

SBSTA 50 agenda item 10 (c)

Common tabular formats (CTF) for the electronic reporting of the information on financial, technology development and transfer and capacity-building support provided and mobilized, as well as support needed and received, under Articles 9-11 of the Paris Agreement.

Informal note by the co-facilitators

Version 25/06/2019

Note: The draft elements contained in this paper have been prepared by the co-facilitators of the negotiations on this agenda item under their own responsibility. The elements have been drawn from views submitted by Parties prior to this session and further discussions among Parties during the session. These elements are preliminary, are not exhaustive, have no formal status and should not be considered as final in any way; they are intended to assist us in advancing the discussions on this matter and do not prejudge further work or prevent Parties from expressing their views at any time.

Overview of the informal consultations on this item

1. The informal consultations on this agenda item were co-facilitated by Ms. Delphine Eyraud (France) and Mr. Seyni Nafo (Mali). At the fiftieth sessions of the Subsidiary Body for Scientific and Technological Advice (SBSTA) in Bonn, Germany from 17 -27 June 2019. **Five** meetings of the informal consultations were held.
2. On **25 June 2019**, the Co-facilitators prepared, under their own responsibility, this informal note by the co-facilitators.
3. The Co-facilitators recognize that Parties were of the view that in developing the biennial transparency report common tabular format (BTR CTF) for financial, technology development and transfer and capacity-building (FTC) support, the existing biennial report common tabular format (BR CTF) which are currently used by developed country Parties in reporting under the UNFCCC provide a good starting point. However, additional tables for financial support mobilized, as well as FTC support needed and received are to be developed.
4. The draft elements and tables for consideration for the BTR CTFs for reporting information on financial, technology development and transfer and capacity-building support provided and mobilised as well as support needed and received under Articles 9–11 of the Paris Agreement, are provided in the annex to this note.

Annex

The draft elements for the BTR CTFs for reporting information on financial, technology development and transfer and capacity-building support provided and mobilised as well as support needed and received under Articles 9–11 of the Paris Agreement, pursuant to paragraphs 123, 124, 125, 127, 129 on support provided and mobilized, and paragraphs 133, 134, 136, 138, 140, 142, 144 on support needed and received, of annex to decision 18/CMA.1 Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.

The draft elements for consideration in the preparation of the BTR CTF tables are presented below according to 13 tables:

- I. Summary table: information on provision of public and mobilized financial support;*
- II. Financial support provided through bilateral, regional and other channels;*
- III. Financial support provided through multilateral channels;*
- IV. Financial support mobilized through public interventions;*
- V. Technology development and transfer support provided;*
- VI. Capacity-building support provided;*
- VII. Financial support needed by developing country Parties;*
- VIII. Financial support received;*
- IX. Technology development and transfer support needed;*
- X. Technology development and transfer support received;*
- XI. Capacity-building support needed;*
- XII. Capacity-building support received; and*
- XIII. Support needed and received for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including transparency-related capacity-building.*

Each draft element includes the reference to the relevant paragraph in annex to decision 18/CMA.1; draft elements do not include the legal nature of reporting requirement ('shall' or 'should') owing to the fact that common tabular format should cover all reporting requirements regardless of their legal nature.

Overarching draft elements for consideration:

- Existing CTF tables 7a, 7b, 8 and 9 provide a good starting point for SBSTA's work on the development of BTR CTF tables;
- CTF tables should be well defined, designed in a practical way and easy-to-use for all Parties, both for insertion of data as well as for access to the data;
- CTF tables should facilitate improved reporting over time and deliver greater consistency, comparability and completeness of information in line with TACCC principles;
- Information included in the Consultative Group of Experts (CGE) training material for the preparation of BURs, as well as actual BURs may serve as a good source of information in the preparation of BTR CTF tables on support needed and received;
- Developing country Parties shall be provided with support to develop reporting capacities in the areas covered under Chapter VI in the MPGs;
- CTFs should be designed so that non-inclusion of information that is not applicable or available (where relevant) does not appear to be a deficiency in a Party's reporting;
- Flexibility with regard to reporting by developing country Parties should be reflected to avoid undue reporting burden;
- Developing country Parties are encouraged to report in CTF tables, keeping the maximum flexibility, including on whether to provide information in tabular or textual format;
- Electronic implementation of the CTF tables should facilitate the standardized data import from other sources into the UNFCCC reporting system, such as OECD CRS or IATI Standard databases;

- A need to include qualitative information on methodologies and definitions used in developing country Parties' reporting, e.g. via documentation box;
- When reporting on region as a recipient of support, Parties should endeavor to disaggregate which particular countries in that region are recipients of support, if possible. This can be included via footnote in the CTF table;
- Information reported on technology development and transfer and capacity-building should facilitate reporting of case studies and best practices instead of listing all activities and measures;
- Safeguards to avoid potential double-counting and reporting of information on technology development and transfer and capacity-building support in financial tables should be ensured, such as automatic cross-referencing of information provided in financial tables in form of tick-box with information in other TT and CB tables;
- Clarification is needed on how the reporting of quantitative and/or qualitative information in the CTFs should be done, to avoid double reporting;
- Draw lessons and use the experiences of PCCB, TEC, CTCN and other Constituted bodies of the Convention through the process of developing CTF tables on technology development and transfer and capacity-building support;
- How to connect information reflected in the CTF with the underlying assumptions, definitions and methodologies related to the information?
- How to promote comparability of information reported under Chapter V and Chapter VI of the MPGs?
- How the information on new and additional can be reflected, e.g. in documentation box, as it is in the existing BR CTFs or differently?
- How to capture the principle of progression in reporting by developed country Parties?
- In the process of development of CTF tables for support needed and received, experiences, challenges and lessons learned, especially by developing country Parties, should be shared and considered;

I. Summary table: information on provision of public financial support

II. Financial support provided through bilateral, regional and other channels
(*Relevant information consistent with Annex to MPGs, paragraph 123*)

Draft elements for consideration:

- Whether to report on “recipient region or country” and “title of the project, programme, activity or other” in two separate columns?
- The multi-bilateral support should be reported only under bilateral channels or multilateral channels, to avoid double counting. Some Parties expressed their view to report on multi-bilateral support under bilateral channels;
- How to capture grant-equivalent values on a voluntary basis, in the BTR CTF?
- Whether and how to capture the proportion of mitigation and/or adaptation activity within the type of support cross-cutting category?
- Whether standardized list of options for sector and subsector classifications, and/or following classifications of other institutions (e.g. OECD DAC), would enhance the consistency and comparability of reported information?
- When reporting on technology development and transfer and capacity-building under financial support, it is often not possible to quantify the exact proportion, since it is embedded in the project, consequently reporting in tick-box format is preferred;

III. Financial support provided through multilateral channels (*Relevant information consistent with Annex to MPGs, paragraph 124*)

Draft elements for consideration:

- Whether to report on recipient region or country and title of the project, programme, activity or other in two separate columns?
- How and where to capture and report information on methods used in relation to inflows and outflows from multilateral institutions?
- The multi-bilateral support should be reported only under bilateral channels or multilateral channels, to avoid double counting. Some Parties expressed their view to report on multi-bilateral support under bilateral channels;
- Whether standardized list of options for sector and subsector classifications, and/or following classifications of other institutions (e.g. OECD DAC), would enhance the consistency and comparability of reported information?
- How to capture grant-equivalent values on a voluntary basis, in the BTR CTF?
- When reporting on technology development and transfer and capacity-building under financial support, it is often not possible to quantify the exact proportion, since it is embedded in the project, consequently reporting in tick-box format is preferred;

IV. Financial support mobilized through public interventions (*Relevant information, in textual and/or tabular format, consistent with Annex to MPGs, paragraph 125*)

Draft elements for consideration:

- Whether a standardized list of types of public interventions would assist comparability of information?
- How to take into account elements related to underlying assumptions, definitions and methodologies e.g. in a documentation box or footnote, and the use of primary data sources?
- Whether to report on recipient region or country and title of the project, programme, activity or other in two separate columns?
- How to capture grant-equivalent values on a voluntary basis, if applicable, in the BTR CTF?

V. Technology development and transfer support provided (*Relevant information consistent with Annex to MPGs, paragraph 127*)

Draft elements for consideration:

- Would standardized list of options for types of technology enhance the consistency and comparability of reported information?
- Consideration of ensuring the cross-referencing with finance tables could help to have consistency across the tables e.g. in sector, recipient, activity information;
- Would standardized list of options for status of measure or activity, e.g. completed, ongoing, other, enhance the consistency and comparability of reported information?
- Whether standardized list of options for sector and subsector classifications, and/or following classifications of other institutions (e.g. OECD DAC), would enhance the consistency and comparability of reported information?
- Importance of including methodologies used to track technology development and transfer and capacity-building information as reported in BTR CTFs;
- Acknowledge the difficulty in reporting on the type of technology on a single project basis, because it is very granular information which is often not feasible to get;

VI. Capacity-building support provided (*Relevant information consistent with Annex to MPGs, paragraph 129*)

Draft elements for consideration:

- Consideration of ensuring the cross-referencing with finance tables could help to have consistency across the tables e.g. in sector, recipient, activity information;

- Would standardized list of options for status of measure or activity, e.g. completed, ongoing, other, enhance the consistency and comparability of reported information?
- When reporting on technology development and transfer and capacity-building under financial support, it is often not possible to quantify the exact proportion, since it is embedded in the project, consequently reporting in tick-box format is preferred;

VII. Financial support needed by developing country Parties (*Relevant information consistent with Annex to MPGs, paragraph 133*)

Draft elements for consideration:

- Information should not reflect a cap on total support needed due to issues related to time of measurement;
- A need to include qualitative information on methodologies and definitions used, e.g. via documentation box;
- Consideration of ensuring the cross-referencing with finance tables could help to have consistency across the tables e.g. in sector, recipient, activity information;
- Whether standardized list of options for sector and subsector classifications, and/or following classifications of other institutions (e.g. OECD DAC), would enhance the consistency and comparability of reported information?
- How to capture and report information on expected timeframes and instruments to be used in the BTR CTF?
- How to capture and report information on expected use, impact and estimated results may support reporting?
- The quantitative aspect of technology development and transfer and capacity building within the BTR CTF could be captured in the form of tick-box;

VIII. Financial support received by developing country Parties (*Relevant information consistent with Annex to MPGs, paragraph 134*)

Draft elements for consideration:

- How comparability between finance tables on support provided, needed and received (e.g. channels, sector, recipient information, activity information) may be facilitated?
- Whether standardized list of options for sector and subsector classifications, and/or following classifications of other institutions (e.g. OECD DAC), would enhance the consistency and comparability of reported information?
- The quantitative aspect of technology development and transfer and capacity building within the BTR CTF could be captured in the form of tick-box;
- How to capture and report information on use, impact and estimated results in the BTR CTF?

IX. Technology development and transfer support needed (*Relevant information consistent with Annex to MPGs, paragraph 136*)

Draft elements for consideration:

- Would standardized list of options for types of technology enhance the consistency and comparability of reported information?
- Consideration of ensuring the cross-referencing with finance tables could help to have consistency across the tables e.g. in sector, recipient, activity information;
- Whether standardized list of options for sector and subsector classifications, and/or following classifications of other institutions (e.g. OECD DAC), would enhance the consistency and comparability of reported information?
- How to capture and report information on expected timeframe in the BTR CTF?

- How to capture and report level of information on expected use, impact and estimated results in the BTR CTF?

X. Technology development and transfer support received (*Relevant information consistent with Annex to MPGs, paragraph 138*)

Draft elements for consideration:

- Would the cross-referencing between finance tables on support needed and provided (e.g. channels, sector, recipient information, activity information) help to have consistency across the tables?
- How to capture and report level of information on use, impact and estimated results in the BTR CTF?

XI. Capacity-building support needed (*Relevant information consistent with Annex to MPGs, paragraph 140*)

Draft elements for consideration:

- Consideration of ensuring the cross-referencing with finance tables could help to have consistency across the tables e.g. in sector, recipient, activity information;
- How to capture and report information on expected timeframe in the BTR CTF?
- How to capture and report level of information on expected use, impact and estimated results in the BTR CTF?

XII. Capacity-building support received (*Relevant information consistent with Annex to MPGs, paragraph 142*)

Draft elements for consideration:

- Would the cross-referencing between finance tables on support needed and provided (e.g. channels, sector, recipient information, activity information) help to have consistency across the tables?
- How to capture and report level of information on use, impact and estimated results in the BTR CTF?

XIII. Support needed and received for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including transparency-related capacity-building (*Relevant information consistent with Annex to MPGs, paragraph 144*)

Draft elements for consideration:

- Would the cross-referencing between finance tables on support needed and provided (e.g. channels, sector, recipient information, activity information) help to have consistency across the tables?
- How to capture and report level of information on use, impact and estimated results in the BTR CTF?

Paragraph 123 of MPGs
 Bilateral, regional and other channels

Reporting parameters	Amount ^a		Recipient, including, to the extent possible, information on the recipient region or country and the title of the project, programme, activity or other ^b	Status	Channel	Funding source	Financial instrument	Type of support	Sector	Subsector ^c	Additional information ^{cd}	Whether it contributes to capacity-building and/or technology development and transfer objectives ^f
	Domestic currency	USD										
Drop-down menu	Calendar Year Fiscal Year			Disbursed Committed	Bilateral Regional Multi-bilateral Other ^b	ODA OOF Other ^b	Grant Concessional loan Non-concessional loan Equity Guarantee Insurance Other ^b	Mitigation Adaptation Cross-cutting	Energy Transport Industry Agriculture Forestry Water and sanitation, Cross-cutting, Other ^b			

Abbreviations: ODA = official development assistance, OOF = other official flows; USD = United States dollars.

^a the face value and, on a voluntary basis, the grant-equivalent value

^b Please specify.

^c As available

^d Such as project/programme details, implementing agency and, to the extent possible, link to relevant project/programme documentation

Custom Footnotes

Paragraph 124 of MPGs
Multilateral channels

Reporting parameters	Year	Institution	Amount ^b				Inflows and /or Outflows ^c	Recipient ^d	Status	Channel	Funding source	Financial instrument	Type of support ^e	Sector ^e	Subsector ^e	Whether it contributes to capacity-building and/or technology development and transfer objectives ^d
			Core/general ^c		Climate-specific ^c											
			Domestic currency	USD	Domestic currency	USD										
Drop-down menu	Calendar Year Fiscal Year	Multilateral fund; Operating entities of the Financial Mechanism; entities of the Technology Mechanism; multilateral financial institution; international organization; Other					Country Region Global Project Programme Activity Other ^d	Disbursed Committed	Multilateral Multi-bilateral	ODA OOF Other ^d	Grant Concessional loan Non-concessional loan Equity Guarantee Insurance Other ^d	Mitigation Adaptation Cross-cutting	Energy Transport Industry Agriculture Forestry Water and sanitation, Cross-cutting, Other ^d			
<p><i>Abbreviations:</i> ODA = official development assistance, OOF = other official flows, USD = United States dollars.</p> <p>^a Specify</p> <p>^b the face value and, on a voluntary basis, the grant-equivalent value</p> <p>^c As applicable</p> <p>^d As applicable, as available</p> <p>^e As available</p> <p><i>Custom Footnotes</i></p>																

Paragraph 125 of MPGs

Information on finance mobilized through public interventions^a

<i>Reporting parameters</i>	<i>Year</i>	<i>Amount^b</i>		<i>Amount of resources used to mobilize the support</i>		<i>Type of public intervention used</i>	<i>Recipient</i>	<i>Channel</i>	<i>Type of support</i>	<i>Sector</i>	<i>Subsector</i>	<i>Additional information</i>
		<i>Domestic currency</i>	<i>USD</i>	<i>Domestic currency</i>	<i>USD</i>							
<i>Drop-down menu</i>	Calendar Year Fiscal Year					Grant Concessional loan Non-concessional loan Equity Guarantee Insurance Policy intervention Capacity-building Technology development and transfer Technical assistance	Country Region Global Project Programme Activity Other ^b	Bilateral Regional Multi-bilateral	Mitigation Adaptation Cross-cutting	Energy Transport Industry Agriculture Forestry Water and sanitation, Cross-cutting, Other ^c		

^a As applicable and to the extent possible

^b Please specify.

^c The face value and, on a voluntary basis, the grant-equivalent value, if applicable

Custom Footnotes

Paragraph 127 of MPGs

Information on support for technology development and transfer provided under Article 10 of the Paris Agreement^a

Reporting parameters

<i>Title</i>	<i>Recipient entity</i>	<i>Description and objectives</i>	<i>Type of Support</i>	<i>Sector</i>	<i>Type of technology</i>	<i>Status of measure or activity</i>	<i>Whether the activity was undertaken by public and/or private sector</i>
			Mitigation Adaptation Cross-cutting				

Drop-down menu

Custom Footnotes

^a To the extent possible and as relevant

Paragraph 129 of MPGs

Information on capacity-building support provided under Article 11 of the Paris Agreement^a

Reporting parameters

Drop-down menu

<i>Title</i>	<i>Recipient entity</i>	<i>Description and objectives</i>	<i>Type of support</i>	<i>Status of measure or activity</i>
			Mitigation Adaptation Cross-cutting	

Custom Footnotes

^a To the extent possible and as relevant

Paragraph 133 of MPGs

Information on financial support needed by developing country Parties under Article 9 of the Paris Agreement^a

<i>Reporting parameters</i>	<i>Title</i>	<i>Programme/ project description</i>	<i>Estimated Amount</i>		<i>Expected timeframe</i>	<i>Expected financial instrument</i>	<i>Type of support</i>	<i>Sector and subsector</i>	<i>Whether the activity will contribute to technology development and transfer and/or capacity-building, if relevant</i>	<i>Whether the activity is anchored in a national strategy and/or an NDC</i>	<i>Expected use, impact and estimated results</i>
			<i>Domestic currency</i>	<i>USD</i>							
<i>Drop-down menu</i>	Activity Programme Project					Grant Concessional loan Non-concessional loan Equity Guarantee Other	Mitigation Adaptation Cross-cutting				
<i>Custom Footnotes</i>											
^a To the extent possible, and as available and as applicable											

Paragraph 134 of MPGs

Information on financial support received by developing country Parties under Article 9 of the Paris Agreement^a

<i>Reporting parameters</i>	<i>Title</i>	<i>Programme/ project description</i>	<i>Channel</i>	<i>Recipient entity</i>	<i>Implementing entity</i>	<i>Amount received</i>		<i>Time frame</i>	<i>Financial instrument</i>	<i>Status</i>	<i>Type of support</i>	<i>Sector and subsector</i>	<i>Whether the activity has contributed to technology development and transfer and/or capacity-building</i>	<i>Status of activity</i>	<i>Use, impact and estimated results</i>
						<i>Domestic currency</i>	<i>USD</i>								
<i>Drop-down menu</i>	Activity Programme Project								Grant Concessional loan Non-concessional loan Equity Guarantee Other	Committed Received	Mitigation Adaptation Cross-cutting			Planned Ongoing Completed	
<i>Custom Footnotes</i>															
^a To the extent possible, and as available and as applicable															

Paragraph 136 of MPGs

Information on technology development and transfer support needed by developing country Parties under Article 10 of the Paris Agreement^a

<i>Reporting parameters</i>	<i>Title</i>	<i>Programme/project description</i>	<i>Type of support</i>	<i>Type of technology</i>	<i>Expected timeframe</i>	<i>Sector</i>	<i>Expected use, impact and estimated results</i>
<i>Drop-down menu</i>	Activity Programme Project		Mitigation Adaptation Cross-cutting				
<i>Custom Footnotes</i>	^a To the extent possible, and as available and as applicable						

Paragraph 138 of MPGs

Information on technology development and transfer support received by developing country Parties under Article 10 of the Paris Agreement

<i>Title</i>	<i>Programme/ project description</i>	<i>Type of technology</i>	<i>Time frame</i>	<i>Recipient entity</i>	<i>Implementing entity</i>	<i>Type of support</i>	<i>Sector</i>	<i>Status of activity</i>	<i>Use, impact and estimated results</i>
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Reporting parameters

Drop-down menu

Activity						Mitigation		Planned	
Programme						Adaptation		Ongoing	
Project						Cross-cutting		Completed	

Custom Footnotes

^a To the extent possible, and as available and as applicable

Paragraph 140 of MPGs

Information on capacity-building support needed by developing country Parties under Article 11 of the Paris Agreement^a

Reporting parameters

<i>Title</i>	<i>Programme/ project description</i>	<i>Expected timeframe</i>	<i>Type of support</i>	<i>Expected use, impact and estimated results</i>
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Drop-down menu

Activity
Programme
Project

Mitigation
Adaptation
Cross-cutting

Custom Footnotes

^a To the extent possible, and as available and as applicable

Paragraph 142 of MPGs

Information on capacity-building support received by developing country Parties under Article 11 of the Paris Agreement

Reporting parameters

<i>Title</i>	<i>Programme/ project description</i>	<i>Implementing entity</i>	<i>Recipient entity</i>	<i>Type of support</i>	<i>Time frame</i>	<i>Status of activity</i>	<i>Use, impact and estimated results</i>
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Drop-down menu

Activity				Mitigation		Planned	
Programme				Adaptation		Ongoing	
Project				Cross-cutting		Completed	

Custom Footnotes

^a To the extent possible, and as available and as applicable

Paragraph 144 of MPGs

Information on support needed and received by developing country Parties for the implementation of Article 13 of the Paris Agreement and transparency-related activities, including for transparency-related capacity-building^a

<i>Reporting parameters</i>	<i>Title</i>	<i>Objectives and description</i>	<i>Recipient entity</i>	<i>Channel</i>	<i>Amount</i>		<i>Time frame</i>	<i>Status of activity</i>	<i>Use, impact and estimated results</i>
					<i>Domestic currency</i>	<i>USD</i>			
<i>Drop-down menu</i>	Activity Programme Project							Planned Ongoing Completed	
<i>Custom Footnotes</i>									
^a To the extent possible, and as available and as applicable									