

INFORMAL NOTE

on

SBI 61 agenda item 5b Modalities of the United Arab Emirates dialogue on implementing the global stocktake outcomes, referred to in paragraph 97 of decision 1/CMA.5

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Informal note by the co-facilitators

The purpose of this revised informal note is to organize the views of Parties, presented during meetings of the informal consultations and submissions made by Parties on the modalities of the United Arab Emirates dialogue on implementing the global stocktake outcomes. The informal note includes divergent views on modalities and has been prepared by the co-facilitators for this agenda item under their own responsibility. The informal note has not been agreed upon, does not reflect consensus, is not exhaustive, has no formal status, and is open to revision. It is intended to assist Parties in their discussions and does not prejudge further work or prevent Parties from expressing any further views

Based on deliberations by Parties, modalities for the United Arab Emirates Dialogue on implementing the outcomes of the global stocktake may include the following:

Preamble

- *Relevant articles of the Paris Agreement*
- *Recall decision 1/CMA.5, including paras. 97 and 98*
- *Recall Article 4.5 of the Paris Agreement, which states that support shall be provided to developing country Parties for the implementation of this Article, in accordance with Articles 9, 10 and 11, recognizing that enhanced support for developing country Parties will allow for higher ambition in their actions*
- *Recall Article 7.6 of the Paris Agreement, which states that Parties recognize the importance of support for and international cooperation on adaptation efforts and the importance of taking into account the needs of developing country Parties, especially those that are particularly vulnerable to the adverse effects of climate change*
- *Recall decision 1/CMA.5, para. 86 and recognize that adaptation finance will have to be significantly scaled up beyond the doubling as per decision 1/CMA.3, paragraph 18, to support the urgent and evolving need to accelerate adaptation and build resilience in developing countries*
- *Recall that the outcomes of the global stocktake will be implemented through Nationally Determined Contributions, National Adaptation Plans, and other party communications, reflecting equity and common but differentiated responsibilities and respective capabilities, in light of different national circumstances.*
- *Recall decision 1/CMA.5 paragraph 94, which recognizes that the New Collective Quantified Goal will support implementation of nationally determined contributions and national adaptation plans and welcomes the outcome of the New Collective Quantified Goal on Climate Finance in decision X/CMA.6;*
- *Recall article 4.9 and 14.3 of the Paris Agreement*
- *Recall Article 14 of the Paris Agreement*
- *Recall 1/CMA.5, para. 186*
- *Recall decision 1/CMA.5, para. 99*
- *Recall decision 19/CMA.1*

Scope

- The United Arab Emirates dialogue on implementing the global stocktake outcomes referred to in paragraph 97 of decision 1/CMA.5 (hereinafter the dialogue) will foster a focused and interactive exchange of views, information, and ideas on
 - **Option 1:** Financial support from developed to developing countries to implement their nationally determined contributions under the Paris Agreement and their national adaptation plans, and tracking progress in the delivery of the new collective quantified goal for climate finance, in accordance with the timelines and outcomes of the Paris Agreement to facilitate the revision of the NCQG as well as feed into the second global stocktake process;

- The dialogue shall provide developed countries with space to provide updates and announcements on their contributions and efforts to mobilize finance, and developing countries to outline gaps and needs for finance, Parties recognizing that enhanced support for developing country Parties will allow for higher ambition in their actions;
- Assess provision of finance for NDC implementation and reporting (BTRs) in light of the needs of developing country Parties as outlined NDCs and NAPs and progress on support commitments (NCQG) in a manner that can inform review in the context of the GST and track the delivery of the NCQG, taking into account articles 9.5 and 9.7 of the Paris Agreement;
- Challenges faced by developing countries in accessing finance;
- Progress on doubling adaptation finance and significantly scaling up adaptation finance beyond the doubling in connection with paragraph 86 of decision 1/CMA.5, taking into account the adaptation-related outcomes of the global stocktake, and the mobilization by developed country Parties of the adaptation support pledged;
- Consistent with principles of the Convention and the Paris Agreement, especially common but differentiated responsibility and respective capabilities, in the light of different national circumstances;
- **Option 2:** implementation of all outcomes of the first global stocktake,;
 - Focus on those not already taken up by existing constituted bodies, work programmes or agenda items;
 - Update on collective progress in implementing the full range of the actionable, forward-looking outcomes of the first global stocktake with a dedicated space to discuss energy transition in accordance with paragraph 28 of decision 1/CMA.5, as well as paragraphs 28-36, 39, 40, 42, 51, 59, 63, 70, 96, 107 118, 154, 170, 173, 190;
 - Opportunities to enhance collective progress in implementing the outcomes of the first global stocktake in these areas; steps taken to implement the global stocktake outcome domestically and globally; barriers to implementation, including means of implementation and support; further decisions needed to implement the global stocktake outcomes;
 - Platform for Parties and non-Party stakeholders to have a comprehensive overview of the follow-up taking place in various processes, including the outcomes related to finance, where an overview of progress made in addressing the outcomes of the GST can be presented as a bridge from the first to the second global stocktake;
- **Option 3:** the implementation of all outcomes of the first global stocktake, with a particular focus on the provision of finance, as well as capacity-building and technology transfer;
 - To support enhanced action on adaptation, mitigation and loss and damage;
 - Follow-up to track progress, address gaps, and enhance implementation, ensuring that climate actions are aligned to 1.5°C, for the full implementation of GST outcomes and for supporting developing countries, particularly for LDCs and SIDS;
 - Enhance understanding of the collective progress in the implementation of all outcomes of the global stocktake; identifying, for each component of the global stocktake, the main gaps, barriers, challenges and opportunities related to provision of finance and other means of implementation; Discussing actions, calls and recommendations to overcome these gaps, barriers and challenges on financial support and means of implementation, identifying also opportunities and promotion of international cooperation
- **Option 4:** financing the implementation of nationally determined contributions and national adaptation plans and the agreed climate goals and relevant outcomes of CMA 3, CMA 4, and CMA 5;
 - Discussions on scale of finance, fairness and responsiveness of instruments, predictability of finance, accessibility, and equitable distribution of finance in facilitating implementation;

Governance

- The dialogue will be organized with the support of the secretariat under the guidance of the Chairs of the SBSTA and SBI, who will select, in consultation with Parties, two co-facilitators for the dialogue, one from a developed country Party and one from a developing country Party, while striving for gender balance;

Format

- The dialogue will:
 - Be party-driven and include the participation of Parties and non-Party stakeholders, including Indigenous Peoples, local actors, youth, children, women, workers, local experts, persons with disabilities, representatives of UNFCCC constituencies, United Nations agencies, relevant international financial institutions, accredited entities and implementing entities and other international organizations;
 - Facilitate the sharing of experiences, barriers, challenges, opportunities best practices, and lessons learned;
 - Include diverse, creative, facilitative, interactive formats such as plenary discussions, roundtables, presentations by Parties and non-Party experts, breakout sessions, and World Café sessions, as well as webcasts and options for virtual participation, to support equitable and effective engagement;
 - Maintain the transparent and inclusive nature of the first global stocktake

Underscore the importance of maintaining a balanced and meaningful representation from developing Party countries and all regional groups, in particular LDCs and SIDS .

Guiding questions

- **Option 1:** Guiding questions
- **Option 2:** No guiding questions
- **Option 3:** Co-facilitators to develop guiding questions at least six weeks in advance of the dialogue

High-level components

- **Option 1:** High-level events will take place as part of the dialogue;
- **Option 2:** No high-level events will take place as part of the dialogue;

Organizational matters

- **Option 1:** Co-facilitators to develop annual workplan for the dialogue on the basis of the submissions;
- **Option 2:** Agenda for each session of the dialogue to be published at least six weeks in advance of the dialogue;

Timing

Frequency The dialogue will be held

- **Option 1:** twice annually in conjunction with the sessions of the subsidiary bodies in May/June and the CMA;
- **Option 2:** annually in conjunction with the CMA;
- **Option 3:** annually in conjunction with the first sessions of the subsidiary bodies in May/June;

Conclusion of the dialogue

- **Option 1:** concludes in 2029/CMA 11;
- **Option 2:** concludes in 2028/CMA 10 as per decision 1/CMA.5, para. 98;
- **Option 3:** held in conjunction with CMA 7 and CMA 8, and concludes in 2028/CMA 10, to avoid overlap with the second global stocktake;

Length of each dialogue

- **Option 1:** at least two half-day sessions;
- **Option 2:** one day;
- **Option 3:** two days;

Agenda item

- **Option 1:** Agenda item at CMA
- **Option 2:** No agenda item

Inputs

Possible inputs include:

- **Option 1:** Information and sources related to finance, including:
 - Information from NDCs on needs of financial support for developing countries (including on mitigation, adaptation, non-market based approaches);
 - Information from BTRs on climate finance provided and mobilized by developed country Parties, and climate finance needed and received by developing country Parties;
 - Relevant reports from the Standing Committee on Finance on the progress of the delivery of the USD 100 billion per year commitment, progress of the NCQG itself, the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement;
 - BTR sections on climate finance with a particular emphasis on the assessment of assumptions utilized in reporting on finance;
 - Synthesis report on adaptation information, including finance, referred to in para. 60 of decision 1/CMA.5, synthesis report on information on loss and damage, including finance, referred to in para. 134 of decision 1/CMA.5
 - Information on challenges faced by developing countries in accessing climate finance;
 - Communications under Article 9.5 of the Paris Agreement;
- **Option 2:** Information sources such as:
 - Parties, constituted bodies under the Convention and the Paris Agreement, observers and other non-Party stakeholders to submit views and information in response to guiding questions;
 - Secretariat to prepare a synthesis of the submissions in advance of each dialogue;
 - Relevant reports and communications by Parties, including NAPs, NDCs, BTRs and LT-LEDS;
 - Relevant synthesis and summary reports prepared by the UNFCCC secretariat, including the NDC synthesis reports, BTR synthesis reports, synthesis report on adaptation information referred to in para. 60 of decision 1/CMA.5, synthesis report on information on loss and damage referred to in para. 134 of decision 1/CMA.5, summary reports in connection with paragraphs 181, 182 and 187 of decision 1/CMA.5;
 - Outputs from the first global stocktake, including decision 1/CMA.5 and reports from the technical dialogues, and other inputs to the GST referred to in paragraph 36 and 37 of 19/CMA.1.
 - Reports and outputs of United Nations agencies and other international organizations, such as the IPCC, IEA, IRENA, FAO, UNDP, UNEP, WMO, NDC Partnership, international financial institutions;
 - Reports and outputs of constituted bodies, forums, institutional arrangements and work programmes under or serving Convention and the Paris Agreement;
 - Inputs informed by work of the High-Level Champions on the Marrakesh Partnership for Global Climate Action, Action, NGO Constituencies and other relevant Non-Party Stakeholders, including cities, regions, businesses and investors, and relevant coalitions and initiatives;
 - Report on the follow-up of GST outcomes in the work of the constituted bodies serving the Paris Agreement, in line with their respective mandates and work programmes, and information on relevant decisions taken after CMA 5;
 - Experts to hold presentations on collective progress in implementing the first GST at the dialogue;
 - Outputs from preceding dialogues;
- **Option 3:** The following:
 - Parties, observers, constituted bodies under the Convention and the Paris Agreement and other non-Party stakeholders to submit views and information in response to guiding questions;
 - Overview report prepared by the secretariat on how the outcomes of the first global stocktake have been followed up, in line with mandates for follow up as contained in articles 4.9 and 14.3 of the Paris Agreement and para. 186 of decision 1/CMA.5;

Outputs

Possible outputs, depending on agreed scope, include:

1. Summary report of each dialogue reflecting
 - **Option 1:** Progress estimates in relation to outlined needs and the NCQG commitment; accounting methodologies and practices, including underlying definitions and assumptions utilized in reporting of climate finance provided and mobilized;
 - **Option 2:** Progress estimates in relation to climate action, as informed by NDCs, NAPs, and LT-LEDs, and
 - **Option 3:** findings on collective progress towards the implementation of the outcomes of the first global stocktake in all countries; identification of the main gaps and barriers related to the provision of finance, capacity building and technology transfer; identification of opportunities to overcome these challenges, including enablers to enhance international cooperation; identification of potential information gaps and options for additional input to fill these gaps;
 - **Option 4:** A factual summary of the dialogue including all Parties' views;
2. Summary report of each dialogue for consideration by the CMA to be prepared by
 - **Option 1:** the secretariat;
 - **Option 2:** the SB Chairs with the assistance of the secretariat;
 - **Option 3:** the co-facilitators of the dialogue with the assistance of the secretariat;
 - **Option 4:** the CMA Presidency and the SB Chairs;
3.
 - **Option 1:** Summary report to inform the second global stocktake;
 - **Option 2:** Summary report as input to revision of NCQG.
 - **Option 3:** no text;
4. Secretariat to prepare a synthesis report, based on the summary reports to inform the second global stocktake
 - **Option 1:** and the revision of the NCQG in 2029;
 - **Option 2:** in advance of CMA 8;
 - **Option 3:** no text;
5.
 - **Option 1:** Secretariat to develop a mapping of which bodies, agenda items or processes are working on each call and recommendation of the global stocktake to be made available in advance of CMA 7;
 - **Option 2:** no text;
6.
 - **Option 1:** Secretariat, with the support of relevant organizations, to produce a report to keep track of progress on all the global stocktake calls at the global level in advance of CMA 7;
 - **Option 2:** no text;
7.
 - **Option 1:** All work programmes and constituted bodies under or serving the Paris Agreement, to include consideration of the global stocktake in their work in 2025 and to report on the consideration of this matter by CMA 7 in connection with paragraph 186 of decision 1/CMA.5;
 - **Option 2:** no text;
8.
 - **Option 1:** Options on how to take forward the implementation of the GST, specifically operational calls of the GST contained in paragraph 28 and 33;
 - **Option 2:** no text;

Decisions

- **Option 1:** Decision as outcome of each round of the dialogue;
- **Option 2:** No decision;