



ADAPTATION FUND

Adaptation Fund's Results Framework

Adaptation Committee's event on Monitoring and evaluation systems at the national and subnational level - Measuring progress and impacts and communicating results

5 October 2021



Adaptation Fund



Finances concrete adaptation projects/programmes; Pioneered Direct Access



A total of 54 IEs (33 NIEs, 14 MIEs, 7 RIEs)

115 projects on the ground to date for about \$840M



Created in 2001, launched in 2007; serves Kyoto Protocol, and formally serves the Paris Agreement since Jan 2019



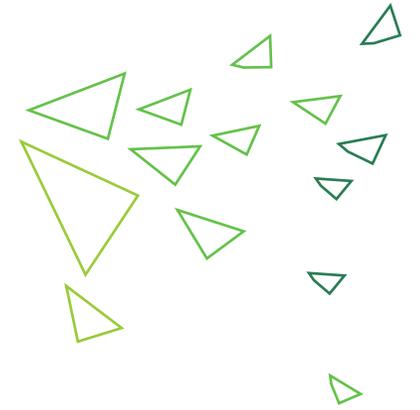
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Concrete results

Adaptation in action



Tangible results

9.4 million direct beneficiaries

18.2 million indirect beneficiaries

407,232 ha protected/restored natural habitats

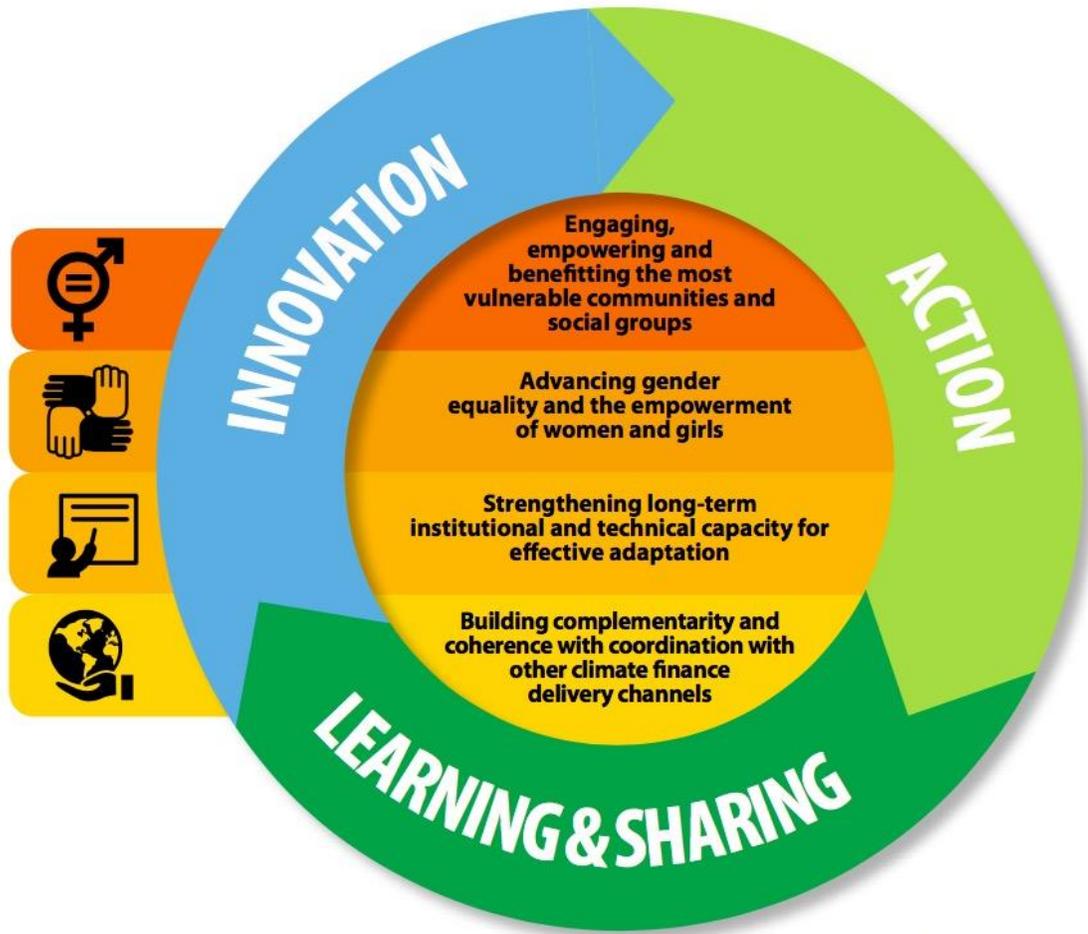
161,775 meters protected coastlines

409 Early Warning Systems



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Medium-Term Strategy (2018-2022)

Three pillars dedicated to:

➤ Action

- ❑ Window for “enhanced direct access”: US\$20 M per year (>10 grants)
- ❑ Scale-up microgrants: US\$200,000 per year (10 grants). One grant approved in October 2019

➤ Innovation

- ❑ Large grants (<US\$5 M) for rolling out, scaling up innovation; to be launched in 1st quarter of 2021
- ❑ NIE Micro-grants (<US\$250 k) for encouraging new innovation, creating evidence base. Four grants approved since 2019
- ❑ Small grants (<US\$250 k) available through UNDP & UNEP-CTCN to non-accredited entities; recently launched

➤ Learning & Sharing

- ❑ South-South learning microgrants (<US\$150 K) for sharing practices, lessons (ca. 15 grants). One grant approved in October 2020

Results-Based Management Framework

RBM approved in 2010 and updated several times – last in 2019

- 1- CMP/CMA
- 2- Portfolio (Fund level)
- 3- Project/programme level

Fund level : 3 Impact levels results and 5 Core indicators

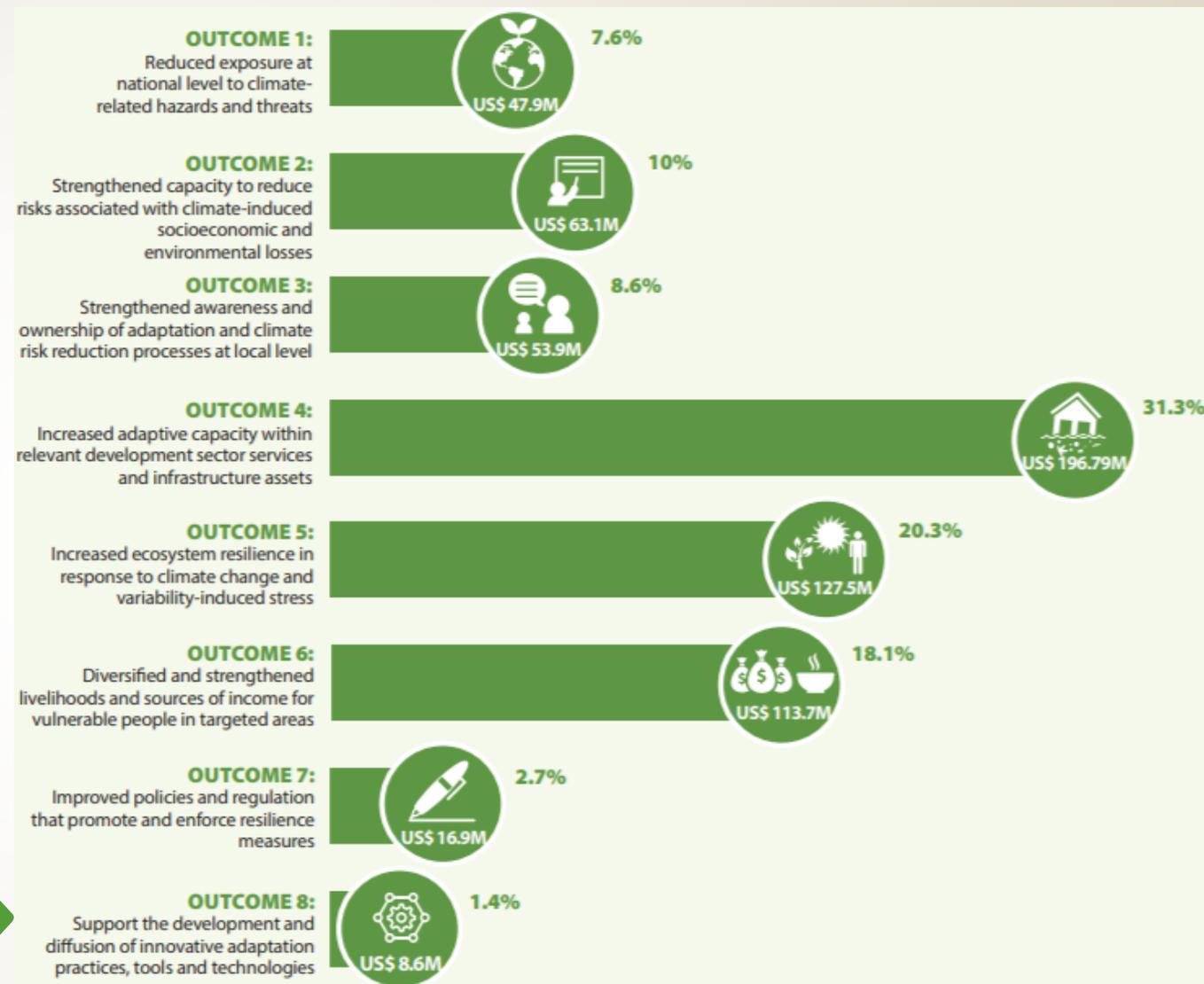
1. Number beneficiaries (direct/indirect)
2. Number EWS
3. Assets produced, developed, improved
4. Increased income or avoided decrease
5. Natural assets produced/ rehabilitated

Project/Programme level : 8 key outcomes and associated outputs



At project/programme-level, monitoring is carried out by project executing entities, supervised by national implementing entities (NIEs), multilateral implementing entities (MIEs) and regional implementing entities (RIEs). Project and programme level objectives should align with those outlined for the Fund.

Project/programme level results tracking



Excluding readiness and other grant (learning, innovation, etc.)



Project Performance Reports: on an annual basis



Project completion summary report, including any specific Project implementation information, within six (6) months after Project completion



Mid-term review or evaluation report, for projects of 4-year duration or more



Final evaluation report, prepared by an independent evaluator. Shall be submitted within 9 months after Project completion. Copies of these reports shall be forwarded by the IE to the Designated Authority for information; and



Final audited financial statement, prepared by an independent auditor or evaluation body, within 6 months of the end of the IE's financial year during which the Project is completed



The reporting process fits into a broaden **Results Based Management** scheme at Fund's level

An annual portfolio performance report approved by the Board and aggregated Fund's performance and results



Reporting requirements at a glance

- **Knowledge gaps** on climate change and adaptation:
 - Lack of localized data on future trends and extreme weather events;
 - Lack of knowledge on the socio-economic impacts of climate change – particularly at local level;
 - Lack of knowledge on concrete adaptation measures/solutions available;
 - Lack of knowledge on the relative costs and benefits of climate adaptation.
- **No universal metrics** (adaptation needs are highly context specific).
- Detect the success of adaptation efforts requires **longer time horizons** (In many instances impacts on vulnerability are not directly visible/will be evident over many decades).



Challenges



Opportunities

- Think beyond projects, to conduct **adaptation impact evaluations**.
- Establishment of mechanisms for tracking **longer-term impacts**, to address timescale issues.
- Use robust **Theory of Change** that articulates what the process of adaptation involves.
- Alignment and integration (mindful of degree of feasibility) of **Funds' Strategic Results Frameworks** (harmonization of reporting) to find ways to show the impact of the Funds' investments
- Development of a **repository of adaptation indicators** that are already being used.

Thank You



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