



Subsidiary Body for Implementation

Forty-ninth session

Katowice, 2–8 December 2018

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

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II. Annotations to the provisional agenda

1. Opening of the session

1. The first plenary meeting of the forty-ninth session of the Subsidiary Body for Implementation (SBI) will be convened on Sunday, 2 December 2018, and presided over by the Chair, Mr. Emanuel Dumisani Dlamini (Eswatini), to launch work on all agenda items.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

<i>FCCC/SBI/2018/12</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>Further information</i>	https://unfccc.int/event/sbi-49

(b) Organization of the work of the session

3. The agenda covers all aspects of the implementation of the Convention, many of the Paris Agreement and some of the Kyoto Protocol. It is essential that Parties continue to work diligently on all agenda items to ensure balanced progress. The Conference of the Parties (COP), at its twenty-third session, reiterated its request to the subsidiary and constituted bodies to accelerate their work on the Paris Agreement work programme (PAWP) and to forward the outcomes to COP 24 at the latest.¹ The SBI will call on Parties to support it in responding to that request, especially given that the PAWP is due for completion at COP 24.

4. In accordance with decision 1/CP.23, an additional negotiating session for all three subsidiary bodies was held from 4 to 9 September 2018 in Bangkok to address matters relating to the PAWP. The numbers of PAWP-related agenda items at that session (the second part of SBI 48) were items 5, 6, 7, 11, 12, 14(a), 15 and 17(b), the same as on the provisional agenda for SBI 49.

5. SBI 48.2 agreed that the presiding officers of the Ad Hoc Working Group on the Paris Agreement (APA), the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the SBI should prepare a joint reflections note² addressing progress made to date and identifying ways forward in relation the PAWP, including textual proposals that would be

¹ Decision 1/CP.23, paragraph 4.

² To be made available at <https://unfccc.int/event/sbi-49>.

helpful for advancing Parties' deliberations.³ The SBI Chair has been working closely with the other presiding officers to make the note available by mid-October 2018.

6. Detailed information on the work of the session will be posted on the SBI 49 web page.⁴ Further details of the organization of the work pertaining to the consideration of the PAWP-related agenda items will be provided in the joint reflections note referred to in paragraph 5 above. While the aim of the session is to ensure that there are substantive results wherever possible, time will be a constraint for all items. Along with the other presiding officers, the SBI Chair will consult with Parties on how to advance work in a balanced, efficient and expeditious manner across all items, including on whether it may be necessary to consider deferring the consideration of some non-PAWP-related items that may not require agreement or guidance in Katowice to the next session.

7. Delegates are invited to refer to the overview schedule and the in-session Daily Programme⁵ and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant SBI conclusions.⁶ In this context, the presiding officers of the SBI, the SBSTA and the APA will propose cut-off times for group work and deadlines for the submission of conclusions to ensure that, to the degree possible, they are available in all six official languages of the United Nations for the closing plenary.

(c) Multilateral assessment working group session under the international assessment and review process

8. The third round of the multilateral assessment process for developed country Parties (part of international assessment and review)⁷ is taking place in the period 2018–2019. At SBI 49, up to 14 Parties will be multilaterally assessed in a session open to all Parties. Interested Parties will be able to submit written questions in advance.

Further information

<http://unfccc.int/10090> and
<https://unfccc.int/process/transparency-and-reporting/reporting-and-review-under-the-convention/national-communications-and-biennial-reports--annex-i-parties/international-assessment-and-review/review-reports>

(d) Facilitative sharing of views under the international consultation and analysis process

9. The sixth facilitative sharing of views under the international consultation and analysis process⁸ will be convened at this session for the developing country Parties that had submitted a biennial update report (BUR) and for which a summary report had been finalized as at 12 October 2018. It will take the form of a workshop open to all Parties. Interested Parties will be able to submit written questions in advance.

Further information

<https://unfccc.int/process/transparency-and-reporting/reporting-and-review-under-the-convention/biennial-update-reportsand-international-consultation-and-analysis/facilitative-sharing-of-views>

³ FCCC/SBI/2018/11, paragraph 12.

⁴ <https://unfccc.int/event/sbi-49>.

⁵ Available at <https://unfccc.int/dp-cop24>.

⁶ FCCC/SBI/2014/8, paragraphs 218–221.

⁷ See decision 2/CP.17, annex II.

⁸ See decision 2/CP.17, annex IV.

(e) Other mandated events

10. As requested at SBI 48.1,⁹ the following events will take place in conjunction with this session:

(a) A workshop with constituted bodies under the Convention on topic 2(a) of the Koronivia joint work on agriculture, namely modalities for implementation of the outcomes of the five in-session workshops on issues related to agriculture and future topics that may arise from this work¹⁰ (see para. 47 below);

(b) A one-day meeting to review the work of the improved forum on the impact of the implementation of response measures (see para. 85 below).

(f) Election of officers other than the Chair

11. *Background:* The SBI will elect its Vice-Chair and Rapporteur. The current Rapporteur, Ms. Ayşin Turpanci (Turkey),¹¹ and current Vice-Chair, Mr. Naser Moghaddasi (Islamic Republic of Iran), will remain in office until their successors are elected. Parties are invited to give active consideration to the nomination of women to these posts.

12. When the SBI exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and among the Parties to the Kyoto Protocol. This applies mutatis mutandis to the Paris Agreement.

13. *Action:* The SBI will be invited to elect its officers at the earliest opportunity following the completion of consultations. If necessary, the SBI will be invited to elect additional officers to replace the Vice-Chair and/or Rapporteur if representing a State that is a Party to the Convention but not to the Kyoto Protocol or the Paris Agreement.

<i>Further information</i>	http://unfccc.int/6558
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3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of seventh national communications and third biennial reports from Parties included in Annex I to the Convention

14. *Background:* COP 17 decided that developed country Parties should submit full national communications (NCs) every four years.¹² Accordingly, developed countries were to submit their NC7s by 1 January 2018. COP 17 also decided that developed country Parties should submit biennial reports starting in 2014. They were therefore to submit their BR3s by 1 January 2018, either as an annex to their NC7 or as a separate report.¹³

15. As at 12 October 2018, the secretariat had received 40 NC7s and 41 sets of BR3s and BR3 common tabular format tables and was in the process of coordinating the reviews of those submissions.

16. *Action:* The SBI will be invited to take note of the status of the submission and review of NC7s and BR3s.

⁹ FCCC/SBI/2018/9, paragraphs 39 and 111.

¹⁰ See decision 4/CP.23, paragraph 2(a).

¹¹ On 5 October, in accordance with rule 25 of the draft rules of procedure, Turkey named Ms. Turpanci as replacement to Ms. Tuğba İçmelî, who had presented her resignation on 26 September 2018.

¹² Decision 2/CP.17, paragraph 14.

¹³ Decision 2/CP.17, paragraph 15.

<i>FCCC/SBI/2018/INF.14</i>	<i>Status of submission and review of seventh national communications and third biennial reports. Note by the secretariat</i>
<i>Further information</i>	http://unfccc.int/10267

(b) Compilations and syntheses of second and third biennial reports from Parties included in Annex I to the Convention

17. *Background:* The secretariat prepared a compilation and synthesis report¹⁴ on the information reported by Parties included in Annex I to the Convention (Annex I Parties) in their BR2s for consideration at COP 22.¹⁵ The consideration of the report was initiated at SBI 45 and continued at SBI 46, 47 and 48 but did not result in any conclusions. In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this matter has been included on the provisional agenda for this session.¹⁶

18. The secretariat also prepared a compilation and synthesis report on the information reported by Annex I Parties in their BR3s for consideration at COP 24.¹⁷

19. *Action:* The SBI will be invited to conclude its consideration of the compilation and synthesis of BR2s and BR3s and to recommend a draft decision thereon, as appropriate, for consideration and adoption at COP 24.

<i>FCCC/SBI/2018/INF.8 and Add.1</i>	<i>Compilation and synthesis of third biennial reports of Parties included in Annex I to the Convention. Report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/2736

(c) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2016

20. *Background:* The secretariat prepares an annual report containing the latest information on greenhouse gas inventory data submitted by Annex I Parties for consideration by the COP and its subsidiary bodies.¹⁸ The 2018 report covers the period 1990–2016.

21. *Action:* The SBI will be invited to take note of the information contained in the report.

<i>FCCC/SBI/2018/17</i>	<i>National greenhouse gas inventory data for the period 1990–2016. Report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/10116

4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

22. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by Parties not included in Annex I to the Convention (non-Annex I Parties) in all their NCs.¹⁹ At

¹⁴ FCCC/SBI/2016/INF.10 and Add.1/Corr.1.

¹⁵ As per decision 2/CP.17, paragraph 21.

¹⁶ FCCC/SBI/2018/9, paragraph 14.

¹⁷ As footnote 15 above.

¹⁸ As per decision 13/CP.20, paragraph 10.

¹⁹ FCCC/SBI/2006/11, paragraph 32.

SBI 48.1 this agenda sub-item was held in abeyance. On a proposal by the Chair, the SBI decided to include it on the provisional agenda for this session.

23. *Action:* The SBI will be invited to provide guidance on how to consider the information contained in the NCs from non-Annex I Parties taking into account the ongoing work under the APA on the modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.

(b) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

24. *Background:* COP 19 decided to continue the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) for a period of five years, from 2014 to 2018.²⁰ The CGE, as requested,²¹ will submit a progress report on its work for consideration at this session.

25. *Action:* The SBI will be invited to consider the documents prepared for the session and to provide guidance, as appropriate, to the CGE.

<i>FCCC/SBI/2018/14</i>	<i>Regional hands-on training workshop on the preparation and reporting of information on mitigation actions in national communications and biennial update reports. Report by the secretariat</i>
<i>FCCC/SBI/2018/20</i>	<i>Progress of work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Report by the secretariat</i>
<i>FCCC/SBI/2018/21</i>	<i>Regional hands-on training workshops on identifying adaptation actions and reporting them in national communications. Report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/2608

(c) Review of the terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

26. *Background:* SBI 48.1 initiated²² consideration of the review of the CGE term and mandate, including its terms of reference, and agreed that consideration of this matter would continue at SBI 49.²³

27. *Action:* The SBI will be invited to continue considering this matter with a view to recommending a draft decision thereon for consideration and adoption at COP 24.

(d) Provision of financial and technical support

28. *Background:* The Global Environment Facility (GEF), as an operating entity of the Financial Mechanism, provides financial support for the preparation of NCs and BURs by non-Annex I Parties. At SBI 47 the GEF provided information on its activities relating to the preparation of NCs and BURs, including dates of requests for funding, approval of funding and disbursement of funds, and approximate dates of submission of NCs and BURs to the secretariat,²⁴ as well as information on its progress in supporting the establishment and operation of the Capacity-building Initiative for Transparency.²⁵ SBI 48.1 initiated consideration of the provision of financial and technical support for the preparation of NCs

²⁰ Decision 19/CP.19, paragraph 1.

²¹ Decision 19/CP.19, paragraph 7.

²² As per decision 20/CP.22, paragraph 2.

²³ FCCC/SBI/2018/9, paragraph 15.

²⁴ See document FCCC/SBI/2017/INF.10.

²⁵ See document FCCC/CP/2017/7/Add.2

and BURs by non-Annex I Parties and agreed that the consideration would continue at SBI 49.²⁶

29. *Action:* The SBI will be invited to continue its consideration of this matter in the light of the information from the GEF referred to in paragraph 28 above, and to agree on any further action, as appropriate.

<i>FCCC/SBI/2018/INF.9</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of national communications and biennial update reports. Note by the secretariat</i>
<i>Further information</i>	http://unfccc.int/6921

(e) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

30. *Background:* The summary report on the technical analysis of each BUR submitted under the international consultation and analysis process (see para. 9 above) is made available on the UNFCCC website²⁷ and presented to the SBI.²⁸

31. Eleven rounds of technical analysis covering 57 BURs (including 16 second BURs) from 42 non-Annex I Parties had been conducted as at 12 October 2018. Three rounds were organized in 2015, four in 2016, two in 2017 and two in 2018, covering 14, 20, 12 and 11 BURs, respectively. The twelfth technical analysis round will be conducted from 25 February to 1 March 2019, covering BURs submitted between 31 August and 1 December 2018.

32. *Action:* The SBI will be invited to take note, in its conclusions, of the summary reports finalized in the period up to 12 October 2018.

<i>Further information</i>	http://unfccc.int/10054
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5. Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement

33. *Background:* According to Article 4, paragraph 10, of the Paris Agreement, the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) is to consider common time frames for nationally determined contributions at its first session. CMA 1.1 referred this matter to SBI 47 and requested the SBI to report back at CMA 1.²⁹

34. This matter was considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.³⁰ Further details thereon will be provided in the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

35. *Action:* To ensure successful completion of the PAWP at COP 24, the SBI will be invited to complete its work on common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement.

<i>Outcome of SBI 48.2</i>	http://unfccc.int/documents/181913
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²⁶ FCCC/SBI/2018/9, paragraph 16.

²⁷ <http://unfccc.int/10054>.

²⁸ As per decision 2/CP.17, annex IV, paragraph 5, and decision 20/CP.19, annex, paragraph 11.

²⁹ FCCC/PA/CMA/2016/3, paragraph 24(a).

³⁰ FCCC/SBI/2018/11, paragraph 11.

6. Development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

36. *Background:* SBI 44 initiated consideration of the development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement³¹ with a view to forwarding a recommendation for consideration and adoption at CMA 1.³²

37. This matter was considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.³³ Further details thereon will be provided in the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

38. *Action:* To ensure successful completion of the PAWP at COP 24, the SBI will be invited to complete its work on the development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement.

Outcome of SBI 48.2

<http://unfccc.int/documents/182106>

7. Development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement

39. *Background:* SBI 44 initiated consideration of the development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement.³⁴

40. This matter was considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.³⁵ Further details thereon will be provided in the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

41. *Action:* To ensure successful completion of the PAWP at COP 24, the SBI will be invited to complete its work on the development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement.

Outcome of SBI 48.2

<http://unfccc.int/documents/182111>

³¹ FCCC/SBI/2016/8, paragraph 44.

³² As per decision 1/CP.21, paragraph 29.

³³ As footnote 30 above.

³⁴ FCCC/SBI/2016/8, paragraph 49.

³⁵ As footnote 30 above.

8. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

42. *Background:* SBI 39 initiated consideration of possible changes to the clean development mechanism modalities and procedures with a view to preparing recommendations and a draft decision on this matter for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its ninth session.³⁶ SBI 48.1 agreed to postpone the consideration of this matter until SBI 49.³⁷

43. *Action:* The SBI will be invited to conclude its work on this matter and to prepare a draft decision for consideration and adoption by the CMP.

(b) Report of the administrator of the international transaction log under the Kyoto Protocol

44. *Background:* CMP 1 requested the SBI to consider annual reports of the international transaction log administrator with a view to the SBI requesting the CMP to provide guidance in relation to the operation of registry systems.³⁸

45. *Action:* The SBI will be invited to take note of the 2018 report of the international transaction log administrator.

FCCC/SBI/2018/INF.10

Report of the administrator of the international transaction log under the Kyoto Protocol

Further information

<http://unfccc.int/4065>

9. Koronivia joint work on agriculture

46. *Background:* COP 23 requested the SBSTA and the SBI to jointly address issues related to agriculture, including through workshops and expert meetings, working with constituted bodies under the Convention and taking into consideration the vulnerabilities of agriculture to climate change and approaches to addressing food security.³⁹

47. SBI 48.1 and SBSTA 48.1 initiated the Koronivia joint work on agriculture and developed the Koronivia road map setting out activities until November 2020. They requested the secretariat to organize, in conjunction with SBI 49 and SBSTA 49, a workshop with constituted bodies under the Convention on the Koronivia joint work on agriculture topic 2(a), namely modalities for implementation of the outcomes of the five in-session workshops on issues related to agriculture and future topics that may arise from this work.⁴⁰ SBI 48.1 and SBSTA 48.1 agreed that consideration of this matter would continue at SBI 49 and SBSTA 49.⁴¹

48. As invited,⁴² Parties and observers submitted their views on the workshop referred to in paragraph 47 above.

49. *Action:* The SBI and the SBSTA will be invited to continue their consideration of the Koronivia joint work on agriculture with a view to reporting thereon at COP 26 (November 2020).

Submissions

<http://www4.unfccc.int/sites/submissionportal/Pages/Home.aspx> (under "sessions", select SBI 49)

³⁶ In accordance with decision 5/CMP.8.

³⁷ FCCC/SBI/2018/9, paragraph 33.

³⁸ Decision 12/CMP.1, paragraph 11.

³⁹ Decision 4/CP.23, paragraph 1.

⁴⁰ FCCC/SBI/2018/9, paragraph 39 and annex I.

⁴¹ FCCC/SBI/2018/9, paragraphs 38 and 44.

⁴² FCCC/SBI/2018/9, paragraph 43 and annex I.

<i>Further information</i>	http://unfccc.int/8793
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10. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

50. *Background:* COP 19 and 20 requested the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.⁴³ Article 8, paragraph 2, of the Paris Agreement provides that the Warsaw International Mechanism shall be subject to the authority and guidance of the CMA.

51. COP 21 requested⁴⁴ the Executive Committee to establish a task force to develop recommendations for integrated approaches to averting, minimizing and addressing displacement related to the adverse impacts of climate change. Accordingly, the report of the Executive Committee contains, inter alia, recommendations resulting from the work of the task force on displacement.

52. COP 22 approved the indicative framework for the five-year rolling workplan of the Executive Committee as the basis for developing corresponding activities.⁴⁵ Two regular meetings of the Executive Committee took place in Bonn in 2018: the 7th meeting was convened from 13 to 16 March and the 8th meeting from 18 to 21 September.

53. *Action:* The SBI and the SBSTA will be invited to consider the report of the Executive Committee and to recommend any further action.

<i>FCCC/SB/2018/1</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
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<i>Further information</i>	http://unfccc.int/7545 and http://unfccc.int/6056
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11. Report of the Adaptation Committee: 2018 report; and matters referred to in paragraphs 41, 42 and 45 of decision 1/CP.21

54. *Background:* COP 17 requested the Adaptation Committee (AC) to report annually to the COP through the subsidiary bodies.⁴⁶ Two regular meetings of the AC were to take place in Bonn in 2018: the 13th meeting from 27 February to 2 March and the 14th meeting from 24 to 26 October. The 2018 report of the AC reflects the outcomes of those meetings and of intersessional work of the AC.

55. By decision 1/CP.21, paragraphs 41, 42 and 45, the AC (together with the Least Developed Countries Expert Group (LEG) in relation to paragraphs 41 and 45) was requested to undertake work on several issues related to adaptation under the Paris Agreement and to make recommendations for consideration and adoption at CMA 1. These recommendations were included in the 2017 report of the AC.⁴⁷ SBI 47 and SBSTA 47 noted that the AC had completed its work on the recommendations and agreed that consideration thereof would continue at SBI 48 and SBSTA 48. At SBI 48 and SBSTA 48 the consideration of the recommendations continued (of the AC–LEG recommendations in joint meetings with the group established under the SBI agenda item “Matters relating to the least developed

⁴³ Decisions 2/CP.19, paragraph 3, and 2/CP.20, paragraph 4.

⁴⁴ Decisions 1/CP.21, paragraph 49.

⁴⁵ Decision 3/CP.22, paragraph 3.

⁴⁶ Decision 2/CP.17, paragraph 96.

⁴⁷ FCCC/SB/2017/2 and FCCC/SB/2017/2/Add.1-FCCC/SBI/2017/14/Add.1.

countries”)⁴⁸ with a view to the SBI and the SBSTA forwarding the recommendations to COP 24 for consideration and adoption at CMA 1.

56. The matters referred to in paragraph 55 above were considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.⁴⁹ Further details thereon will be provided in the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on these matters.

57. *Action:* The SBI and the SBSTA will be invited to consider the 2018 report of the AC and to recommend draft conclusions and/or a draft decision resulting from the implementation of the workplan of the AC in 2018 for consideration and adoption at COP 24.

58. To ensure successful completion of the PAWP at COP 24, the SBI and the SBSTA will also be invited to complete their consideration of the recommendations of the AC to the CMA in response to decision 1/CP.21, paragraph 42, as well as of the joint recommendations of the AC and the LEG to the CMA in response to decision 1/CP.21, paragraphs 41 and 45.

<i>FCCC/SB/2018/3</i>	<i>Report of the Adaptation Committee</i>
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<i>Outcome of SBI 48.2</i>	http://unfccc.int/documents/77091
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<i>Further information</i>	http://unfccc.int/6053
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12. Matters relating to the least developed countries; and matters referred to in paragraphs 41 and 45 of decision 1/CP.21

59. *Background:* The LEG is mandated to develop a two-year rolling programme of work for consideration by the SBI at its first sessional meeting of each year and to report on its work to the SBI at each of its sessions.⁵⁰ The 34th meeting of the LEG took place from 21 to 24 August 2018 in Freetown.

60. SBI 47 noted⁵¹ that the LEG had completed its work together with the AC on their joint recommendations to the CMA in response to decision 1/CP.21, paragraphs 41 and 45, and agreed that consideration of those recommendations would continue at SBI 48. SBI 48 continued consideration of the recommendations through joint meetings with the group established under SBI agenda item “Report of the Adaptation Committee”⁵² with a view to forwarding a recommendation to COP 24 for consideration and adoption at CMA 1.

61. The matters referred to in paragraph 60 above were considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP matters and its successful completion at COP 24.⁵³ Further details thereon will be provided in the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

62. *Action:* The SBI will be invited to consider the documents prepared for the session and to provide guidance to the LEG, as appropriate.

63. To ensure successful completion of the PAWP at COP 24, the SBI will also be invited to complete its consideration of the joint recommendations of the AC and the LEG to the CMA in response to decision 1/CP.21, paragraphs 41 and 45.

<i>FCCC/SBI/2018/18</i>	<i>The 34th meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
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<i>Outcome of SBI 48.2</i>	http://unfccc.int/documents/77091
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⁴⁸ FCCC/SBI/2017/19, paragraphs 78–80.

⁴⁹ As footnote 30 above.

⁵⁰ Decision 6/CP.16, paragraph 3.

⁵¹ FCCC/SBI/2017/19, paragraph 49.

⁵² As footnote 51 above.

⁵³ As footnote 30 above.

Further information <http://unfccc.int/7504> and <http://unfccc.int/7568>

13. National adaptation plans

64. *Background:* COP 21 requested⁵⁴ SBI 48 to assess progress in the process to formulate and implement national adaptation plans (NAPs). SBI 48.1 initiated consideration of the matter and agreed⁵⁵ that such consideration would continue at SBI 49 on the basis of the draft text proposed by the co-facilitators of the informal consultations on the agenda item.⁵⁶

65. COP 17 requested⁵⁷ the secretariat, consistent with Article 8 of the Convention, to collect, compile and synthesize information required by the SBI to monitor and evaluate progress in the process to formulate and implement NAPs drawing upon the information referred to in paragraphs 32–35 of decision 5/CP.17.

66. As part of its work programme for 2018–2019, the LEG will produce an information paper on progress in the process to formulate and implement NAPs for consideration at this session.

67. SBI 46 continued the consideration, initiated at SBI 45, of how to enhance reporting related to the process to formulate and implement NAPs,⁵⁸ and agreed⁵⁹ that consideration of this matter would continue at SBI 49 taking into account relevant activities being considered under other agenda items.

68. *Action:* The SBI will be invited to complete its consideration of the matters referred to in paragraphs 64 and 67 above with a view to making recommendations thereon for consideration and adoption at COP 24, as appropriate.

<i>FCCC/SBI/2018/18</i>	<i>The 34th meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SBI/2018/3</i>	<i>Report of the Adaptation Committee</i>
<i>FCCC/SBI/2018/INF.13</i>	<i>Progress in the process to formulate and implement national adaptation plans. Note by the secretariat</i>
<i>Further information</i>	<i>http://unfccc.int/7500 and http://unfccc.int/7279</i>

14. Development and transfer of technologies

(a) Scope of and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement

69. *Background:* SBI 44 initiated consideration of the scope of and modalities for the periodic assessment of the effectiveness of the Technology Mechanism and the adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer.⁶⁰

70. This matter was considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.⁶¹ The present annotations should be read in conjunction with the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

⁵⁴ Decision 4/CP.21, paragraph 11.

⁵⁵ FCCC/SBI/2018/9, paragraph 74.

⁵⁶ Available at <https://unfccc.int/index.php/documents/79961>.

⁵⁷ Decision 5/CP.17, paragraph 36.

⁵⁸ In accordance with decision 3/CP.20, paragraph 10.

⁵⁹ FCCC/SBI/2017/7, paragraph 56.

⁶⁰ As per decision 1/CP.21, paragraph 70.

⁶¹ As footnote 30 above.

71. *Action:* To ensure successful completion of the PAWP at COP 24, the SBI will be invited to complete its work on the scope of and modalities for the periodic assessment of the Technology Mechanism in supporting the implementation of the Paris Agreement.

<i>Outcome of SBI 48.2</i>	http://unfccc.int/documents/181801
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(b) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

72. *Background:* COP 20 decided that the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) shall continue to prepare a joint annual report to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.⁶² In 2018 the TEC convened its 16th meeting from 13 to 16 March and its 17th meeting from 25 to 28 September, both in Bonn. The Advisory Board of the CTCN held its 11th meeting from 7 to 9 March in Copenhagen and its 12th meeting from 3 to 5 October in Vienna.

73. *Action:* The SBI and the SBSTA will be invited to consider the joint annual report of the TEC and the CTCN and to recommend a draft decision thereon for consideration and adoption at COP 24.

<i>FCCC/SB/2018/2</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2018</i>
<i>Further information</i>	http://unfccc.int/ttclear

(c) Poznan strategic programme on technology transfer

74. *Background:* SBI 34 invited the GEF to provide reports on progress in carrying out its activities under the Poznan strategic programme on technology transfer for consideration by the SBI at its sessions for the duration of the programme.⁶³ SBI 47 noted the ongoing work of the TEC in updating its report on the evaluation of the Poznan strategic programme, and invited the TEC to submit the evaluation report as part of its annual report to the COP for consideration at SBI 49.⁶⁴

75. *Action:* The SBI will be invited to consider the relevant information in the reports prepared for the session with a view to determining further action, as appropriate.

<i>FCCC/CP/2018/6</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/SB/2018/2</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2018</i>
<i>Further information</i>	https://www.thegef.org/content/poznan-strategic-program and http://unfccc.int/ttclear

15. Matters relating to climate finance: identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement

76. *Background:* COP 23 requested SBI 48.1 to consider the matter of the identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement and to forward the outcomes to COP 24 with a view to the COP providing a recommendation for consideration and adoption at CMA 1.3.⁶⁵

⁶² Decision 17/CP.20, paragraph 4.

⁶³ FCCC/SBI/2011/7, paragraph 137.

⁶⁴ FCCC/SBI/2017/19, paragraph 92.

⁶⁵ Decision 12/CP.23, paragraph 5.

77. This matter was considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.⁶⁶ The present annotations should be read in conjunction with the reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

78. *Action:* To ensure successful completion of the PAWP at COP 24, the SBI will be invited to complete its work on the identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement.

<i>Outcome of SBI 48.2</i>	http://unfccc.int/documents/182115
<i>Further information</i>	https://unfccc.int/topics/climate-finance/workstreams/transparency-of-climate-finance-ex-ante/transparency-of-climate-finance-ex-ante

16. Matters relating to capacity-building for developing countries

- (a) Capacity-building under the Convention
- (b) Annual technical progress report of the Paris Committee on Capacity-building
- (c) Capacity-building under the Kyoto Protocol

79. *Background:* SBI 48.1 undertook the annual monitoring and evaluation of the implementation of the frameworks for capacity-building in developing countries established under decisions 2/CP.7 (capacity-building under the Convention) and 29/CMP.1 (capacity-building under the Kyoto Protocol).

80. The 7th meeting of the Durban Forum and the 2nd meeting of the Paris Committee on Capacity-building (PCCB) were convened in conjunction with SBI 48. The secretariat prepared a summary report on the meeting of the Durban Forum for consideration at this session. In accordance with its terms of reference⁶⁷ the PCCB prepared an annual technical progress report on its work in 2018 for submission to the COP through the SBI.

81. *Action:* The SBI will be invited to take note of the summary report on the 7th meeting of the Durban Forum on capacity-building and to consider the annual technical progress report of the PCCB, including its recommendations to the COP arising from the implementation of its workplan, and to recommend draft conclusions or a draft decision thereon for consideration and adoption at COP 24.

<i>FCCC/SBI/2018/13</i>	<i>The 7th Durban Forum on capacity-building. Summary report by the secretariat</i>
<i>FCCC/SBI/2018/15</i>	<i>Annual technical report of the Paris Committee on Capacity-building</i>
<i>Further information</i>	https://unfccc.int/node/181789 ; https://unfccc.int/node/29036 ; and https://unfccc.int/node/9993

⁶⁶ As footnote 30 above.

⁶⁷ Decision 2/CP.22, annex, paragraph 17.

17. Impact of the implementation of response measures

(a) Improved forum and work programme

82. *Background:* SBI 44 and SBSTA 44 agreed⁶⁸ to implement the work programme on the impact of the implementation of response measures⁶⁹ under the guidance of the Chairs of the SBI and the SBSTA.

83. SBI 48.1 and SBSTA 48.1 took note of the views expressed on the scope of the review of the work of the improved forum to take place at SBI 49 and SBSTA 49 and agreed on the scope of the review.⁷⁰ Parties and observers submitted their views on the work of the improved forum on the basis of the agreed scope of the review, and the secretariat prepared a synthesis report based on the submissions with a view to informing the discussions on this matter at SBI 49 and SBSTA 49.

84. At SBI 48.1 and SBSTA 48.1, under the guidance of the Chairs of the SBI and the SBSTA the secretariat organized a two-day training workshop on the use of economic modelling tools related to the areas of the work programme of the improved forum.⁷¹ The secretariat prepared a report on the workshop for consideration at SBI 49 and SBSTA 49.

85. SBI 48.1 and SBSTA 48.1 agreed⁷² on the conduct of a one-day review of the work of the improved forum in conjunction with SBI 49 and SBSTA 49 with a view to the conclusion of the review of the work of the improved forum at those sessions.

86. *Action:* The SBI and the SBSTA will be invited to complete the review of the forum's work and to make recommendations thereon for consideration and adoption at COP 24.

<i>FCCC/SB/2018/INF.3</i>	<i>Use of economic modelling tools related to the areas of the work programme of the improved forum on the impact of the implementation of response measures. Workshop report by the secretariat</i>
<i>FCCC/SB/2018/INF.4</i>	<i>Work of the improved forum. Synthesis report by the secretariat</i>
<i>Submissions</i>	http://www4.unfccc.int/sites/submissionportal/Pages/Home.aspx (under "sessions", select SBI 49)
<i>Further information</i>	http://unfccc.int/4908

(b) Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

87. *Background:* COP 21 decided that the forum on the impact of the implementation of response measures under the subsidiary bodies shall continue and shall serve the Paris Agreement.⁷³ It also decided that the SBI and the SBSTA shall recommend, for consideration and adoption at CMA 1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement.⁷⁴

88. This matter was considered at SBI 48.2, which agreed that the progress achieved at that session would provide a useful basis for further negotiations by Parties on the PAWP and its successful completion at COP 24.⁷⁵ Further details thereon will be provided in the

⁶⁸ FCCC/SBI/2016/8, paragraph 119.

⁶⁹ FCCC/SBI/2016/8, annex II.

⁷⁰ FCCC/SBI/2018/9, paragraph 108 and annex II.

⁷¹ In line with document FCCC/SBI/2017/19, paragraph 122.

⁷² FCCC/SBI/2018/9, paragraph 111.

⁷³ Decision 1/CP.21, paragraph 33.

⁷⁴ Decision 1/CP.21, paragraph 34.

⁷⁵ As footnote 30 above.

reflections note referred to in paragraph 5 above, which identifies ways forward, including textual proposals on this matter.

89. *Action:* To ensure successful completion of the PAWP at COP 24, the SBI and the SBSTA will be invited to complete their work on the modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures.

Outcome of SBI 48.2 <http://unfccc.int/documents/181953>

Further information <http://unfccc.int//4908>

(c) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

(d) Progress on the implementation of decision 1/CP.10

90. *Background:* SBI 48.1 agreed that these agenda sub-items would be considered together with the SBI and SBSTA agenda sub-item “Improved forum and work programme” in a joint SBI/SBSTA forum. SBI 48.1 also agreed that consideration of these matters would continue at SBI 49.⁷⁶

91. *Action:* The SBI will be invited to continue its consideration of these matters.

18. Gender and climate change

92. *Background:* COP 22 decided to continue and enhance the Lima work programme on gender for a period of three years.⁷⁷ COP 23 adopted a gender action plan to support the implementation of gender-related decisions and mandates in the UNFCCC process.⁷⁸

93. The in-session workshop on gender held during SBI 48.1 was convened in two parts to cover the following two topics:⁷⁹

(a) Differentiated impacts of climate change and gender-responsive climate policy and action;

(b) Policies, plans and progress in relation to enhancing gender balance in national delegations.

94. SBI 48.1 requested⁸⁰ the secretariat to prepare a report on the in-session workshop for consideration at SBI 49.

95. SBI 48.1 held a dialogue with the chairs of UNFCCC constituted bodies to discuss the conclusions presented in the technical paper⁸¹ prepared by the secretariat at the request of COP 22 that identifies entry points for integrating gender considerations into workstreams in the UNFCCC process.⁸² SBI 48.1 requested⁸³ the secretariat to prepare a report on the dialogue to be made available on the UNFCCC website.

96. *Action:* The SBI will be invited to consider the documents prepared for the session and to agree on further action, as appropriate.

FCCC/SBI/2018/INF.15 Differentiated impacts of climate change and gender-responsive climate policy and action, and policies, plans

⁷⁶ FCCC/SBI/2018/9, paragraph 117.

⁷⁷ Decision 21/CP.22, paragraph 6.

⁷⁸ Decision 3/CP.23, paragraph 6.

⁷⁹ See <https://unfccc.int/topics/gender/meetings--events/gender-and-climate-change-events>.

⁸⁰ FCCC/SBI/2018/9, paragraph 126.

⁸¹ FCCC/TP/2018/1.

⁸² Decision 21/CP.22, paragraph 13.

⁸³ FCCC/SBI/2018/9, paragraph 126.

⁸³ FCCC/SBI/2018/9, paragraph 126.

	<i>and progress in enhancing gender balance in national delegations. Workshop report by the secretariat</i>
<i>Further information</i>	https://unfccc.int/topics#:70bd5236-db5c-4951-b3f9-f0ba194311f5

19. Report on activities related to Action for Climate Empowerment

97. *Background:* COP 18 adopted the Doha work programme on Article 6 of the Convention and established an annual dialogue to enhance work in this area.⁸⁴ COP 22 decided that efforts related to the implementation of Article 6 of the Convention shall be referred to as Action for Climate Empowerment (ACE).⁸⁵

98. For the purpose of organizing the Dialogue on ACE, the six elements of ACE are clustered into two focal areas, the consideration of which alternates on an annual basis. The first focal area consists of education and training, and the second of public awareness, public participation and public access to information. International cooperation is considered a cross-cutting theme of both focal areas. The 6th Dialogue on ACE was held during SBI 48.1 and focused on the second focal area.

99. In accordance with decision 17/CP.22, the secretariat organized, with the financial support of and in cooperation with the Government of Italy, a regional workshop on ACE for Europe and the Mediterranean region in La Spezia, Italy, from 2 to 4 October. The workshop provided a forum for ACE national focal points, other Party representatives and non-Party stakeholders to share their experiences, good practices and lessons learned on the six elements of ACE – education, training, public awareness, public participation, public access to information, and international cooperation – and contributed to strengthening the skills and capacity of national focal points related to ACE. A report on the workshop will be published on the UNFCCC website.

100. *Action:* The SBI will be invited to take note of the summary report on the 6th Dialogue on ACE and of the other activities recently undertaken.

<i>FCCC/SBI/2018/19</i>	<i>The 6th Dialogue on Action for Climate Empowerment. Summary report by the secretariat</i>
<i>Further information</i>	https://unfccc.int/topics/education-youth/events-meetings/dialogues-on-action-for-climate-empowerment and https://unfccc.int/event/regional-workshop-on-action-for-climate-empowerment-for-europe-and-the-mediterranean-region

20. Administrative, financial and institutional matters

(a) Audit report and financial statements for 2017

101. *Background:* The financial statements for 2017 have been audited by the United Nations Board of Auditors.

102. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session.

<i>FCCC/SBI/2018/INF.11</i>	<i>Financial report and audited financial statements for the year 2017 and report of the United Nations Board of Auditors. Note by the secretariat</i>
<i>FCCC/SBI/2018/INF.11/Add.1</i>	<i>Financial report and audited financial statements for the year 2017 and report of the United Nations Board of</i>

⁸⁴ Decision 15/CP.18, paragraph 9.

⁸⁵ Decision 17/CP.22, paragraph 14.

	<i>Auditors. Note by the secretariat. Addendum. Comments by the secretariat</i>
<i>Further information</i>	http://unfccc.int/1065

(b) Budget performance for the biennium 2018–2019

103. *Background:* A report on budget performance and programme delivery for the first six months of the biennium and a report on the status of contributions as at 16 November 2018 to the Trust Fund for the Core Budget of the UNFCCC and the other trust funds of the UNFCCC, will be prepared for the session.

104. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session and any additional relevant oral information provided by the Executive Secretary and to decide on actions that may need to be included in draft decisions on administrative and financial matters to be recommended for consideration and adoption at COP 24 and CMP 14.

<i>FCCC/SBI/2018/16</i>	<i>Budget performance for the biennium 2018–2019 as at 30 June 2018. Note by the Executive Secretary</i>
<i>FCCC/SBI/2018/16/Add.1</i>	<i>Budget performance for the biennium 2018–2019 as at 30 June 2018. Note by the Executive Secretary. Addendum. Programme performance data for the period from 1 January to 30 June 2018</i>
<i>FCCC/SBI/2018/16/Add.2</i>	<i>Budget performance for the biennium 2018–2019 as at 30 June 2018. Note by the Executive Secretary. Addendum. Planned programme activities and outputs for the biennium compared with activities undertaken and outputs delivered during the period 1 January to 30 June 2018</i>
<i>FCCC/SBI/2018/INF.12</i>	<i>Status of contributions as at 16 November 2018. Note by the secretariat</i>
<i>Further information</i>	http://unfccc.int/1065

(c) Budgetary matters

105. *Background:* SBI 48.1 continued consideration of ways to enhance the transparency and efficiency of the budget process and requested the secretariat to update the following documents, taking into account the comments made by Parties at the session:⁸⁶

(a) The report on the technical workshop on ways to increase the efficiency and transparency of the budget process;

(b) The report on possible approaches to prioritization and budgetary implications of decisions of the governing bodies and conclusions of the subsidiary bodies;

(c) The report on possible enhancements to the presentation of the budget and work programme for the biennium 2020–2021.

106. SBI 48.1 agreed that consideration of ways to enhance the transparency and efficiency of the budget process would continue at SBI 49.

107. *Action:* The SBI will be invited to consider the documents prepared for the session and to recommend any necessary action in draft decisions on administrative and financial matters for consideration and adoption at COP 24 and CMP 14.

<i>FCCC/SBI/2018/INF.16</i>	<i>Update on possible approaches to prioritization and budgetary implications of decisions of the governing bodies</i>
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⁸⁶ FCCC/SBI/2018/9, paragraphs 151–155.

	<i>and conclusions of the subsidiary bodies. Report by the secretariat</i>
<i>FCCC/SBI/2018/INF.17</i>	<i>Update on possible enhancements to the presentation of the budget and work programme for the biennium 2020–2021. Report by the secretariat</i>
<i>FCCC/SBI/2018/INF.18</i>	<i>Update on ways to increase the efficiency and transparency of the budget process. Report by the secretariat</i>
<i>Further information</i>	http://unfccc.int/1065

(d) Continuous review of the functions and operations of the secretariat

108. *Background:* SBI 21 decided to review the functions and operations of the secretariat annually.⁸⁷ The secretariat introduced this sub-item at SBI 48.1, which agreed to take note of the information provided and requested the secretariat to provide an update on this work for consideration at SBI 49.

109. SBI 48.1 also took note of the 2017 annual report, and noted that future reports require enhancements, to be considered at SBI 49.

110. *Action:* The SBI will be invited to consider the document prepared for the session and to agree on the way forward, as appropriate.

<i>FCCC/SBI/2018/INF.19</i>	<i>Update on annual report preparation. Note by the secretariat</i>
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21. Other matters

111. Any other matters that arise during the session will be taken up under this agenda item.

22. Closure of and report on the session

112. After the draft report on the session has been presented to the SBI for consideration and adoption, the Chair will declare the session closed.

⁸⁷ FCCC/SBI/2004/19, paragraph 105.