Change to registered project**

#### **6.3.1. General requirements**

89. If there is a change that has occurred or is expected to occur to a registered A6.4 project, such change (hereinafter referred to as post-registration change) shall be approved by the Supervisory Body in accordance with this section to maintain the registration status under the Article 6.4 mechanism. The post-registration change shall be within the scope of the following acceptable changes, and meet the associated conditions, as specified in the “Article 6.4 mechanism activity standard for projects”:

- (a) Temporary deviation from the registered monitoring plan, applied methodologies, standardized baselines or other methodological regulatory documents;
- (b) Permanent changes:
  - (i) Corrections that do not have material impact on the calculation of achieved GHG emission reductions or net GHG removals;
  - (ii) Inclusion of a monitoring plan;
  - (iii) Permanent changes to the registered monitoring plan, or permanent deviation of monitoring from the applied methodologies, standardized baselines, or other methodological regulatory documents;
  - (iv) Changes to the project design.

#### **6.3.2. Update of documents and validation or verification**

90. For the temporary deviation referred to in paragraph 89(a) above, the activity participants shall describe the deviation in the monitoring report in accordance with the “Article 6.4 mechanism activity standard for projects” as part of the preparation of a monitoring report in accordance with section 7.1 for the monitoring period that covers the period when the temporary deviation occurred, to be subsequently verified by a DOE for requesting issuance for the monitoring period in accordance with sections 7.2 and 8.1 below.

91. For any of the permanent changes referred to in paragraph 89(b) above, the activity participants shall revise the PDD, reflecting the post-registration change, and submit the revised PDD and any other supporting documentation to a DOE accredited in the relevant sectoral scope(s) and contracted by the activity participants to perform validation of the post-registration change. In this context, if the activity participants wish to appoint this DOE

to submit the request for approval of post-registration change under the issuance track referred to in paragraph 92 below, the selection of a DOE shall follow the same rule referred to in paragraph 129 below.

### **6.3.3. Submission of request for approval of post-registration change**

92. The DOE, after validating that the post-registration change to the registered A6.4 project meets all applicable requirements for post-registration changes in the “Article 6.4 mechanism activity standard for projects” by following the applicable provisions of the “Article 6.4 mechanism validation and verification standard for projects” and other applicable Article 6.4 mechanism rules and requirements, shall submit a request for approval of post-registration change prior to submitting a request for issuance of A6.4ERs that are affected by the post-registration change (prior-approval track) or together with such request for issuance of A6.4ERs (issuance track) in accordance with paragraph 95 below. The choice of whether the DOE submits the request for approval via the prior-approval track or the issuance track shall be at the discretion of the activity participants, who may wish to take into account the indicative list of post-registration changes that may be suitable for approval under the issuance track contained in Appendix 2.
93. A request for approval of post-registration change of the permanent changes referred to in paragraph 89(b) above shall be submitted, irrespective of whether under the prior-approval track or the issuance track:
  - (a) Any time for corrections that do not have material impact on the calculation of achieved GHG emission reductions or net GHG removals;
  - (b) No later than the first issuance request for inclusion of a monitoring plan;
  - (c) No later than one year after the occurrence of the permanent changes to the registered monitoring plan, permanent deviation of monitoring from the applied methodologies, standardized baselines, or other methodological regulatory documents, or the permanent change to the project design.
94. Where more than one of the changes referred to in paragraph 89 above have occurred or are expected to occur to the registered A6.4 project, the DOE shall, wherever possible, combine such changes into one request for approval of post-registration change.
95. Under both the prior-approval track and the issuance track, the DOE shall submit a request for approval of post-registration change to the secretariat through a dedicated interface on the UNFCCC website, attaching:
  - (a) The revised PDD (in both clean and track-change versions) or a monitoring report, as applicable, and any supporting documentation, prepared by the activity participants in accordance with the “Article 6.4 mechanism activity standard for projects”;
  - (b) The validation report on the post-registration change prepared by the DOE in accordance with the “Article 6.4 mechanism validation and verification standard for projects”.
96. The secretariat shall issue a statement of the post-registration change fee due, or confirmation that no post-registration change fee is due, determined in accordance with the provisions on the post-registration change fee contained in Appendix 1, and shall communicate it to the DOE.

97. The processes for payment of the post-registration change fee by the activity participants and submission of a proof of payment by the DOE, and the consequence of no-payment of the post-registration change fee within a deadline, shall follow the corresponding provisions for the registration fee contained in paragraphs 41–44 above, *mutatis mutandis*.

#### **6.3.4. Processing of request for approval of post-registration change**

98. For processing of the request for approval of post-registration change, the provisions in section 5.1.2 above shall apply *mutatis mutandis*.

#### **6.3.5. Requesting review of request for approval of post-registration change**

99. For requesting review of the request for approval of post-registration change, the provisions in section 5.1.3 above shall apply *mutatis mutandis*.

#### **6.3.6. Finalizing request for approval of post-registration change if no request for review**

100. For finalizing the request for approval of post-registration change if no request for review is received by the secretariat within the 28-day deadline, the provisions in section 5.1.4 above shall apply *mutatis mutandis*, except for the provision on the start date of the crediting period, which is not relevant.

#### **6.3.7. Review of request for approval of post-registration change**

101. For a review of the request for approval of post-registration change, the provisions in section 5.2 above shall apply *mutatis mutandis*.

#### **6.3.8. Withdrawal of request for approval of post-registration change**

102. For a withdrawal of the request for approval of post-registration change, the provisions in section 5.3 above shall apply *mutatis mutandis*.

### **6.4. Change to modalities of communication**

#### **6.4.1. General requirements**

103. If there is a change to the MoC statement, the focal points for scope (b) of the registered A6.4 project referred to in paragraph 29 above shall request approval of the change to the secretariat as soon as possible but no later than 90 days after the change takes place.
104. The authorized signatories or the legal representatives of the activity participants, the focal points, or the DNAs of the host Party or any other Parties participating in the A6.4 project may directly notify the secretariat of any issues regarding the MoC statement through a specified e-mail account made available on the mechanism registry section of the UNFCCC website. In this case, the secretariat may request additional clarifications to the notifier. The secretariat may contact any of the focal points or the activity participants of the respective registered A6.4 project or, in the case of insolvency, liquidators/administrators, in order to request additional clarifications from these entities. The secretariat shall advise on further actions to the parties involved, including those referred to in section 6.4.2 below.
105. The secretariat may request a new submission of an MoC statement whenever the secretariat identifies inconsistencies or inaccuracies in the MoC statement or when inconsistencies or inaccuracies are reported to the secretariat. The secretariat may

provide specific guidance for the resubmission and, in its role as the mechanism registry administrator, may, after sending a notice to the focal points and the activity participants, temporarily put on hold the forwarding of A6.4ERs if the inconsistencies or inaccuracies prevent the secretariat from establishing the focal points for scope of authority (a), pending resolution of the inconsistencies or inaccuracies.

106. The focal points for scope (b) shall request approval of the change to the modalities of communication to the secretariat through a dedicated interface on the UNFCCC website, uploading a new MoC statement using the valid version of the “Modalities of communication statement form” (A6.4M-MOC-FORM).
107. The focal points for scope (b) or the activity participants who submit a new MoC statement in accordance with paragraph 116 below shall ensure that:
  - (a) Supporting documentation, including powers of attorney, or extracts from board meeting minutes or company association documentation, or extracts/certificates from national company registries that cannot be verified online, is dated or notarized within six months from the time of submission of a request for change to the MoC statement. This time limitation does not apply to copies of national personal identity documents;
  - (b) To the extent possible, changes applicable to more than one A6.4 project or multiple changes affecting the same project are consolidated in a single change request in accordance with the instructions provided in the mechanism registry section of the UNFCCC website.
108. The legal representatives signing on behalf of the focal points or the activity participants shall provide written evidence that they are authorized to sign on behalf of the respective entities. The evidence shall be submitted in accordance with paragraph 107(a) above.
109. The secretariat shall process requests for changes to the MoC statement following the steps described in each of the sections 6.4.2–6.4.4 below. Wherever specific steps are not described, the secretariat shall review the requests on the basis of compliance with the documentary requirements. In the absence of clear evidence to support a specific request, the secretariat may request additional information prior to approving or rejecting the request. In the case of a rejection, the secretariat shall provide reasons for the rejection and additional guidance as appropriate.
110. The secretariat shall make detailed guidance available on the mechanism registry section of the UNFCCC website on how to request changes to the activity participants and focal points.
111. The secretariat shall display the effective date of the new MoC statement on the corresponding A6.4 project view page.

#### **6.4.2. Reported issues resulting from insolvency and/or disputes on modalities of communication**

112. In accordance with paragraph 104 above, the activity participants or focal points may notify the secretariat of any issues regarding the MoC statement, including the issues resulting from insolvency and/or of disputes in relation to the MoC statement with regard to the designation or changes to the designation of focal points.

113. The secretariat may engage the DNAs of the host Party or any other Parties participating in the respective registered A6.4 project whenever issues resulting from insolvency and/or disputes over the designation of focal points are communicated to the secretariat. The secretariat may provide information, subject to the confidentiality of such information, and/or administrative assistance to the DNAs, if so requested by the respective DNAs.
114. The secretariat, in its role as the mechanism registry administrator, may, after sending a notice to the focal points and the activity participants, temporarily put on hold the forwarding of A6.4ERs for the registered A6.4 project for which the activity participants or the focal points have communicated a case of insolvency and/or a dispute in relation to the MoC statement, pending resolution of the issues resulting from insolvency or resolution of the disputes.
115. The secretariat shall display indicative information, subject to the confidentiality, on the respective registered A6.4 project view page on the UNFCCC website, related to the notifications to the secretariat of issues resulting from insolvency and/or of disputes only in the cases where the forwarding of A6.4ERs has been temporarily put on hold pending the resolution of the issues.

#### **6.4.3. Changes of focal points**

116. The activity participants of a registered A6.4 project may change the designation of any of the focal points for any reason and at any time by submitting a new MoC statement duly signed by all activity participants, either through:
  - (a) The focal points for scope of authority (b); or
  - (b) Any of the activity participants for changes to the MoC statement excluding its annexes.
117. For changing the designation of focal points in accordance with paragraph 116 above, the submission shall be made as follows:
  - (a) A new MoC statement for changes related to designation of focal points, with the exception of changes affecting only contact details and authorized signatories;
  - (b) Annex 2 of the MoC statement for changes related only to contact details and authorized signatories.
118. When a focal point who is not an activity participant is added to represent the activity participants for any or all scopes of authority, the new MoC statement referred to in paragraph 117(a) above shall be supported by written evidence of:
  - (a) The new focal point's corporate identity; and
  - (b) The personal identity and employment status of the new focal point's authorized signatory(ies), including specimen signature(s).
119. The legal representative of a focal point for scope of authority (b) may submit annex 2 of the MoC statement if the authorized signatory(ies) of the focal point concerned is(are) no longer available.
120. Any focal point of a registered A6.4 project may request voluntary withdrawal from its role as a focal point. Such a request shall cover all scopes of authority assumed by the focal point. The voluntary withdrawal of the focal point role shall follow the following steps:

- (a) The withdrawing focal point shall, directly or through the focal point for scope (b), submit a withdrawal request to the secretariat including:
  - (i) Annex 3 of the MoC statement;
  - (ii) Evidence of the written communication, from the withdrawing focal point to all other focal points and all activity participants, of the intention to withdraw from the role as a focal point and an invitation to designate a new focal point for the scope(s) of authority from which the focal point is withdrawing. Such written communications shall be made at least 30 days prior to the submission of the withdrawal request to the secretariat;
- (b) The secretariat shall review the completeness of the submission and, if found complete, approve the withdrawal of the focal point. In doing so, the secretariat may request clarification from the withdrawing focal point, or may contact any of the other focal points or the activity participants to request additional clarifications;
- (c) The secretariat shall, upon approval of the withdrawal of the focal point, publish annex 3 of the MoC statement on the UNFCCC website. The secretariat shall communicate the approval of the withdrawal of the focal point and its implications on the MoC to all remaining focal points and all activity participants of the project;
- (d) Approved withdrawal of the focal point shall have no effect on other focal points, with the exception of joint or shared focal point roles that the withdrawn focal point was part of. In such cases, the remaining focal points shall continue to act in a sole, shared or joint focal point role, as appropriate. If no focal point remains for a specific scope of authority after the withdrawal of the focal point, the secretariat shall notify the withdrawal to the remaining focal points for other scopes of authority, or to all activity participants if no focal point remains. The activity participants shall designate a new focal point for any of the scopes of authority for which no focal point remains as soon as possible in accordance with the provisions of this section to enable further engagement with the Supervisory Body and the secretariat.

#### **6.4.4. Changes of activity participants**

121. If the activity participants of a registered A6.4 project have changed after the registration of the project, the focal points for scope of authority (b) shall submit annex 2 of the MoC statement for each of the following changes:
- (a) Addition of an activity participant;
  - (b) Changes related to entity names or legal status;
  - (c) Withdrawal of an activity participant. If an activity participant has ceased operations and is unable to sign annex 2 of the MoC statement, the submission shall be accompanied by evidence of the cessation;
  - (d) Changes related only to contact details and authorized signatories;
  - (e) Addition of, or change to, the end date of participation of an activity participant of the project.

122. For the changes referred to in paragraph 121(a) and (b) above, the secretariat shall notify the DNA of the host Party or any other relevant Party participating in the A6.4 project of the requested change, and request them to respond whether to approve the change through the dedicated interface on the UNFCCC website referred to in paragraph 19 above.
123. An activity participant added to a registered A6.4 project shall accept the existing MoC statement, or a new MoC statement if it is submitted simultaneously.
124. If a persistent effort to contact an activity participant has failed, the focal points for scope of authority (b) may request administrative withdrawal of the activity participant, provided that at least one activity participant authorized by the DNA of the host Party remains. The administrative withdrawal shall follow the following steps:
- (a) The focal points for scope (b) shall submit:
    - (i) Annex 2 of the MoC statement, withdrawing the non-contactable activity participant;
    - (ii) Evidence of written communication to the non-contactable activity participant of the intention to request administrative withdrawal, including the copy of the communication to the DNA that authorized the activity participants to participate in the project, that has been sent at least 15 days prior to the submission of the withdrawal request to the secretariat;
  - (b) The secretariat shall review the completeness of the submission and, if found complete, send an e-mail to the non-contactable activity participant, with a copy to the authorizing DNA, to respond within 30 days, asking whether the activity participant objects to the administrative withdrawal. In addition, the secretariat may use other communication channels to establish contact with the non-contactable activity participant;
  - (c) If the non-contactable activity participant and the authorizing DNA do not object to proceeding with the administrative withdrawal within 30 days of the electronic communication made in accordance with subparagraph (b) above, the secretariat shall mark the non-contactable activity participant as “withdrawn” on the UNFCCC website;
  - (d) If the non-contactable activity participant or the authorizing DNA objects to the administrative withdrawal within the 30-day period, the secretariat shall reject the request and may advise the focal point for scope (b) on further actions;
  - (e) The activity participants whose participation in the project has been administratively withdrawn may request inclusion as activity participants of the same project any time thereafter in accordance with 121(a) above.
125. Notwithstanding the provisions in paragraphs 121(b)–(e) and 124 above, the activity participants of a registered A6.4 project may directly request, by submitting annex 2 of the MoC statement:
- (a) Their own voluntary withdrawal, provided that at least one activity participant authorized by the DNA of the host Party to participate in the project remains;
  - (b) Changes to their own name, legal status or contact details;



- (c) Withdrawal of other activity participants of the same project on the basis of their cessation. In this case, the submission shall be accompanied by documented evidence of the cessation;
  - (d) Administrative withdrawal of other activity participants of the same project who are not contactable. In this case, the submission shall be accompanied by the written evidence referred to in paragraph 124(a)(ii) above.
126. The secretariat may effect the withdrawal of an activity participant that has ceased to exist, provided that the cessation can be unambiguously confirmed through the relevant official company registrar.
127. In cases as per paragraph 125(b) above, where the change relates to the name or legal status of the activity participant, and in cases referred to in paragraph 126 above, the secretariat shall notify the DNA that had authorized the activity participant and request a confirmation as to whether to approve the change of the name or legal status of the activity participant or the withdrawal of an activity participant through the dedicated interface on the UNFCCC website referred to in paragraph 19 above.

## **7. Pre-issuance activities**

### **7.1. Preparation of monitoring report**

128. The activity participants of a registered A6.4 project shall prepare a monitoring report for each monitoring period in accordance with the “Article 6.4 mechanism activity standard for projects”, using the “Monitoring report form” (A6.4M-MR-FORM).

### **7.2. Verification of emission reductions or removals**

129. The activity participants shall submit the monitoring report and any other supporting documentation to a DOE accredited in the relevant sectoral scope(s) and contracted by the activity participants to perform verification of GHG emission reductions or net GHG removals. In this regard, if it is the first verification after the registration or the renewal of the crediting period of the project, the activity participants shall choose a DOE that has not performed validation for the registration or the renewal of the crediting period, respectively.
130. The DOE shall perform verification of whether the implementation of the project and monitoring and calculation of GHG emission reductions or net GHG removals comply with the relevant requirements in the “Article 6.4 mechanism activity standard for projects” and any other applicable Article 6.4 mechanism rules and requirements based on the information provided in the monitoring report and any supporting documentation it has received from the activity participants as well as further information obtained during the verification activity, and prepare a verification and certification report, in accordance with the “Article 6.4 mechanism validation and verification standard for projects”, using the “Verification and certification report form” (A6.4M-VCR-FORM). The DOE shall verify and certify for the entire monitoring period covered by the monitoring report.
131. For the purpose of possible selection of the verification activity for performance assessment of the DOE in accordance with the “Article 6.4 mechanism accreditation procedure”, the DOE shall notify the secretariat, through a dedicated interface on the UNFCCC website, of the timing of the site inspection of the proposed A6.4 project, which

is to be conducted in accordance with the “Article 6.4 mechanism validation and verification standard for projects”, no later than four weeks prior to the inspection.

## **8. Issuance of Article 6.4 emission reductions**

### **8.1. Request for issuance**

#### **8.1.1. Submission of request for issuance**

132. The DOE, after verifying that GHG emission reductions or net GHG removals achieved by the registered A6.4 project during the monitoring period covered by the monitoring report were determined in accordance with all applicable requirements for implementation and monitoring in the “Article 6.4 mechanism activity standard for projects” and any other applicable Article 6.4 mechanism rules and requirements, and certifying the quantity of A6.4ERs that may be requested for issuance, shall submit a request for issuance of A6.4ERs to the secretariat through a dedicated interface on the UNFCCC website, including the reference to the notification of the timing of the site inspection made in accordance with paragraph 131 above and attaching:
- (a) The monitoring report and any supporting documentation prepared by the activity participants in accordance with paragraph 128 above and verified by the DOE in accordance with paragraph 130 above;
  - (b) The verification and certification report prepared by the DOE in accordance with paragraph 130 above;
  - (c) The request for distribution of A6.4ERs upon their issuance, specifying the receiving accounts and the proportion of A6.4ERs for each distribution.
133. Requests for issuance for a registered A6.4 project shall be submitted in chronological order in terms of monitoring periods covered by separate monitoring reports and shall not have a gap period between any two successive monitoring periods, except for force majeure cases, which shall be justified by the activity participants and verified by the DOE.
134. Each request for issuance shall indicate verified and certified GHG emission reductions or net GHG removals for each vintage year when they have occurred.
135. Requests for issuance for GHG emission reductions or net GHG removals occurring in a crediting period shall be submitted within two years after the end of that crediting period, at the latest.<sup>10</sup> If a submission misses this deadline, A6.4ERs shall no longer be issued for the A6.4 project for that crediting period.
136. The secretariat shall issue a statement of the issuance fee due, or confirmation that no issuance fee is due, determined in accordance with the provisions on the issuance fee contained in Appendix 1, and shall communicate this to the DOE.
137. The DOE shall communicate the issuance fee due, or a confirmation that no issuance fee is due, to the activity participants.

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<sup>10</sup> The Supervisory Body may set a different deadline for removal projects in the future.

138. The activity participants shall pay the issuance fee by bank transfer, quoting the unique project reference number referred to in paragraph 14 above, within one year of the issuance to the DOE of the statement of the issuance fee due.
139. The DOE shall submit a proof of payment (e.g. bank transfer record) through a dedicated interface on the UNFCCC website.
140. If the secretariat does not receive the deposit of the issuance fee by the one-year deadline referred to in paragraph 138 above, the request for issuance shall be deemed withdrawn. The same or a different DOE may submit a new request for issuance for the monitoring period covered by the withdrawn request for issuance any time thereafter, following the applicable Article 6.4 mechanism rules and requirements valid at the time of the new submission.
141. If the DOE submits a request for approval of post-registration change together with the request for issuance in accordance with paragraph 92 above, it shall also upload the documentation and information listed in paragraph 95 above in addition to those referred to in paragraph 132 above.

#### **8.1.2. Processing of request for issuance**

142. The secretariat shall maintain on the UNFCCC website a publicly available list of all submitted requests for issuance for which the applicable issuance fee has been received by the secretariat or for which no issuance fee is due. The secretariat shall make publicly available the schedule and the status of processing each request for issuance. The secretariat shall schedule the commencement of the processing of the requests for issuance in accordance with the secretariat's operational plans, that is, monthly quotas, which shall also incorporate any relevant instructions from the Supervisor Body.
143. The secretariat shall commence the completeness check stage in accordance with the schedule. Upon commencement of the completeness check stage, the secretariat shall, subject to the guidance of the Supervisory Body, conduct within seven days a completeness check to determine whether the request for issuance submission is complete in accordance with the completeness check checklist for requests for issuance.
144. If the secretariat, during the completeness check, identifies issues of an editorial nature or consistency in the submission, it shall request the DOE by e-mail, copying the activity participants, to submit revised documents and/or information. In this case, the DOE shall submit the requested documents and/or information within two days of receipt of the request. If the DOE does not submit the requested documents and/or information by this deadline, the secretariat shall conclude that the request submission is incomplete.
145. Upon conclusion of the completeness check stage, the secretariat shall notify the activity participants and the DOE of the conclusion of the completeness check stage. If the request submission does not meet the requirements of the completeness check, the secretariat shall also communicate the underlying reasons to the activity participants and the DOE, and make them publicly available on the UNFCCC website. In this case, the DOE may resubmit the request for issuance with revised documentation. Upon submission of the revised documentation, the request shall be treated as a new submission of a request for issuance.

146. Upon positive conclusion of the completeness check stage, the secretariat shall, subject to the guidance of the Supervisory Body, conduct within 21 days a substantive check in accordance with the substantive checklist for requests for issuance.
147. If the secretariat, during the substantive check, identifies issues of a substantive nature or missing basic information, it shall request the DOE by e-mail, copying the activity participants, to submit revised documents and/or information. In this case, the DOE shall submit the requested documents and/or information within four days of receipt of the request. If the DOE does not submit the requested documents and/or information by this deadline, the secretariat shall conclude that the request submission is incomplete.
148. Upon conclusion of the substantive check stage, the secretariat shall notify the activity participants and the DOE of the conclusion of the substantive check stage. If the request submission for which the secretariat conducted a substantive check does not meet the requirements of the substantive check, the secretariat shall conclude that the request submission is incomplete and communicate the underlying reasons to the activity participants and the DOE, and make them publicly available on the UNFCCC website. In this case, the DOE may resubmit the request for issuance with revised documentation. Upon submission of the revised documentation, the request shall be treated as a new submission of a request for issuance.
149. Upon positive conclusion of the substantive check stage, the secretariat shall publish the request for issuance on the UNFCCC website, and the request for issuance shall be deemed received by the Supervisory Body for consideration.
150. The secretariat shall notify the activity participants, the DNAs of the host Party and other participating Parties, and the DOE: that the Supervisory Body has received the request for issuance for its consideration; that the secretariat has published the request for issuance on the UNFCCC website; and of the last day by which members and alternate members of the Supervisory Body and the host Party and other participating Parties may request a review of the request for issuance, as referred to in paragraph 152 below.
151. The secretariat shall, subject to the guidance of the Supervisory Body, prepare and send to the Supervisory Body a summary note on the request for issuance within 14 days of the date of publication of the request for issuance.

#### **8.1.3. Requesting review of request for issuance**

152. The host Party and any other participating Party, and any member or alternate member of the Supervisory Body, may request a review of the request for issuance within 28 days of the date of publication of the request for issuance. If the host Party or other participating Party wishes to request a review, its DNA shall submit the request through a dedicated interface on the UNFCCC website. If a member or an alternate member of the Supervisory Body wishes to request a review, he/she shall submit the request through a dedicated interface on the UNFCCC website.
153. The secretariat shall acknowledge receipt of a request for review and promptly notify the Supervisory Body. A request for review shall not be recognized if it is received after 24:00 (Central European Time) on the last day of the 28-day period following the publication of the request for issuance.
154. A request for review shall provide, inter alia, the reasons for the request for review based on the "Article 6.4 mechanism activity standard for projects", "Article 6.4 mechanism

validation and verification standard for projects”, or any other applicable Article 6.4 mechanism rules and requirements.

#### **8.1.4. Finalizing request for issuance if no request for review**

155. If the secretariat does not receive a request for review from the host Party, any other participating Party, or any member or alternate member of the Supervisory Body in accordance with the modalities described in section 8.1.3 above, the Supervisory Body shall instruct the mechanism registry administrator to issue the quantity of A6.4ERs certified in the request for issuance into the pending account in the mechanism registry.
156. The secretariat shall inform the activity participants of the Supervisory Body’s instruction to the mechanism registry administrator and update the status of the request for issuance on the UNFCCC website accordingly.
157. If, as a result of the substantive check by the secretariat of the request for issuance, the quantity of A6.4ERs to be issued changed from that in the initial request for issuance, the activity participants or the secretariat shall settle the difference in the issuance fees in accordance with Appendix 1. If additional issuance fee is due, the mechanism registry administrator shall issue A6.4ERs upon receipt by the secretariat of the additional issuance fee.
158. The mechanism registry administrator shall issue the specified quantity of A6.4ERs into the pending account in the mechanism registry.
159. The mechanism registry administrator shall distribute the A6.4ERs in accordance with the request for distribution of A6.4ERs referred to in paragraph 132(c) above and the “Article 6.4 mechanism registry procedure”. If the activity participants wish to change the receiving accounts and/or the proportion of A6.4ERs for each distribution, the DOE shall submit a revised request for distribution before the last day of the 28-day period following the publication of the request for issuance.

### **8.2. Review of request for issuance**

#### **8.2.1. Commencement of review**

160. If the host Party or any other participating Party, or any member or alternate member, requests a review of the request for issuance, the secretariat shall:
  - (a) Notify the activity participants and the DOE that the request for issuance has been placed under review;
  - (b) Mark the request for issuance as “under review” on the UNFCCC website and make publicly available an anonymous version of each request for review;
  - (c) Establish an expert review team comprising two external experts selected from the roster of experts referred to in paragraph 62(c) above to conduct an assessment of the request for review. The secretariat shall appoint one of the team members to serve as the lead, who shall be responsible for all communications with the secretariat and delivery of an assessment report.
161. The DOE or the activity participants may request the secretariat, by e-mail through a dedicated e-mail address, to make a call to them to provide clarifications on the issues identified. Only one such request, regardless of the requesting party, shall be allowed per

review of the request for issuance. In this case, the DOE or the activity participants shall provide the contact details of the person to be called and the preferred time slots. The secretariat shall fix an appointment for the call within three days of receipt of the request. The secretariat shall record the call.

162. The activity participants and the DOE shall provide responses to the issues identified in the request for review no later than 28 days after the notification of the request for review.
163. For each issue (or sub-issue) raised in the request for review, the activity participants and the DOE shall either:
  - (a) Respond by making any revisions that they deem necessary to the monitoring report, its supporting documentation (e.g. spreadsheets), verification report, and/or certification report to ensure, inter alia, that all facts are clearly stated and sufficiently verified; or
  - (b) Respond in writing by explaining why no revisions to the monitoring report, its supporting documentation, verification report, and/or certification report are necessary.
164. The secretariat shall schedule the commencement of the review of the request for issuance in accordance with its operational plans and any relevant instructions from the Supervisory Body. The secretariat shall make the schedule of review publicly available on the UNFCCC website. Upon scheduling the commencement date, the secretariat shall inform the activity participants and the DOE of the scheduled commencement date.
165. The date of commencement of the review shall be the date when the secretariat notifies the activity participants and the DOE that the review has commenced.

#### **8.2.2. Assessment**

166. The expert review team established in accordance with paragraph 160(c) above shall conduct an assessment of the request for issuance in the context of the reasons for the request for review provided by the requesting party and the applicable Article 6.4 mechanism rules and requirements, taking into account the responses from the activity participants and the DOE, and prepare an assessment report including a proposed decision within 14 days of the commencement of the review. A proposed decision shall suggest that either:
  - (a) A6.4ERs be issued; or
  - (b) The request for issuance be rejected.
167. If the proposed decision is to reject the request for issuance, the assessment report shall include a proposed ruling. The proposed ruling shall contain an explanation of the reasons and rationale for the proposed decision, including, but not limited to:
  - (a) The facts and any interpretation of the facts that formed the basis of the proposed decision;
  - (b) The Article 6.4 mechanism rules and requirements applied to the facts;
  - (c) The interpretation of the Article 6.4 mechanism rules and requirements as applied to the facts.

168. In addition, the expert review team shall, in its assessment report, highlight any issues of significance related to the policies and goals of the Article 6.4 mechanism arising from the assessment. The secretariat, in consultation with the Chair of the Supervisory Body, shall bring these issues to the attention of the Supervisory Body by preparing background notes and policy options and presenting them to the Supervisory Body at its meetings.
169. The expert review team shall submit its assessment report to the Supervisory Body through the secretariat.
170. The secretariat shall make the assessment report available to the Supervisory Body, together with any responses from the activity participants and the DOE, and any revision to the monitoring report, its supporting documentation, verification report and/or certification report.

#### **8.2.3. Consideration by the Supervisory Body**

171. At the Supervisory Body meeting for which the case is placed on the agenda, the Supervisory Body shall, taking into account the assessment report prepared by the expert review team and the summary note prepared by the secretariat, decide either to:
  - (a) Issue A6.4ERs; or
  - (b) Reject the request for issuance.

#### **8.2.4. Finalization and implementation of ruling**

172. If the Supervisory Body's final decision made in accordance with paragraph 171 above is to issue A6.4ERs, the Supervisory Body shall instruct the mechanism registry administrator to issue the specified quantity of A6.4ERs into the pending account in the mechanism registry.
173. The secretariat shall inform the activity participants of the Supervisory Body's instruction to the mechanism registry administrator and update the status of the request for issuance on the UNFCCC website accordingly.
174. If, as a result of the substantive check by the secretariat or the review by the Supervisory Body of the request for issuance, the quantity of A6.4ERs to be issued changed from that in the initial request for issuance, the activity participants or the secretariat shall settle the difference in the issuance fees in accordance with Appendix 1. If an additional issuance fee is due, the mechanism registry administrator shall issue A6.4ERs upon receipt by the secretariat of the additional issuance fee.
175. The mechanism registry administrator shall issue the specified quantity of A6.4ERs into the pending account in the mechanism registry.
176. The mechanism registry administrator shall distribute the A6.4ERs in accordance with the request for distribution of A6.4ERs referred to in paragraph 132(c) above and the "Article 6.4 mechanism registry procedure". If the activity participants wish to change the receiving accounts and/or the proportion of A6.4ERs for each distribution, the DOE shall submit a revised request for distribution before the Supervisory Body decides on the request for issuance in accordance with paragraph 171 above.
177. If the Supervisory Body's final decision made in accordance with paragraph 171 above is to reject the request for issuance, the secretariat shall update the information on the

UNFCCC website accordingly on the first working day subsequent to the finalization of the decision. Furthermore, within 21 days of the finalization of the decision, the secretariat shall provide the Chair of the Supervisory Body with an information note containing a proposed ruling incorporating the final decision.

178. The proposed ruling shall contain an explanation of the reasons and rationale for the final decision of rejection, including, but not limited to:
- (a) The facts and any interpretation of the facts that formed the basis of the proposed ruling;
  - (b) The Article 6.4 mechanism rules and requirements applied to the facts;
  - (c) The interpretation of the Article 6.4 mechanism rules and requirements as applied to the facts.
179. Once approved by the Chair of the Supervisory Body, the secretariat shall immediately make the proposed ruling available to the Supervisory Body. The proposed ruling shall become the final ruling of the Supervisory Body 10 days after the date when the proposed ruling was made available to the Supervisory Body, unless a member or an alternate member of the Supervisory Body objects to the proposed ruling.
180. An objection by a member or an alternate member of the Supervisory Body shall be made by notifying the secretariat, giving reasons in writing. The secretariat shall acknowledge receipt of the objection and make it available to the Supervisory Body.
181. At the Supervisory Body meeting for which the case is placed on the agenda, the Supervisory Body shall finalize the ruling.
182. The secretariat shall publish a ruling note on the UNFCCC website no later than three days after the ruling was finalized.
183. If the request for issuance is rejected by the Supervisory Body, the same or a different DOE may resubmit the request for issuance with revised documentation, addressing the issues that constitute the reason for the rejection by the Supervisory Body. Upon submission of the revised documentation, the request shall be treated as a new submission of a request for issuance.

### **8.3. Withdrawal of request for issuance**

#### **8.3.1. Submission of request for withdrawal**

184. For the following cases, the DOE shall submit a request for withdrawal of a request for issuance to the secretariat through a dedicated interface on the UNFCCC website:
- (a) The activity participants voluntarily wish the request for issuance be withdrawn;<sup>11</sup>
  - (b) The DOE has revised its verification report and/or certification report based on new insights or information.

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<sup>11</sup> In such cases, the DOE shall process the request expeditiously.



### **8.3.2. Processing of request for withdrawal**

185. Upon receipt of the request for withdrawal of a request for issuance, the secretariat shall check the information provided as soon as possible and, if the request is complete, reimburse the issuance fee if applicable in accordance with Appendix 1 and update the information on the project view page of the relevant registered A6.4 project. If the DOE requests the withdrawal after the publication of the request for issuance in accordance with paragraph 149 above, the request for issuance shall be marked as “withdrawn”. The same or a different DOE may resubmit the request for issuance at any time.
186. If the activity participants wish to change the monitoring period covered by the monitoring report that corresponds to the withdrawn request for issuance, and if the final date of the changed monitoring period is after the date when the DOE conducted an on-site inspection, the same or different DOE shall undertake an additional on-site inspection; or decide whether an on-site inspection is necessary in order to duly perform its verification by following the applicable provisions of the “Article 6.4 mechanism validation and verification standard for projects”. After the verification, the DOE may resubmit its request for issuance.

## **9. Renewal of crediting period**

### **9.1. General requirements**

187. If the host Party provided an approval of renewal of the crediting period of the project at its registration in accordance with paragraph 21(b) above, the crediting period of the project may be renewed in accordance with this section.
188. For the purpose of renewal of the crediting period, it is not necessary to obtain a new approval from the host Party and new authorization of activity participants from the host Party and other participating Parties.
189. The new crediting period shall start on the day immediately after the expiration of the current crediting period regardless of the date when the renewal of the crediting period is approved by the Supervisory Body.
190. If the date when the renewal of the crediting period is approved by the Supervisory Body is after the expiration of the current crediting period, and due to this delay or for any other reasons, the monitoring temporarily does not comply with the monitoring plan in the updated PDD approved by the Supervisory Body, the activity participants shall request approval of a temporary deviation from the registered monitoring plan in accordance with the process for approval of post-registration changes contained in section 6 above.

### **9.2. Request for renewal of crediting period**

#### **9.2.1. Update of project design document**

191. For renewal of the crediting period, the activity participants shall update the PDD by preparing a new version of the PDD in accordance with the “Article 6.4 mechanism activity standard for projects”. In doing so, the activity participants shall ensure that any changes to the list of activity participants in the PDD have been communicated to the secretariat in accordance with section 6.4 above.

192. If the activity participants plans to make, or have made, a post-registration change to the project design effective from the start date of the new crediting period, they shall clearly indicate the post-registration change in the new version of the PDD, distinguishing it from other update of information due to renewal of the crediting period.
193. If a post-registration change becomes effective prior to or after the renewal of the crediting period, such post-registration change shall not be included in a request for renewal of the crediting period, but shall be submitted for approval by the Supervisory Body separately in accordance with section 6 above before or after the submission of the request for renewal of the crediting period, respectively.

### **9.2.2. Validation of updated project design document**

194. The activity participants shall submit the new version of the PDD to any DOE accredited in the relevant sectoral scope(s) and contracted by the activity participants to perform validation for renewal of the crediting period.
195. The DOE shall perform validation of whether the updated project design complies with the relevant requirements in the “Article 6.4 mechanism activity standard for projects” and any other applicable Article 6.4 mechanism rules and requirements based on the information provided in the new version of the PDD and any supporting documentation it has received from the activity participants as well as further information obtained during the validation activity, and prepare a validation report, in accordance with the “Article 6.4 mechanism validation and verification standard for projects”, using the “Validation report form for renewal of the crediting period for projects” (A6.4M-RCPV-FORM).
196. For the purpose of possible selection of the validation activity for performance assessment of the DOE in accordance with the “Article 6.4 mechanism accreditation procedure”, the DOE shall notify the secretariat, through a dedicated interface on the UNFCCC website, of the timing of the site inspection of the A6.4 project, which is to be conducted in accordance with the “Article 6.4 mechanism validation and verification standard for projects”, no later than four weeks prior to the inspection.

### **9.2.3. Submission of request for renewal**

197. The DOE shall, after validating that the project design updated for renewal of the crediting period and described in the new version of the PDD meets all applicable requirements for renewal of the crediting period in the “Article 6.4 mechanism activity standard for projects” and any other applicable Article 6.4 mechanism rules and requirements, submit a request for renewal of the crediting period to the secretariat through a dedicated interface on the UNFCCC website, including the reference to the notification of the timing of the site inspection made in accordance with paragraph 196 above and attaching:
  - (a) The new version of the PDD prepared by the activity participants in accordance with paragraph 191 above and validated by the DOE in accordance with paragraph 195 above;
  - (b) The validation report prepared by the DOE in accordance with paragraph 195 above.
198. Submission of a request for renewal of the crediting period shall be made no earlier than 270 days prior to, but no later than one year after, the expiry of the crediting period. If a submission misses this deadline, the crediting period of the project may no longer be

renewed. The secretariat, through the Article 6.4 mechanism information system, shall send a reminder to the activity participants 270 days prior to the expiry of the crediting period, including the information on the consequence of missing the deadline.

199. Notwithstanding the provision in paragraph 198 above, for the projects that transitioned from the CDM to the Article 6.4 mechanism in accordance with the “Procedure for transition of CDM activities to the Article 6.4 mechanism”, if the crediting period ended or will end after 31 December 2020, submission of a request for renewal of the crediting period shall be made no later than one year after the approval by the Supervisory Body of the transition to the Article 6.4 mechanism.
200. The secretariat shall issue a statement of the renewal fee due, or confirmation that no renewal fee is due, determined in accordance with the provisions on the renewal fee contained in Appendix 1, and shall communicate it to the DOE.
201. The processes for payment of the renewal fee by the activity participants and submission of a proof of payment by the DOE, and the consequence of no payment of the renewal fee within a deadline, shall follow the corresponding provisions for the registration fee contained in paragraphs 41–44 above, *mutatis mutandis*. If the secretariat does not receive the deposit of the renewal fee by the one-year deadline, the crediting period of the A6.4 project may no longer be renewed due to the condition referred to in paragraph 198 above.

#### **9.2.4. Processing of request for renewal**

202. For processing of the request for renewal of the crediting period, the provisions in section 5.1.2 above shall apply *mutatis mutandis*.

#### **9.2.5. Requesting review of request for renewal**

203. For requesting review of the request for renewal of the crediting period, the provisions in section 5.1.3 above shall apply *mutatis mutandis*.

#### **9.2.6. Finalizing request for renewal if no request for review**

204. For finalizing the request for renewal of the crediting period if no request for review is received by the secretariat within the 28-day deadline, the provisions in section 5.1.4 above shall apply *mutatis mutandis*, except for the start of the new crediting period, which shall be the next day of the end of the previous crediting period in accordance with paragraph 188 above irrespective of the date when the renewal of the crediting period is approved by the Supervisory Body.

#### **9.2.7. Review of request for renewal of crediting period**

205. For a review of the request for renewal of the crediting period, the provisions in section 5.2 above shall apply *mutatis mutandis*.

#### **9.2.8. Withdrawal of request for renewal of crediting period**

206. For a withdrawal of the request for renewal of the crediting period, the provisions in section 5.3 above shall apply *mutatis mutandis*.

## **10. Voluntary deregistration of project**

### **10.1. Submission of request for deregistration**

207. The activity participants of a registered A6.4 project may submit, through the focal point for scope (c) referred to in paragraph 29 above, a request for deregistration of the project to the secretariat through a dedicated interface on the UNFCCC website, attaching written agreement of all activity participants of the deregistration using the “Project deregistration no-objection form” (A6.4M-DREG-FORM).

### **10.2. Processing of request for deregistration**

208. The secretariat shall, as soon as possible, and in any case striving to do so within five days of receipt of the request for deregistration, conduct a completeness check of the request and attached written no-objections from all activity participants.
209. If the secretariat, during the completeness check, identifies missing information, it shall request the activity participants to revise the request and/or submit revised written no-objections, providing the missing information. In this case, the activity participants shall revise the request and/or submit revised written no-objections through the dedicated interface on the UNFCCC website. Upon receipt of the complete request and written no-objections, the secretariat shall resume processing the request.
210. Upon conclusion of the completeness check, the secretariat shall notify the activity participants of the conclusion of the completeness check and publish the request for deregistration on the UNFCCC website. The request for deregistration shall be deemed received by the Supervisory Body.
211. The secretariat shall promptly inform the Supervisory Body of the receipt of the request for deregistration and mark the A6.4 project as “deregistered” on the UNFCCC website.
212. The secretariat shall inform the host Party and other participating Parties of the deregistration of the A6.4 project.

### **10.3. Effects of deregistration**

213. The effective date of deregistration shall be the date when the secretariat received a complete submission of the request for deregistration.
214. A DOE may submit a request for issuance of A6.4ERs in accordance with section 8.1.1 above for the deregistered A6.4 project for the period prior to the effective date of deregistration.
215. A DOE shall not make any request for issuance that covers the monitoring period including any date that is on or after the effective date of deregistration. The mechanism registry administrator shall not issue A6.4ERs for the deregistered A6.4 project for the period from the effective date of deregistration.
216. An A6.4 project that has been deregistered may not be reregistered under the Article 6.4 mechanism or included as a component project activity in a registered A6.4 programme of activities.
217. The registration fee shall not be reimbursed for a deregistered A6.4 project.

#### **10.4. Administration period**

218. During the period from the effective date of deregistration of an A6.4 project until the date that is three calendar years later:
- (a) The secretariat shall communicate with the activity participants, the host Party and other participating Parties in order to assist them to settle outstanding matters in relation to the deregistered A6.4 project, including the completion of all issuance requests and forwarding requests in respect of GHG emission reductions or net GHG removals achieved prior to the effective date of deregistration, and such other steps as are necessary to ensure the completion of outstanding matters in relation to the deregistered A6.4 project;
  - (b) Each activity participant may, after the effective date of deregistration, where necessary, be treated as if it were still an activity participant by the Supervisory Body and the secretariat for the purposes of the “Article 6.4 mechanism activity standard for projects” and this procedure in order to allow the secretariat to process instructions relating to GHG emission reductions or net GHG removals achieved prior to the effective date of deregistration from such activity participant in relation to the deregistered A6.4 project.

### **11. Withdrawal of approval of project or authorization of activity participant**

#### **11.1. Submission of withdrawal notification**

219. The host Party of a proposed or registered A6.4 project may, through its DNA, submit a notification to the secretariat to withdraw the approval of the project or the authorization of any of the activity participants made in accordance with paragraphs 20–21 above any time through a dedicated interface on the UNFCCC website, providing the following information:
- (a) The project title and its unique UNFCCC reference number;
  - (b) The type of withdrawal (withdrawal of approval of project or withdrawal of authorization of activity participants);
  - (c) The names of activity participants to whom the withdrawal of authorization applies (applicable if the withdrawal only relates to the authorization of activity participants);
  - (d) The effective date of the withdrawal, which shall be at least 60 days after the date of notification of the withdrawal unless the host Party specifies an earlier date with justification;
  - (e) The reason(s) for the withdrawal.
220. Other participating Parties may, through their DNAs, withdraw the authorization of activity participants made in accordance with paragraph 24 above any time through the dedicated interface on the UNFCCC website, providing the information listed in paragraph 219(a)–(e) above.

221. Upon receipt of the withdrawal notification, the secretariat shall promptly inform the affected activity participants of the notification and check whether the information provided is complete. If found incomplete, the secretariat shall request the DNAs of the Parties submitting the withdrawal to provide the missing information.
222. The secretariat shall publish the withdrawal of the approval of the project and/or the authorization of activity participants on the UNFCCC website on the effective date of withdrawal.
223. If the host Party withdraws the approval of the project:
- (a) If the effective date of the withdrawal is before the submission by a DOE of a request for registration of the project in accordance with paragraph 38 above, such request may not be submitted;
  - (b) If the effective date of the withdrawal is after the submission by a DOE of a request for registration but before the final decision of the Supervisory Body on the request, the request shall be removed from processing the request;
  - (c) If the effective date of the withdrawal is after the final decision of the Supervisory Body to register the project, the modalities contained in paragraph 224 below shall apply.

## **11.2. Impact of withdrawal**

224. For a registered A6.4 project from which the host Party withdrew its approval, requests for issuance for GHG emission reductions or net GHG removals achieved by the project in the period prior to the effective date of the withdrawal may continue to be submitted until the date referred to in paragraph 135 above and consequently A6.4ERs may be issued in accordance with sections 7 and 8 above. In this case, requests for issuance shall not cover a monitoring period from the effective date of the withdrawal of the approval of the project.
225. For a registered A6.4 project from which the host Party did not withdraw its approval, but the host Party or other participating Parties withdrew the authorization of one or more activity participants, requests for issuance for GHG emission reductions or net GHG removals achieved by the project may continue to be submitted until the date referred to in paragraph 135 above and consequently A6.4ERs may be issued in accordance with sections 7 and 8 above, as long as one activity participant authorized by the host Party remains. For the purpose of requests for issuance and distribution of A6.4ERs, the activity participants whose authorization was withdrawn shall be treated as if they were still activity participants of the project for the monitoring period prior to the date of the withdrawal of the authorization.

## **12. Appeals and grievances**

226. Stakeholders, the activity participants, the host Party and other participating Parties, through their DNAs, may appeal decisions of the Supervisory Body or request that a grievance be addressed in accordance with the [*Title of appeals and grievance procedure*].

## Appendix 1. Fee schedule

### 1. Background

1. This appendix contains the rates of fees payable by the activity participants of proposed or registered Article 6, paragraph 4, mechanism projects (A6.4 projects) to cover the administrative expenses for processing requests submitted at various stage of the Article 6, paragraph 4, mechanism (the Article 6.4 mechanism) activity cycle, and the rules of reimbursement of paid fees where the requests are withdrawn by the submitting designated operations entities (DOEs), concluded as incomplete by the secretariat, or rejected by the Supervisory Body.
2. The fee types and rates are set based on the decisions by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) relating to the share of proceeds to cover administrative expenses as contained in decision 3/CMA.3, annex, paragraphs 48, and chapter VII, as elaborated in decision 7/CMA.4, annex I, chapter V.

### 2. General

3. In accordance with the relevant sections of this procedure, all the fees referred to in this appendix shall be paid at the time of submission of the respective requests. The initiation of processing a request shall be subject to the payment of the fee.
4. All the fees referred to in this appendix shall be waived for projects in the least developed countries and small island developing States.
5. The Supervisory Body may adjust and implement the fee structure and levels within the boundary to be set by the CMA in its decision 7/CMA.4, annex I, chapter V, on the basis of the guiding principles of balancing the income and the expenditure, enabling long-term sound operation of the Article 6.4 mechanism, being fair to activity participants, ensuring administrative efficiency and providing predictability to activity participants and the Supervisory Body.

### 3. Registration fee

6. The fee to be charged for a request for registration of a proposed A6.4 project (the registration fee) shall be fixed rates, tiered by the estimated annual average greenhouse gas (GHG) emission reductions or net GHG removals over the first crediting period, if it is renewable, or over the entire crediting period, if it is fixed, as follows:
  - (a) USD 1,500 for a project achieving annual average GHG emission reductions or net GHG removals over the (first) crediting period of up to 15,000 tonnes of carbon dioxide equivalent (t CO<sub>2</sub> eq);
  - (b) USD 5,000 for a project achieving annual average GHG emission reductions or net GHG removals over the (first) crediting period of between 15,001 and 50,000 t CO<sub>2</sub> eq;
  - (c) USD 10,000 for a project achieving annual average GHG emission reductions or net GHG removals over the (first) crediting period of over 50,000 t CO<sub>2</sub> eq.

7. If the request for registration is withdrawn by the DOE, concluded as incomplete by the secretariat, or rejected the Supervisory Body in accordance with this procedure, the paid registration fee shall be reimbursed to the activity participants in the following manner:
- (a) Reimbursement in full if the request is withdrawn by the DOE before the secretariat starts the completeness check stage, or removed due to the withdrawal of host Party approval;
  - (b) Reimbursement of any amount above USD 1,500 if the request is withdrawn by the DOE or concluded as incomplete by the secretariat at the completeness check stage;
  - (c) Reimbursement of any amount above USD 5,000 if the request is withdrawn by the DOE or concluded as incomplete by the secretariat at the substantive check stage;
  - (d) No reimbursement if the request is withdrawn by the DOE any time after the substantive check stage or rejected by the Supervisory Body.

#### **4. Post-registration change fee**

8. The fee to be charged for a request for approval of post-registration change to a registered A6.4 project (the post-registration change fee) shall be a fixed rate, set at USD 1,500 per request.
9. If the post-registration change increases the scale of the project to bring the scale to a higher tier of the registration fee, the difference from the paid registration fee calculated in accordance with paragraph 6 above shall be payable in addition to the post-registration change fee. For any other post-registration changes, any portion of the paid registration fee shall not be reimbursable.
10. If the request for approval of post-registration change is withdrawn by the DOE, concluded as incomplete by the secretariat, or rejected the Supervisory Body, the paid post-registration fee shall be reimbursed to the activity participants in the following manner:
- (a) Reimbursement in full if the request is withdrawn by the DOE before the secretariat starts the completeness check stage in accordance with this procedure;
  - (b) No reimbursement if the request is withdrawn by the DOE any time after the secretariat starts the completeness check stage.

#### **5. Issuance fee**

11. The fee to be charged for a request for issuance of Article 6, paragraph 4, emission reductions (A6.4ERs) for a registered A6.4 project (the issuance fee) shall be a proportional levy to the amount of A6.4ERs requested for issuance, set at USD 0.15 per A6.4ER being requested for issuance.
12. If the request for issuance is withdrawn by the DOE, concluded as incomplete by the secretariat, or rejected the Supervisory Body in accordance with this procedure, the paid issuance fee shall be reimbursed to the activity participants in the following manner:
- (a) Reimbursement in full if the request is withdrawn by the DOE before the secretariat starts the completeness check stage;



- (b) Reimbursement of any amount above USD 1,500 if the request is withdrawn by the DOE or concluded as incomplete by the secretariat at the completeness check stage;
  - (c) Reimbursement of any amount above USD 5,000 if the request is withdrawn by the DOE or concluded as incomplete by the secretariat at the substantive check stage;
  - (d) Reimbursement of any amount above USD 10,000 if the request is withdrawn by the DOE any time after the substantive check stage or rejected by the Supervisory Body.
13. If, as a result of the substantive check by the secretariat or the review by the Supervisory Body of the request for issuance, the quantity of A6.4ERs to be issued changed from that in the initial request for issuance, the activity participants or the secretariat shall settle the difference in the issuance fees if the difference is more than USD 300.

## **6. Renewal fee**

14. The fee to be charged for a request for renewal of the crediting period of a registered A6.4 project (renewal fee) shall be at the same rate as the registration fee referred to in paragraph 6 above, applicable to the project based on its scale of GHG emission reductions or net GHG removals.
15. If the request for renewal of the crediting period is withdrawn by the DOE, concluded as incomplete by the secretariat, or rejected the Supervisory Body in accordance with this procedure, the paid renewal fee shall be reimbursed to the activity participants in the same manner as referred to in paragraph 7 above.

## **Appendix 2. Indicative list of post-registration changes that may be suitable for approval under the issuance track**

1. A request for approval of a post-registration change may be suitable to be submitted under the issuance track referred to in paragraph 92 of this procedure for:
  - (a) Any corrections to project information<sup>1</sup> of a registered A6.4 project that does not affect the design of the project;
  - (b) Temporary deviations from the registered monitoring plan for which alternative monitoring arrangements are proposed if the proposed alternative monitoring arrangements produce a conservative estimate of GHG emission reductions or net GHG removals;
  - (c) Changes to the monitoring of a registered A6.4 project that have no material impact<sup>2</sup> on the applicability of the applied methodologies or the other applied methodological regulatory documents, or the accuracy and completeness of the monitoring;
  - (d) Changes to the project design of a registered A6.4 project that do not adversely impact any of the following:
    - (i) The applicability and application of the applied methodologies, the applied standardized baselines and the other applied methodological regulatory documents with which the project has been registered;
    - (ii) The additionality of the project;
    - (iii) The scale of the project.

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<sup>1</sup> Such corrections may include, for example, typographical errors, location, and names and numbers of components.

<sup>2</sup> The same materiality thresholds for verification contained in the “Article 6.4 mechanism validation and verification standard for projects” should apply.

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