



Needs-Based Finance Project: Training workshop on climate finance mobilization and access for ASEAN member states

20 – 23 March 2023, Metro Manila, Philippines

Overview and Objectives of Training Workshop

The Association of Southeast Asian Nations (ASEAN) Member States (AMS) and the UNFCCC expressed the need to undertake training in accessing and mobilizing climate finance to support their priority climate projects and programmes. The UNFCCC secretariat, under the auspices of the Needs-Based Finance (NBF) / ASEAN Climate Finance Strategy Project, is organizing a training workshop with the objective of developing skills and knowledge in the development or implementation of climate change adaptation and mitigation projects. In particular, the workshop aims to build capacity and skills of the participants by providing AMS with information and tools that will assist them in translating the priority needs to project concepts to be able to access suitable climate finance. At the workshop, the regional climate finance strategy which is a product of the NBF Project will be validated by members of the ASEAN Working Group on Climate Change.

This workshop should be viewed as an initial step in understanding the whole project development process by going through each phase of the process through interactive exercises. At the of the workshop, the participants will produce draft project ideas that ASEAN can collectively develop, with the assistance of international organizations/climate funds. Skills learned in this workshop will enable participants to develop project proposals to mobilize finance from both public and private sources at the international, regional and national level. The workshop also serves as an opportunity for national experts to engage with each other, exchange information on their priority needs and deliberate on ways to address common challenges as a group. Participants will be invited to come well prepared and engage actively in the workshop to both share and agree on outcome recommendation from the workshop.

Target Audience: ASEAN Working Group on Climate Change (for validation of the ASEAN Climate Finance Strategy), national focal points to the financial mechanisms of the UNFCCC (Green Climate Fund, Global Environment Facility, and Adaptation Fund)

Expected Outputs of the Workshop:

- Validation of the Climate Finance Access & Mobilization Strategy by AMS
- Capacity building of climate finance actors in Southeast Asia

Follow-up Actions by Participants: Implementation of the climate finance strategy and further development of identified project ideas at the workshop

PROVISIONAL PROGRAMME

DAY 1: 20 March 2023

Time	Description	Interventions
08:30 - 09:00	REGISTRATION	
09:00 – 09:30	Opening session	<ul style="list-style-type: none">• Atty. Jonas R. Leones, Undersecretary for Policy, Planning and International Affairs, Department of Environment and Natural Resources, Philippines• Yolando Velasco, Manager, Climate Finance Subdivision, UNFCCC Secretariat
09:30 – 09:45	COFFEE BREAK	
09:45 – 10:00	Introduction This session will provide an overview and objectives of the training workshop and an opportunity to hear the participants' expectations.	<ul style="list-style-type: none">• Astrid Rahardjo, Climate Finance Subdivision, UNFCCC Secretariat
CLIMATE FINANCE ACCESS AND MOBILIZATION STRATEGY VALIDATION		

Time	Description	Interventions
10:00 – 13:00	<p>Session 1: Enhancing Collaboration and Validation of ASEAN Climate Finance Strategy</p> <p>This session will discuss the possible areas of collaboration among the AMS as per the regional climate finance strategy produced under the NBF project, the formalization/endorsement of the collaboration and the processes which are needed to formalize the collaboration arrangement as well as who will be involved at the national level.</p>	<p>Moderation and presentation on the ASEAN Climate Finance Strategy and the validation process:</p> <ul style="list-style-type: none"> • Tri Sulistyo Saputro, Environment Officer, ASEAN Secretariat <p>Validation of the Strategy will be chaired by the lead countries from the ASEAN Working Group on Climate Change (AWGCC) for the ASEAN Needs-based Finance (NBF) Project with support from ASEAN Secretariat:</p> <ul style="list-style-type: none"> • Albert Magalang, Chief, CCS-CCITSD Department of Environment and Natural Resources, Philippines • Kay Salam, Lead for Policy, Planning and Coordination, Brunei Climate Change Secretariat
13:00 – 14:00	LUNCH BREAK	
TRAINING ON CLIMATE FINANCE ACCESS AND MOBILIZATION		
14:00 – 15:30	<p>Session 2: Regional Priority Programmes of ASEAN</p> <p>This session will discuss the information on the technical assessment and priorities, with the aim of identifying 3 – 5 regional programme ideas that can be carried through the series of sessions on project development.</p> <p><i>*Potential programme ideas identified in this session will be expanded on and further developed in the succeeding sessions through interactive discussions and breakout groups.</i></p>	<ul style="list-style-type: none"> • Presentation by Astrid Rahardjo, Climate Finance Subdivision, UNFCCC Secretariat <p>Overview of the key findings of the needs and priorities of ASEAN based on the Technical Assessment (TA) of Climate Finance Mobilization and Access.</p> <ul style="list-style-type: none"> • Presentation by Tri Sulistyo Saputra, Environment Officer, ASEAN Secretariat • Group exercise to identify 3 – 5 regional programme ideas

Time	Description	Interventions
15:30 – 17:00	<p>Session 3: Overview by climate funds and their project cycles</p> <p>This session will provide an overview of the project development processes of different funding institutions and the private sector; highlighting the roles, requirements and key players for each phase of the process, including accreditation of implementing entities.</p> <p>A case study will be presented on country experiences in going through the project development cycle to further understand the process. An interactive panel discussion with several multilateral climate funds will allow for a back-and-forth dialogue on project development processes to allow for the participants to gain further clarity on how a project can be originated, developed, financed and implemented.</p>	<p>Moderated by: Ameil Harikishun</p> <ul style="list-style-type: none"> • Ice breaker • Presentations: <ul style="list-style-type: none"> ○ Overview of GCF, Adaptation Fund and GEF (including their funding windows, access modalities and key aspects of their project development cycle) ○ Country case study • Interactive discussion between participants and representatives of funding institutions.

DAY 2: 21 March 2023

Time	Description	Interventions
TRAINING ON CLIMATE FINANCE ACCESS AND MOBILIZATION		
09:00 – 09:15	Recap of Day 1 and overview of day 2	
09:15 – 11:00	<p>Session 4: Establishing the climate and regional rationale</p> <p>This session will elucidate the concept of a climate rationale, a crucial component of developing a project/programme seeking to access climate finance. An interactive panel discussion with several multilateral climate funds will allow the audience to gain insight into their respective perspectives on what a climate rationale is and what a robust climate rationale looks like. Participants will then breakout into smaller groups to work on an exercise in developing a climate rationale, with the intention of developing a succinct articulation of the climate rationale for the respective regional programme ideas identified in session 2.</p>	<p>Moderated by: Ameil Harikishun</p> <ol style="list-style-type: none"> 1. Ice Breaker 2. Interventions: <ul style="list-style-type: none"> • GCF • Adaptation Fund • GEF • Direct Access Entity 3. Interactive discussion 4. Break out work on Miro Board
11:00 – 11:15	COFFEE BREAK	
11:15 – 13:00	<p>Session 5: Social and gender considerations, Environmental and Social Safeguards and risk management</p> <p>This session will focus on gender and social considerations in designing project proposals, including the importance of multi-stakeholder consultation processes for developing fit-for-</p>	<p>Moderated by: Ameil Harikishun</p> <ol style="list-style-type: none"> 1. Interventions: <ul style="list-style-type: none"> • Adaptation Fund • GCF • Accredited entity/project developer 2. Interactive discussion

Time	Description	Interventions
	purpose and inclusive programmes. In addition, it will also identify and discuss the different risks that a project should consider and how can they be mitigated and managed. Linked to this issue is the environmental and social safeguards that need to be in place to reduce and prevent potential negative project impacts to the environment and the communities	3. Break out work on Miro Board
13:00 – 14:00	LUNCH BREAK	
14:00 – 15:30	<p>Session 6.1: Challenges in accessing climate finance</p> <p>The participants will go into in-depth discussions on the challenges identified and explore concrete solutions to the issues around access to climate finance, including identifying the roles of different key players in resolving the issues.</p>	<p>Moderated by: TBD</p> <p>1. Interventions: TBD</p> <ul style="list-style-type: none"> • Overview – UNDP (TBD) • Examples of solutions (TBD) • Perspectives from Accredited entity/project developer • Perspectives from funds <p>2. Interactive discussion</p>
15:30 – 17:00	<p>Session 6.2: Understanding sub-national, national and regional climate finance architectures in the context of accessing climate finance</p> <p>Several examples will be presented on how countries are approaching the devolution of climate finance for locally-led action, including regional programmes; as well as examples on regional approaches for accessing and delivering climate finance.</p>	<p>Moderated by: Ameil Harikishun</p> <p>1. Interventions: TBD</p> <ul style="list-style-type: none"> • Overview and presentation of examples – Ameil Harikishun • Direct access entity (TBD) • Perspectives from funds <p>2. Interactive discussion</p> <p>3. Break-out work on Miro Board</p>

DAY 3: 22 March 2023

Time	Description	Interventions
TRAINING ON CLIMATE FINANCE ACCESS AND MOBILIZATION		
09:00 – 09:15	Recap of Day 2 and overview of day 3	Ameil Harikishun and/or UNFCCC Secretariat
09:15 – 11:00	<p>Session 7: Determining financial feasibility/project viability and establishing the investment framework</p> <p>The concept of project viability and/or financial feasibility will be addressed, with respective multilateral climate funds providing insight into how they understand and assess if a project/programme is viable; including insight into their investment framework.</p>	<p>Moderated by: Ameil Harikishun</p> <ol style="list-style-type: none"> 1. Interventions: <ul style="list-style-type: none"> • Adaptation Fund/GEF • GCF • Accredited entity 2. Interactive panel discussion 3. Breakout work on Miro Board
11:00 – 11:15	COFFEE BREAK	
11:15 – 13:00	<p>Session 8: Project Financing</p> <p><u>Mobilizing public climate finance</u></p> <p>This session will introduce a framework for integrating climate finance into national processes and governance mechanism along with the institutional and policy support needed to mobilize and access climate finance at scale. In addition, this session will also discuss processes and systems in climate budget tagging.</p> <p><u>Private sector climate finance</u></p> <p>This session will also look into the role of private sector in the mobilization and delivery of climate finance. In</p>	<p>Moderated by: Theda Farraz, ASEAN Secretariat</p> <ol style="list-style-type: none"> 1. Interventions: <ul style="list-style-type: none"> • Introduction and overview - Theda Farraz, ASEAN Secretariat • Mobilising public climate finance - South Korea Economic Development Cooperation Fund (EDCF) • Private sector climate finance – UNDP, GGGI, UNFCCC (TBD) • Instruments of climate finance – GCF, UNDP (TBD) 2. Case study presentation on blended finance (TBD) 3. Interactive discussion

Time	Description	Interventions
	<p>addition, a presentation will be made on Article 6 and carbon markets.</p> <p><u>Instruments of climate finance</u></p> <p>This session will explore the different instruments to finance climate actions including through concessional loans, grants, equity, green/climate bonds and carbon pricing. It will also look into how public and private finance can be effectively blended to generate the needed capital to support climate projects and programmes. A case study will be presented and discussed to showcase how countries maximize the use of different instruments to achieve their climate finance objectives.</p>	
13:00 – 14:00	LUNCH BREAK	
14:00 – 15:00	<p>Session 9.1: Working session - Project concept and project logical framework</p> <p>In the working sessions 9.1 and 9.2, participants will be walked through a simple concept note template for further developing their potential regional programme ideas with the intention of presenting these programme concepts in Session 10.</p> <p>In this session, participants will work with the trainers in developing a simple logical framework for their project/programme idea, including identifying inputs, activities, outputs, outcomes, and impacts for their projects. The intention is for participants to use the notes, learning and insight from Sessions 8.1 – 8.5 to structure their idea using a logical framework, and flesh out a concept note template.</p>	<p>Moderated by: Ameil Harikishun</p>

Time	Description	Interventions
15:00 – 15:15	COFFEE BREAK	
15:15 – 17:00	<p>Session 9.2: Working session - Project concept and project logical framework (continued)</p> <p>In this session, participants will continue to work on articulating a logical framework for their programme idea and complete the concept note template. In addition, the respective groups will work on a 5-minute presentation of their concept which will be presented in Session 10.</p>	<p>Moderated by: Ameil Harikishun</p>

DAY 4: 23 March 2023

Time	Description	interventions
09:00 – 09:15	Recap of Day 3 and overview of day 4	
09:15 – 11:00	Session 10: Project concept presentations and next steps During this session the participants will have an opportunity to present their project concepts they have developed during the workshop. The partners will provide feedback and discuss next steps so that the participants have a list of things they can do after the workshop to move the project concept further.	Moderated by: Ameil Harikishun
11:00 – 11:15	COFFEE BREAK	
11:15 – 11:45	Summary of the training workshop and next steps	UNFCCC
11:45 – 12:00	Closing session	Closing remarks by Kay Salam, Lead for Policy, Planning and Coordination, Brunei Climate Change Secretariat
