

Elements of draft text under SBI agenda items 11 and 12 and SBSTA agenda item 4
Matters relating to the least developed countries
Report of the Adaptation Committee

Revised informal note by the co-facilitators

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This informal note has been prepared by the co-facilitators of the negotiations on these agenda item under their own responsibility and on the basis of the informal note by the co-facilitators issued at SBSTA 47 and SBI 47 (final version of 14 November 2017),¹ deliberations by Parties at this session and the views they have submitted. The draft elements contained in this note are preliminary and should not be considered as final in any way; they are offered as a basis for work and do not prejudge further work or prevent Parties from expressing their views in the future. It is recognized that the outcome of deliberations on these items will form part of the overall outcome under the Paris Agreement work programme.

I. Mandate

- Decision 1/CP.21, paragraph 41: *Requests* the Adaptation Committee and the Least Developed Countries Expert Group to jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7, paragraph 3, of the Agreement, and make recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;
- Decision 1/CP.21, paragraph 45: *Also requests* the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Standing Committee on Finance and other relevant institutions, to develop methodologies, and make recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session on:
 - (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement;
 - (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7, paragraph 14(c), of the Agreement.

II. Elements

A. Recognition of adaptation efforts of developing countries

[pp1. *Recalling* decision 1/CP.21, paragraph 41, that requests the AC and the LEG to jointly develop modalities to recognize the adaptation efforts of developing countries, as referred to in Article 7, paragraph 3, of the Agreement,]

[pp2. *Recognizing* Article 7, paragraph 14(a), that states that the global stocktake referred to in Article 14 shall, inter alia, recognize adaptation efforts of developing country Parties,]

1. To note the existing work of the AC and the LEG related to synthesizing information on specific adaptation themes and lessons learned and good practices;
- 1 bis. [*Decides* that the following modalities may be considered, as appropriate, in the context of the global stocktake, without prejudging the outcomes of the discussions under agenda item 6 of the APA on the sources of inputs and modalities of the global stocktake;]
2. To request the secretariat, under the guidance of the AC and the LEG:

¹ https://unfccc.int/sites/default/files/sb47_isbi10_12_sbsta_4_informal_note_ac_and_leg_.pdf?download.

- (a) To prepare a synthesis report on the adaptation efforts of developing country Parties based on the most recent adaptation[-related documents] [communications, national adaptation plans, national communications, nationally determined contributions], the events referred to in paragraph 3 below and the reports referred to in paragraph 4 below, and any other relevant reports prepared under the transparency framework;
 - (b) To organize [in the global stocktake] a high-level event informed by the reports referred to in paragraphs 2(a) above and 4 below in order to provide developing country Parties with an opportunity to present their adaptation efforts, in a nationally determined manner, [following a country-driven approach,] for recognition;
 - (c) To prepare a [non-prescriptive] summary of the high-level event taking into account the information contained in the synthesis report referred to in paragraph 2(a) above and any other input to be determined by the CMA;
3. To make use of existing national, regional and global events, including NAP Expos and adaptation forums, to showcase the adaptation efforts of developing country Parties [to adaptation practitioners];
 4. To request the secretariat, under the guidance of the LEG and the AC[, with inputs from Parties] and in collaboration with relevant entities under [and outside] the Convention, to prepare synthesis reports on specific adaptation themes [requested by the CMA], focusing on lessons learned and good practices in developing country Parties and drawing on the outcomes of the events and outputs referred to in paragraphs 2 and 3 above.
 - [5. To invite the CMA, during the political phase of the global stocktake, to recognize the adaptation efforts presented, following a country-driven approach, by developing country Parties in the high-level event referred to in paragraph 2(b) above;]
 - [6. To acknowledge that the recognition of efforts in the framework of the global stocktake is linked to the collective progress towards achieving the purpose of the Paris Agreement on adaptation, including the global goal on adaptation;]
 - [7. To request the SBSTA to undertake further technical work building on the recommendation of the AC and the LEG and taking into consideration the inputs to be provided by the IPCC in paragraph 8 below and the submissions made by Parties, admitted observers and other relevant organizations with the view to further develop methodologies for recognizing the adaptation efforts of developing countries, in order to provide recommendations for consideration and adoption by the CMA at its XXX session;]
 - [8. To invite the IPCC to provide a compilation of existing methodologies for recognizing the adaptation efforts of developing countries;]
 - [9. To request that, in developing the methodologies and modalities referred to above, the relevant entities consider the principles of equity and best available science.]

B. Facilitation of mobilization of support

- [1. To request the Standing Committee on Finance, the TEC and the PCCB, in accordance with their mandates, and taking into account the recommendations of the AC and the LEG, as well as relevant submissions made by Parties and admitted observer organizations, ongoing relevant work under the Convention, and work being conducted by Parties, to prepare recommendations for facilitating the mobilization of support for adaptation in developing countries in the context of the limit to the global average temperature increase for consideration and adoption by the CMA at its third session;]
- [1 bis. To request that in developing the recommendations referred to in paragraph 1 above, the relevant entities consider the principle of equity and the best available science;]
- [1 ter. To request that in developing the recommendations referred to in paragraph 1 above, to ensure the availability of predictable and sustainable financial resources and technical support, to establish processes to identify new and additional resources and to address uncertainties surrounding long-term financial flows and technical support;]
- [1 quat. To invite developing country Parties to further enhance their enabling environments, policy frameworks, institutions and national public financial management systems with a view to improving access to international public support and to enhancing the involvement of the private sector;]

2. To invite [developed country] Parties, United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to assist the least developed country Parties and other developing country Parties, drawing on the work of the AC, the LEG and other relevant bodies, as appropriate, in building and/or strengthening enabling environments, policy frameworks, institutions and national public financial management systems to mobilize support for adaptation, in particular capacity-building, including as part of the process to formulate and implement NAPs;
- [3. To invite developing country Parties to assess and prioritize their adaptation needs, including by taking into consideration methodologies for assessing adaptation needs;²]
4. To invite Parties to continue engaging in adaptation planning processes and the implementation of actions, including the process to formulate and implement NAPs;
- [5. To invite developing country Parties to take advantage of the resources available through the operating entities of the Financial Mechanism to strengthen their institutional capacity to programme their priority climate actions as well as to track and report climate finance;]
6. To invite Parties and relevant actors to ensure, as appropriate, that steps are taken to identify and subsequently remove perverse incentives that could result in non-resilient investments and planning decisions;
7. To request Parties to report on support provided and received in line with the reporting instruments and modalities being developed under the Paris Agreement;
- [8. To request the Financial Mechanism to enhance the financial support for adaptation, as well as to [ensure][seek] that all the financial support provided to developing country Parties is balanced between adaptation and mitigation activities;]
- [9. To request the Technology Mechanism and the Paris Committee on Capacity Building to enhance and facilitate the support in technology transfer and capacity building for adaptation in developing country Parties, promoting balance between adaptation and mitigation activities;]
- [10. To request the secretariat to prepare, as one of the sources of input to the global stocktake, a collective assessment of the support needs for adaptation of developing country Parties on the basis of their most recent adaptation communication or other adaptation-related documents, with the aim of facilitating the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase.]

C. Reviewing the adequacy and effectiveness of adaptation and support

Option 1

1. To welcome the technical work undertaken by the AC and the LEG in collaboration with the SCF, including the desk review of existing methodologies and the synthesis of submissions;³
2. To note the constraints encountered by the AC and the LEG in developing methodologies, including the differences in national circumstances of adaptation, the difficulties in setting adaptation baselines and targets, and the lack of common metrics for measuring progress on adaptation;
3. To note that the current state of knowledge is not sufficient to address the mandate and requires time and effort to advance;
4. To recommend that further technical work be undertaken building on the existing work of the AC and the LEG[, in collaboration with the SCF,] and taking into consideration ongoing relevant work under and outside the Convention, by Parties, academia and others, with a view to developing methodologies for reviewing the adequacy and effectiveness of adaptation and support;

² Including those considered in response to decision 1/CP.21, paragraph 42(b). See also AC documents AC/2016/13, AC/2017/4 and AC/2017/12, available at <https://unfccc.int/topics/adaptation-and-resilience/groups-committees/adaptation-committee/joint-ac-and-leg-mandates-in-support-of-the-paris-agreement>.

³ See AC-LEG documents AC-LEG/2016/2, AC-LEG/2017/2 and AC-LEG/2017/3, available at <https://unfccc.int/topics/adaptation-and-resilience/groups-committees/adaptation-committee/joint-ac-and-leg-mandates-in-support-of-the-paris-agreement>, for details on the technical work undertaken.

5. To recommend that the following contributions to the technical work referred to in paragraph 4 above be made by the AC and the LEG in collaboration with the SCF and relevant experts:
 - (a) Continuing the analysis of methodologies for reviewing the adequacy and effectiveness of adaptation and support, taking into consideration the submissions referred to in paragraph 6 below;
 - (b) Exchanging information on methodologies, including metrics, for reviewing the adequacy and effectiveness of adaptation and support, and making it available;
6. To recommend that Parties, organizations in the United Nations system and other relevant organizations, as well as bilateral and multilateral agencies, submit to the AC and the LEG information on gaps, challenges, opportunities and options associated with methodologies for reviewing the adequacy and effectiveness of adaptation and support.

Option 2

1. Invite Parties to include the following information in their communications through appropriate reporting channels, as a component of or in conjunction with other communications and documents, including a national adaptation plan, a nationally determined contribution as referred to in Article 4, paragraph 2, of the Paris Agreement and/or a national communication, with a view to enabling Parties to assess the adequacy and effectiveness of adaptation action and support in the context of the global stocktake:
 - (a) Adaptation needs, plans and strategies;
 - (b) Enabling environments, policy frameworks and absorptive capacity;
 - (c) Frameworks used for assessing the effectiveness of and prioritizing potential adaptation efforts, including consideration of their cost-effectiveness and alternatives;
 - (d) Efforts and systems to monitor and evaluate the effectiveness of adaptation efforts, including related metrics, inputs, processes and systems, outputs, outcomes or demonstrable results, and impacts or long-term societal, economic or environmental consequences;
 - (e) Support through all instruments and channels, including domestic, international, public and private sources;
 - (f) How support effectively responds to national adaptation needs, plans and strategies;
 - (g) Progress towards the implementation/achievement of those needs, plans and strategies.