



Subsidiary Body for Implementation
Subsidiary Body for Scientific and Technological Advice
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Draft elements of the modalities, work programme and functions of the forum on the impact of the implementation of response measures under the Paris Agreement

Informal document by the Chairs

Contents

	<i>Page</i>
Introduction.....	2
A. Mandate.....	2
B. Scope.....	2
C. Approach.....	2
D. Possible action by the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice	2
 Annex	
Draft elements of the modalities, work programme and functions of the forum on the impact of the implementation of response measures under the Paris Agreement	3
I. Preamble.....	3
II. General	3
III. Functions	4
IV. Work programme	5
V. Modalities.....	7

Introduction

A. Mandate

1. To facilitate the relevant deliberations at their forty-eighth sessions, the Subsidiary Body for Implementation (SBI) and the Subsidiary Body for Scientific and Technological Advice (SBSTA), at their forty-seventh sessions, requested¹ their Chairs to prepare an informal document containing draft elements of the recommendation, for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session, on the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement, on the basis of prior submissions from Parties² under the relevant agenda sub-item and the informal note³ prepared by the co-facilitators of the negotiations thereon.

B. Scope

2. The draft elements of the modalities, work programme and functions of the forum on the impact of the implementation of response measures under the Paris Agreement prepared by the Chairs of the SBI and the SBSTA in accordance with the mandate referred to in paragraph 1 above are contained in the annex. They are based on the prior submissions from Parties and informal note referred to in paragraph 1 above.

C. Approach

3. This informal document aims to provide clarity on draft elements of the modalities, work programme and functions of the forum under Paris Agreement while ensuring that Parties' proposals are reflected. Provisional headings have been included to facilitate the identification and comparison of the key issues, and, where possible, proposals in submissions from Parties have been used to expand on elements that were in the informal note. Where proposals were not substantively different, they have been consolidated to prevent duplication. Where Parties had already expressed views on certain proposals, they are presented as options in this informal document. However, the views expressed and captured in the informal note and any views taken from the submissions from Parties were not negotiated; therefore, the inclusion of proposals in this informal document, including where no options are shown, does not indicate consensus on those elements.

4. This informal document follows the structure of the informal note. The introduction, mandate, purpose and preamble from the informal note have been captured in the preambular section. Cross-cutting issues and overarching considerations, as well as principles and scope, have been captured in the general section. All functions to be performed by the forum have been consolidated in one section. The options for the work programme have been merged to facilitate the identification and comparison of elements. The frequency of meetings and the institutional arrangements required to perform the functions have been presented separately in the context of the modalities in order to facilitate their consideration. The modalities also indicate, through a cross-reference to the section on functions, how certain technical functions might be performed.

D. Possible action by the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice

5. The SBI and the SBSTA may wish to consider this informal document, refine the elements contained therein and continue to elaborate on the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement, including the relations between the modalities, work programme and functions, with a view to preparing the recommendation thereon for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session.

¹ FCCC/SBI/2017/19, paragraph 130, and FCCC/SBSTA/2017/7, paragraph 77.

² Submissions from Parties are available at <http://unfccc.int/5900.php>.

³ Available at http://unfccc.int/meetings/bonn_nov_2017/in-session/items/10497.php.

Annex

Draft elements of the modalities, work programme and functions of the forum on the impact of the implementation of response measures under the Paris Agreement

I. Preamble⁴

Option 1

Pp1 Parties to recall Article 4, paragraph 15, of the Paris Agreement, which states that Parties shall take into consideration in the implementation of the Agreement the concerns of Parties with economies most affected by the impacts of response measures, particularly developing country Parties,

Pp2 Parties to recall the objective of the Convention set out in its Article 2 and the aim of the Paris Agreement set out in its Article 2,

Pp3 Parties to reaffirm the relevant principles and provisions set out in Article 3, paragraphs 1, 4 and 5, and Article 4, paragraphs 1(g) and (h), 3, 5, 7, 8, 9 and 10, of the Convention and Articles 2, paragraph 3, and 3, paragraph 14, of the Kyoto Protocol,

Pp4 Parties to recall decisions 5/CP.7, 1/CP.10, 1/CP.13, 1/CP.16, 2/CP.17, 1/CP.18, 1/CP.21, 11/CP.21, 15/CMP.1 and 31/CMP.1,

Pp5 Parties to recall the purpose of the forum on the impact of the implementation of response measures (hereinafter referred to as the forum) set out in decision 1/CP.21, paragraphs 33 and 34, and decision 11/CP.21,

Pp6 Parties to acknowledge that the response measures at the origin of impacts could be implemented by developed or developing countries, that response measures could affect both developed and developing countries, and that response measures could have both domestic and cross-border impacts,

{end of option 1}

Option 2

{no text required}

{end of option 2}

II. General

Option 1

1. The following cross-cutting and overarching considerations are to guide the forum under the Paris Agreement with its work to be:

(a) Action oriented – moving from technical discussion towards actions with concrete outcomes;

(b) Permanent – providing institutional arrangements to address relevant policy and technical issues;

(c) Facilitative – assisting Parties in addressing the impacts of the implementation of response measures;

(d) Inclusive – allowing for participation of Parties, observers and external experts;

(e) Universal – serving all Parties and enabling the transition to low GHG emission economies;

⁴ Headings and subheadings used herein are provisional and intended to orientate readers.

- (f) Ensuring continuity – building on lessons learned from the improved forum;
- (g) Flexible – taking a dynamic approach to the work programme;
- (h) Coherent – minimizing overlaps with and building synergies with existing work in relevant intergovernmental and international organizations, including institutional arrangements under the United Nations Framework Convention on Climate Change;
- (i) Interactive – promoting dialogue among stakeholders;
- (j) Transparent – openness of meeting and documents;
- (k) Promote cooperation among Parties – understanding the impacts of mitigation actions under the Paris Agreement with a view to minimizing adverse impacts on Parties most affected by the impacts of response measures, particularly developing country Parties, maximizing positive impacts and co-benefits and addressing negative impacts;

{end of option 1}

Option 2

{option 1 without reference to a dynamic approach to the work programme (i.e. without (g) above)}

{end of option 2}

Option 3

{no text required}

{end of option 3}

III. Functions

Option 1

2. The functions of the forum are as follows:

- (a) Contributing to the achievement of the long-term goal under Article 4, paragraph 1, of the Paris Agreement; helping Parties to increase mitigation ambition while taking into consideration negative impacts of response measures; and informing and assisting Parties in the transition towards a low-emission society;
- (b) Serving as an enabler for action in the context of implementing domestic mitigation actions (NDCs);
- (c) Providing a platform for Parties to share, in an interactive manner, lessons learned, information, experience, case studies, best practices and views;
- (d) Providing a platform for consultations among Parties concerning the obstacles and problems arising from the impact of the implementation of response measures;
- (e) Showcasing national approaches to implementing climate action and sustainable development;
- (f) Supervising and monitoring the implementation of measures to address adverse impacts of response measures;
- (g) Implementing measures to address adverse impacts of response measures;
- (h) Identifying and understanding impacts;
- (i) Developing tools and methodologies to assess impacts;
- (j) Facilitating linkages with financial, technology and capacity-building mechanisms under the Paris Agreement;
- (k) Building synergies and cooperation with other organizations, both under and outside the UNFCCC and the Paris Agreement, international organizations, multinational organizations and external experts;
- (l) Informing the global stocktake;
- (m) Recommending specific actions to the COP, the CMA and/or the CMP;

(n) Promoting understanding of the cross-border impact of the implementation of response measures on a sustainable transition in developing country Parties;

(o) Raising awareness and enhancing the capacity of Parties to assess, address, manage, monitor and report on the impacts of the implementation of response measures;

(p) Identifying, developing and promoting the methodologies, frameworks and tools needed to assess and address the impacts of the implementation of response measures;

(q) Creating new or mobilizing existing national capacities to strengthen monitoring and reporting systems;

(r) Cooperating at various levels, including at the bilateral level, with regional and multilateral organizations, experts and institutions, on the adverse economic and social consequences of response measures on developing countries.

{end of option 1}

Option 2

{option 1 above without reference to the implementation of measures (i.e. without (g) above)}

{end of option 2}

Option 3

2. The functions of the forum are to address the effects of the implementation of response measures under the Paris Agreement by enhancing cooperation among Parties on understanding the impacts of mitigation actions under the Paris Agreement and the exchange of information, experience and best practices among Parties in order to raise their resilience to such impacts, in accordance with paragraph 34 of decision 1/CP.21.

{end of option 3}

IV. Work programme

3. The work programme contains short to medium term and long-term components, as well as detailed activities.

4. The activities of the work programme in the short to medium term include:

(a) Assessing and analysing the impacts of the implementation of response measures with a view to minimizing adverse impacts and maximizing co-benefits;

(b) Monitoring and reporting on the impacts of the implementation of response measures with a view to minimizing adverse impacts and maximizing co-benefits;

(c) Addressing the impacts of the implementation of response measures;

(d) Addressing support for co-benefits.

5. The long-term activities of the work programme include:

Option 1

(a) A just transition of the workforce and the creation of decent work and quality jobs:

(i) Understanding the impacts of all mitigation actions on employment creation and unemployment, including on individual economic sectors, so as to inform national policy options to achieve the best possible outcomes;

(ii) Ensuring an appropriate focus at the subnational level while considering potential for regional synergies between countries;

(b) Economic diversification and transformation:

(i) Understanding how to maximize economic growth and support the achievement of the Sustainable Development Goals while maximizing mitigation by undertaking low-cost mitigation actions;

(ii) Helping to drive up private investment flows that help countries to achieve their NDCs and the overall goals of the Paris Agreement;

(iii) Recognizing different needs, stages of economic development and levels of economic diversification;

(c) International trade, human rights, youth, gender, migrants, indigenous peoples, people in vulnerable situations and intergenerational equity;

(d) Synergies with the Sustainable Development Goals.

{end of option 1}

Option 2

{option 1 without reference to international trade (i.e. without international trade in (c) above)}

{end of option 2}

6. The work programme of the forum is to comprise the following detailed activities for both the short to medium term and long-term components:

Option 1

(a) Carrying out systematic and comprehensive assessments or analysis to understand cross-border impacts of response measures on developing countries and to identify types of measure of significance requiring urgent action to address, especially ways to minimize the adverse impacts of response measures, and understanding how the impact of response measures relates to sustainable transition to a low-emission society;

(b) Establishing dialogues on the assessment and analysis of adverse impacts of response measures, including unilateral ones, in terms of their consequences for, inter alia, trade, investment, employment, income, economic growth rates and living standards in developing countries, and exploring ways to minimize the adverse impacts of response measures;

(c) Developing methodologies and modelling tools (computable general equilibrium or hybrid) for assessing and analysing adverse impacts of response measures, including unilateral measures, in terms of their consequences for, inter alia, trade, investment, employment, income, economic growth rates and living standards in developing countries;

(d) Developing sector-specific case studies for the agriculture sector, trade and financial, manufacturing and energy-intensive industries, the oil and gas industries and the tourism sector aimed at sharing success stories and lessons learned and addressing the barriers to implementing diversification strategies for sectors and industries vulnerable to or affected by response measures;

(e) Capacity-building through regional training programmes to enable countries to use existing methodologies and tools and/or the tools developed by the forum, including modelling tools;

(f) Capacity-building related to economic modelling, studies, methodology development, scenario-setting and technology transfer to assist developing country Parties in addressing the negative economic and social consequences of response measures;

(g) Developing specific guidelines for developed countries on how to report on actions and impacts related to the implementation of response measures in such a way as to promote actions to minimize adverse impacts;

(h) Developing systems or programs to record measures and examine and review their impacts comparatively (domestic versus cross-border impacts);

(i) Developing guidelines for monitoring and reporting impacts and measures undertaken to address or minimize negative impacts of the implementation of response measures;

(j) Trade, export development, investment, industrial development and value chain integration, cities, health, gender, youth and inclusive growth;

(k) The work areas should be flexible and open for revision as Parties' understanding evolves and new issues emerge;

(l) Requesting the secretariat to look at NDCs and prepare a paper on the annual status of reporting on the impacts of response measures;

(m) Looking at climate change policies included in the NDCs of developed countries and other policies of developed countries to draw examples, monitor progress and recommend improvements and assistance.

{end of option 1}

Option 2

(a) Building awareness, capacity and resilience;

(b) Promoting and developing guidelines, inventories, methodologies and tools;

(c) Developing case studies;

(d) Collaborating and coordinating with other organizations;

(e) Reporting and providing information on response measures;

(f) Promoting collaboration, coordination and dialogue;

(g) Building (through financial, technology and capacity-building support) economic and social resilience and supporting the sustainable development of developing countries in the face of negative impacts of response measures;

(h) Exchanging experience, information and assessments on national, regional and international impacts (cross-border impacts);

(i) Enhancing information-sharing on the impacts of the implementation of response measures.

{end of option 2}

Option 3

6. Detailed components of the work programme will be decided at the first meeting of the forum.

{end of option 3}

V. Modalities

7. The forum to be convened under a joint agenda item of the SBI and the SBSTA and report to the COP, the CMP and the CMA.

8. The forum to meet

Option 1

in conjunction with the sessions of the SBI and the SBSTA, with additional meetings taking place between sessions, as appropriate.

{end of option 1}

Option 2

in conjunction with the sessions of the SBI and the SBSTA.

{end of option 2}

9. The forum

Option 1

to have separate processes for undertaking political and technical functions: (1) a joint contact group under the SBI and the SBSTA to discuss and make policy recommendations and (2) a technical expert group (TEG) to deliberate on technical issues and make recommendations to the contact group.

{end of option 1}

Option 2

to operate in accordance with the procedures applicable to a contact group under the SBI and the SBSTA.

{end of option 2}

10. The forum to constitute

Option 1

a TEG on an ad hoc basis to perform the functions identified in option 1 of paragraph 2(n-r) above.

{end of option 1}

Option 2

a permanent TEG to perform the functions identified in option 1 of paragraph 2(n-r) above.

{end of option 2}

Option 3

more than one TEG operating in parallel on an ad hoc basis, as considered necessary.

{end of option 3}

Option 4

{no text (i.e. no paragraph 10)}

{end of option 4}

11. The modalities to be used by the forum to implement its work programme may include:

Option 1

- (a) Technical studies and reports;
- (b) Sharing of experience, best practices and views;
- (c) Reviews;
- (d) Technical expert groups;
- (e) Guidelines;
- (f) Case studies, concrete examples and best practices;
- (g) Pilot projects;
- (h) Intersessional workshops;
- (i) Joint work with external organizations;
- (j) Regional training workshops;
- (k) In-session workshops;
- (l) External input.

{end of option 1}

Option 2

{option 1 without reference to TEGs (i.e. without item (d) above)}

{end of option 2}

12. The secretariat is requested to support the work of the forum, subject to the availability of financial resources.
