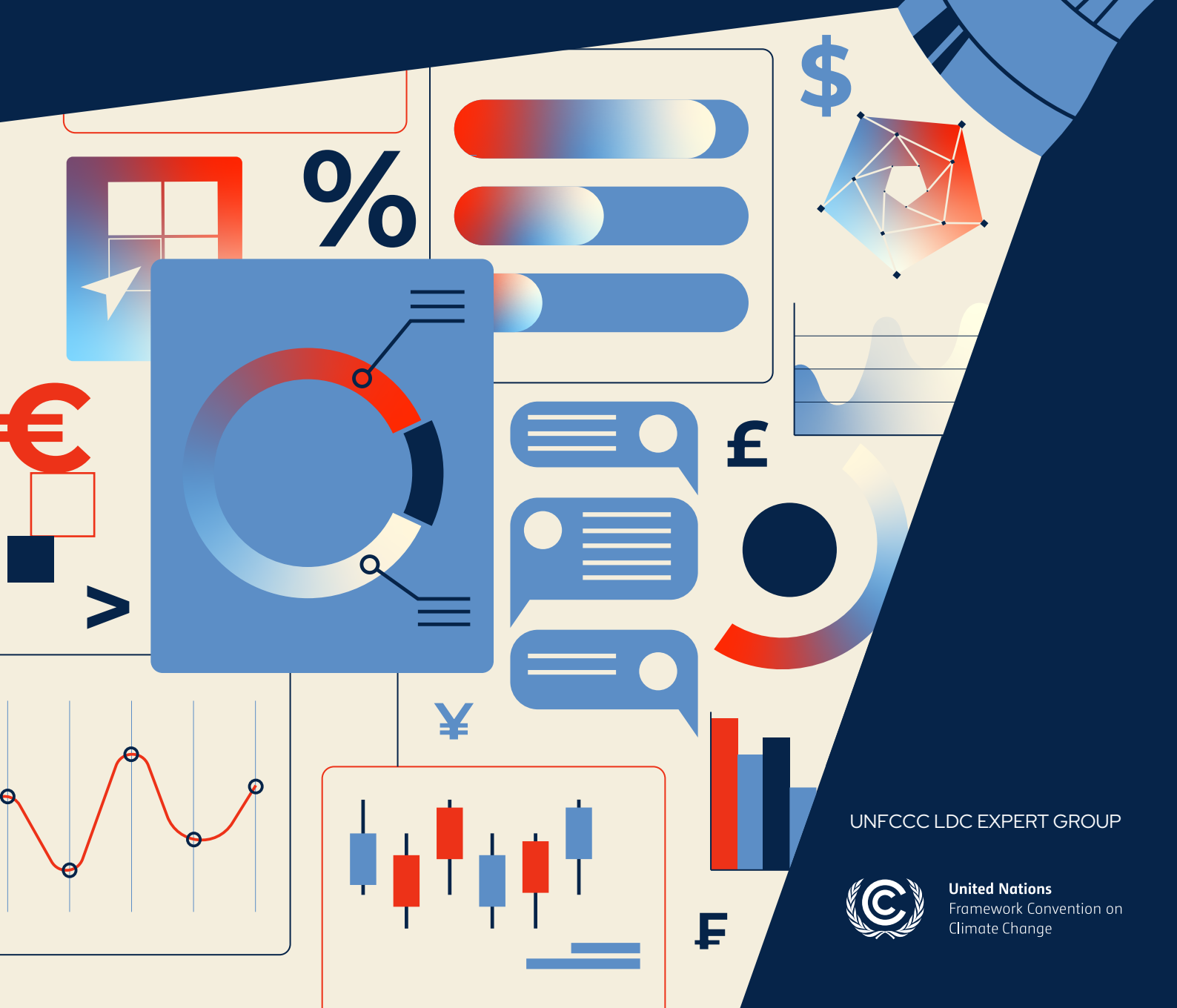


Mapping of available sources of finance for climate change adaptation for the least developed countries

November 2023



UNFCCC LDC EXPERT GROUP



United Nations
Framework Convention on
Climate Change

This document provides a mapping of available sources of finance for adaptation, in response to decision 15/CP.26, whereby the Least Developed Countries Expert Group (LEG) was mandated to share information on relevant sources of finance for adaptation, including sources other than the UNFCCC Financial Mechanism as part of its outreach activities. This information aims to support the least developed countries (LDCs) in their efforts to develop and implement national adaptation plans. In contrast to outreach products that describe offerings based on the source, this publication presents information from the lens of the needs for funding. The information is presented for main clusters of needs, namely for concrete adaptation activities, capacity-building and other enabling activities, and for maintaining the process of formulating and implementing adaptation plans.

Mapping of available sources of finance for climate change adaptation for the least developed countries

November 2023

UNFCCC LDC EXPERT GROUP



United Nations
Framework Convention on
Climate Change

Table of Contents

A. INTRODUCTION AND APPROACH	6
B. SOURCES OF ADAPTATION FINANCE	11
1. Support for concrete adaptation actions through full-size projects	12
1.1 Green Climate Fund projects window	12
1.2 Green Climate Fund simplified approval process	13
1.3 GCF's Private Sector Facility	14
1.4 Adaptation Fund single country funding window	15
1.5 The Least Developed Countries Fund	17
1.6 The Special Climate Change Fund	19
1.7 Global Environment Facility Challenge Program for Adaptation Innovation	21
1.8 LDCF/ SCCF support for Regional and Global Projects and Initiatives	22
1.9 Adaptation Fund regional projects and programmes	23
1.10 Adaptation Fund's Enhanced Direct Access funding window	24
1.11 Adaptation Fund large (single country or regional) innovation grant	25
1.12 Adaptation Fund NIE small grants for innovation	26
1.13 Adaptation Fund Climate Innovation Accelerator (AFCIA)	27
1.14 GEF Small Grants Programme	28
1.15 Islamic Development Bank's Lives and Livelihoods Fund (LLF)	29
1.16 Adaptation for Smallholder Agriculture Programme (ASAP)	30
1.17 Global Facility for Disaster Reduction and Recovery	32
1.18 Nature, People and Climate Program (CIF NPC)	33
1.19 Africa Climate Change Fund (ACCF or Fund)	34
1.20 African Development Bank's Africa Adaptation Acceleration Programme (AAAP)	36
1.21 International Climate Initiative (IKI)	38
1.22 Global Ecosystem-based Adaptation Fund	39
1.23 West African Coastal Areas Program (WACA)	40
1.24 Ocean Resilience and Coastal Adaptation Financing Partnership Facility (ORCA-FPF)	41

2. Support to countries for project proposal development	42
2.1 The Green Climate Fund Project Preparation Facility	42
2.2 Support for project development under the GEF Project Preparation Grant	43
2.3 Adaptation Fund Project Formulation Grants (PFGs)	44
3. Support for enabling activities	45
3.1 GCF Readiness and Preparatory Support Programme (Readiness Programme)	45
3.2 Adaptation Fund Project Scale Up	46
3.3 Adaptation Fund learning grants	47
3.4 Adaptation Fund Readiness Package Grants	48
3.5 Adaptation Fund Technical Assistance Grant for the Environmental and Social Policy and Gender Policy	49
3.6 Technical Assistant Grant for Gender Policy	50
4. Support for ongoing process of formulating and implementing NAPs	51
4.1 Green Climate Fund Readiness and Preparatory Support Programme	51



A



Introduction and approach



Mandate

As per decision 15/CP.26, the Least Developed Countries Expert Group (LEG) is mandated to share information on relevant sources of finance for adaptation, including sources other than the UNFCCC Financial Mechanism, as part of its outreach activities.

The mapping of available financial support for adaptation is reflected in the LEG work programme for 2023–2024,¹ under the work area to support LDCs in effectively and efficiently implementing the Convention and the Paris Agreement and other relevant instruments under the United Nations.

Scope

This document provides a mapping of available sources of finance for adaptation, with a view to support the least developed countries (LDCs) in their efforts to develop and implement national adaptation plans (NAPs) and broader adaptation efforts.

This mapping focuses on readily available sources of financial support for adaptation and is arranged according to typical needs for support relating to adaptation. This is in contrast to other knowledge products on climate finance that tend to focus on what is available from particular sources or funds.

The information is arranged according to main needs around:

- **Concrete adaptation actions;**
- **Enabling activities, such as capacity-building, training or outreach;**
- **Support to maintain the process to formulate and implement NAPs or other adaptation plans.**

The landscape of funding for adaptation includes:

- **International and multilateral funding mechanisms that provide grants and other forms of financing to support countries implement projects and programmes to address climate change impacts;**
- **Bilateral funding, in the form of grants, loans, or other forms of financing;**
- **Private sector investments;**
- **Innovative financing mechanisms such as green bonds;**

The primary target group for this information are the LDCs. The list of funding opportunities is not exhaustive. This product will be updated regularly, including to cover additional areas of support such as through regional to global programme, information on multilateral development banks and the World Bank and innovative financing.

This mapping and future update will be made available via NAP Central.²

¹ <https://unfccc.int/process-and-meetings/bodies/constituted-bodies/LEG/workprogramme>

² <https://napcentral.org>

Applicable context used to map needs and tag different sources of financing

Broad category of need

- Financing for concrete adaptation actions (implementing adaptation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation plans into development plans and processes
- Adaptation planning: assessment, stakeholder engagement, coordination, plan development
- Access to and use of adaptation practices, tools, and technologies
- Research and systematic observation
- Capacity-building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Agency has specific engagement model for supported countries e.g, a country assistance strategy for a given period
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

Figure 1. Navigation to the sources of finance for different actors

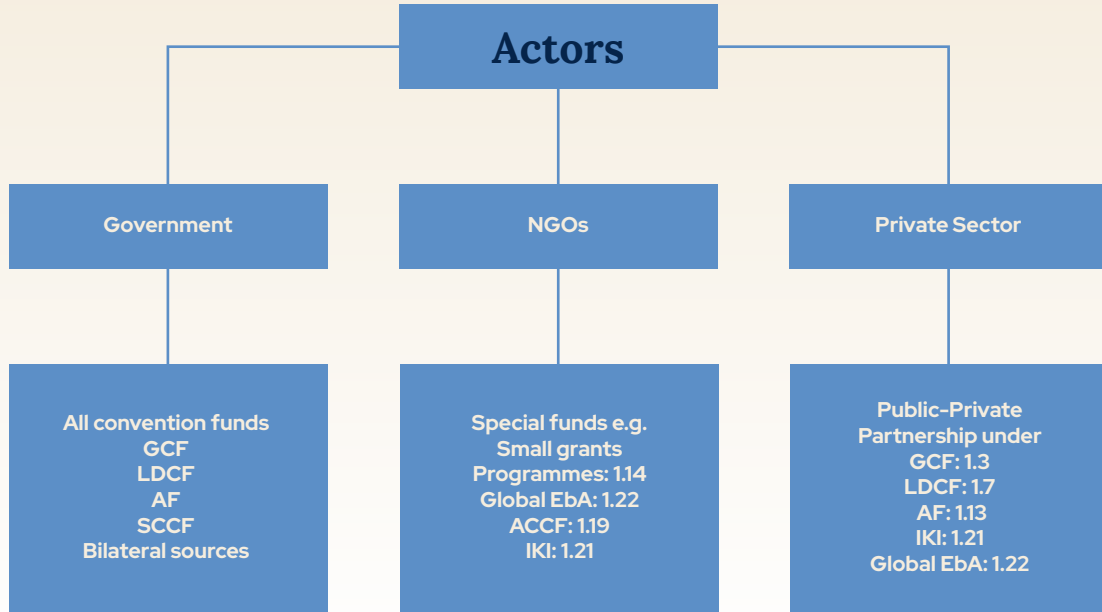


Figure 2. Navigation to the sources of finance for different major types of need contained in National Adaptation Plans

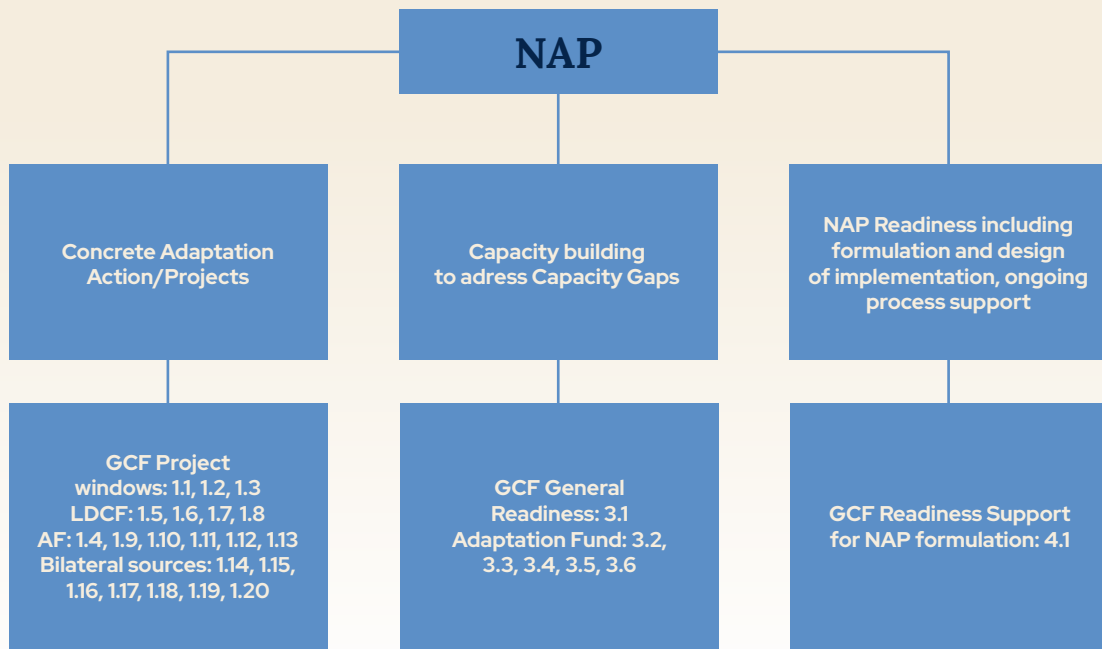
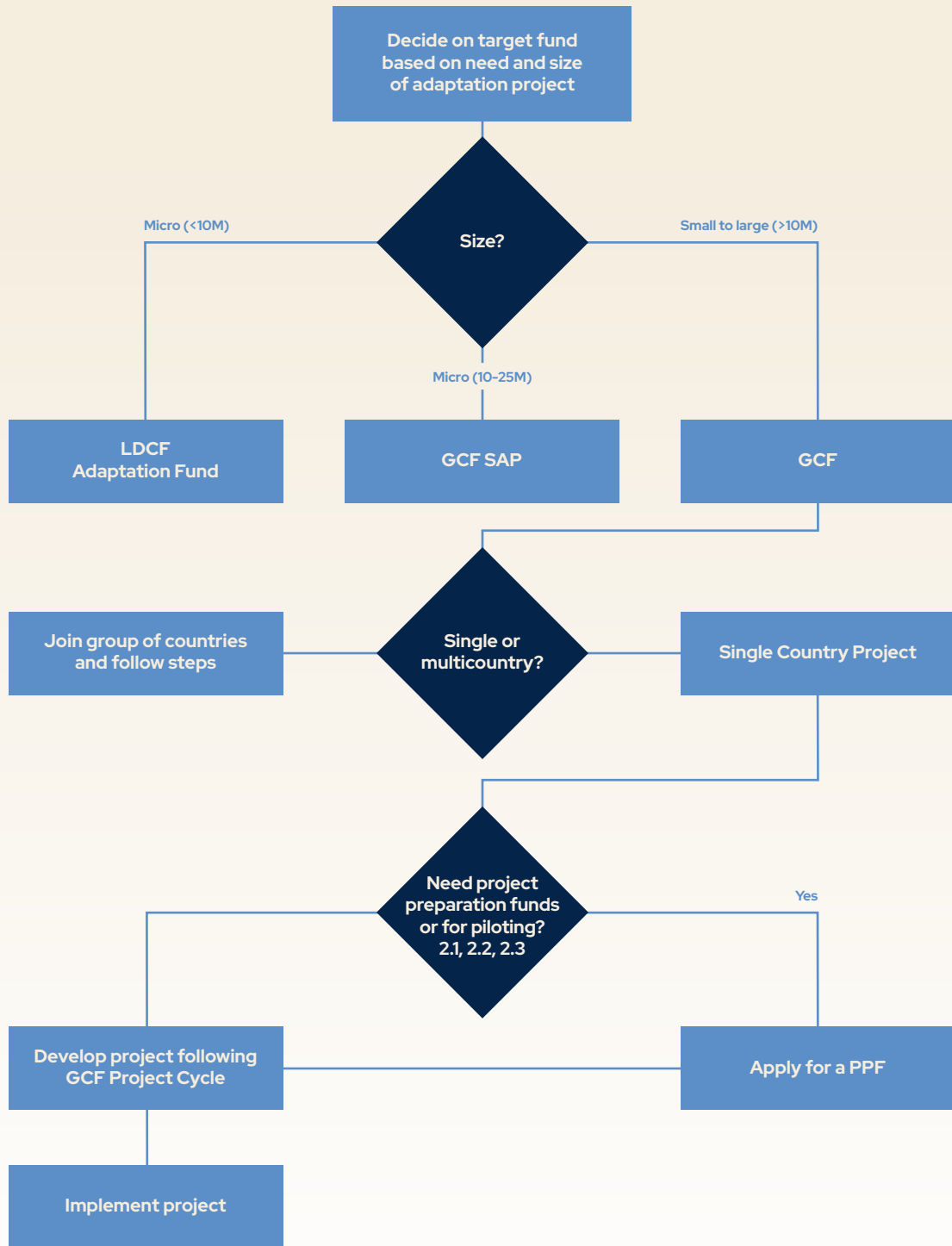


Figure 3. Navigation to the sources of finance based on size of project seeking funding





B

**Sources of
adaptation finance**

1. Support for concrete adaptation actions through full-size projects

The following funding opportunities facilitate the implementation of concrete adaptation actions through full-size projects.

1.1 Green Climate Fund projects window

The Green Climate Fund (GCF) accepts adaptation³ project and programme concept notes⁴ from countries through subnational, national, regional, or international accredited entities for climate change adaptation projects and programmes for the implementation of adaptation actions identified in the NAP and/or other adaptation planning processes.⁵ Prioritized result areas are: ecosystem(s)/ services; health, food, and water security; resilient infrastructure; livelihoods of vulnerable communities.⁶

Project sizes can range from micro (<10 million USD), small (10–50 million USD), medium (50–250 million USD) to large (>250 million USD).

For proposals up to USD 25 million, with no or only minimal environmental and social risks, a submission through the Simplified Approval Process is possible (see section 1.2 below).

Type of finance

Grants, concessional loans, equity, and guarantees

How the finance is provided

Countries submit proposals via accredited entities, and following the GCF project cycle (accessible at <https://www.greenclimate.fund/project-cycle>)

Size

Micro (<USD 10 million)
 Small (USD 10–50 million)
 Medium (USD 50 – 250 million)
 Large (>USD 250 million)

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessments, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

3 GCF Adaptation window, <https://www.greenclimate.fund/theme/adaptation>

4 GCF Concept Note User's Guide, <https://www.greenclimate.fund/document/gcf-concept-note-users-guide>

5 GCF Funding Proposal template to be used by Accredited Entities, <https://www.greenclimate.fund/document/funding-proposal-template>

6 GCF Sectoral Guides, <https://www.greenclimate.fund/projects/sectoral-guides>

1.2 Green Climate Fund simplified approval process

Under the Simplified Approval Process (SAP)⁷ window, the GCF offers grants, as well as loans, equities and guarantees. The application in the form of a concept note can be submitted by Accredited Entities to the GCF (AEs), National Designated Authorities (NDAs) and focal points.

Under this funding window, the GCF can contribute up to USD 25 million, towards projects or programmes. Projects or programmes must have no or minimal environmental and social risks and impacts, must be of transformative nature, and must be ready to be scaled up. Areas covered include climate information and early warning systems, ecosystem-based adaptation and nature-based solutions, as well as household- and community level facilities and projects, e.g., rainwater harvesting; small-scale renewable energy; village water supply and drainage, and climate-resilient agriculture.

Type of finance

Grants, concessional loans, equity, and guarantees

How the finance is provided

Countries submit proposals via accredited entities, and following the GCF project cycle (accessible at <https://www.greenclimate.fund/project-cycle>)

Size

Up to USD 25 million GCF funding per proposal

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁷ GCF Simplified Approval Process, see: <https://www.greenclimate.fund/sites/default/files/document/sap-brief-en.pdf>

1.3 GCF's Private Sector Facility

In order to scale up GCF's activities and de-risk the delivery of capital flows, GCF has set up the Private Sector Facility (PSF)⁸, a dedicated division designed to fund and mobilize private sector actors, including institutional investors, project sponsors and financial institutions.

- **PSF promotes private sector investment through concessional instruments, including low-interest and long-tenor project loans, lines of credit to banks and other financial institutions, equity investments and risk mitigators, such as guarantees, first-loss protection, and grant-based capacity-building programmes. PSF structures these instruments across different practices including:**
- **Financial Institutions: Mainstreaming climate change considerations in the financial system**
- **Project Finance: Tailoring life cycle concessional finance to de-risk infrastructure projects for climate**
- **Climate Funds: Structuring anchor investments in climate equity/debt Funds**
- **Climate Markets: Developing Capital/Carbon markets that require bespoke structuring solutions**
- **Climate Innovations: Scaling investments into high-impact climate technologies and innovations**

Type of finance

Grants, concessional loans, equity, and guarantees

How the finance is provided

Countries submit proposals via accredited entities, and following the GCF project cycle (accessible at <https://www.greenclimate.fund/project-cycle>)

Size

Micro (<USD 10 million)
 Small (USD 10 – 50 million)
 Medium (USD 50 – 250 million)
 Large (>USD 250 million)

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁸ GCF information on private sector financing: <https://www.greenclimate.fund/sectors/private>
 GCF (2022) Private Sector Strategy: <https://www.greenclimate.fund/document/private-sector-strategy>

1.4 Adaptation Fund single country funding window

The Adaptation Fund was established in 2001 to finance concrete adaptation projects and programmes in developing country Parties to the Kyoto Protocol that are particularly vulnerable to the adverse effects of climate change. The fund's Medium-Term Strategy (2023–2027)⁹ identified three strategic pillars:

- a) **Action: Developing countries are supported in undertaking and accelerating high quality, local level, and scalable adaptation projects and programmes that are aligned with their national adaptation strategies and processes.**
- b) **Innovation: Modalities for funding the development and diffusion of innovative adaptation practices, tools and technologies expanded, risk-taking encouraged, and linkages to learning strengthened.**
- c) **Learning and sharing: Knowledge and evidence, including local and indigenous knowledge, on effective and innovative adaptation action and finance is generated and disseminated with various stakeholders for application.**

Parties that are eligible to apply for funding must use entities accredited by the Adaptation Fund Board, which may be either their National Implementing Entity (NIE), a Regional Implementing Entity (RIE), or a Multilateral Implementing Entity (MIE) to access resources in the Adaptation Fund.¹⁰ Proposals may be submitted on a rolling basis.

Under the **Single Country funding window**, the **Adaptation Fund** offers grants of up to USD 10 million per project or programme.¹¹

The template for the single country concept note proposal can be found here: <https://www.adaptation-fund.org/document/template-for-concept-note-for-single-country-proposal>.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
 - Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
 - Research and systematic observation
 - Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
 - Building readiness for the GCF (Types I to III)
 - Building readiness for the Adaptation Fund
 - Technical assistance for proposal development
 - Technical assistance to access specific technologies
 - Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
 - Country responds to a request for proposals
 - Country responds to special topics or special programmes
 - Specific agency engagement for supported countries (e.g. a country assistance strategy for a given period)
 - Country allocation for a specific period
 - Other

Eligibility

- LDCs
- All developing country Parties
 - Members of a region/continent
 - Other groups of countries as specified

⁹ Adaptation Fund (2022): Medium-Term Strategy (2023–2027).

https://www.adaptation-fund.org/wp-content/uploads/2022/09/AFB.B.39.5_Rev.2_Annex-2_Draft-MTS-2023-2027.pdf

¹⁰ Adaptation Fund (2022): Implementing Entities, <https://www.adaptation-fund.org/apply-funding/implementing-entities>

¹¹ The Adaptation Fund application details can be found at: <https://www.adaptation-fund.org/apply-funding>

To apply for grant funding: <https://www.adaptation-fund.org/apply-funding/project-funding/project-proposal-materials/>

To apply for technical assistance through a project formulation grant: <https://www.adaptation-fund.org/wp-content/uploads/2015/03/PFG-Template-1.doc>

Type of finance

Grants

How the finance is provided

Country submits proposals through an accredited implementing entity on a rolling basis using the one-step (submission of a fully developed proposal) or two-step (submission of a concept for endorsement by the Adaptation Fund Board first, followed by submission of a fully developed proposal) project approval process.

Size

Up to USD 10 million per project or programme

1.5 The Least Developed Countries Fund

The Least Developed Countries Fund (LDCF) was established in 2001 under the UNFCCC to fund the preparation and implementation of National Adaptation Programmes of Action (NAPAs) and is mandated to exclusively provide funding to the LDCs. More recently, the LDCF is also mandated to support activities related to the formulation and implementation of NAPs from LDCs.

As the LDCF is operated by the Global Environment Facility (GEF), countries that seek LDCF funding should work with one of the GEF agencies¹² for the submission of project proposals. Projects may be executed by organizations from the private sector, civil society, government, or non-governmental organizations.

The LDCF provides grants for the preparation and implementation of adaptation projects.¹³ Proposals submitted for funding under the LDCF are reviewed in light of criteria informed by guidance from Parties, including alignment with national needs and priorities, conforming with Programming strategy priorities, and opportunities to leverage/catalyze support, amongst others.

The GEF-8 adaptation strategy for the LDCF/SCCF¹⁴ aims to focus on agriculture, food security and health; integrated water resource management to address water security, droughts and flooding; nature-based solutions; and early warning and climate information systems. It further aims to support LDCs in addressing their adaptation priorities by scaling up access to adaptation finance, strengthen innovation and private sector engagement, and foster whole-of-society approaches and partnerships for inclusion.

The initial cap for the country allocation during the GEF 8 cycle (2022–2026) is USD 20 million per LDC.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

¹² The GEF agencies are listed here: <https://www.thegef.org/partners/gef-agencies>

¹³ GEF, Guidelines on the Project and Program Cycle Policy (2020 Update), https://www.thegef.org/sites/default/files/documents/GEF_Guidelines_Project_Program_Cycle_Policy_20200731.pdf

¹⁴ GEF-8 LDCF and SSCF Programming Strategy for Adaptation, http://www.thegef.org/sites/default/files/documents/2022-06/EN_GEF.LDCF_SCCF_32.04_Rev_01_GEF%20Programming_Strategy_Adaptation_Climate_Change_LDCF_SCCF_GEF8_July_2022_June%202026_Operational_Improvements.pdf

Recognizing that many LDCs face capacity constraints in planning and programming for climate adaptation actions, a new dedicated programme on “Outreach and Capacity Support for LDC and SIDS Planning and Programming” has been launched to help countries strengthen the design of LDCF project concepts for greater adaptation impact, and to enhance access to adaptation finance and enable coordinated programming across various funding sources.¹⁵

To apply for funding, the first step for the proponent and the GEF agency is to seek approval of a Project Identification Form (PIF)¹⁶ or Project Framework Document (PFD) by the GEF Secretariat and the LDCF/SSCF Council. Secondly, once approved, the proponent and the GEF agency elaborate the concept into a complete project proposal.

All templates and explanations for different project types can be found here: <https://www.thegef.org/projects-operations/templates>.

Type of finance

Grants

How the finance is provided

Country submits proposal via GEF agency, and following GEF/LDCF project cycle

Size

Up to USD 20 million per country (LDC) for GEF 8 cycle (2022–2026).

- Full-sized Projects (more than USD 2 million)
- Medium-sized Projects (less than or equivalent to USD 2 million)
- Program: Longer-term and strategic arrangement of individual yet interlinked projects that aim at achieving large-scale impacts on the global environment.

¹⁵ See, LDCF, 2022 <https://www.thegef.org/what-we-do/topics/least-developed-countries-fund-ldcf>

¹⁶ See, GEF-8 project identification form (PIF), <https://www.thegef.org/documents/gef-8-project-identification-form-pif>

1.6 The Special Climate Change Fund

The Special Climate Change Fund (SCCF) was established under the Convention in 2001 to finance projects relating to: adaptation; technology transfer and capacity building; energy, transport, industry, agriculture, forestry, and waste management; and economic diversification. It aims to complement other funding mechanisms for the implementation of the Convention. It is mandated to provide funding for activities, programmes, and measures that help vulnerable developing countries, especially the most vulnerable in Africa, Asia, and Small Island Developing States, to address the negative impacts of climate change. It particularly supports countries to address barriers to climate-resilient development including (i) limited access to climate-resilient technologies and infrastructure; (ii) limited institutional capacity to foresee and manage climate risks; (iii) low engagement by the private sector, including small and medium-sized enterprises and entrepreneurs, for developing and providing adaptation solutions; and (iv) lack of access to finance from public sources and to markets for adaptation solutions. The SCCF is operated by the GEF.

For its programming strategy for 2022–2026¹⁷, the GEF-8 period, the SCCF identified the following priority areas:

- a) **Supporting adaptation needs of SIDS, where climate impacts have increased and are projected to become more severe, affecting human security, health, infrastructure, ecosystems, agriculture and food, the economy, and livelihoods.**
- b) **Strengthening technology transfer, innovation, and private sector engagement.**
- c) **Additional focus areas may include “national, regional, and ecosystem-based projects to address vulnerabilities, initiatives to address impacts of climate change on migration and displacement, multi-trust fund projects and programs, gender and youth focused programs”.**

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

17. GEF-8 LDCF and SSCF Programming Strategy for Adaptation, http://www.thegef.org/sites/default/files/documents/2022-06/EN_GEF.LDCF_SCCF_32.04.Rev_01_GEF%20Programming_Strategy_Adaptation_Climate_Change_LDCF_SCCF_GEF8_July_2022_June%202026_Operational_Improvements.pdf

For the GEF-8 period, the strategic focus of the SCCF is to address critical climate change adaptation priorities for SIDS and technology transfer private sector engagement. The strategy proposes two financing scenarios for the SCCF for the GEF 8 cycle; where scenario A would have mobilized USD 200 million and scenario B would have mobilized USD 400 million subject to sufficient donor contribution to the Fund.

To apply for funding (grants) through the SCCF, countries should follow GEF modalities (medium-sized projects up to USD 2 million; full-sized projects, and programmatic approaches). These must be implemented with a GEF Agency.

Type of finance

Grants

How the finance is provided

Country submits proposal via GEF agency, and following GEF/SCCF project cycle

Size

Initial cap of USD 3 million per SIDS under scenario A for adaptation support and USD 6.5 million per SIDS under Scenario B for GEF-8 cycle (2022–2026) subject to funding availability.

1.7 Global Environment Facility Challenge Program for Adaptation Innovation

Introduced in the GEF-7 period, the Challenge Program for Adaptation Innovation holds promise for generating ambitious adaptation impacts, through pioneering partnerships and innovative approaches that go beyond the traditional GEF programming. An innovative element of this program is that submission of project concepts can be made by any proponent and is not limited to GEF Agencies, which is particularly conducive to fostering partnerships with a wide range of stakeholders.

For the Challenge Program, the LDCF and SCCF may allocate up to USD 40 million each, outside the 10 percent ceiling for the global and regional projects and initiatives for the LDCF. The enhanced allocation for the Challenge Program in the GEF-8 period is to address the unmet demand and successful track record demonstrated through the first two rounds of the program in the GEF-7 period. The specific allocation from both the LDCF and SCCF will be subject to available funds and in consideration with other priorities.

All developing countries will have access to compete for the Challenge Program. If a country has a winning concept, its initial allocation cap under the LDCF or SCCF will not be impacted.

The SCCF, particularly window B, is focused on innovation and technology transfer, with the possibility to utilize non-grant, concessional financing instruments that may provide cost-effective yet highly innovative solutions in the adaptation sphere. As such, the Challenge Program is well-suited as a major delivery mechanism for the SCCF.

Type of finance

Grants

How the finance is provided

The proposals can be made by any proponent and is not limited to GEF Agencies. The winning concept is matched with the GEF agencies to follow GEF modalities

Size

- Full-sized Projects (more than USD 2 million)
- Medium-sized Projects (less than or equivalent to USD 2 million)
- Program: Generating ambitious adaptation impacts, through pioneering partnerships and innovative approaches that go beyond the traditional GEF programming

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

1.8 LDCF/ SCCF support for Regional and Global Projects and Initiatives

The GEF has programmed resources at the regional and global level to support Convention obligations, enabling activities, and initiatives that are more effectively addressed at the global level. They are also used to support testing and piloting of highly innovative and risky interventions that are too early for national level implementation.

Support to regional and global projects and initiatives.¹⁸ The LDCF and SCCF have supported regional and global initiatives (i) to build capacity and facilitate cross-learning, in response to COP guidance; and (ii) to foster innovation and private sector engagement with significant adaptation and de-risking potential for all developing countries.

Similar to GEF-7, the LDCF will maintain the ceiling of 10 percent of expected total resources for regional and global projects and initiatives. The regional and global projects and initiatives are expected to deliver and report on adaptation impacts and will complement national projects.

Type of finance

Grants

How the finance is provided

GEF agency works together with countries and other relevant entities to prepare and submit regional or global projects

Size

- Full-sized Projects (more than 2 million USD)
- Medium-sized Projects (less than or equivalent to 2 million USD)
- Program: Used for support testing and piloting of highly innovative and risky interventions that are too early for national level implementation

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

¹⁸ GEF-8 Programming Strategy on Adaptation to Climate Change for the LDCF and SCCF and Operational Improvements for the Period 2022-2026. Regional and global initiatives refer to projects with activities that seek to address regional and global needs and priorities and without a specific country focus. As such, regional and global initiatives differ from multi-country projects, where GEF-funded activities take place in countries with national endorsements.

1.9 Adaptation Fund regional projects and programmes

This funding window is part of the action grants, supporting countries to undertake high quality adaptation projects and programmes, consistent with their priority needs, goals and strategies. The regional window is specifically for addressing climate change impacts in two or more countries in the same United Nations region, or adjacent regions, through tangible outcomes.

To apply for grant funding: <https://www.adaptation-fund.org/apply-funding/project-funding/project-proposal-materials/>

To apply for technical assistance: <https://www.adaptation-fund.org/wp-content/uploads/2015/03/PFG-Template-1.doc>

Type of finance

Grants

How the finance is provided

- Regional Implementing Entities (RIE) or Multilateral Implementing Entity (MIE) submit pre-concept proposals and Phase I PFG request;
- Submission of concept and Phase II PFG request;
- Submission of the fully developed regional project document.

Size

Up to USD 20,000 for the pre-concept, up to USD 80,000 for the Phase II Project Formulation Grant (PFG) request (as per AFB/B.25/6/Rev.2)
USD 14 million per project or programme, excluding the PFG.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
 - Project proposal development
 - Policy development or policy revision
 - Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
 - Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

1.10 Adaptation Fund's Enhanced Direct Access funding window

The Adaptation Fund's Enhanced Direct Access (EDA)¹⁹ funding window aims to empower developing country recipients of international climate finance beyond what can be achieved through the Direct Access modality alone, by devolving decision-making (incl. screening, review, and project selection) to local and sub-national levels. This funding window is available only to accredited national implementing entities (NIEs) of the Adaptation Fund.

Through the EDA funding window, the Adaptation Fund supports capacity building for local organizations to programme adaptation finance and design and implement their own projects through locally led action. Up to USD 5 million can be accessed per country. Proposals may be submitted on a rolling basis.

Countries through their National Implementing Entities may submit relevant proposals (e.g., for local and community-based adaptation projects) using the one-step (submission of a fully developed proposal) or two-step (submission of a concept for endorsement by the Adaptation Fund Board first, followed by submission of a fully developed proposal) project approval process. Submissions should be sent to afbsec@adaptation-fund.org.

Type of finance

Grants

How the finance is provided

Country submits proposal via its NIE on a rolling basis.

Size

Up to USD 5 million per country

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

19. Enhanced Direct Access, see <https://www.adaptation-fund.org/apply-funding/enhanced-direct-access-eda-grants>

1.11 Adaptation Fund large (single country or regional) innovation grant

Adaptation Fund innovation grants support the development and diffusion of innovative adaptation practices, tools, and technologies.

The large (single country or regional) innovation grant is meant for the roll out or scale-up of successful innovative practices, tools and technologies to a new country or at regional scale, involving two or more countries or regions.

Innovation large grants guidance can be found here: <https://www.adaptation-fund.org/apply-funding/innovation-grants/large-grants-for-innovation>.

Type of finance

Grants

How the finance is provided

NIE/MIE/RIE submits proposal on a rolling basis.

NIEs that are at the concept development stage have the option to request a Project Formulation Grant (PFG) up to a maximum of USD 50,000 together with concept submission.

RIEs and MIEs have the option to request a PFG up to a maximum of USD 30,000 per project together with their regional concept submission.

Size

Up to USD 5 million per project or programme

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

1.12 Adaptation Fund NIE small grants for innovation

The Adaptation Fund small innovation grants funding opportunity makes available grants of up to USD 250,000 to NIEs. The grants can be used to address adaptation challenges in various thematic areas, including but not limited to: Advancement of gender equality (women and girls' empowerment); Disaster risk reduction; Enhancement of cultural heritage; Focus on communities; Food security; Inclusion of youth; Innovative adaptation financing; Nature-based solutions; Social innovation; Urban adaptation; and Water resources management.

Further information can be found here: <https://adaptation-fund.org/apply-funding/innovation-grants/nie-small-grants-for-innovation>.

Type of finance

Grants

How the finance is provided

NIE submits proposal

Size

Up to USD 250,000

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

1.13 Adaptation Fund Climate Innovation Accelerator (AFCIA)

The Adaptation Fund Climate Innovation Accelerator (AFCIA)²⁰ is a small grants programme that was launched to foster innovation in adaptation in developing countries. AFCIA awards grants of up to USD 250,000 to governments, non-governmental organizations, community groups, entrepreneurs, young innovators and other groups. The mechanism pays special attention to fostering innovation in LDCs and Small Island Developing States (SIDS).

The application is to be submitted to UNDP²¹ for grant funding or to CTCN²², for technical assistance, with different eligibility criteria, respectively.²³

Type of finance

Grants

How the finance is provided

Non-accredited entity submits proposal in response to call for submission

Size

Up to 250,000 USD per project/programme or technical assistance

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

²⁰ See, <https://www.adaptation-fund.org/apply-funding/innovation-grants/adaptation-fund-climate-innovation-accelerator-afcia>

²¹ AFCIA UNDP - <https://www.adaptation-undp.org/smallgrantaggregator>

²² AFCIA CTCN - <https://www.ctc-n.org/adaptation-fund-climate-innovation-accelerator-afcia-uneep-ctcn>

²³ For further applications details, see <https://www.adaptation-undp.org/smallgrantaggregator>

1.14 GEF Small Grants Programme

The GEF Small Grants Programme (GEF SGP)²⁴, implemented by the United Nations Development Programme (UNDP), complements the large and medium-sized GEF project funding and provides a window for the direct participation of NGOs, local communities, and other grassroots organizations. The grants of up to USD 50,000 per project are offered to community-based and non-governmental organizations.

A country needs to develop a Country Programme Strategy, which identifies the strategic priorities to be funded by the SGP. The National Coordinator facilitates the development of community-based projects to achieve the benchmarks established in the Country Programme Strategy. A country also needs to set up a National Steering Committee (NSC), which is the main decision-making body of the SGP in a country. The NSC endorses and oversees the implementation of the Country Programme Strategy.²⁵

A national CBO or NGO that wants to apply for the GEF SGP should first contact the National Coordinator in their country, who will ensure that the concept note meets the objectives agreed in the Country Programme Strategy. The National Coordinator will then submit the proposal to the National Steering Committee, which reviews, selects and approves projects for the SGP funding support. The project proposals approved by the NSC enter the SGP portfolio.²⁶

More details on eligibility requirements and the application process are presented on the grant website.²⁷

Type of finance

Grants

How the finance is provided

Country submits proposal via GEF agency

Size

Up to USD 50,000 per project

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

²⁴ GEF Small Grants Programme, see <https://sgp.undp.org>

²⁵ The A to Z of the SGP. A guide to the GEF Small Grants Programme, available at https://www.thegef.org/sites/default/files/publications/SGP-Manual_Digital-%20FINAL.pdf

²⁶ GEF Small Grant Programme, how to apply <https://sgp.undp.org/about-us-157/how-to-apply.html>

²⁷ GEF Small Grants Programme, eligibility requirements and application steps, <https://sgp.undp.org/about-us-157/how-to-apply.html>

1.15 Islamic Development Bank's Lives and Livelihoods Fund (LLF)

The Islamic Development Bank's Lives and Livelihoods Fund (LLF)^{28, 29} is a USD 2.5 billion fund that was launched in 2016 to tackle poverty by providing affordable financing for the least wealthy countries among the 33 member countries of the Islamic Development Bank. In line with its strategic objectives of promoting green economic growth and building resilience, the IsDB has committed to dedicating 35% of operations to climate finance by 2025. The LLF provides a combination of grants and concessional loans to support the poorest people by financing projects that improve health and address infectious diseases, improve agricultural productivity and the situation of small-holder farmers (e.g., improve crop and livestock productivity, diversify rural incomes, stimulate markets, capacity building), and basic infrastructure. The Fund prioritizes allocations to projects that stimulate social and economic development and that address critical needs in the three areas listed above. Information on approved projects to date is available on <https://www.isdb.org/llf/approved-projects>.

Type of finance

Grants and concessional loans

How the finance is provided

Countries submit proposal

Size

n/a

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
 - Project proposal development
 - Policy development or policy revision
 - Integration of climate change adaptation into plans/programmes
 - Adaptation planning: assessment, stakeholder engagement
 - Research and systematic observation
 - Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
 - Supporting the process of formulating and implementing the NAP
 - Compiling and producing the NAP
 - Building readiness for the GCF (Types I to III)
 - Building readiness for the Adaptation Fund
 - Technical assistance for proposal development
 - Technical assistance to access specific technologies
 - Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
 - Country responds to a request for proposals
 - Country responds to special topics or special programmes
 - Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
 - Country allocation for a specific period
 - Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

²⁸ The Lives and Livelihoods Fund <https://www.livesandlivelihoodsfund.org/en>

²⁹ A Guide to the Lives and Livelihoods Fund, <https://www.isdb.org/sites/default/files/media/documents/2020-04/LLF%20-%20Guide%20-%20En%20-%20Updated.pdf>

1.16 Adaptation for Smallholder Agriculture Programme (ASAP)

The Adaptation for Smallholder Agriculture Programme (ASAP) and the Enhanced Adaptation for Smallholder Agriculture Programme (ASAP+)³⁰ are managed by the International Fund for Agricultural Development (IFAD)³¹. The main target groups are smallholder farmers in developing countries. Focus areas are disaster risk reduction, agriculture, natural resource management, sustainable land management and water.

The ASAP is IFAD's flagship programme, launched in 2012, for channelling climate and environmental finance to small-scale farmers, to improve their access to information tools and technologies and to strengthen their resilience to climate change. The programme is being implemented in three phases:

- **ASAP₁ (2012-2025): addresses the challenges posed by climate change by providing climate-resilient agricultural practices, technologies and financing to small-scale farmers.**
- **ASAP₂ (2017-2025): provides technical assistance on policy and operational issues.**
- **ASAP+ (from 2021): builds on the previous phases to address climate driven food insecurity and empowers the most vulnerable small-scale producers and communities.**

Type of finance

Grants

How the finance is provided

ASAP follows the IFAD project design cycle³²

Size

n/a

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
 - Project proposal development
 - Policy development or policy revision
 - Integration of climate change adaptation into plans/programmes
 - Adaptation planning: assessment, stakeholder engagement
 - Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
 - Supporting the process of formulating and implementing the NAP
 - Compiling and producing the NAP
 - Building readiness for the GCF (Types I to III)
 - Building readiness for the Adaptation Fund
 - Technical assistance for proposal development
 - Technical assistance to access specific technologies
 - Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

³⁰ See <https://www.ifad.org/en/asap> and <https://www.ifad.org/en/asap-enhanced>

³¹ IFAD (2016): IFAD Strategic Framework 2016-2025. Enabling inclusive and sustainable rural transformation. <https://www.ifad.org/documents/38714170/39132730/IFAD+Strategic+Framework+2016-2025/d43eed79-c827-4ae8-b043-09e65977e22d>

³² IFAD Project Design and Management, <https://www.ifad.org/en/project-design-and-management>

The ASAP+³³ was launched by IFAD in 2021 as a new, enhanced phase of ASAP, to address the climate change drivers of food and nutrition insecurity and build the climate resilience of rural people, with a particular emphasis on targeting the most marginalized and vulnerable women, men, young people and indigenous communities. ASAP+ is a climate financing mechanism envisioned to be the largest fund dedicated to channelling climate finance to small-scale producers, with a target of mobilizing USD 500 million, expected to benefit more than 10 million people by: (i) Creating additional impact and help leverage existing climate finance for small-scale agricultural producers; (ii) Increasing the resilience of small-scale producers, whilst transforming food systems from production to consumption;

(iii) Reducing climate change threats to food security; and (iv) Helping to mitigate and sequester carbon and contribute to achieving countries' commitments, including NDCs and NAPs.

Type of finance

Grants

How the finance is provided

ASAP+ follows the IFAD design cycle³⁴

Size

...

33 See also, IFAD (2022): Annual Report 2021. https://www.ifad.org/documents/38714170/45783234/ar2021_e.pdf/5f9214fa-866a-630b-ec19-27147febc-42d?t=1659005897650

34 IFAD Project Design and Management, <https://www.ifad.org/en/project-design-and-management>

1.17 Global Facility for Disaster Reduction and Recovery

The Global Facility for Disaster Reduction and Recovery, **GFDRR** (World Bank Group) is a global partnership that helps developing countries better understand and reduce their vulnerability to natural hazards and climate change. It awards grants for specific activities in line with its seven operating principles: (i) Demand-driven approach to ensure maximum impact; (ii) Leveraging development investments and policies; (iii) Focusing on inclusive design and participation; (iv) Empowering women and mainstreaming gender; (v) Jointly addressing disaster and climate risk; (vi) Developing knowledge and sharing best practices; (vii) Prioritizing a results-oriented approach.

Programmes and projects can be delivered in and by individual countries³⁵ or in and by several countries.³⁶

Areas of engagement are open access to risk information; resilient cities; disaster risk finance; resilience to climate change; hydrometeorological services and early warning systems; resilient recovery; inclusive disaster risk management and gender equality; and resilient infrastructure.

The GFDRR grants thus may be used for projects or programmes on climate information and early warning systems, inter alia.

Type of finance

Grants

How the finance is provided

Managed by World Bank

Size

Up to USD 5 million³⁷

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g. a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

³⁵ For a grant approved for activities in and by one country, see, for example, the 'Building Urban Resilience in Niger' grant, <https://www.gfdr.org/en/building-urban-resilience-niger>

³⁶ For a multi-country programme, see, for example, the Support to the Caribbean Ocean and Aquaculture Sustainability Facility (COAST), <https://www.gfdr.org/en/support-caribbean-ocean-and-aquaculture-sustainability-facility-coast>

³⁷ For approved grants under the GFDRR, see https://www.gfdr.org/en/grants?title=&field_grant_region_target_id=All&field_country_target_id=All&field_topics_term_target_id=All&field_sendai_tag_value=All&field_status_value=All&field_completion_date_value=&field_start_date_value=&order=field_amount&sort=desc

1.18 Global Facility for Disaster Reduction and Recovery

The Nature, People and Climate (NPC) Investment Program aims to tackle the multiple drivers and impacts of climate change, resulting from human activities on land resources and ecosystems services, in an integrated manner. The program selected the following countries and region: Ethiopia, Egypt, Kenya, Rwanda, and Africa's Zambezi River Basin Region (Malawi, Mozambique, Namibia, Tanzania, and Zambia). For these African programs, implementing partners include the African Development Bank (AfDB), the European Bank for Reconstruction and Development (EBRD), the International Financial Corporation (IFC), and the World Bank (WB).

The program features three phases that can be delivered sequentially, simultaneously or by itself: a rapid landscape diagnostic phase works with all stakeholders to identify and assess risks, requirements and priorities; a strategy and project pipeline development phase arises from the diagnostic, and it involves defining the strategy and the types of projects required to meet local climate objectives; the implementation rollout phase focuses on financing and delivering the strategy, as well as identified nature-based solutions and related projects.³⁸

Type of finance

Grants, Concessional loans, Direct funding

How the finance is provided

Via MDBs; NPC countries and regions

Size

...

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

35 Climate Investment Funds, Nature, People and Climate Program, <https://www.cif.org/nature-people-climate-program>

1.19 Africa Climate Change Fund (ACCF or Fund)

The **Africa Climate Change Fund (ACCF or Fund)**³⁹ is a multi-donor trust fund, contributing to the achievement of the **African Development Bank (AfDB)**'s goal to triple its climate financing efforts and foster its drive for a climate-resilient Africa. The AfDB established the ACCF in April 2014 with an initial contribution of EUR 4.725 million from the Government of Germany to support African countries build their resilience to the negative impacts of climate change and transition to sustainable low-carbon growth. ACCF was converted to a Multi-donor Trust fund in 2017 with contributions from the Governments of Flanders, Belgium, and Italy. The Global Affairs Canada and the Government of Quebec joined the Fund in 2020 and the Global Center on Adaptation in 2022. The current trust size is USD 25.71 million. The fund's **demand-driven window**⁴⁰ aims to simplify the application process to allow entities with weaker capacities to develop robust project proposals to access funding from the ACCF. It provides grants to African/ beneficiary countries to scale up their readiness and access to climate finance; to conduct analytical related work and to pilot or demonstrate scalable activities. It aims at developing high quality bankable projects, conducting feasibility studies, and constructing/establishing demonstration/pilot sites in four intervention areas, including electrification of health centers in rural areas, using batteries; Management of medical and sanitary waste including hazardous waste incineration; and Land restoration in collaboration with farmers especially in the Sahel.

The ACCF Secretariat recently shortlisted 10 projects and identified 6 projects in its pipeline under the third Call for Proposals (CFP3) on Gender Equality and Climate Change. In 2022, seven new projects have been approved for funding by the ACCF Governing Committees under the CFP3. Likewise, under the Demand Driven Window (DDW), 4 new projects were approved for funding in 2022. The DDW launched in 2020, is a new funding window opened outside the regular Calls for Proposals (CFP) to provide more targeted support to countries less represented in the ACCF projects portfolio and to diversify the thematic areas covered by the Fund on a demand basis.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

³⁹ See, ACCF Fund, <https://accf.afdb.org>

⁴⁰ For more details on application process, eligibility and funding details of the ACCF Fund's demand-driven window, see <https://accf.afdb.org/en/pages/demand-driven-window>

Information about upcoming CFP and DDW can be found at <https://accf.afdb.org>

For this funding window, ACCF is seeking concept notes for projects and programmes based on the above intervention areas in the range of USD 250,000 - 500,000.

This funding window is open to African governments, non-governmental organizations, research institutions, regional organizations, and departments of the AfDB.

ACCF Secretariat contact:
africaclimatechangefund@afdb.org.

Type of finance

Grants

How the finance is provided

Countries submit concept notes on a rolling basis

Size

USD 250,000-500,000

1.20 African Development Bank's Africa Adaptation Acceleration Programme (AAP)

The African Development Bank, in partnership with the Global Centre on Adaptation (GCA), launched a joint initiative – the Africa Adaptation Acceleration Program (AAP) – which aims to mobilize USD 25 billion by 2025 to scale up and accelerate climate change adaptation actions across Africa through interventions in four priority areas/pillars: (i) agriculture, (ii) infrastructure, (iii) youth innovation and job creation, and (iv) innovative finance. The Bank and the GCA launched the AAP during the global Climate Adaptation Summit held in January 2021.⁴¹

To reach the USD 25 billion goal, the Bank will mobilize USD 12.5 billion for adaptation action through its normal investment operations and raise an additional USD 12.5 billion of adaptation finance in partnership with the GCA.

The AAP upstream financing facility supports the design and preparation of all investment operations and special initiatives under the AAP, enabling them to attract adaptation finance at scale. Hosted at the GCA, the fund strengthens climate adaptation and resilience design in African Development Bank's investments in climate smart agriculture, resilient infrastructure, youth and jobs, and innovative financial interventions.

As of April 2023, 51 projects in 41 countries have been supported in the preparation and strengthening of climate adaptation components comprising of 30 investment operations and 21 technical assistance projects.

One mechanism through which the AAP is implemented is the **Climate Action Window (CAW)**: Created by the African Development Fund (ADF), the African Development Bank and its development partners for low-income countries to support the development of sustainable, climate-resilient and quality infrastructure.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

41 Africa Adaptation Acceleration Programme (AAP) (2022): Progress Report 2022. https://gca.org/wp-content/uploads/2022/11/2022_AAAP-Progress.pdf

The window covers 37 member countries of the ADF, which are also among the world's most fragile and vulnerable to climate change. The African Development Fund and its partners have committed a total package of USD 8.9 billion to its 2023 to 2025 financing cycle. Of this package, USD 429 million is earmarked as seed money for the new CAW to reach up to USD 13 billion from traditional and non-traditional partners, as well as state and non-state, including the private sector. The CAW is structured around three components: adaptation (75% of resources), mitigation (15%), and technical assistance (10%). It covers six sectors: agriculture and food security; water security; climate services and information; transport and resilient, low-carbon infrastructure; green energy; and green finance.

The window's resources will provide access to climate-resilient agricultural technologies to some 20 million farmers in 30 ADF-eligible countries. It will also offer weather-indexed crop insurance; rehabilitate one million hectares of degraded land;

provide sustainable and resilient water, sanitation, and health services to 18 million additional people; and renewable energy to an estimated 9.5 million people. The window's technical support component will help African Development Fund recipient countries to tailor their national climate policies and strategies, creating an enabling environment for climate investment and developing viable, investment-ready projects. It will also help them access other sources of global climate finance.

Type of finance

Grants

How the finance is provided

Grants, sub-granted

Size

It aims to mobilize USD 25 billion by 2025

1.21 International Climate Initiative (IKI)

The **International Climate Initiative (IKI)**⁴² of the German Government issues thematic calls and country calls, providing up to 20 million EUR.

Thematic Calls address current challenges in climate action, adaptation, and biodiversity conservation, with new thematic priorities for each Thematic Call. Implementing organizations are invited to submit project outlines for these thematic priorities. The financing volume provided by the IKI can range from 5 to 20 million EUR per project depending on the thematic priority.

For **Country Calls**, IKI works with a partner government to develop funding priorities for bilateral projects. Country Calls aim to further develop the IKI portfolio in the country in question. In doing so, the focus is on the country-specific priorities derived from the Paris Agreement and the Convention on Biological Diversity (CBD). The funding volume, which is provided by the IKI, is typically between EUR 12 and 15 million for each selected project.

In most cases, two funding priorities are set for each country call, with one project to be implemented for each priority. The IKI identifies project ideas for implementing the funding priorities through idea competitions. During about four months, institutions that meet the funding requirements can submit project outlines.

Type of finance

Concessional loans, grants

How the finance is provided

Countries respond to calls for proposals

Size

EUR 5–20 million (thematic calls);
EUR 12–15 million (country calls)

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g. a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁴² See IKI, <http://www.international-climate-initiative.com>

1.22 Global Ecosystem-based Adaptation Fund

The Global EbA Fund⁴³ is a funding mechanism for catalytic, innovative, and inclusive projects that aim to create an enabling environment for the implementation of Ecosystem-based Adaptation (EbA). The Fund seeks to enhance the resilience of vulnerable communities and ecosystems to the impacts of climate change and targets catalytic initiatives that overcome barriers to upscaling and mainstreaming EbA, such as gaps in knowledge, planning and policy frameworks and access to public and private funding. It is jointly implemented by IUCN and UNEP and funded by the Government of Germany's International Climate Initiative under the Federal Ministry for the Environment, Nature Conservation, Nuclear Safety and Consumer Protection (BMU-IKI).

The Global EbA Fund finances thematic, global, regional or national EbA projects targeted at one more ODA eligible countries. It provides grants between USD 50,000 - 250,000 and up to a maximum of USD 500,000 (with justification). Applications are open to NGOs, INGOs with relevant EbA experience and local presence, SMEs, Women and youth groups, Community-based organizations, Research organizations etc. Consortia of organizations that promote collaboration and greater impact around EbA are also encouraged to apply.

The Fund has a one-step submission process, where a full proposal together with all the supporting documents are submitted to the Fund Secretariat. The Fund receives applications on a rolling basis with two cut-off dates per year. The application checklist is available [here](#).

Contacts Global EbA Fund Secretariat:
Contact.EbAFund@iucn.org
https://www.iucn.org/sites/default/files/2023-01/global-eba-fund_gpm.pdf

Type of finance

Grants

How the finance is provided

NGOs, INGOs, IGOs, CBOs, Indigenous People's Organizations, universities and other academic institutions, research institutes and think tanks, private sector companies, etc.

Size

USD 50,000 – 250,000 (up to maximum of USD 500,00 with justification).

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

43 Global EbA Fund - <https://globalebafund.org/>

1.23 West African Coastal Areas Program (WACA)

The West African Coastal Areas Program (WACA) ^{44, 45}, hosted by the World Bank Group, supports West Africa countries' efforts to strengthen the resilience of communities and areas in coastal West Africa, improve the management of their shared coastal resources and reduce the natural and man-made risks affecting coastal communities. WACA boosts the transfer of knowledge, fosters political dialogue among countries, and mobilizes public and private finance to tackle coastal erosion, flooding, pollution, and climate change adaptation. It aims to strengthen the resilience of targeted communities and areas in coastal Western Africa, to enhance the countries' absorptive, adaptive, and transformative capacities and to reduce the shared (similar or transboundary) risks to which they are exposed, either natural or man-made.

To mobilize investments for coastal resilience, WACA (i) works bilaterally with traditional development partners to mobilize and coordinate concessional and grant financing; and (ii) develops a WACA Marketplace to reach a broader set of financial partners and scale financing, bridging demand and supply.

Contact: waca@worldbank.org.

Type of finance

Concessional loans and grants

How the finance is provided

...

Size

...

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁴⁴ West Africa Coastal Areas Management Programme (WACA) website, <https://www.wacaprogram.org>

⁴⁵ More information about WACA on the Nordic Development Fund project website <https://www.ndf.int/what-we-finance/projects/project-database/west-africa-coastal-areas-program-waca-regional-africa-ndf-cl09.html>

1.24 Ocean Resilience and Coastal Adaptation Financing Partnership Facility (ORCA-FPF)

The Ocean Resilience and Coastal Adaptation Financing Partnership Facility⁴⁶ is being established by the Asian Development Bank (ADB) as part of the ADB Blue Pacific Finance Hub. It plans to scale up investments across coastal areas and the “blue economy” once it is operational. The ORCA-FPF will contribute to climate-resilient and nature-positive sustainable development in Asia and the Pacific. The Facility will include the Ocean Resilience and Coastal Adaptation Trust Fund (ORCA-TF) and additional partnership agreements that can be arranged during the ORCA-FPF implementation period.

Type of finance

...

How the finance is provided

...

Size

...

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

46 Establishment of the Ocean Resilience and Coastal Adaptation Financing Partnership Facility (adb.org)

2. Support to countries for project proposal development

2.1 The Green Climate Fund Project Preparation Facility

The GCF offers financial and technical assistance for the preparation of project and programme funding proposals through the Project Preparation Facility (PPF),⁴⁷ which helps accredited entities in preparing full proposals based on a concept note cleared for project preparation support.

Up to USD 1.5 million is available for each application to the PPF, corresponding to the funding proposal being developed and to the activities included in the PPF application. The Project Preparation Facility is especially designed to support Direct Access Entities for projects in the micro and small-sized category. However, all Accredited Entities are eligible to apply. If AEs choose to request up to USD 300,000; they are eligible for the simplified PPF funding.

Support is available through two modalities: PPF funding; and PPF service. The PPF can support one or more of the following activities that contribute directly to the development of a particular project: (pre-)feasibility studies and project design; environmental, social and gender studies; risk assessments; identification of programme/project-level indicators; pre-contract services incl. revision of tender documents; advisory services and others to financially structure a proposed activity; others.

Type of finance

Grants, loans, or equity

How the finance is provided

Countries submit proposal via accredited entities

Size

Up to USD 1.5 million per application

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessments, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁴⁷ For further information about the GCF Project Preparation Facility, see <https://www.greenclimate.fund/projects/ppf>

2.2 Support for project development under the GEF Project Preparation Grant

The GEF Project Identification Form (PIF) is the initial document that defines the concept of a full-sized project (FSP) or a medium-sized project (MSP) which is submitted to the GEF for review and approval. The GEF provides a Project Preparation Grant (PPG) to support preparation of a FSP or MSP.⁴⁸ PPGs can be requested as part of the submission of a Project Identification Form (PIF)⁴⁹ for FSPs or MSPs or it can be requested separately any time before the CEO endorsement submission of FSPs or CEO approval submission of MSPs. Requesting for PPG funds is option as there may be cases where GEF PPG funds are not required or where the level of project preparation is already sufficiently advanced.

Type of finance

Grants

How the finance is provided

Countries submit proposal

Size

For MSPs the limit is USD 50,000

For FSPs less than USD 3 million, the limit is USD 100,000

For FSPs USD 3 million or greater, but less than USD 6 million, the limit is USD 150,000.

For FSPs USD 6 million or greater, but less than USD 10 million, the limit is USD 200,000.

For FSPs USD 10 million and greater, the limit us USD 300,000.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁴⁸ For information on the PPG, refer to the Guidelines on the GEF Project and Program Cycle Policy https://www.thegef.org/sites/default/files/documents/GEF_Guidelines_Project_Program_Cycle_Policy_20200731.pdf

⁴⁹ GEF-8 Project Identification Form (PIF) https://www.thegef.org/sites/default/files/documents/GEF_Guidelines_Project_Program_Cycle_Policy_20200731.pdf

2.3 Adaptation Fund Project Formulation Grants (PFGs)

The Adaptation Fund Board has made Project Formulation Grants (PFGs) available to accredited national implementing entities (NIEs) of the Adaptation Fund (the Fund) to build the capacity of NIEs in project preparation and design. NIEs that are at the concept development stage of the Fund's project cycle process have the option to request a PFG up to a maximum of USD 50,000 per project together with their submission of the project concept to the Board. PFGs can support project formulation activities, including among others feasibility studies or consultations. A PFG could only be awarded when a project concept has been presented to and endorsed by the Board. The template for a Request for PFG is available under [Project Proposal Materials](#).

More information can be found here: <https://www.adaptation-fund.org/readiness/readiness-grants/project-formulation-grants>.

Type of finance

Grants

How the finance is provided

NIE submits request to the Adaptation Fund Board Secretariat

Size

Up to USD 50,000 per project

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

3. Support for enabling activities

3.1 GCF Readiness and Preparatory Support Programme (Readiness Programme)

The **GCF Readiness and Preparatory Support Programme (Readiness Programme)** supports country-driven initiatives by developing countries to strengthen their institutional capacities, governance mechanisms, and planning and programming frameworks towards a transformational long-term climate action agenda.⁵⁰ It provides grants and technical assistance to National Designated Authorities (NDAs) and/or focal points (FPs). Readiness funding can also be deployed to strengthen Direct Access Entities. The objective is to enhance the capacity of national institutions to efficiently engage with GCF. Dedicated readiness funding may also assist countries to build their programming with GCF. For more information on the Readiness and Preparatory Support proposal template, see <https://www.greenclimate.fund/document/readiness-and-preparatory-support-proposal-template>.

Type of finance

Grants and technical assistance

How the finance is provided

Countries submit proposal

Size

Up to USD 1 million per country per year for readiness activities

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessments, stakeholder engagement
- Access to and use of adaptation practices, tools, and technologies
- Research and systematic observation

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁵⁰ GCF, Readiness and Preparatory Support guidebook: A practical guide on how to prepare readiness proposals and how to implement readiness grants, <https://www.greenclimate.fund/document/readiness-and-preparatory-support-guidebook>

3.2 Adaptation Fund Project Scale Up

This funding window by the Adaptation Fund supports planning, design and overall capacity to develop scale-up pathways for AF funded projects nearing completion or already completed.

Project Scale-up Grants provide readiness funding to NIEs to support planning, design and development of scale-up activities. The overall goal of Project Scale-up Grants is to increase the readiness of accredited national implementing entities (NIEs) to expand or replicate quality projects that are based on country needs, views and priorities, in order to reach more people and / or broaden project/programme effectiveness to help vulnerable communities in developing countries adapt to the adverse effects of climate change.

The objective of the Project Scale-up Grants is to provide readiness funding to support planning, assessment, capacity enhancement (individual, organization and institutional) for designing and developing scaling up pathways for Adaptation Fund projects/programmes under implementation and nearing completion or completed.

It is expected that implementation of project/programme scale-up would be funded by various sources, such as other climate funds but also from other finance channels (including private sector).

Apply here: <https://www.adaptation-fund.org/readiness/readiness-grants/project-scale-grants>.

E-training: Scale-Up Grants: <https://www.adaptation-fund.org/document/adaptation-fund-scale-up-grants-training>.

Type of finance

Grants

How the finance is provided

Application through NIE

Size

Up to USD 100,000 per project or programme

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

3.3 Adaptation Fund learning grants

The **Adaptation Fund learning grants**⁵¹ build on the Fund's Knowledge Management Framework and Action Plan, aiming to improve Fund policies and performance through effective, "whole-of-organization" learning and sharing and support collaborative learning and sharing across adaptation communities of practice. The goal of learning grants is to help encourage a culture of learning across institutions and help build NIE capacities. They present an opportunity to capture, study and disseminate practical lessons from adaptation interventions that are additional to Knowledge Management activities already financed under Adaptation Fund approved projects or programmes. They may be utilized to complement collaborative knowledge and sharing efforts with respect to partnerships with diverse stakeholders on the ground.

NIEs should send applications to afbsec@adaptation-fund.org. Application forms and letter templates are available on the learning grant website: <https://www.adaptation-fund.org/knowledge-learning/learning-grants/call-learning-grants>.

Additionally, an e-learning course on applications for the learning grants is available here: <https://www.adaptation-fund.org/document/adaptation-fund-learning-grants-training>.

Type of finance

Grants

How the finance is provided

NIEs submit project proposals. The AFB accepts and considers proposals for Learning grants on a rolling basis.

Size

Up to 150,000 USD per project/programme.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

51 Adaptation Fund Learning Grant, <https://www.adaptation-fund.org/knowledge-learning/learning-grants/call-learning-grants>

3.4 Adaptation Fund Readiness Package Grants

The **Adaptation Fund** offers **Readiness Package Grants**⁵² to help National Implementing Entities (NIEs) provide peer support to countries seeking accreditation with the Fund and to build capacity for undertaking various climate finance readiness activities.

The objective of the readiness package is to provide enhanced support for accreditation by simultaneously employing a suite of tools to advance the delivery of climate finance through Direct Access. This includes enabling the recruitment of fiduciary, governance and other experts to support entities navigating the accreditation process to effectively address technical gaps and challenges, complete the process and obtain accreditation with the Fund.

For further information on how to apply to the Readiness Package Grant, see <https://www.adaptation-fund.org/instructions-for-applying-for-the-readiness-package-grant/>.

Type of finance

Grants

How the finance is provided

Countries submit proposal

Size

Up to 150,000 USD per NIE to support NIE accreditation to the AF through South-South Cooperation.

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁵² Adaptation Fund Readiness grants: <https://www.adaptation-fund.org/readiness/readiness-grants>

3.5 Adaptation Fund Technical Assistance Grant for the Environmental and Social Policy and Gender Policy

The TA grant for the ESP and Gender Policy (TA-ESGP) is available to all NIEs that have not yet received any grant for technical assistance from the Fund.

Accredited NIEs can apply for up to a maximum of US\$ 25,000 per NIE to build internal capacity to simultaneously manage environmental and social as well as gender-related risks within adaptation projects and programmes. NIEs can use the available grant to source external expertise to help strengthen their capacity to identify, mitigate and manage project and programme risks associated with environmental and social issues as well as gender issues in an integrated manner and in accordance with the Fund's Environmental and Social Policy and the Fund's Gender Policy.

Application: <https://www.adaptation-fund.org/readiness/readiness-grants/technical-assistance-grants>.

Type of finance

Grants

How the finance is provided

NIEs submit application

Size

Up to USD 25,000 per NIE

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

3.6 Technical Assistant Grant for Gender Policy

This Adaptation Fund funding opportunity aims to support NIEs with robust environmental and social policies, following the accreditation, to enhance measures to avoid and/or minimize adverse gender impacts.

The TA grant for the Gender Policy (GP) is available to NIEs that have not accessed the TA-ESGP (3.5 above) and that need to address gender considerations by putting in place measures to avoid, minimize and/or mitigate adverse gender impacts.

The TA-GP is up to a maximum of US\$ 10,000 per NIE, and can be used to source external expertise to strengthen NIE capacity to address gender-related issues in projects and programmes and at the institutional level so as to comply with the Fund's Gender Policy.

Application : <https://www.adaptation-fund.org/instructions-for-applying-for-the-technical-assistance-grant-for-the-gender-policy-ta-gp/>

Type of finance

Grants

How the finance is provided

NIEs submit application

Size

Up to USD 10,000 per NIE

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessment, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

4. Support for ongoing process of formulating and implementing NAPs

4.1 Green Climate Fund Readiness and Preparatory Support Programme

The GCF support for the formulation of NAPs and other adaptation planning processes is available to all developing countries as part of the Readiness Programme. This may include support for subnational adaptation plans and/or sectoral adaptation planning processes.

Under this programme, developing countries may access up to USD 3 million for the formulation of their NAP or for other adaptation planning processes, with the aim of achieving one or more of the following outcomes: (i) Adaptation planning governance and institutional coordination strengthened; (ii) Evidence basis used to design adaptation solutions for maximum impact; (iii) Private sector engagement in adaptation catalysed; and (iv) Adaptation finance increased.⁵³

To access the funding of up to USD 3 million, countries may either submit one proposal with one Delivery Partner,⁵⁴ or they may submit a series of proposals, working with different Delivery Partners for different parts of the adaptation planning process and advancing iteratively. Adaptation planning proposals can be submitted on a rolling basis.

Type of finance

Grants and technical assistance

How the finance is provided

Countries submit proposal and follows GCF Readiness application process

Size

Up to USD 3 million in total per country for NAP and other adaptation planning activities

Applicable context

Broad category of need

- Concrete adaptation actions (implementation)
- Project proposal development
- Policy development or policy revision
- Integration of climate change adaptation into plans/programmes
- Adaptation planning: assessments, stakeholder engagement
- Research and systematic observation
- Access to and use of adaptation practices, tools, and technologies
- Capacity building

Specific need for financing

- Funding for implementation of adaptation projects
- Supporting the process of formulating and implementing the NAP
- Compiling and producing the NAP
- Building readiness for the GCF (Types I to III)
- Building readiness for the Adaptation Fund
- Technical assistance for proposal development
- Technical assistance to access specific technologies
- Piloting or feasibility studies

Triggers for financing

- Country submits unsolicited proposal
- Country responds to a request for proposals
- Country responds to special topics or special programmes
- Specific agency engagement for supported countries (e.g, a country assistance strategy for a given period)
- Country allocation for a specific period
- Other

Eligibility

- LDCs
- All developing country Parties
- Members of a region/continent
- Other groups of countries as specified

⁵³ <https://www.greenclimate.fund/readiness/naps>

⁵⁴ Delivery Partners can be the NDAs themselves, a GCF Accredited Entity or a nominated institution by the NDA or FPs provided they have completed a Financial Management Capacity Assessment review with the GCF

UNFCCC LDC EXPERT GROUP



United Nations
Framework Convention on
Climate Change