#### **INFORMATION PAPER**<sup>1</sup>

#### Compilation of mandates and provisions related to the Global stocktake referred to in Article 14 of the Paris Agreement

Updated, 24 May 2023

#### **A. Paris** Agreement<sup>2</sup>

#### Global stocktake

#### Article 14

1. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall periodically take stock of the implementation of this Agreement to assess the collective progress towards achieving the purpose of this Agreement and its long-term goals (referred to as the "global stocktake"). It shall do so in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science.

2. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall undertake its first global stocktake in 2023 and every five years thereafter unless otherwise decided by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

3. The outcome of the global stocktake shall inform Parties in updating and enhancing, in a nationally determined manner, their actions and support in accordance with the relevant provisions of this Agreement, as well as in enhancing international cooperation for climate action.

#### **Related Articles**

#### Article 2

1. This Agreement, in enhancing the implementation of the Convention, including its objective, aims to strengthen the global response to the threat of climate change, in the context of sustainable development and efforts to eradicate poverty, including by:

(a) Holding the increase in the global average temperature to well below 2 °C above preindustrial levels and pursuing efforts to limit the temperature increase to 1.5 °C above pre-industrial levels, recognizing that this would significantly reduce the risks and impacts of climate change;

(b) Increasing the ability to adapt to the adverse impacts of climate change and foster climate resilience and low greenhouse gas emissions development, in a manner that does not threaten food production; and

(c) Making finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development.

2. This Agreement will be implemented to reflect equity and the principle of common but differentiated responsibilities and respective capabilities, in the light of different national circumstances.

#### Article 4

1. In order to achieve the long-term temperature goal set out in Article 2, Parties aim to reach global peaking of greenhouse gas emissions as soon as possible, recognizing that peaking will take longer for developing country Parties, and to undertake rapid reductions thereafter in accordance with best available

<sup>&</sup>lt;sup>1</sup> This informal paper provides a compilation of the provisions on the Global Stocktake (referred to in Article 14 of the Paris Agreement) and other mandates and provisions contained in the Paris Agreement, decisions by the Conference of the Parties (COP) and conclusions of subsidiary bodies that are related to or may be relevant for the identification of the sources of input and the development modalities for the Global Stocktake pursuant to decision 1/CP.21, paragraphs 99 and 101.

 $<sup>^2</sup>$  This document includes some of the main sections of the Paris Agreement related to the global stocktake, but does not aim to cover all linkages with the GST.

science, so as to achieve a balance between anthropogenic emissions by sources and removals by sinks of greenhouse gases in the second half of this century, on the basis of equity, and in the context of sustainable development and efforts to eradicate poverty.

9. Each Party shall communicate a nationally determined contribution every five years in accordance with decision 1/CP.21 and any relevant decisions of the Conference of the Parties serving as the meeting of the Parties to this Agreement and be informed by the outcomes of the global stocktake referred to in Article 14.

19. All Parties should strive to formulate and communicate long-term low greenhouse gas emission development strategies, mindful of Article 2 taking into account their common but differentiated responsibilities and respective capabilities, in the light of different national circumstances.

#### Article 7

1. Parties hereby establish the global goal on adaptation of enhancing adaptive capacity, strengthening resilience and reducing vulnerability to climate change, with a view to contributing to sustainable development and ensuring an adequate adaptation response in the context of the temperature goal referred to in Article 2.

3. The adaptation efforts of developing country Parties shall be recognized, in accordance with the modalities to be adopted by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session.

10. Each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties.

11. The adaptation communication referred to in paragraph 10 of this Article shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution as referred to in Article 4, paragraph 2, and/or a national communication.

14. The global stocktake referred to in Article 14 shall, inter alia:

(a) Recognize adaptation efforts of developing country Parties;

(b) Enhance the implementation of adaptation action taking into account the adaptation communication referred to in paragraph 10 of this Article;

(c) Review the adequacy and effectiveness of adaptation and support provided for adaptation; and

(d) Review the overall progress made in achieving the global goal on adaptation referred to in paragraph 1 of this Article.

#### Article 9

5. Developed country Parties shall biennially communicate indicative quantitative and qualitative information related to paragraphs 1 and 3 of this Article, as applicable, including, as available, projected levels of public financial resources to be provided to developing country Parties. Other Parties providing resources are encouraged to communicate biennially such information on a voluntary basis.

6. The global stocktake referred to in Article 14 shall take into account the relevant information provided by developed country Parties and/or Agreement bodies on efforts related to climate finance.

7. Developed country Parties shall provide transparent and consistent information on support for developing country Parties provided and mobilized through public interventions biennially in accordance with the modalities, procedures and guidelines to be adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement, at its first session, as stipulated in Article 13, paragraph 13. Other Parties are encouraged to do so.

#### Article 10

6. Support, including financial support, shall be provided to developing country Parties for the implementation of this Article, including for strengthening cooperative action on technology development and transfer at different stages of the technology cycle, with a view to achieving a balance between support for mitigation and adaptation. The global stocktake referred to in Article 14 shall take into account

available information on efforts related to support on technology development and transfer for developing country Parties.

#### Article 13

5. The purpose of the framework for transparency of action is to provide a clear understanding of climate change action in the light of the objective of the Convention as set out in its Article 2, including clarity and tracking of progress towards achieving Parties' individual nationally determined contributions under Article 4, and Parties' adaptation actions under Article 7, including good practices, priorities, needs and gaps, to inform the global stocktake under Article 14.

6. The purpose of the framework for transparency of support is to provide clarity on support provided and received by relevant individual Parties in the context of climate change actions under Articles 4, 7, 9, 10 and 11, and, to the extent possible, to provide a full overview of aggregate financial support provided, to inform the global stocktake under Article 14.

7. Each Party shall regularly provide the following information:

(a) A national inventory report of anthropogenic emissions by sources and removals by sinks of greenhouse gases, prepared using good practice methodologies accepted by the Intergovernmental Panel on Climate Change and agreed upon by the Conference of the Parties serving as the meeting of the Parties to this Agreement; and

(b) Information necessary to track progress made in implementing and achieving its nationally determined contribution under Article 4.

8. Each Party should also provide information related to climate change impacts and adaptation under Article 7, as appropriate.

9. Developed country Parties shall, and other Parties that provide support should, provide information on financial, technology transfer and capacity-building support provided to developing country Parties under Articles 9, 10 and 11.

10. Developing country Parties should provide information on financial, technology transfer and capacity-building support needed and received under Articles 9, 10 and 11.

11. Information submitted by each Party under paragraphs 7 and 9 of this Article shall undergo a technical expert review, in accordance with decision 1/CP.21. For those developing country Parties that need it in the light of their capacities, the review process shall include assistance in identifying capacity-building needs. In addition, each Party shall participate in a facilitative, multilateral consideration of progress with respect to efforts under Article 9, and its respective implementation and achievement of its nationally determined contribution.

12. The technical expert review under this paragraph shall consist of a consideration of the Party's support provided, as relevant, and its implementation and achievement of its nationally determined contribution. The review shall also identify areas of improvement for the Party, and include a review of the consistency of the information with the modalities, procedures and guidelines referred to in paragraph 13 of this Article, taking into account the flexibility accorded to the Party under paragraph 2 of this Article. The review shall pay particular attention to the respective national capabilities and circumstances of developing country Parties.

# **B.** Decisions by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement

### **Decision 9/CMA.1**

## Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

*Recalling* relevant provisions of the Convention and the Paris Agreement, in particular Article 7, *Recognizing* the importance of flexibility provided to Parties by Article 7, paragraphs 10 and 11,

of the Paris Agreement for submitting and updating the adaptation communication, *Recalling* decisions 4/CP.5, 17/CP.8 and 5/CP.17,

*Recognizing* the links between adaptation and sustainable development, including the Sustainable Development Goals and the Sendai Framework for Disaster Risk Reduction 2015–2030,

*Emphasizing* the intrinsic relationship that climate change actions, responses and impacts have with equitable access to sustainable development and eradication of poverty,

*Recalling* that continuous and enhanced international support shall be provided to developing country Parties for the implementation of Article 7, paragraphs 7, 9, 10 and 11, of the Paris Agreement, in accordance with the provisions of Articles 9, 10 and 11 of the Paris Agreement,

 Notes that the purpose of the adaptation communication is to: (c) Provide input to the global stocktake;

6. *Invites* Parties that choose to submit an adaptation communication to do so in time to inform each global stocktake;

## **Decision 11/CMA.1**

## Matters referred to in paragraphs 41, 42 and 45 of decision 1/CP.21

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

Recalling Article 7 of the Paris Agreement,

Also recalling decision 1/CP.21, paragraphs 41, 42 and 45,

*Noting with appreciation* the technical work undertaken by the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Standing Committee on Finance, 1 in relation to decision 1/CP.21, paragraphs 41, 42 and 45,

# **II.** Modalities for recognizing the adaptation efforts of developing country Parties

9. *Requests* the secretariat to include in the synthesis report prepared for the global stocktake called for in paragraph 23(b) of decision 19/CMA.1 information on the adaptation efforts of developing country Parties, in order to facilitate recognition of such efforts in the global stocktake, drawing on, inter alia, the most recent documents that may contain adaptation information, which may include adaptation communications, national adaptation plans, national communications, nationally determined contributions, other relevant reports prepared under the transparency framework and reports of the Intergovernmental Panel on Climate Change and other relevant scientific bodies;

10. *Decides* to recognize, guided by the high-level committee and taking into account a country-driven approach, the adaptation efforts of developing country Parties during the high-level events of the global stocktake referred to in paragraph 33 of decision 19/CMA.1;

14. *Recalls* that the global stocktake will review the overall progress made in achieving the global goal on adaptation and *acknowledges* that adaptation efforts contribute to this objective;

## IV. Methodologies for taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries

31. *Requests* the secretariat to include in the synthesis report prepared for the global stocktake called for in paragraph 23(b) of decision 19/CMA.1 an assessment of the support needs for adaptation of developing country Parties drawing on, inter alia, the most recent documents that may contain adaptation information, which may include adaptation communications, national adaptation plans, national communications, nationally determined contributions, other relevant reports prepared under the transparency framework, reports of the Intergovernmental Panel on Climate Change and other relevant scientific bodies as well as the report referred to in paragraph 13 of decision 4/CP.24;

### Decision 12/CMA.1

## Identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, Recalling Articles 4 and 11 of the Convention,

Also recalling Article 9, paragraphs 1–5, of the Paris Agreement,

Further recalling Articles 3, 4, 7, 10, 11 and 14 of the Paris Agreement,

Recalling decisions 3/CP.19, 1/CP.21, 13/CP.22 and 12/CP.23,

Underscoring the need for continued and enhanced international support for the implementation of the Paris Agreement,

7. *Also requests* the secretariat to prepare a compilation and synthesis of the information included in the biennial communications, referred to in paragraph 2 above, starting in 2021, and to inform the global stocktake;

### **Decision 19/CMA.1**

# Matters relating to Article 14 of the Paris Agreement and paragraphs 99–101 of decision 1/CP.21

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

*Recalling* Articles 2 and 14 of the Paris Agreement, decision 1/CP.21, paragraphs 99–101, and other relevant Articles of the Paris Agreement and paragraphs of decision 1/CP.21,

*Recognizing* that the global stocktake referred to in Article 14 of the Paris Agreement is crucial for enhancing the collective ambition of action and support towards achieving the purpose and long-term goals of the Paris Agreement,

### I. Modalities

#### Overarching elements

1. *Recalls*, as provided in Article 14, paragraph 1, of the Paris Agreement, that the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement shall periodically take stock of the implementation of the Paris Agreement to assess the collective progress towards achieving the purpose of the Agreement and its long-term goals, and that it shall do so in a comprehensive and facilitative manner,

considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science;

2. *Decides* that equity and the best available science will be considered in a Party-driven and crosscutting manner, throughout the global stocktake;

3. *Also decides* that the global stocktake will consist of the following components:

(a) Information collection and preparation, focusing on gathering, compiling and synthesizing information and preparing for conducting the technical assessment referred to in paragraph 3(b) below;

(b) Technical assessment, focusing on taking stock of the implementation of the Paris Agreement to assess the collective progress towards achieving the purpose and long-term goals of the Paris Agreement, as well as opportunities for enhanced action and support to achieve its purpose and goals;

(c) Consideration of outputs, focusing on discussing the implications of the findings of the technical assessment with a view to achieving the outcome of the global stocktake of informing Parties in updating and enhancing, in a nationally determined manner, their actions and support, in accordance with relevant provisions of the Paris Agreement, as well as in enhancing international cooperation for climate action;

4. *Further* decides that the global stocktake will be conducted with the assistance of the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice, which will establish a joint contact group on the matter;

5. *Resolves* to engage in a technical dialogue that aims to support the work of the joint contact group referred to in paragraph 4 above through expert consideration of inputs, as identified in the sources of input referred to in paragraphs 36 and 37 below for the global stocktake;

6. *Decides* to establish the technical dialogue referred to in paragraph 5 above, which will:

(a) Undertake its work through a focused exchange of views, information and ideas in in-session round tables, workshops or other activities;

(b) Organize its work in line with taking stock of the implementation of the Paris Agreement to assess the collective progress towards achieving its purpose and long-term goals, including under Article 2, paragraph 1(a-c), in the thematic areas of mitigation, adaptation and means of implementation and support, noting, in this context, that the global stocktake may take into account, as appropriate, efforts related to its work that:

(i) Address the social and economic consequences and impacts of response measures;

(ii) Avert, minimize and address loss and damage associated with the adverse effects of climate change;

(c) Be facilitated by two co-facilitators,<sup>3</sup> who will be responsible for conducting the dialogue and for preparing a factual synthesis report and other outputs of the technical assessment, with the assistance of the secretariat;

7. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to develop guiding questions for all components of the global stocktake, including specific thematic and cross-cutting questions, one session of the subsidiary bodies prior to the relevant activities under the global stocktake being carried out;

8. *Decides* that the information collection and preparation component of the global stocktake will commence one session before the start of the technical assessment, which will take place during the two (or depending on the timing of the publication of the Intergovernmental Panel on Climate Change reports, three) successive sessions of the subsidiary bodies preceding the sixth session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (November 2023), during which the consideration of outputs will take place, with the cycle repeating every five years thereafter;

9. *Also* decides that the global stocktake will be conducted in a comprehensive, facilitative, effective and efficient manner, avoiding duplication of work and taking into account the results of relevant work conducted under the Paris Agreement, the Convention and the Kyoto Protocol;

10. *Further decides* that the global stocktake will be a Party-driven process conducted in a transparent manner and with the participation of non-Party stakeholders, and that, to support such effective and

<sup>&</sup>lt;sup>3</sup> One from a developing country Party and one from a developed country Party selected by Parties.

equitable participation, all inputs will be fully accessible by Parties, including online, as referred to in paragraph 21 below;

11. *Decides* that the participation of Parties in the global stocktake should be ensured through the provision of adequate funding for the participation and representation of developing country Parties in all activities under the global stocktake, including the technical dialogue, workshops, round tables and sessions of the subsidiary bodies and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement that feature global stocktake activities, in accordance with existing practices;

12. *Invites* developed country Parties to mobilize support for capacity-building so that the least developed countries, small island developing States and other developing countries can effectively participate in the global stocktake and take up relevant global stocktake information;

13. *Decides* that the outputs of the components of the global stocktake referred to in paragraph 3 above should summarize opportunities and challenges for enhancing action and support in the light of equity and the best available science, as well as lessons learned and good practices, with a view to achieving the outcome identified in Article 14, paragraph 3, of the Paris Agreement;

14. *Emphasizes* that the outputs of the global stocktake should focus on taking stock of the implementation of the Paris Agreement to assess collective progress, have no individual Party focus, and include non-policy prescriptive consideration of collective progress that Parties can use to inform the updating and enhancing, in a nationally determined manner, of their actions and support in accordance with relevant provisions of the Paris Agreement as well as in enhancing international cooperation for climate action;

15. *Decides* to consider refining the procedural and logistical elements of the overall global stocktake process on the basis of experience gained from the first and subsequent global stocktakes, as appropriate;

16. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to organize the global stocktake in a flexible and appropriate manner, to work on identifying opportunities for learning-by-doing, including for assessing collective progress, and to take the necessary steps for the consideration of inputs as they become available;

17. *Invites* Parties to present their nationally determined contributions, informed by the outcome of the global stocktake, at a special event held under the auspices of the Secretary-General of the United Nations;

18. *Recognizes* that other related events within and outside the UNFCCC can contribute to the global stocktake and the implementation of its outcome;

#### Information collection and preparation

19. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to issue a call for the inputs referred to in paragraphs 36 and 37 below, taking into account that such inputs should be submitted at least three months before their consideration in the technical assessment;

20. *Decides* that the information collection and preparation component of the global stocktake will end no later than six months before the consideration of outputs to ensure timely consideration of inputs, unless critical information that requires consideration emerges after the cut-off date;

21. *Requests* the secretariat to facilitate online availability of all inputs to the global stocktake from Parties, by thematic area, and to organize a webinar to clarify the methodologies and assumptions used to aggregate the inputs, to be held after the deadline for submission of inputs referred to in paragraph 19 above and prior to the commencement of the technical assessment;

22. *Invites* the secretariat to start compiling for the technical assessment the most up-to-date inputs from the sources identified in paragraph 37 below two sessions of the subsidiary bodies prior to the assessment;

23. *Requests* the secretariat, under the guidance of the co-facilitators referred to in paragraph 6(c) above, to prepare for the technical assessment:

(a) A synthesis report on the information identified in paragraph 36(a) below, taking into account previous experience in preparing such reports;

(b) A synthesis report on the state of adaptation efforts, experience and priorities, summarizing the most recent information identified in paragraph 36(c) below;

(c) A synthesis report on the overall effect of nationally determined contributions communicated by Parties, summarizing the most recent information identified in paragraph 36(b) below;

(d) A synthesis report on the information identified in paragraph 36(d) below;

24. *Invites* the relevant constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or the Convention<sup>4</sup> to prepare for the technical assessment, with the assistance of the secretariat, synthesis reports on the information identified in paragraph 36 below in their areas of expertise;

25. *Requests* the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to identify potential information gaps in relation to the global stocktake and, where necessary and feasible, to make requests for additional input, taking into account the cut-off date for the information collection and preparation component of the global stocktake and the need to consider critical information, and taking into account the relevant gaps identified in the reports of the Intergovernmental Panel on Climate Change and their impacts in relation to the purpose and long-term goals of the Paris Agreement;

#### Technical assessment

26. *Notes* that, to make effective use of time, the technical assessment could overlap with the information collection and preparation component of the global stocktake;

27. *Confirms* that all the inputs and topics, in particular the linkage among various issues, should be discussed in a balanced, holistic and comprehensive manner with a balanced allocation of time between thematic areas, taking into account equity considerations and the best available science;

28. *Recognizes*, taking into consideration the advice provided by the Subsidiary Body for Scientific and Technological Advice<sup>5</sup> pursuant to decision 1/CP.21, paragraph 100, that the assessments of the Intergovernmental Panel on Climate Change should be considered in an effective and balanced manner, taking into account lessons learned from past experience;

29. Also recognizes that a dialogue between Intergovernmental Panel on Climate Change experts and Parties through Subsidiary Body for Scientific and Technological Advice–Intergovernmental Panel on Climate Change special events should be used to enable a focused scientific and technical exchange of information on the findings in Intergovernmental Panel on Climate Change products in an open and transparent manner, and that the Subsidiary Body for Scientific and Technological Advice–Intergovernmental Panel on Climate Change Joint Working Group should continue to be used to enhance communication and coordination between the Subsidiary Body for Scientific and Technological Advice and the Intergovernmental Panel on Climate Change in the context of the global stocktake;

30. *Decides* that the technical dialogue referred to in paragraph 6 above will be open, inclusive, transparent and facilitative, and will allow Parties to engage and hold discussions with the constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or the Convention and experts and to consider inputs and assess collective progress;

31. *Also decides* that the co-facilitators of the technical dialogue will summarize its outputs in summary reports, taking into account equity and the best available science, for each thematic area referred to in paragraph 6(b) above and an overarching factual synthesis of these reports in a cross-cutting manner;

32. *Further* decides that the forum on the impact of the implementation of response measures will summarize its outcome in accordance with the relevant elements of its modalities, work programme and functions pursuant to decision 1/CP.21, paragraph 34;

#### Consideration of outputs

33. *Decides* that the consideration of outputs will consist of high-level events where the findings of the technical assessment will be presented and their implications discussed and considered by Parties, and that the events will be chaired by a high-level committee consisting of the Presidencies of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement and the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation;

<sup>&</sup>lt;sup>4</sup> Currently, the constituted bodies and forums are the Adaptation Committee, the Least Developed Country Expert Group, the Technology Executive Committee, the Standing Committee on Finance, the Paris Committee on Capacitybuilding, the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts, the Consultative Group of Experts, the forum on the impact of the implementation of response measures, and the Local Communities and Indigenous Peoples Platform Facilitative Working Group.

<sup>&</sup>lt;sup>5</sup> See document FCCC/SBSTA/2016/4, paragraph 56.

34. *Also decides* that the outputs of this component of the global stocktake should:

(a) Identify opportunities for and challenges in enhancing action and support for collective progress in relation to the thematic areas of the global stocktake referred to in paragraph 6(b) above, as well as possible measures and good practices and international cooperation and related good practices;

(b) Summarize key political messages, including recommendations arising from the events referred to in paragraph 33 above for strengthening action and enhancing support;

(c) Be referenced in a decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement and/or a declaration;

## **II.** Sources of input

35. *Decides* that the sources of input for the global stocktake should inform the thematic areas referred to in paragraph 6(b) above;

36. *Also decides* that the sources of input for the global stocktake will consider information at a collective level on:

(a) The state of greenhouse gas emissions by sources and removals by sinks and mitigation efforts undertaken by Parties, including the information referred to in Article 13, paragraph 7(a), and Article 4, paragraphs 7, 15 and 19, of the Paris Agreement;

(b) The overall effect of Parties' nationally determined contributions and overall progress made by Parties towards the implementation of their nationally determined contributions, including the information referred to in Article 13, paragraph 7(b), of the Paris Agreement;

(c) The state of adaptation efforts, support, experience and priorities, including the information referred to in Article 7, paragraphs 2, 10, 11 and 14, of the Paris Agreement, and the reports referred to in Article 13, paragraph 8, of the Paris Agreement;

(d) The finance flows, including the information referred to in Article 2, paragraph 1(c), and means of implementation and support and mobilization and provision of support, including the information referred to in Article 9, paragraphs 4 and 6, Article 10, paragraph 6, Article 11, paragraph 3, and Article 13, in particular paragraphs 9 and 10, of the Paris Agreement. This should include information from the latest biennial assessment and overview of climate finance flows of the Standing Committee on Finance;

(e) Efforts to enhance understanding, action and support, on a cooperative and facilitative basis, related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change;

(f) Barriers and challenges, including finance, technology<sup>6</sup> and capacity-building gaps, faced by developing countries;

(g) Good practices, experience and potential opportunities to enhance international cooperation on mitigation and adaptation and to increase support under Article 13, paragraph 5, of the Paris Agreement;

(h) Fairness considerations, including equity, as communicated by Parties in their nationally determined contributions;

37. *Decides* that the sources of input for the global stocktake include:

(a) Reports and communications from Parties, in particular those submitted under the Paris Agreement and the Convention;

(b) The latest reports of the Intergovernmental Panel on Climate Change, pursuant to decision 1/CP.21, paragraph 99;

(c) Reports of the subsidiary bodies, pursuant to decision 1/CP.21, paragraph 99;

(d) Reports from relevant constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or the Convention;

(e) The synthesis reports by the secretariat referred to in paragraph 23 above;

<sup>&</sup>lt;sup>6</sup> Including outputs of the periodic assessment of the Technology Mechanism as referred to in decision 16/CMA.1.

(f) Relevant reports from United Nations agencies and other international organizations, which should be supportive of the UNFCCC process;

(g) Voluntary submissions from Parties, including on inputs to inform equity considerations under the global stocktake;

(h) Relevant reports from regional groups and institutions;

(i) Submissions from non-Party stakeholders and UNFCCC observer organizations;

38. *Invites* the Subsidiary Body for Scientific and Technological Advice to complement the nonexhaustive lists in paragraphs 36 and 37 above at its session held prior to the information collection and preparation component of the global stocktake, as appropriate, taking into account the thematic areas of the global stocktake and the importance of leveraging national-level reporting.

> 26<sup>th</sup> plenary meeting 15 December 2018

# Decision 1/CMA.3 Glasgow Climate Pact<sup>7</sup>

#### **Adaptation**

10. Requests Parties that have not yet done so to submit their adaptation communications in accordance with decision 9/CMA.1 ahead of the fourth session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (November 2022) so as to provide timely input to the global stocktake;

#### **Mitigation**

27. Decides to establish a work programme to urgently scale up mitigation ambition and implementation in this critical decade and requests the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice to recommend a draft decision on this matter for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its fourth session, in a manner that complements the global stocktake;

#### **Implementation**

76. Welcomes the start of the global stocktake and expresses its determination for the process to be comprehensive, inclusive and consistent with Article 14 of the Paris Agreement and decision 19/CMA.1, in the light of paragraph 5 above;

77. Encourages the high-level champions to support the effective participation of non-Party stakeholders in the global stocktake.

# Decision 7/CMA.3 Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation

7. Decides that the objectives of the work programme should be to, inter alia:

(c) Contribute to reviewing the overall progress made in achieving the global goal on adaptation as part of the global stocktake referred to in Article 7, paragraph 14, and Article 14 of the Paris Agreement with a view to informing the first and subsequent global stocktakes;

<sup>&</sup>lt;sup>7</sup> See <u>https://unfccc.int/sites/default/files/resource/cma2021\_10\_add1\_adv.pdf</u>.

## **Decision 1/CMA.4**

## X. Technology transfer and deployment

69. Welcomes with appreciation the first joint work programme of the Technology Executive Committee and the Climate Technology Centre and Network, for 2023–2027, which will facilitate the transformational change needed to achieve the goals of the Convention and the Paris Agreement, *invites* Parties and stakeholders to cooperate and engage with the Technology Executive Committee and the Climate Technology Centre and Network to support the implementation of the joint work programme activities, including on technology needs assessments, action plans and road maps, *acknowledges* the findings in the final report on the first periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement<sup>ii</sup> and *decides* that the main challenges identified therein should be considered under the global stocktake;

## XIII. Taking stock

74. *Welcomes* the progress of the first global stocktake and *notes with appreciation* the balanced, comprehensive and inclusive nature of the technical dialogue of that stocktake;

75. *Emphasizes* that the outcome of the first global stocktake shall inform Parties in updating and enhancing, in a nationally determined manner, their actions and support in accordance with the relevant provisions of the Paris Agreement, as well as in enhancing international cooperation on climate action;

76. *Urges* all stakeholders involved in the first global stocktake to focus on achieving the outcome referred to in Article 14, paragraph 3, of the Paris Agreement;

77. *Welcomes* the invitation of the United Nations Secretary-General to convene a climate ambition summit in 2023 ahead of the conclusion of the first global stocktake at the twenty-eighth session of the Conference of the Parties and the fifth session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (November–December 2023);

## **Decision 3/CMA.4**

# Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation referred to in decision 7/CMA.3

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

*Recalling* Article 7, paragraph 1, of the Paris Agreement, in which Parties established the global goal on adaptation of enhancing adaptive capacity, strengthening resilience and reducing vulnerability to climate change with a view to contributing to sustainable development and ensuring an adequate adaptation response in the context of the temperature goal referred to in Article 2 of the Agreement,

#### Also recalling decision 7/CMA.3,

*Emphasizing* that efforts to achieve the global goal on adaptation must focus on reducing the increasing adverse impacts, risks and vulnerabilities associated with climate change,

10. *Further decides* that the framework, through the structured approach referred to in paragraph 8 above, may take into consideration, inter alia:

(d) Sources of information including those referred to in decision 19/CMA.1, paragraph 37, namely:
(vii) Voluntary submissions from Parties, including on inputs to inform equity considerations under the global stocktake;

11. *Decides* to review the framework referred to in paragraph 8 above prior to the second global stocktake;

20. *Also requests* the Chairs of the subsidiary bodies to select themes for the workshops to be held in 2023, taking into account the following areas:

(i) The global stocktake;

23. *Further invites* the subsidiary bodies, at their fifty-eighth sessions (June 2023), to consider the outputs under the Glasgow–Sharm el-Sheikh work programme in reviewing progress in achieving the global goal on adaptation as part of the first global stocktake;<sup>iii</sup>

24. *Decides* that the elements contained in paragraph 10 above will be taken into consideration for reviewing overall progress in achieving the global goal on adaptation in the context of the first global stocktake;

### **Decision 4/CMA.4**

# Sharm el-Sheikh mitigation ambition and implementation work programme

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

Recalling the Paris Agreement,

*Reaffirming* the Paris Agreement temperature goal of holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5 °C above pre-industrial levels,

Recalling decision 1/CMA.3,

Also recalling decision 1/CMA.3, paragraph 27, in which it was decided to establish a work programme for urgently scaling up mitigation ambition and implementation in this critical decade in a manner that complements the global stocktake,

1. *Confirms* that the objective of the work programme for urgently scaling up mitigation ambition and implementation referred to in paragraph 27 of decision 1/CMA.3 shall be to urgently scale up mitigation ambition and implementation in this critical decade in a manner that complements the global stocktake;

18. *Notes* that the annual reports referred to in paragraph 15 above will be made available for the global stocktake consistently with the modalities set out in decision 19/CMA.1;

## Decision 20/CMA.4

# First periodic assessment referred to in paragraph 69 of decision 1/CP.21

*The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, Recalling* decisions 1/CP.21, 15/CMA.1, 16/CMA.1 and 17/CMA.3,

*Also recalling* decision 15/CMA.1, paragraph 7, according to which the outcomes of and/or recommendations resulting from the first periodic assessment referred to in paragraph 69 of decision 1/CP.21 shall be considered when updating the technology framework,

*Further recalling* decision 16/CMA.1, paragraph 4, according to which the outcomes of the first periodic assessment referred to in paragraph 1 of that decision should serve as an input to the global stocktake referred to in Article 14 of the Paris Agreement,

8. *Decides* that the main challenges identified in the periodic assessment referred to in paragraph 1 above be given consideration in the global stocktake;

## Decision 21/CMA.4

## Annual technical progress report of the Paris Committee on Capacitybuilding for 2022

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, *Recalling* decisions 3/CMA.2 and 18/CMA.3,

7. *Takes note* of the synthesis report of the Paris Committee on Capacity-building for the technical assessment component of the first global stocktake and the conclusions therein;<sup>iv</sup>

### Decision 23/CMA.4

# **Report of the forum on the impact of the implementation of response measures**

*The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, Recalling* decisions 7/CMA.1, 19/CMA.1, 4/CMA.2 and 23/CMA.3,

11. *Encourages* Parties to submit views on efforts related to addressing the social and economic impacts of response measures via the submission portals by February 2023 and *requests* the secretariat to prepare and submit the compilation of the submissions from the Parties as input to the global stocktake;

## C. Conclusions by subsidiary bodies

# **Conclusions of APA 1.1 (May 2016)**, contained in: Report of the Ad Hoc Working Group on the Paris Agreement on the first part of its first session, held in Bonn from 16 to 26 May 2016 (FCCC/APA/2016/2)<sup>8</sup>

#### III. Report on agenda items 3 to 8

22. The APA invited Parties to submit,<sup>9</sup> by 30 September 2016, their views on the following items on the APA agenda, in order to focus the work of the APA:

(d) Item 6, "Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement: (a) identification of the sources of input for the global stocktake; and (b) development of the modalities of the global stocktake", noting the work under agenda sub-item 6(b) of the Subsidiary Body for Scientific and Technological Advice (SBSTA) at its forty-fourth session, "Matters relating to science and review: advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake referred to in Article 14 of the Paris Agreement".<sup>10</sup>

24. The APA requested the secretariat to compile, by 7 October 2016, the submissions of Parties' views referred to in paragraph 22 above into information documents, with views on each item of the APA agenda to be compiled in a separate information document.

# **Conclusions of SBSTA 44 (May 2016), contained in: Report of the Subsidiary Body for Scientific and Technological Advice on its forty-fourth session, held in Bonn from 16 to 26 May 2016 (FCCC/SBSTA/2016/2)<sup>11</sup>**

VI. B. Advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake referred to in Article 14 of the Paris Agreement (Agenda sub-item 6(b))

38. The SBSTA, in response to the mandate from the COP at its twenty-first session (decision 1/CP.21, para. 100), launched its consideration of advice on how the assessments of the IPCC can inform the global stocktake of the implementation of the Paris Agreement pursuant to its Article 14.

39. The SBSTA welcomed the in-session SBSTA–IPCC special event on advice on how the assessments of the IPCC can inform the global stocktake. It acknowledged the rich exchange of information that took place.

40. The SBSTA also acknowledged the importance of the outputs of IPCC assessment cycles for the global stocktake, which will contribute to the scientific integrity of the global stocktake. It noted the importance of the effective communication of the results of the work of the IPCC to the UNFCCC, bearing in mind that the type, scope and timing of the IPCC reports is decided by the IPCC. It welcomed the decision of the IPCC to take the outcomes of COP 21 into account when determining the IPCC programme of work and products for the sixth IPCC assessment cycle.<sup>12</sup>

41. The SBSTA also welcomed IPCC decisions IPCC/XLIII-6, IPCC/XLIII-7 and IPCC/XLIII-8,<sup>13</sup> enumerating the forthcoming products of the sixth IPCC assessment cycle, including an IPCC Special Report to be produced in 2018 on the impacts of global warming of 1.5 °C above pre-industrial levels and related global GHG emission pathways, to be prepared in the context of strengthening the global response to the threat of climate change, sustainable development and efforts to eradicate poverty, which will have relevance to the first global stocktake.

<sup>&</sup>lt;sup>8</sup> Available at: <u>http://unfccc.int/resource/docs/2016/apa/eng/02.pdf</u>.

<sup>&</sup>lt;sup>9</sup> Parties should submit their views via the submission portal at <u>http://www.unfccc.int/5900</u>.

<sup>&</sup>lt;sup>10</sup> See document FCCC/SBSTA/2016/2.

<sup>&</sup>lt;sup>11</sup> Available at: <u>http://unfccc.int/resource/docs/2016/sbsta/eng/02.pdf</u>.

<sup>&</sup>lt;sup>12</sup> IPCC decision IPCC/XLIII-5. Available at: <u>http://ipcc.ch/meetings/session43/p43\_decisions.pdf</u>.

<sup>&</sup>lt;sup>13</sup> Entitled "Sixth Assessment Report. Products. Special Reports"; "Sixth Assessment Report. Products. Strategic Planning"; and "Update of Methodologies on National Greenhouse Gas Inventories", respectively. Available at <u>http://ipcc.ch/meetings/session43/p43\_decisions.pdf</u>.

42. The SBSTA noted that, in relation to advice on how the IPCC assessments can inform the global stocktake, lessons can be learned from past experience.<sup>14</sup> It invited Parties and observer organizations, taking relevant experience into account, to submit, by 12 September 2016, their views on advice on how the assessments of the IPCC can inform the global stocktake, bearing in mind the time frame of the sixth IPCC assessment cycle.<sup>15</sup>

43. The SBSTA agreed to continue its consideration of this matter at SBSTA 45, bearing in mind the relevant work of the Ad Hoc Working Group on the Paris Agreement (APA) on the global stocktake.

#### Conclusions of SBSTA 52–55 (November 2021), contained in: Report of the Subsidiary Body for Scientific and Technological Advice on its fifty-second to fifty-fifth session, held in Glasgow from 31 October to 6 November 2021<sup>16</sup>

IX. Sources of input for the global stocktake under the Paris Agreement

56. The SBSTA recalled decision 19/CMA.1 and considered the non-exhaustive lists in paragraphs 36–37 of that decision with a view to complementing the lists, while noting paragraphs 20, 25 and 26 of the decision.

57. The SBSTA took note of the exchange of views by Parties on this matter and recalled paragraph 27 of decision 19/CMA.1.

58. The SBSTA agreed that the non-exhaustive lists referred to in paragraph 56 above will serve as a basis for the sources and types of information for the first global stocktake.

59. The SBSTA also agreed that, in addition to the lists referred to in paragraph 56 above, further sources and types of information pursuant to paragraphs 2 and 6(b) of decision 19/CMA.1 will serve as a basis for the sources and types of information for the first global stocktake with a view to informing the technical assessment component thereof.

60. The SBSTA noted that, pursuant to paragraphs 24 and 37(d) of decision 19/CMA.1, relevant constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or the Convention, including those established after the adoption of decision 19/CMA.1, can prepare for the technical assessment of the first global stocktake, with the assistance of the secretariat, synthesis reports on the information identified in paragraph 36 of decision 19/CMA.1, taking into account paragraph 59 above, in their areas of expertise.

# Conclusions of SBI 52–55 (November 2021), contained in: Report of the Subsidiary Body for Implementation on its fifty-second to fifty-fifth session, held in Glasgow from 31 October to 6 November 2021<sup>17</sup>

#### XII. National adaptation plans

81. The SBI considered actions and steps necessary to initiate the next assessment of progress in the process to formulate and implement NAPs, to take place no later than in 2025, noting plans for the first global stocktake. The SBI recommended a draft decision on this matter for consideration and adoption at COP 26.

Conclusions of SBSTA 56 (June 2022), contained in: Report of the Subsidiary Body for Scientific and Technological Advice on its fifty-sixth session, held in Bonn from 6 to 16 June 2022 (FCCC/SBSTA/2022/6)<sup>18</sup>

# **III.** Nairobi work programme on impacts, vulnerability and adaptation to climate change

(Agenda item 3)

<sup>&</sup>lt;sup>14</sup> In particular the activities relating to the 2013–2015 review, taking into account successes and shortcomings.

<sup>&</sup>lt;sup>15</sup> Parties should submit their views via the submission portal at <u>http://www.unfccc.int/5900</u>. Observer organizations should e-mail their submissions to <u>secretariat@unfccc.int</u>.

<sup>&</sup>lt;sup>16</sup> See <u>https://unfccc.int/sites/default/files/resource/sbsta2021\_03\_adv\_1.pdf</u>.

<sup>&</sup>lt;sup>17</sup> See <u>https://unfccc.int/sites/default/files/resource/sbi2021\_16\_adv\_2.pdf</u>.

<sup>&</sup>lt;sup>18</sup> https://unfccc.int/sites/default/files/resource/sbsta2022\_6\_adv.pdf

(ii) Considering new linkages with processes under the Convention and the Paris Agreement, such as the Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation and the global stocktake, as appropriate, focusing on the provision of relevant knowledge or inputs to support work under these processes;

# VI. Matters relating to the work programme for urgently scaling up mitigation ambition and implementation referred to in paragraph 27 of decision 1/CMA.3\* (Agenda item 6)

42. The SBSTA and the SBI agreed to continue work on matters relating to the work programme for urgently scaling up mitigation ambition and implementation in this critical decade referred to in paragraph 27 of decision 1/CMA.3 at SB 57, with a view to recommending a draft decision thereon for consideration and adoption at CMA 4 (November 2022), in a manner that complements the global stocktake.

# VII. Matters relating to the global stocktake under the Paris Agreement\* (Agenda item 7)

48. The SBSTA and the SBI welcomed the launch at these sessions of the technical dialogue under the global stocktake in line with decision 19/CMA.1.

49. They expressed their appreciation to the co-facilitators of the technical dialogue for their efforts to design an innovative and engaging dialogue and for their effective facilitation, and to the moderators, rapporteurs and participating experts, Parties and non-Party stakeholders for their contributions to and engagement in the dialogue.

50. The SBSTA and the SBI requested the co-facilitators of the technical dialogue to take into account feedback provided by Parties at its first meeting, and by the joint contact group established by the SBSTA and the SBI, when preparing the first summary report and designing the second meeting of the technical dialogue, in order to ensure an inclusive, balanced, comprehensive and focused Party-driven process, with the participation of non-Party stakeholders, that facilitates the broad participation of Parties and non-Party stakeholders.

51. The SBSTA and the SBI also requested the co-facilitators of the technical dialogue to submit the summary report on the first meeting of the technical dialogue well in advance of the second meeting, and encouraged the co-facilitators to engage with Parties, noting that the co-facilitators are similarly encouraged to engage with non-Party stakeholders, after publishing their summary report.

52. The SBSTA and the SBI reiterated the call for inputs referred to in decision 19/CMA.1, paragraph 19, and encouraged Parties and non-Party stakeholders to submit such inputs for consideration at the second meeting of the technical dialogue.

53. The SBSTA and the SBI also encouraged Parties and non-Party stakeholders to hold events, at the local, national, regional and international level, as appropriate, in support of the global stocktake.

# **B.** Second periodic review of the long-term global goal under the Convention and of overall progress towards achieving it\*

(Agenda sub-item 8(b))

70. The SBSTA and the SBI invited Parties to submit via the submission portal by 14 October 2022 their reflections on the findings of SED2 and their views on the elements of the conclusions of the joint contact group, to be convened at SB 57 to consider this matter, taking into account the summary reports on the meetings of SED2, the synthesis report referred to in paragraph 69 above and the submissions from Parties, with a view to the SBSTA and the SBI recommending a draft decision on the second periodic review for consideration and adoption at COP 27 and informing the first global stocktake.

# **IX.** Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement\* (Agenda item 9)

73. The SBSTA and the SBI:

(c) Initiated their consideration of the preparation of information for the technical assessment component of the global stocktake related to the impacts of the implementation of response measures<sup>[11]</sup>;

75. Recalling relevant decisions,51 the SBSTA and the SBI requested the secretariat to update the compilation of submissions52 from Parties and observers on efforts related to addressing the social and economic consequences and impacts of response measures, and the KCI to prepare by 31 August, with the assistance of the secretariat, a synthesis report reflecting the relevant work of the forum and its KCI as input to the technical assessment component, which started at these sessions, of the global stocktake.

# **XVIII.** Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation referred to in decision 7/CMA.3 (Agenda item 18)

160. The SBSTA and the SBI recalled89 that the Glasgow–Sharm el-Sheikh work programme should contribute to reviewing the overall progress made in achieving the global goal on adaptation as part of the global stocktake referred to in Article 7, paragraph 14, and Article 14 of the Paris Agreement with a view to informing the first and subsequent global stocktakes.

<sup>1</sup> As per annex II to decisions 4/CP.25, 4/CMP.15 and 4/CMA.2.

Conclusions of SBI 56 (June 2022), contained in: Report of the Subsidiary Body for Implementation on its fifty-sixth session, held in Bonn from 6 to 16 June 2022<sup>[2]</sup> (FCCC/SBI/2022/10)

#### VI. Matters relating to the work programme for urgently scaling up mitigation ambition and implementation referred to in paragraph 27 of decision 1/CMA.3\* (Agenda item 6)

33. The SBI and the SBSTA agreed to continue work on matters relating to the work programme for urgently scaling up mitigation ambition and implementation in this critical decade referred to in paragraph 27 of decision 1/CMA.3 at SB 57 (November 2022), with a view to recommending a draft decision thereon for consideration and adoption at CMA 4 (November 2022), in a manner that complements the global stocktake.

# VII. Matters relating to the global stocktake under the Paris Agreement\* (Agenda item 7)

39. The SBI and the SBSTA welcomed the launch at these sessions of the technical dialogue under the global stocktake in line with decision 19/CMA.1.

40. They expressed their appreciation to the co-facilitators of the technical dialogue for their efforts to design an innovative and engaging dialogue and for their effective facilitation, and to the moderators, rapporteurs and participating experts, Parties and non-Party stakeholders for their contributions to and engagement in the dialogue.

41. The SBI and the SBSTA requested the co-facilitators of the technical dialogue to take into account feedback provided by Parties at its first meeting, and by the joint contact group established by the SBI and the SBSTA, when preparing the first summary report and designing the second meeting of the technical dialogue, in order to ensure an inclusive, balanced, comprehensive and focused Party-driven process, with

the participation of nonParty stakeholders, that facilitates the broad participation of Parties and non-Party stakeholders.

42. The SBI and the SBSTA also requested the co-facilitators of the technical dialogue to submit the summary report on the first meeting of the technical dialogue well in advance of the second meeting, and encouraged the co-facilitators to engage with Parties, noting that the co-facilitators are similarly encouraged to engage with non-Party stakeholders, after publishing their summary report.

43. The SBI and the SBSTA reiterated the call for inputs referred to in decision 19/CMA.1, paragraph 19, and encouraged Parties and non-Party stakeholders to submit such inputs for consideration at the second meeting of the technical dialogue.

44. The SBI and the SBSTA also encouraged Parties and non-Party stakeholders to hold events, at the local, national, regional and international level, as appropriate, in support of the global stocktake.

# VIII. Second periodic review of the long-term global goal under the Convention and of overall progress towards achieving it\*

(Agenda item 8)

51. The SBI and the SBSTA invited Parties to submit via the submission portal by 14 October 2022 their reflections on the findings of SED2 and their views on the elements of the conclusions of the joint contact group, to be convened at SB 57 to consider this matter, taking into account the summary reports on the meetings of SED2, the synthesis report referred to in paragraph 50 above and the submissions from Parties, with a view to the SBI and the SBSTA recommending a draft decision on the second periodic review for consideration and adoption at COP 27 and informing the first global stocktake<sup>[1]</sup>.

# **B.** First periodic assessment referred to in paragraph 69 of decision 1/CP.21 (Agenda sub-item 13(b))

91. The SBI noted that the outcomes of the first periodic assessment should guide the improved effectiveness of and enhanced support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement, as guided by the technology framework, and serve as input to the global stocktake referred to in Article 14 of the Paris Agreement.<sup>[2]</sup>

# XVI. Matters relating to the forum on the impact of the implementation of response measures serving the Convention, the Kyoto Protocol and the Paris Agreement\*

(Agenda item 16)

128. The SBI and the SBSTA:

(c) Initiated their consideration of the preparation of information for the technical assessment component of the global stocktake related to the impacts of the implementation of response measures<sup>[3]</sup>;

130. Recalling relevant decisions,81 the SBI and the SBSTA requested the secretariat to update the compilation of submissions82 from Parties and observers on efforts related to addressing the social and economic consequences and impacts of response measures, and the KCI to prepare by 31 August, with the assistance of the secretariat, a synthesis report reflecting the relevant work of the forum and its KCI as input to the technical assessment component, which started at these sessions, of the global stocktake.

# XXI. Glasgow–Sharm el-Sheikh work programme on the global goal on adaptation referred to in decision 7/CMA.3\* (Agenda item 21)

193. The SBI and the SBSTA recalled 112 that the Glasgow–Sharm el-Sheikh work programme should contribute to reviewing the overall progress made in achieving the global goal on adaptation as part of the global stocktake referred to in Article 7, paragraph 14, and Article 14 of the Paris Agreement with a view to informing the first and subsequent global stocktakes.

<sup>1</sup> As per decision 19/CMA.1, para. 37.

<sup>[2]</sup> As per decision 16/CMA.1, paras. 4–5.

<sup>[3]</sup> As per annex II to decisions 4/CP.25, 4/CMP.15 and 4/CMA.2.

<sup>[2]</sup> See <u>https://unfccc.int/sites/default/files/resource/sbi2022\_10\_adv.pdf</u>

i See https://unfccc.int/ttclear/tec/documents.html.

ii FCCC/SBI/2022/13.

iii As per decision 19/CMA.1, para. 4.

iv Available at <u>https://unfccc.int/documents/461613</u>; the conclusions are contained in chap. V.