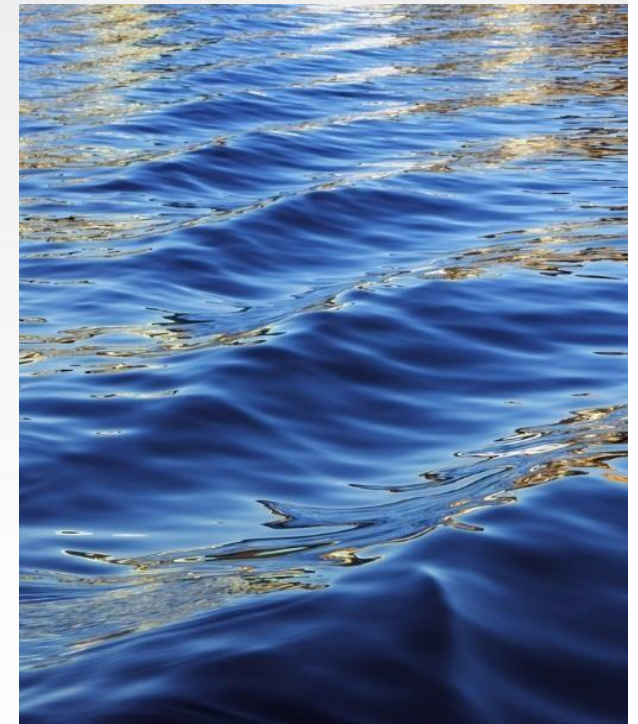
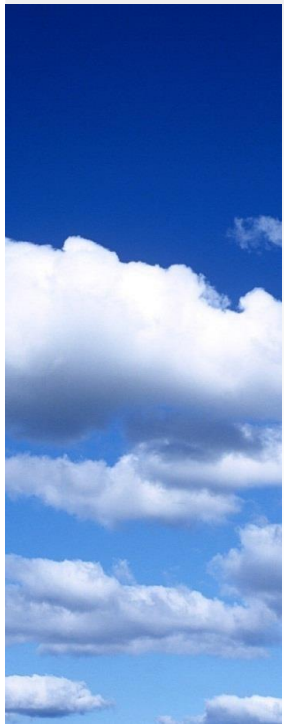




ADAPTATION FUND

**Overview of the
Adaptation Fund**

**NBF Workshop -
Grenada**



Adaptation Fund at a Glance



Mandate to fund **concrete adaptation projects with tangible results on the ground**, in most climate-vulnerable developing countries & communities



Pioneered the innovative **'Direct Access'** modality

(34 National Implementing Entities out of 57 accredited IEs)



As urgency of climate change adaptation has risen, it has grown to over **USD 1 billion approved grant funding today**



ADAPTATION FUND



Characteristics



Concrete adaptation activities in the most vulnerable communities:

visible and tangible impacts at the local level that can be scaled up



No prescribed sectors or approaches:

country-driven process that accommodates countries' priorities and adaptation reasoning



Finance the full cost of adaptation:

No co-financing requirements



50% of portfolio for Direct Access:

Total allocation for projects/ programmes submitted by MIEs cannot exceed 50% of cumulative resources



USD 20 million available per country under raised country cap

For single country projects. (other grants available outside of country cap)



ADAPTATION FUND



AF portfolio – Regional distribution to date

Multi
2 projects
\$10 Million

Latin America & Caribbean
32 projects
\$271.1 Million



Eastern Europe
6 projects
\$ 29.8 Million



Asia-Pacific
48 projects
\$275.8 Million



Africa
51 projects
\$401.5 Million

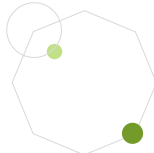
TOTAL
139 projects
\$988.2 Million





ADAPTATION FUND



AF portfolio – Eastern Caribbean to date





Adaptation Fund Funding Windows

	FUNDING TYPE	Accredited Entity Type	Maximum Funding Amount per Project/Program	How to Apply	Learning Resources
 <p>ACTION</p> <p>Action grants support eligible countries to undertake high quality adaptation projects/programmes consistent with their priority needs, goals and strategies.</p>	Single Country: For addressing climate change impacts in one country through tangible outcomes	NIE, RIE, MIE	USD 10 Million per Project/Programme*	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding & Community of Practice for Direct Access Entities.
	Regional: For addressing climate change impacts in 2+ countries in the same United Nations region, or adjacent regions, through tangible outcomes	RIE, MIE	USD 14 Million per Project/Programme (excluding the PFG)**	Apply here for grant funding or technical assistance	E-course: Direct Access: Unlocking Adaptation Funding
	Enhanced Direct Access: Supports bottom-up approaches through local knowledge and locally led action. Project selection occurs at national/sub-national levels.	NIE	USD 5 Million per Project/Programme (including the PFG)	Apply here for grant funding or technical assistance	EDA guidance document
	Project Scale Up: Supports planning, design and overall capacity to develop scale-up pathways for AF funded projects nearing completion or already completed	NIE	USD 100,000 per Project/Programme	Apply here	E-training: Scale-Up Grants
 <p>INNOVATION</p> <p>Innovation grants support the development and diffusion of innovative adaptation practices, tools, and technologies.</p>	Small (single country): To accelerate development of innovative practices, tools and technologies and demonstrate best practices for scale-up	NIE	USD 250,000 per Project/Programme	Apply here	E-training: Innovation Small Grants
	Large (single country or regional): To roll out or scale-up successful innovative practices, tools and technologies to a new country or at regional scale, involving 2+ countries/regions	NIE, MIE, RIE***	USD 5 Million per Project/Programme	Apply here	Innovation large grants guidance documents available here
	Adaptation Fund Climate Innovation Accelerator: Administered by UNDP & UNEP/CTCN to accelerate the development of innovative practices, tools and technologies and demonstrate best practices for scale-up	Non-accredited****	USD 250,000 per Project/Programme	Apply here for grant funding	UNDP/UNEP/CTCN



ADAPTATION FUND

Adaptation Fund Funding Windows

 LEARNING & SHARING	Learning Grants: support the generation and dissemination of practical knowledge about effective adaptation activities and financing modalities to actors around the world	NIE	USD 150,000 per Project/Programme	Apply here for grant funding	E-training: Learning Grants
 READINESS Readiness grants enable NIEs to provide peer support to countries seeking accreditation with the Fund and build capacity for undertaking various climate finance readiness activities.	Readiness Support Package Grant: Facilitate the delivery of more enhanced, targeted and tailored readiness support for accreditation to developing countries	NIE	USD 150,000 per NIE	Apply here	Website: Readiness
	Technical Assistance Grant for the Environmental and Social Policy and Gender Policy: For NIEs to strengthen capacity to address and environmental and social risks as well as gender related issues in their projects and programs	NIE	USD 25,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding
	Technical Assistance Grant for the Gender Policy: For NIEs with robust environmental and social policies to enhance measures to avoid, minimize and/or mitigate adverse gender impacts	NIE	USD 10,000 per NIE	Apply here	E-course: Direct Access: Unlocking Adaptation Funding

Notes:

*NIEs applying for single country projects through 2-step submission process (concept note; fully-developed proposal) with concept note can request a [Project Formulation Grant](#) (PFG) up to USD 50,000 in addition to the USD 10 million grant.

**RIEs and MIEs applying for regional projects (2+ countries) through the 3-step submission process (pre-concept note; concept note; fully-developed proposal) can request a PFG up to USD 20,000 with a pre-concept note and with a concept note a PFG up to USD 80,000. Those using the 2-step submission process (concept note; fully-developed proposal) can ask for PFG up to USD 100,000 with the concept note.

***For NIEs submitting proposals for large innovation grants, there is an opportunity to request a PFG of up to USD 50,000 per project. For a project for which PFG funding is sought, the total amount of funding inclusive of PFG cannot exceed USD 5 million. RIEs and MIEs submitting concept notes for large innovation grants for regional projects can request a PFG of up to USD 30,000. All IEs can apply to single country innovation large grants and MIE and RIEs only can apply to regional innovation large grants

****Open to wide range of applicants, including local governments, NGOs, young entrepreneurs, the private sector and others.

Adaptation Fund – Direct Access Modality



DIRECT ACCESS

NATIONAL ORGANIZATION

ACCREDITED BY AF BOARD

PROJECT REVIEWED & APPROVED

FUNDS DISBURSED, PERFORMANCE-BASED TRANCHES

PROJECT IMPLEMENTED

PROJECT MONITORED & EVALUATED

Gaining access to AF resources

Obtaining AF resources



ADAPTATION FUND



Adaptation Fund – Direct Access Modality

To apply for project and programme funding, countries must submit proposals through an accredited institution. There are three categories of accredited institutions:

National Implementing Entities (NIEs)

Regional Implementing Entities (RIEs)

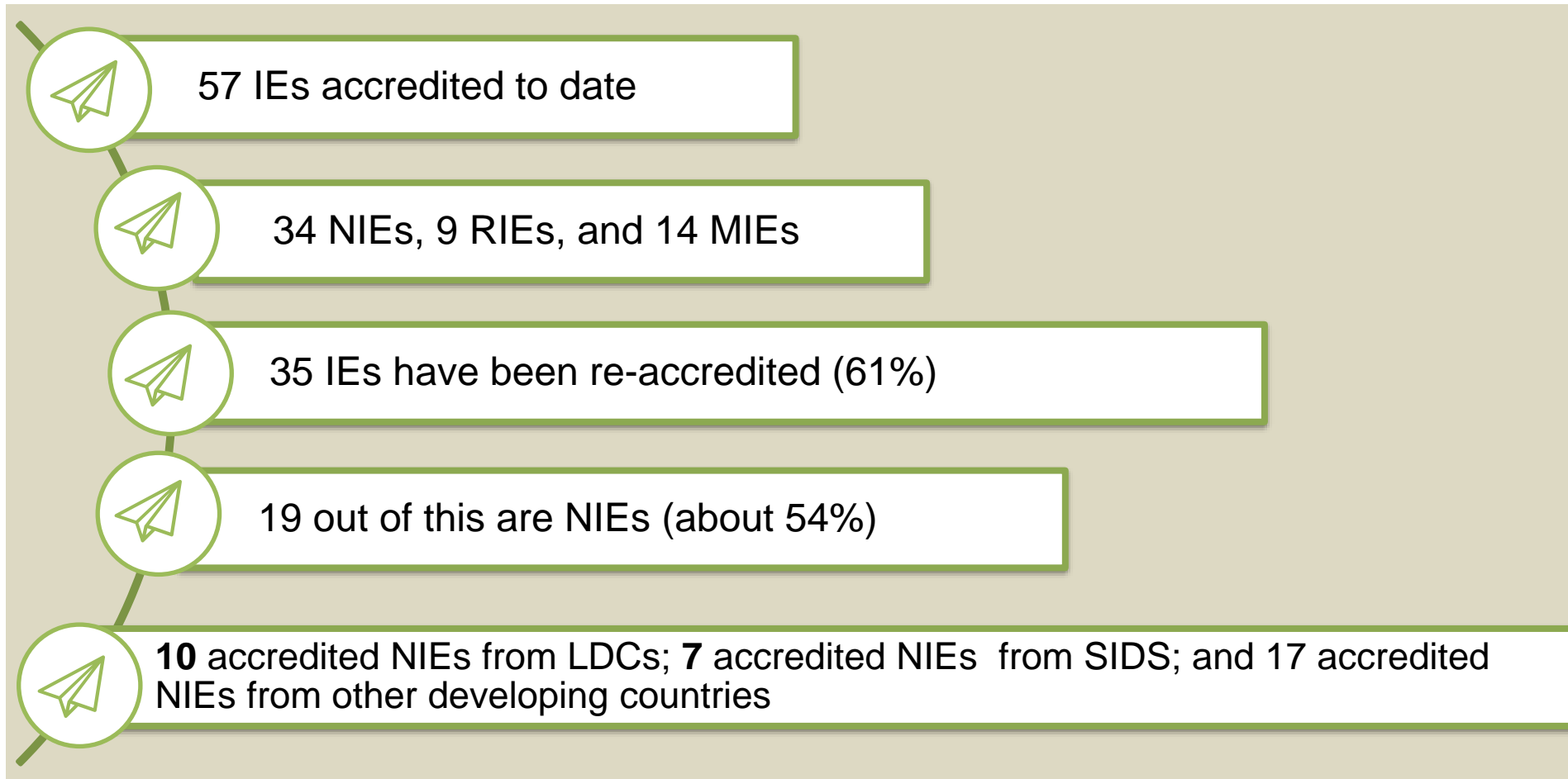
Multilateral Implementing Entities (MIEs)

Only institutions accredited by the Adaptation Fund may receive funding for adaptation projects. After accreditation, the entity may submit project proposals aligned with national priorities for consideration by the Adaptation Fund Board.

National implementing entities fall under the Adaptation Fund’s Direct Access modality, which enables entities to directly access financing and manage all aspects of climate adaptation and resilience projects, from design through implementation, to monitoring and evaluation. Direct Access allows developing countries to strengthen capacity to adapt to climate change and build on local expertise.



Portfolio of implementing partners



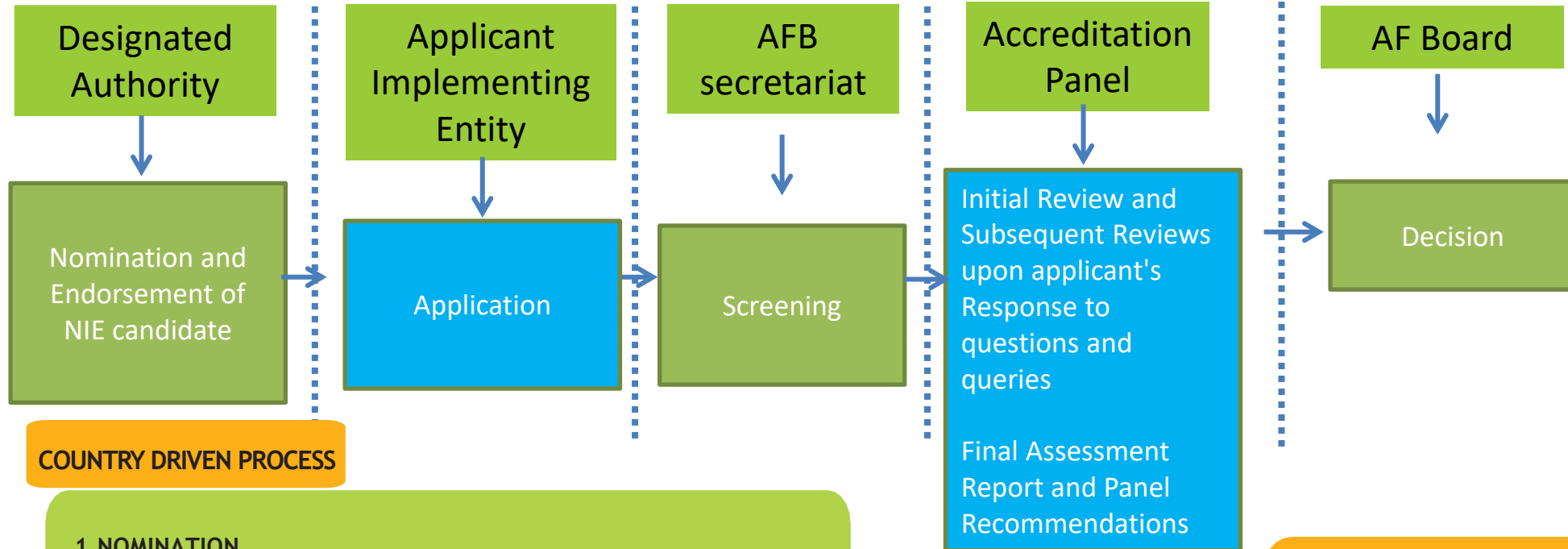
Reinforces AF's commitment to Direct access and the importance of country ownership and building national capacity in adaptation



Accreditation Process & Parties involved

ADAPTATION FUND

Accreditation to the Adaptation Fund



COUNTRY DRIVEN PROCESS

1. NOMINATION

An entity that meets AF's accreditation standards is identified and nominated by a Designated Authority:

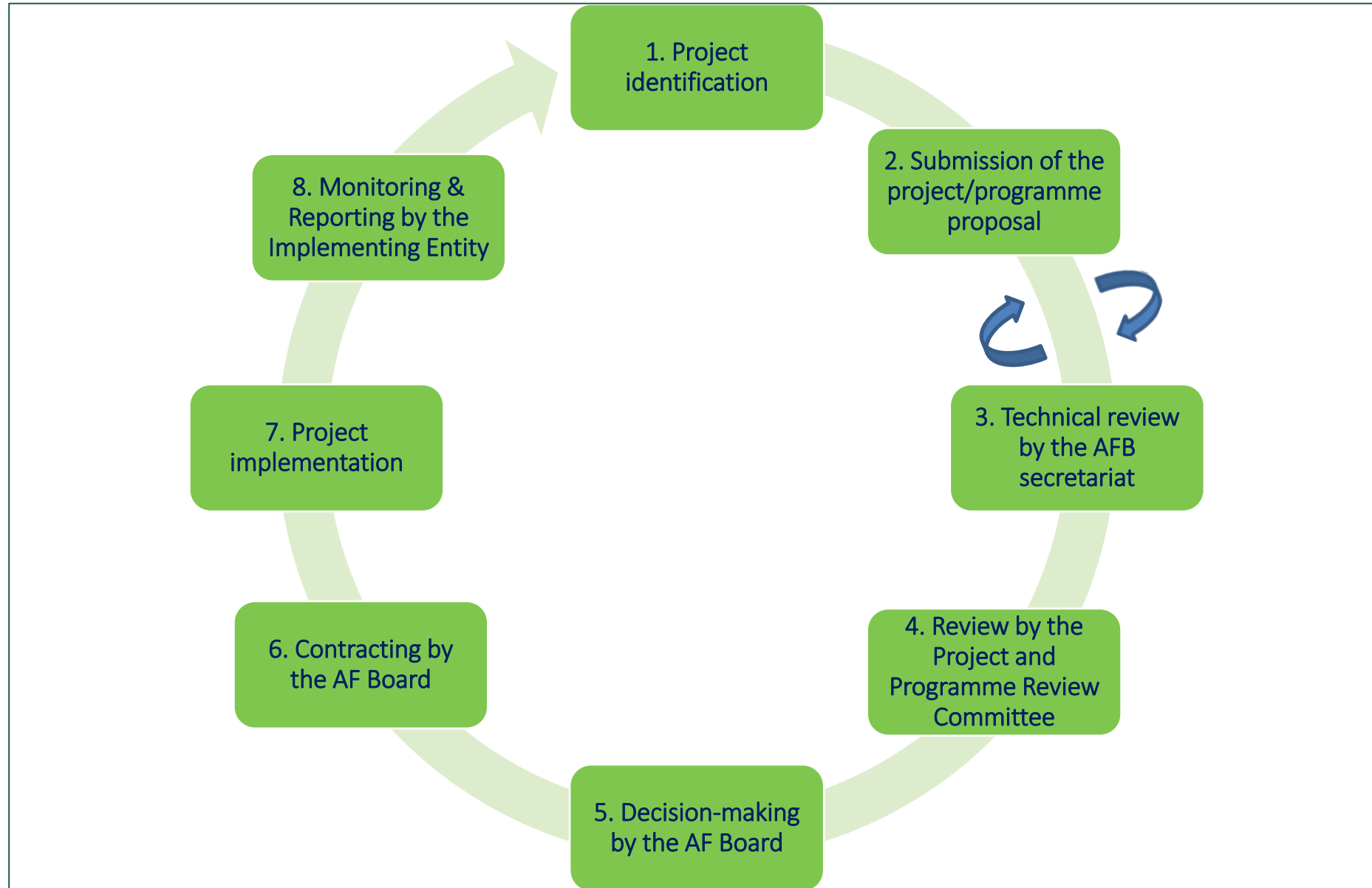
- **NIEs:** Must be nominated by their respective governments prior to submitting an application for accreditation
- **RIEs:** Must receive a letter of support from at least two of the countries in which they operate
- **MIEs:** Invited by the AF Board to apply for accreditation and do not require an endorsement letter to submit an application.

2. APPLICATION

Send an email to afaccreditation@adaptation-fund.org with subject heading: Accreditation application.

Eligible countries can accredit up to two NIEs.

Project Life-cycle





Thank You

Dr Dirk Lamberts

dlamberts@adaptation-fund.org

www.adaptation-fund.org

