

## Secretariat responses to questions received by 19 June 2019, 5 pm

19 June 2019, 7pm

Please provide further information on:

1. Linking budget with mandates
2. List of items for which there are ongoing negotiations and any associated resource implications
3. Outstanding contributions and effects on the consideration of the 2020-2021 budget
4. Clarification of presentations and work programme:
  - a. Discrepancy in support from core for constituted bodies
  - b. Allocation of resources in relation to outreach, for example, in the context of the SDGs and the SG summit
  - c. Allocation of resources for the periodic review of the long-term global goal and potential for streamlining with global stocktake
  - d. Allocation of resources for transparency activities in the means of implementation and the transparency divisions
  - e. Allocation of resources from CDM and JI fees in mitigation division
  - f. Allocation of resources for compliance
  - g. Visa processing

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### 1. Linking budget with mandates

**Response:** The work programme lists the mandates that govern the activities of each division. See FCCC/SBI /2019/4/Add.1, the following paragraphs: Adaptation division, paragraph 19; Mitigation division, paragraph 24; Means of Implementation division, paragraph 29; Transparency division, paragraph 34; Legal Affairs Division paragraph 42; Conference Affairs division, paragraph 46; Administrative Services, Human Resources and Information and Communication Technology division, paragraph 51; Intergovernmental Support and Collective Progress division, paragraphs 60 to 62; and Communications and Engagement division, paragraph 67.

### 2. List of items for which there are ongoing negotiations and how those have been factored into the budget

**Response:** All workstreams of the secretariat's work programme are subject to ongoing oversight and guidance by the COP, CMP, CMA, SBI, SBSTA, constituted bodies and their respective Presiding Officers and Bureaux. Any exercise in forecasting exact deliverables for a period of up to 3 years in advance will therefore carry a level of uncertainty. The level of certainty varies with regard to the precise expected deliverables in each workstream, for example:

- The nature of the multilateral assessment under the international assessment and review process is highly stable.
- The extent of work programmes under the subsidiary bodies (e.g. Nairobi Work Programme, work programmes on ACE and gender) is stable but the precise focus of the work less so and can be adjusted over the course of the biennium
- The precise deliverables expected by constituted bodies can vary by body and guidance from their respective governing bodies

- Items undergoing more extensive negotiations such as the enhanced transparency framework, Article 6 of the Paris Agreement and the Global Stocktake:
  - The support to existing MRV arrangements vis-a-vis the enhanced transparency framework. The precise operational details of the ETF remain a matter for elaboration by Parties and this will impact both establishment costs (new IT systems) and operational costs. The infrastructure required for the existing MRV arrangements will also require to be maintained after the ETF has been operationalized. Maximizing efficiency in this area while delivering fully upon the expectations established by Parties will be a critical cornerstone of the 2022-23 budget process.
  - The finalization of MPGs related to Article 6 of the Paris Agreement: It is likely that the final outcome for Article 6 would require increased funding in the core budget as the current proposal does not contain sufficient non-staff costs to enable the operation of any established bodies. Staff costs for support to any such bodies would depend on the nature of the agreed MPGs. The use of fees would also need to be factored into future estimate of income. With respect to the implementation of outcomes of these matters relating to the Convention, there are no resources foreseen in the budget, for the Kyoto Protocol the resource needs are drawn in full from fees collected from the mechanisms. For Article 6 of the Paris Agreement there are supplementary funding needs contained in the budget proposal which are initial estimates for implementation based on the current status of Parties' deliberations. The precise final requirements would need to be adjusted following the adoption of CMA decisions, as appropriate, and the secretariat would not seek to fundraise for these needs until there is clarity arising from the negotiation process.
  - The implementation of the Global Stocktake in 2023: The current proposal has anchors in core for staff costs related to collective progress. In the 2020-21 biennium these resources will support the conduct of the periodic review of the long-term goal. Non-staff costs and any additional staff support costs for the conduct of the Global Stocktake would be assessed on the basis of the preparatory work undertaken in the 2020-21 biennium.

### **3. Outstanding contributions and effects on the consideration of the 2020-2021 budget**

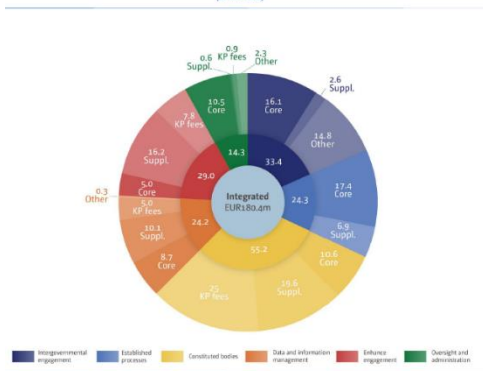
**Response:** Information on how the Secretariat addresses the issue of outstanding contributions aside from the letters sent to the Parties is included in the [first response](#). The outstanding contributions should not have a direct impact on the consideration of the budget for 2020-21. In the event that core contributions are not received, the implementation of activities will be impacted.

### **4. Clarification on presentations and the work programme:**

#### **a. Discrepancy in support from core for constituted bodies**

In the presentations for the groups, slide 11 "Integrated budget by objectives" shows EUR 10.6 million in core for support to constituted bodies, whereas slide 16 "Proposed budget by objective" shows EUR 9.3 million in core for support for constituted bodies

### Integrated budget by objectives (in Mio. EUR)



### Proposed budget by objective: core & supplementary (w/o PSC)

	Core		Supplementary	
	EUR	%	EUR	%
<b>Intergovernmental Engagement</b>	14 250 382	86.3	2 271 120	13.7
<b>Established Processes</b>	15 362 526	71.6	6 097 936	28.4
<b>Constituted bodies</b>	9 338 472	35.0	17 348 454	65.0
<b>Data and information management</b>	7 700 508	46.2	8 974 256	53.8
<b>Enhance engagement</b>	4 441 928	23.6	14 363 992	76.4
<b>Oversight and Administration</b>	9 313 039	94.5	543 570	5.5
<b>Total appropriations</b>	<b>60 406 855</b>	<b>54.9</b>	<b>49 599 328</b>	<b>45.1</b>

**Response:** The difference relates to programme support costs (PSC of 13%), slide 11 includes them, where slide 16 does not. All division level resource estimates contained throughout section III in the work programme are without programme support costs and the use of these costs is also described in the relevant parts of the work programme (see Programme Coordination, page 19; Operations Coordination, page 51; AS/HR/ICT, page 62 and Executive, page 79).

#### b. Allocation of resources in relation to outreach, for example, in the context of wider UN activities

**Response:** In terms of outreach and engagement activities and outputs, please refer to the outputs related to Objective 4: enhance engagement in the work programme:

- For the Adaptation division: page 26, ER400-001-1
- For the Mitigation division: page 31, ER400-002-1
- For the Means of Implementation division: page 41, ER400-003-2
- For the Communications and Engagement division: page 76, ER400-006-1
- For the Executive division, page 80, ER,400-010-1

#### c. Allocation of resources for the periodic review of the long-term global goal and potential for streamlining with global stocktake

**Response:** Support for both of these processes is consolidated under a single team. The work programme for this area is contained on page 70, table 28 of the work programme. The core funds in this aspect of the work programme are focused on the conduct of the review of the long-term goal.

#### **d. Allocation of resources for transparency activities in the means of implementation and the transparency divisions**

**Response:** The following core outputs related to transparency are included in the means of implementation, see page 35, table 10:

- Intergovernmental arrangements: Long-term climate finance and biennial communications on Article 9, paragraph 5, of the Paris Agreement (2 COP/CMA sessions)
- Established processes:
  - Compilation and synthesis of biennial submissions on updated strategies and approaches for mobilizing scaled-up climate finance from 2014 to 2020 and biennial communications from 2021 onwards
  - Compilation and synthesis of information on financial support contained in NCs and BR common tabular format tables 7, 7(a) and 7(b)
  - Data sets for BA and the report on the determination of needs of developing countries
- Data and information management: Dedicated online portal for posting and recording the biennial communications on Article 9, paragraph 5, of the Paris Agreement

#### **e. Allocation of resources from CDM and JI fees in mitigation division**

**Response:** For any funds allocated to a given division the relevant Director is accountable for delivery of the relevant work programme. In the case of the CDM and JI the work programme is determined by the CDM-EB and JISC respectively through their management plans. These management plans are financed by fees collected through the operation of the mechanisms. The use of these funds is restricted to the delivery of the approved work programmes. The delivery of these work programmes is assigned to the mitigation division. In the current biennium, these work programmes are assigned to the Sustainable Development Mechanisms programme.

#### **f. Allocation of resources for compliance**

**Response:** The work programme for the consolidated compliance workstream is contained on page 50, table 19, Objective 2: constituted bodies “Enable the Compliance Committee under the Kyoto Protocol and the Compliance and Implementation Committee under the Paris Agreement and other constituted bodies to fulfil their mandates”. Consolidation allows for synergy and cost-savings, including related to staff costs.

#### **g. Visa processing**

**Response:** The work programme for the Conference Affairs division on page 60 lists under the Core and Bonn Fund the following main output: “Visa processing for participants facilitated with the host country (750–1,600 visas)”. This output refers exclusively to staff facilitating participants’ obtaining visas, e.g. through visa support letters, irrespective of whether participants travel to COPs or to sessions/meetings in Bonn and abroad.