

A6.4-SB002-AA-A02

Information note

Draft workplan of the Article 6.4 Supervisory Body

Version 01.1



United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. The “Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4 of the Paris Agreement” (RMP), adopted at CMA 3, as part of the “rulebook”, set out principles, key requirements and processes of the mechanism (hereinafter referred to as the A6.4 Mechanism). In accordance with Article 6, paragraph 4, of the Paris Agreement, the A6.4 Mechanism shall be supervised by a body designated by the CMA. The CMA, at CMA 3, designated such body and named it the Supervisory Body, and further requested it to consider, elaborate and/or develop various elements in the RMP for its operationalization. It should be noted that the CMA also separately requested the Subsidiary Body for Scientific and Technological Advice (SBSTA) to develop recommendations on other elements of the RMP for consideration and adoption by the CMA at CMA 4 (November 2022).
2. The Supervisory Body at its first meeting considered the concept note “Planning the work of the Supervisory Body in 2022–2023”¹, and requested the secretariat to prepare a workplan of the Supervisory Body until the end of 2023 for consideration at its next meeting. The workplan will contain indicative timelines for the products to be considered, available clean development mechanism (CDM) expertise, indicative human and financial resource implications, and actions to be taken by the Supervisory Body at each meeting to operationalize the mechanism.

2. Purpose

3. The two-year workplan of the Supervisory Body provides an overview of the issues to be considered by the Supervisory Body at each meeting during the year. This allows the Supervisory Body to plan its work throughout the year, ensuring a balanced workload and an efficient use of meeting time.

3. Key issues and proposed solutions

4. The draft workplan also includes information with regard to which products may benefit from drawing upon available CDM expertise of panels, working groups and rosters of experts. This information may be considered in conjunction with the document related to the support structure of the Supervisory Body² to be also considered at this meeting.
5. The indicative human and financial resource implications reflected in the appendix to this document are early estimates for information and cover only the products in this draft Supervisory Body workplan. The full resources needs for the implementation of the Article 6.4 mechanism and support to the Supervisory Body until 2023 will be considered for approval by the Supervisory Body at the third meeting in November.

¹ See <https://unfccc.int/event/Supervisory-Body-1>

² Annex 3 to the annotated agenda of the second meeting of the Supervisory Body.

6. The draft workplan has been prepared taking into account the following matters:
 - (a) The assumption that the Supervisory Body will be meeting five times in 2023. The meeting and dates for these are still to be set by the Supervisory Body at the last meeting in 2022;
 - (b) The CMA will be providing further guidance to the Supervisory Body in November and further mandates may be provided to the Supervisory Body.

4. Impacts

7. The regular review and updating of the workplan will ensure efficiency and transparency of the decision-making process and facilitate the management of the workload.

5. Proposed work and timelines

8. The secretariat will include any new mandates arising from the meetings and will provide regular updates on the status of work to the Supervisory Body.

6. Recommendations to the Supervisory Body

9. The secretariat recommends that the Supervisory Body approve of the workplan and provide comments, as appropriate.

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1. Supervisory Body workplan 2022–2023

1. The table below provides an overview of the workplan of the Article 6.4 Supervisory Body for 2022 and 2023

Table 1. Article 6.4 Supervisory Body workplan 2022-2023

Asterisk (*) denotes products that would benefit from external CDM expertise of existing panels, working groups and rosters of experts.

Area	Sub-area	Product	2022			2023					Mandates and comments	
			SB 001	SB 002	SB 003	SB 004	SB 005	SB 006	SB 007	SB 008		
Governance	Supervisory Body	Selection of Chair/Vice Chair for SB	Final			Final					Decision 3/CMA.3, annex para. 18	
		Calendar of meetings	Final		Draft	Final				Draft		
		Supervisory Body report to CMA.4		Final					Draft	Final	Decision 3/CMA.3, annex para. 24(d)	
		Interaction with observers		Info	Info	Info	Info	Info	Info	Info	SB 001 report para. 29	
		Rules of procedure	Final	Info								SB 001 report para. 7 Decision 3/CMA.3, para. 6(a)
		SB 6.4 workplan	Concept	Final	Info	Final	Info	Info	Info	Info	Info	SB 001 report para. 8
		2022-2023 SB Objectives and Resources			Final							Decision 3/CMA.3, para. 12
		Support the forum on response measures						Concept				Decision 3/CMA.3, para. 12
		Engagement with LCIPP							Concept			Decision 3/CMA.3, para. 5(h)
		Gender action plan						Concept				Decision 3/CMA.3, para. 5(i)

Area	Sub-area	Product	2022			2023					Mandates and comments	
			SB 001	SB 002	SB 003	SB 004	SB 005	SB 006	SB 007	SB 008		
Governance <i>(cont.)</i>	Supervisory Body <i>(cont.)</i>	Modalities for communication			Final						SB001, para. 25	
		Communication plan		Concept	Final			Info			SB 001 report para. 26	
	Support structure	Support structure of the SB	Concept	Concept							SB 001 report para. 9	
		Develop terms of reference of experts (accreditation methodologies)		Final							SB 001 report para. 9	
		Selection of experts						Final			<i>(if agreed by SB)</i>	
		Decision hierarchy and documents classification				Final						
	Host Parties	Facilitate designation of national authorities (DNAs)			Info	Info	Info	Info	Info	Info	Info	Decision 3/CMA.3, annex para. 26(c)
		Establish requirements and process for approval and supervision of national arrangements for accreditation, methodological requirements (e.g. baseline approaches, additionality) and crediting period				Concept	Final					Decision 3/CMA.3, annex para. 24(a)(viii)

Area	Sub-area	Product	2022			2023					Mandates and comments
			SB 001	SB 002	SB 003	SB 004	SB 005	SB 006	SB 007	SB 008	
Activity cycle	Regulations	Activity standards				Draft	Final				Decision 3/CMA.3, para. 5(a)
		Activity cycle procedure				Draft	Final				Decision 3/CMA.3, para. 5(a)
		Validation and verification standard				Draft	Final				Decision 3/CMA.3, para. 5(a)
		* Sustainable development tool					Concept	Final			Decision 3/CMA.3, para. 5(c)
		Share of proceeds under the mechanism established by Article 6, paragraph 4, of the Paris Agreement	Concept	Final							
Registry		Operational procedure of the 6.4 mechanism registry					Draft	Final			Decision 3/CMA.3, annex, paras. 55, 58–61, 63–65
Accreditation		Review CDM accreditation standards and procedures and revise them as appropriate to apply for the Mechanism*				Draft	Final				Decision 3/CMA.3, para. 5(d)
	System	Accredit operational entities*						Final	Final	Final	Decision 3/CMA.3, annex, para. 24(b)

Area	Sub-area	Product	2022			2023					Mandates and comments
			SB 001	SB 002	SB 003	SB 004	SB 005	SB 006	SB 007	SB 008	
Methodologies	Process	Develop methodology development procedure			Draft	Final					Decision 3/CMA.3, annex, para. 35
		Develop standardized baseline development procedure					Draft	Final			Decision 3/CMA.3, annex, para. 35
		* Guidelines for implementation of methodological principles, approaches and methods for establishment of baseline and additionality (for CMA.4)	Concept								Decision 3/CMA.3, para. 6(d)
	Methodological requirements	* Elaborate application of requirements in chapter V.B (Methodologies) of Rules, modalities and procedures (for CMA.4)		Final							SB 001 report para. 18 Decision 3/CMA.3, para. 6(d)
		* Regulation for removal activities (for CMA.4)	Concept	Final							SB 001 report para. 12 Decision 3/CMA.3, para. 6(c)

Area	Sub-area	Product	2022			2023					Mandates and comments
			SB 001	SB 002	SB 003	SB 004	SB 005	SB 006	SB 007	SB 008	
Methodologies <i>(cont.)</i>	Methodological requirements <i>(cont.)</i>	* Review methodologies, standardized baselines, methodological tools and guidelines for application to the A6.4 Mechanism			Final	Final	Final	Final	Final	Final	Decision 3/CMA.3, para. 5(b)
		* Develop new (top-down) methodologies and standardized baselines				Final	Final	Final	Final	Final	Decision 3/CMA.3, para. 5(b)
Capacity building	Programme design	Design of a capacity building programme (institutional arrangements and designing/ setting baselines)		Info							SB 001 report para. 22 Decision 3/CMA.3, para. 14
		Capacity building programme (expediting implementation of A6.4)				Concept	Final				Decision 3/CMA.3, para. 13

Table 2. Supervisory Body workplan 2022-2023 number of items^(a)

Numbers of items	2022			2023				
	SB 001	SB 002	SB 003	SB 004	SB 005	SB 006	SB 007	SB 008
Concept	5	2	0	2	3	1	0	0
Draft	0	0	2	4	2	0	1	1
Final	3	6	4	7	8	7	3	4
Info	0	3	3	2	3	4	3	3
Total	8	11	9	15	15	13	7	8

^(a) Total of items 2022-2023: **86**

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Appendix 1. Indicative human and financial resources for products in this workplan

1. The table below provides information on indicative human and financial resource implications for the preparation of the products in this draft workplan. These indicative staff resources and non-staff cost (e.g., consultancy costs) are early estimates for information of the Supervisory Body.
2. The estimates exclude Article 6.4 support activities such as the provision of support to the Supervisory Body, the design, development, and operationalization of IT workflows and infrastructure, implementation of capacity building programme, planning, monitoring, and reporting, communications & engagement activities, travel, finance and budgeting activities, information and knowledge management, management and oversight, etc. The full resource needs for the implementation of the Article 6.4 mechanism and support to the Supervisory Body until 2023 will be considered for approval by the Supervisory Body at the third meeting in November.

Indicative resources by area	Estimated effort in person-months 2022	Estimated effort in person-months 2023	Non-Staff Cost (USD) 2022-2023
Governance	17	25.5	9,000
Activity Cycle	13	24	25,000
Registry	0	4	60,000
Accreditation	4	52	0
Methodologies	44	86	95,000
Capacity Building ^(a)	2	5	10,000
Indicative resources for workplan 2022/2023	80	196.5	199,000
Number of Staff resources	7	18	

(a) Excludes resources for the implementation of the capacity building programme.

Document information

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