

Annotated inputs for the draft guidance to the Global Environment Facility (GEF) from Chile

<i>Elements</i>	<i>Sub elements</i>	<i>Proposed inputs</i>	<i>COP CMA, CMA.</i>	<i>and or</i>	<i>Rationale for the inputs</i>	<i>Source of information / reference</i>
Programme priorities	Technology development and transfer	Requests the GEF to include in its annual report to the COP/CMA the stage of the technology cycle supported by its projects with a technology component, and invites consultation with the Technology Executive Committee on the specification of the stages of the technology Cycle.	CMA		Article 10 paragraph 5 states that the Financial Mechanism of the Convention will support in particular for early stages of the technology cycle, and article 10 paragraph 6 indicates that support will be provided at different stages of the technology cycle. The information that allows for the assessment of compliance is not included in the report as there is no information on the stage of the technology cycle supported. Appropriate expert and technical advice by the constituted body specialised in technology is in order to define the stages of the technology cycle and what are the early stages.	<ul style="list-style-type: none"> - GEF report to COP 28, para 106, 184 and page 120 (Does not report on technology cycle, few references to technology stage); - Decisions 13/CP.24, 14/CP.25, 9/CP.26 (Work with TEC and CTCN for TT); - Decisions 4/CP.7, 3/CP.13 (GEF finance UNFCCC 4.5 framework, reference to stage on enabling environment on 3/CP.13); - Article 10 paragraph 5, Paris Agreement (supporting innovation particularly early stage technology cycle); - Article 10 paragraph 6, Paris Agreement (supporting all stages technology cycle); - UNFCCC Article 11, paragraph 1 (Supporting TT);
Programme priorities	Technology - Linkage with Technology Mechanism	Encourages the Global Environment Facility to consult with the Technology Executive Committee and the Climate Technology Centre and Network regarding potential synergies between the new joint Technology Mechanism work programme and the implementation of the programming direction under the eighth replenishment of the Global Environment Facility, and request the GEF to include the TEC and the CTCN, and other relevant constituted bodies, in the consultations for the Programming directions under the ninth replenishment of the Global Environment Facility.	COP CMA	and	Parties noted in the GEF CMA and CP decision for COP27 that Innovation and technology development are an important part of the new programming and requested GEF to find new ways and enhance support for technology. There is a strong potential for synergy regarding topics contained in the new Strategic Plan and the Technology Mechanism Joint Work Programme, including the CTCN programme of work and the TEC rolling workplan. It is also added a request to consider TEC and CTCN when developing the next programming direction for increased complementary and synergy from the inception phase. Language is based on a similar paragraph the SCF included last year for the GEF based on the TEC inputs.	<ul style="list-style-type: none"> - GEF report to COP 28 paras 9 and 17. (Programming and Technology, and UNFCCC consultation); - Programming directions under the eighth replenishment of the Global Environment Facility; - Decision 17/CMA.4 (Welcomes technology component in GEF 8); - Decision 17/CP.27 (further explores way to support technology and enhance technology); - Decision 1/CP.27, 18/CP.27, 1/CMA.4, 19/CMA.4 (Welcomes with appreciation the Joint Work Programme of TEC and CTCN and invites parties and stakeholders to collaborate with its implementation); - Joint Work Programme of the UNFCCC Technology Mechanism; - Rolling workplan of the Technology Executive Committee; - CTCN Programme of Work; - Decisions 13/CP.24, 14/CP.25, 7/CP.26, 9/CP.26 (Work with TEC and CTCN for TT); - Decision 12/CP.24 (Adoption of recommendations in CTCN Independent review: GEF and GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages); - Decisions 15/CP.22, 11/CP.26 (Linkage with CTCN); - Decision 13/CP.25 (Adoption of TEC recommendations, support for continuing the role of regional centres and support CTCN on enhanced TA); - Decisions 14/CP.18, 13/CP.21, 14/CP.22, 14/CP.24 (Linkage Agenda Item TEC and Finance); - Decisions 4/CP.7, 3/CP.13 (GEF finance for UNFCCC 4.5 framework); - UNFCCC Article 4, paragraph 5

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						(Support in technology); - Article 10 paragraph 5, Paris Agreement; (Supporting innovation); - UNFCCC Article 11, paragraph 1 (supporting TT);
Programme priorities	Technology Capacity Building and Enabling conditions	Invites the GEF, in consultation with the TEC and CTCN, to promote innovation, technology development and transfer by creating enabling conditions and policies that build suitable capacity in developing countries across all technological innovation system functions and, in particular, their national designated entities for technology development and transfer.	COP CMA	and	The IPCC AR6 report includes a dedicated chapter on technology innovation, development and transfer. This chapter presents several findings with high confidence. For the purpose of technology and the Financial Mechanism, and the current existing gaps, the finding included in the proposed input is the most relevant and comprehensive, but the language was adapted to better fit the GEF-8 programming directions on the climate change focal area strategy and associated programming. GEF has extensive work on innovation, but never references the systems of innovation in the report. The Technology Mechanism has ongoing work with the on technology regarding National Systems of Innovation, definitions of 'technological innovation system functions' and has on its workplan the assessment of the status of NSI on developing countries.	- GEF report to COP 28 paras 5, 9, 18, 24, 68, 98, 108, 121, 136, 137, 147, 158, 172-175, 184, 190, 257, 258. (Several paras on innovation, no reference to innovation systems); - IPCC AR6 WGIII Chapter 16 (Build suitable capacity across all Technological innovation system functions, NSI, and linkage); - Decision 17/CP.27 (further explore ways to support technology, and enhance technology); - Decisions 13/CP.24, 14/CP.25, 7/CP.26, 9/CP.26 (Work with TEC and CTCN for TT); - Decision 1/CP.27, 18/CP.27, 1/CMA.4, 19/CMA.4 (Welcomes with appreciation the Joint Work Programme of TEC and CTCN and invites parties and stakeholders to collaborate with its implementation); - Joint Work Programme of the UNFCCC Technology Mechanism, Rolling workplan of the Technology Executive Committee, CTCN Programme of Work (Work on NSI); - Decisions 4/CP.7, 3/CP.13 (GEF finance for UNFCCC 4.5 framework); - UNFCCC Article 4, paragraph 5 (Support for technology); - Article 10 paragraph 5, Paris Agreement (Supporting innovation); - UNFCCC Article 11, paragraph 1 (Supporting TT);
Programme priorities	Technology Linkages with CTCN and TEC	Encourages the GEF, in collaboration with the TEC and CTCN, to further enhance its reporting on how it has scaled up action on technology development and transfer, in particular the uptake of CTCN technical assistance and Technology Need Assessments results, and invites the GEF to report the amount of resources provided for the costs associated with the CTC and the mobilisation of the services of the Network.	COP and CMA		Couple of issues being addressed: 1. There is no information regarding the financing of the implementation of CTCN technical assistances and Technology Need Assessments results, despite that the definition and elaboration of linkages between the Technology Mechanism and the Financial Mechanism has the aim of ensuring financial resources for, and scaling up action on, technology development and transfer; according to decision 13/CP.21. Please note that is not the same as the reporting in decision 17/CMA.4, as that decision has a very limited scope to TNA and TAPS under STAR allocation. 2. Also, according to decision 2/CP.17 para 139 and 12/CP.24 it is expected for the GEF to be a source of the resources for the costs associated with the CTC and the mobilisation of the services of the Network.	- GEF report to COP 28 paras 263-267 (Report on the linkage); - Decision 17/CMA.4 (Report on STAR usage for TNA and TAP); - Decisions 13/CP.24, 14/CP.25, 7/CP.26, 9/CP.26 (Work with TEC and CTCN for TT); - Decision 12/CP.24 (Adoption of recommendations in CTCN Independent review: GEF and GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages); - Decision 2/CP.17 (GEF funding for CTCN); - Decisions 15/CP.22, 11/CP.26 (Linkage with CTCN);

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						<p>Decision 13/CP.25 (Adoption of TEC recommendations, support for continuing the role of regional centres and support CTCN on enhanced TA);</p> <p>Decisions 14/CP.18, 13/CP.21, 14/CP.22, 14/CP.24 (Linkage Agenda Item TEC and Finance);</p> <p>Decisions 4/CP.7, 3/CP.13 (GEF finance for UNFCCC 4.5 framework);</p> <p>UNFCCC Article 4, paragraph 5 (Support in technology - enhancing endogenous capacities);</p> <p>Article 10 paragraph 5, Paris Agreement (Supporting innovation);</p> <p>UNFCCC Article 11, paragraph 1 (Supporting TT);</p>
Programme priorities	Technology	Notes with concern that the response of the GEF to 17/CP.27 paragraphs 15 and 16 present a business as usual scenario and does not sufficiently address the guidance provided and reiterates the requests to <i>further explore</i> ways to provide support to developing country Parties for assessing their needs and priorities in a country driven manner, including technology and capacity-building needs, and for translating climate finance needs into action; and to <i>further enhance</i> the support provided by the Global Environment Facility for activities related to technology training, funding for technology development and transfer and capacity-building.	COP and CMA		In our view, the response provided by the GEF to mandates contained in 17/CP.27 paras 15 and 16 does not address the mandate it responds to. What is requested is not to continue to do what the GEF has been doing, but to further explore and further enhance . The issue is not about the answer being satisfactory or not, but addressing what the guidance is requesting.	<p>GEF report to COP 28 para 9 (Response to decisions and conclusions);</p> <p>Decision 17/CP.27 (further explore ways to support technology, and enhance technology);</p>
Programme priorities	Technology Needs Assessment and BTR	Welcomes the support for the enhanced transparency framework provided by the GEF and requests the GEF to consider consolidation of funding for enabling activities that assess relevant needs into it.	COP and CMA		Technology Needs Assessments fit perfectly into the assessment of technology, capacity building and finance needs that parties need to report under the transparency framework as all these needs are assessed in a TNA. Moreover, they provide a structured way to suggest specific activities to be financed in developing countries, in the form of Technology Action Plans. The current situation where there is limited finance for TNAs, and there is also a limited finance for the assessment of need in the BTRs under the GEF, could be improved by integrating Enabling Activities that assess needs into a single process with more funding overall, and maximising their intended results by mainstreaming them into the transparency reporting.	<p>GEF report to COP 28 paras 10-14, 90, 268 - 276 (TNA and BTR reporting);</p> <p>Decision 17/CP.27 (further explore ways to support technology, and enhance technology);</p> <p>Decision 18/CMA.1 (Enhanced transparency framework - Information on financial, technology development and transfer and capacity-building support needed);</p> <p>Decisions 4/CP.7, 3/CP.13 (GEF finance for UNFCCC 4.5 framework);</p> <p>UNFCCC Article 4, paragraph 5 (Support in technology - enhancing endogenous capacities);</p> <p>Article 10 paragraph 5, Paris Agreement (Supporting innovation);</p> <p>UNFCCC Article 11, paragraph 1 (Supporting TT);</p>
Policies	Linkages between the	Recalling decisions 2/CP.17 para 139, 12/CP.24 and 13/CP.25, invites GEF to engage with TEC and CTCN to find and act on new opportunities for supporting and	COP and CMA		Based on reports by both the CTCN and the GEF it seems that GEF is not financing new projects being implemented by CTCN and that CTCN is supporting the implementation of GEF Phase IV of the Global TNA project with in-kind resources of up to \$910,000.	<p>GEF report to COP 28 paras 9, 263 - 267. (work with CTCN);</p> <p>Decision 17/CP.27 (further explore ways to support technology, and enhance technology);</p>

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	Technology Mechanism and the Financial Mechanism	collaborating with the Climate Technology Centre and Network.			According to decision 2/CP.17 para 139, it is expected for the GEF to be a source of the resources for the costs associated with the CTC and the mobilisation of the services of the Network, according to 12/CP.24 regarding the Adoption of recommendations in CTCN Independent review it is expected that GEF explores how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages and according to Decision 13/CP.25 it is expected that GEF explores support for continuing the role of regional centres and support CTCN on providing enhanced technical assistances); GEF reported that it continues to <i>explore</i> opportunities for further collaboration, but given the existing mandates there is encouragement for GEF to find and act on those opportunities, in collaboration with CTCN.	<ul style="list-style-type: none"> - Decisions 13/CP.24, 14/CP.25, 7/CP.26, 9/CP.26 (Work with TEC and CTCN for TT); - Decision 12/CP.24 (Adoption of recommendations in CTCN Independent review: GEF and GCF to continue exploring how to further facilitate the provision of sustained funding for CTCN activities and enhance operational linkages); - Decisions 15/CP.22, 11/CP.26 (Linkage with CTCN); - Decision 13/CP.25 (Adoption of TEC recommendations, support for continuing the role of regional centres and support CTCN on enhanced TA); - Decisions 14/CP.18, 13/CP.21, 14/CP.22, 14/CP.24 (Linkage Agenda Item TEC and Finance); - Decisions 4/CP.7, 3/CP.13 (GEF finance for UNFCCC 4.5 framework); - UNFCCC Article 4, paragraph 5 (Support in technology); - Article 10 paragraph 5, Paris Agreement; (Supporting innovation); - UNFCCC Article 11, paragraph 1 (supporting TT);