

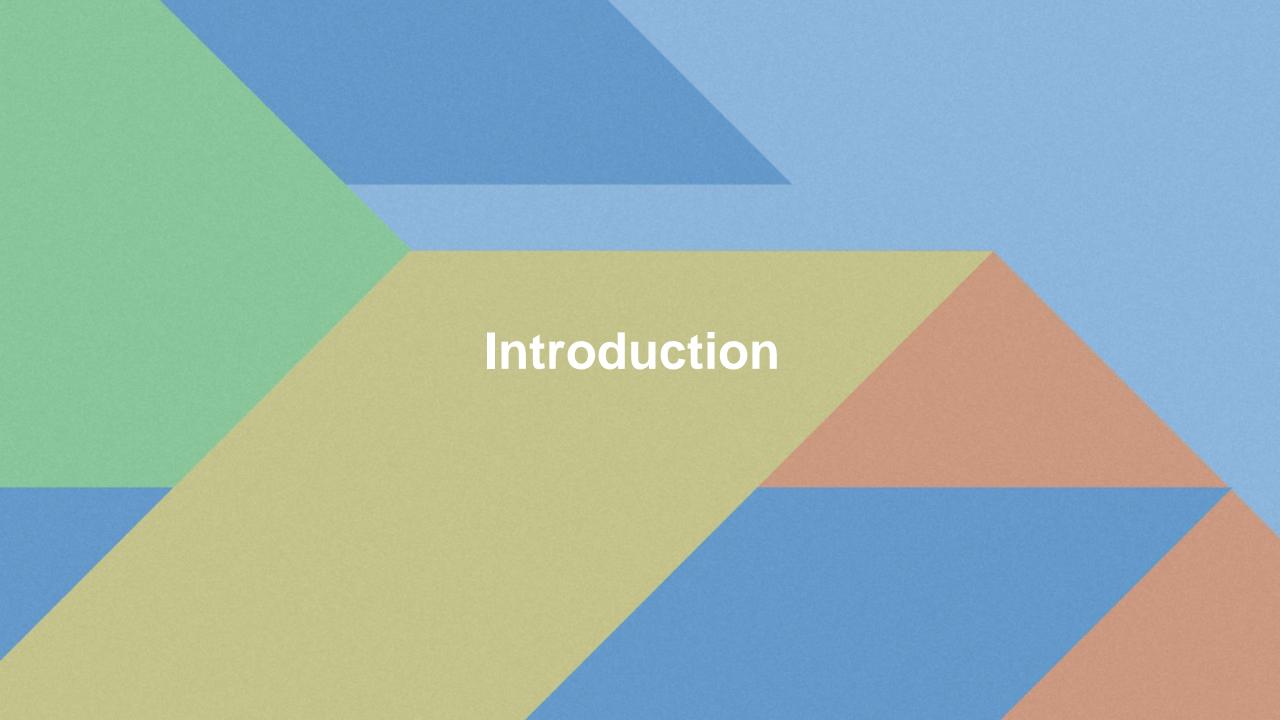
ETF Reporting Tools Tracking progress of NDC

Gopal Raj Joshi
UNFCCC Secretariat
Transparency Division

Rwanda, 25-28 June 2024

Agenda

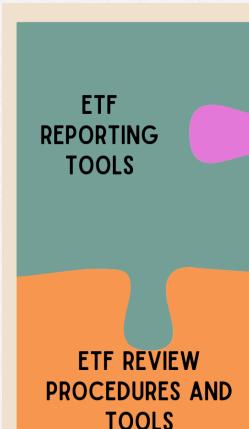
- Introduction
- Hands-on Training
- Participants interaction and question/answers



Building blocks for ETF implementation

Facilitates the submission of information by Parties in a user-friendly and efficient manner

Facilitates the effective and efficient review of submitted information



POOL OF TECHNICAL EXPERT: NATIONAL REPORTING AND INTERNATIONAL REVIEW PROCES

TRAINING AND
CAPACITYBUILDING
PROGRAMMES
: TECHNICAL
EXPERTS

Enhances sustainability, boosts effectiveness, and increases efficiency in both national reporting and the international review process

Enhance the skills and knowledge of technical experts to report and review information in a manner that is both efficient and effective

Mandates

Concerning ETF reporting tools



ecision 18/CMA.1

Requested SBSTA to develop:

- common reporting tables (CRTs) for GHG inventories
- common tabular formats (CTFs) for tracking NDC progress and achievements
- common tabular formats (CTFs) for support provided, needed and received



Adopted :

- CRTs for GHG inventories
- CTFs for NDC progress and achievements
- CTFs for support provided, needed and received



ecision

Requested the secretariat to:

- Develop the reporting tools
- Test version of the tools by June 2023
- Final version of the tools by June 2024
- Update Parties on progress at SBSTA sessions
- Organize technical training workshops
- Facilitate interoperability with IPCC software
- Establish an interactive web-portal for support info by Dec 2025

Biennial Transparency Report (BTR)

Biennial Transparency Report

2024

I. National inventory report of anthropogenic emissions by sources and removals by sinks of greenhouse gases (GHGs) [All Parties - Shall]

II. Information necessary to track progress in implementing and achieving its NDC under Article 4 of the Paris Agreement [All Parties - Shall]

III. Information on climate change impacts and adaptation under Article 7 of the Paris Agreement [All Parties - Should]

IV. Information on financial, technology development and transfer and capacity-building support provided and mobilized under Articles 9–11 of the Paris Agreement [Developed Party – Shall]

V. Information on financial, technology development and transfer and capacity-building support needed and received under Articles 9–11 of the Paris Agreement [Developing Party – Should]

VI. Information to be reported when NCs and BTR are submitted jointly every four years

VII. Information on flexibility [Developing Party – Applying flexibility]

VIII. Improvements in reporting over time

IX. Any other information

Annexes

Annex I: Technical annexes for REDD+, as applicable

Annex II: CRT for the electronic reporting of the NIR

Annex III: CTFs for electronic reporting on tracking progress of NDCs and FTC support provided/mobilized and/or needed/received

Annex IV: Information in relation to the Party's participation in cooperative approaches, as applicable



CTF Tables

NDC definition and methods

- Appendix: Description of a Party's NDC
- **Table 1:** Description of selected indicators
- Table 2: Definitions needed to understand the NDC
- **Table 3:** Methodologies and accounting approaches

Current mitigation status and tracking progress

- **Table 4:** Tracking progress
- Table 5: Mitigation policies, measures, actions and plans (Achieved)
- Table 6: Inventory summary

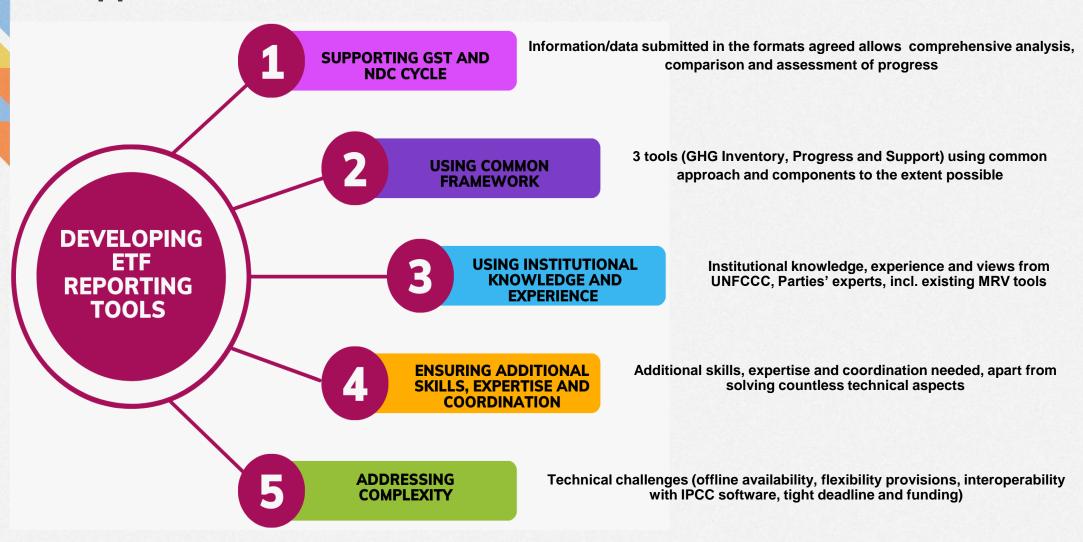
Projections and expected emissions reduction

- Table 5: Mitigation policies, measures, actions and plans (expected)
- Table 7: Projections "with measures" scenario
- Table 8: Projections "with additional measures" scenario
- Table 9: Projections "without measure" scenario
- **Table 10:** Projections of key indicators
- **Table 11:** Key underlying assumptions and parameters of projections

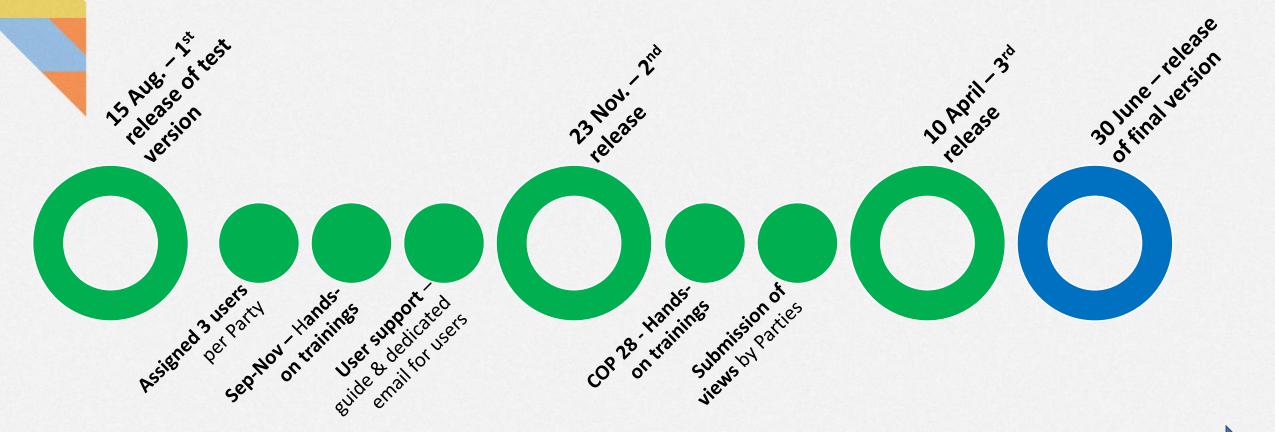
Response measures

• **Table 12.** Information necessary to track progress on the implementation and achievement of the domestic policies and measures implemented to address the social and economic consequences of response measures

Approach



Deliverables



Trainings of users

inventory BHB

Overall scope of the final version (June 2024)





- All sectors, data entry grids
- All flexibility provisions and version settings
- Key category analysis
- Interoperability with IPCC software

Progress







- All data entry grids
- All version settings
- Detailed explanation of each decision step
- Bulk import tool for projects/ activities for relevant finance tables

- Generating reporting tables
- Importing and exporting (Excel and JSON)
- Working in offline mode
- Data validation in the data entry grids
- Providing comments/custom footnotes/doc boxes

ETF Reporting Tools to be released at the end of June allow Parties to compile data and generate reporting tables for submission

• All data entry grids

version settings

and Measures

• All flexibility provisions and

• Interconnection with CRT

• Bulk import tool for Policies

Enhancements to be developed (after June 2024)

- Submission lifecycle (direct from tools)
- Multilingual support
- QC on the submission
- Completeness check with traffic lights system
- User preferences & data visualization
- Tooltips/info buttons
- Recalculation table and Flex_Summary table (GHG Inventory)



Remaining activities until 30 June 2024

- Continue IT work/testing for remaining aspects: user management/bulk import/fixing bugs
- Continue collaboration with IPCC to finalize interoperability with IPCC software
- Close the test version for users due to security audit from 11 June
- Respond to technical questions & prepare user guides/videos/FAQ
- Release of the official version of ETF reporting tools 30 June
- Communicate with Parties (NFPs) to nominate focal points for ETF tools
- Prepare the operational support for service/maintenance (stabilization period by Sep)
- Continue to engage with Parties/donors for providing resources for enhancements and conducting training workshops post June release

Framework for reporting under the ETF

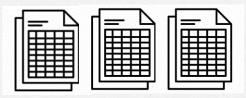
User management



User management tools

- My Team: allows focal points to add/remove/ modify user roles
- My Apps: allows assigned users to access tools as per granted roles

Electronic reporting of information (CRTs/CTFs)



ETF reporting tools

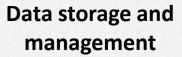
- ETF GHG inventory reporting tool: CRTs for national GHG inventories
- ETF Progress reporting tool:
 CTFs for tracking NDC
 progress and achievements
- ETF Support reporting tool:
 CTFs for support provided,
 mobilized, received & needed

Submission of CRTs/CTFs and narrative documents



National reports submission portal

Allows Parties to submit CRTs, CTFs and other narrative documents (BTR, NID and Annexes)





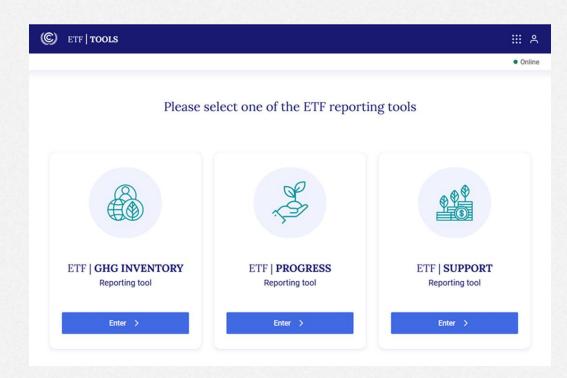
UNFCCC climate data hub

Store and prepare data for further processing, analysis and management

Live demo session of the ETF Progress tool

At the end of the session, the participants will understand how to:

- Access the ETF Progress reporting tool
- Create a new CTF version for a specific submission year
- Specify and modify version settings
- View and access all CTF versions
- View and move through the navigation tree
- Add and modify data in the data entry grids
- Work in offline mode
- Export/import of data entry grids to excel
- Generate/download reporting tables



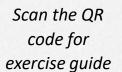
Hands-on training



Housekeeping rules for the hands-on training

- For this training session, access is provided to the test version of the ETF Progress reporting tool
- For each exercise, the secretariat will first demonstrate the features of the ETF Progress Reporting tool. During the demonstration, please refrain from using the tool. Following the demonstration, you will work on the hands-on exercise
- Please start working on the exercise only when you are asked to do so. Sufficient time will be allotted to perform exercises
- Please feel free to ask questions while performing the exercises



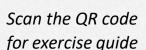




Disclaimer

- The current version used in the hands-on training is still a testing version of the tools
- Some data loss may occur until the final version of the tool is deployed later this year for Parties to prepare their submission
- Please DO NOT use the current test version of the ETF Reporting Tools to start your Party's submission







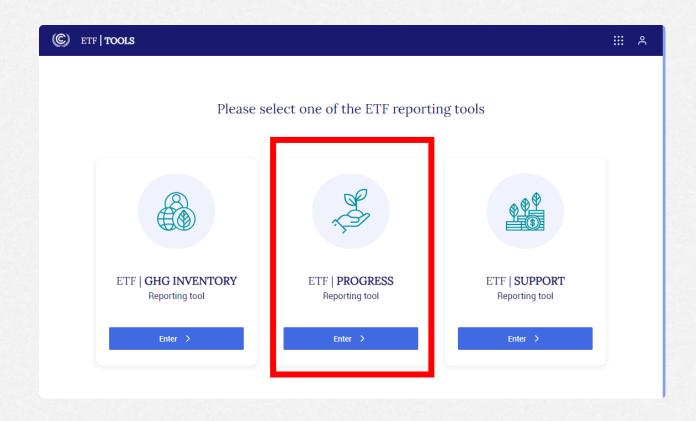


Exercises overview

- Exercise 1: Create new version and specify version settings
- Exercise 2: View and access CTF Versions; modify version settings
- Exercise 3: View and move through navigation tree and grids
- Exercise 4: Add and modify data for Projections
- Exercise 5: Use export/import to add and modify data
- Exercise 6: Add and modify data for Policies and Measures
- Exercise 7: Add and modify data for Structured Summary
- Exercise 8: Download reporting tables



Select application





Exercise 1: Create new version and specify version settings

Overview

- Create a new version
- Select version settings for flexibility
- Select version settings for Mitigation Policies and Measures
- Select version settings for GHG inventory
- Select version settings for Structured Summary
- Select version settings for Projections

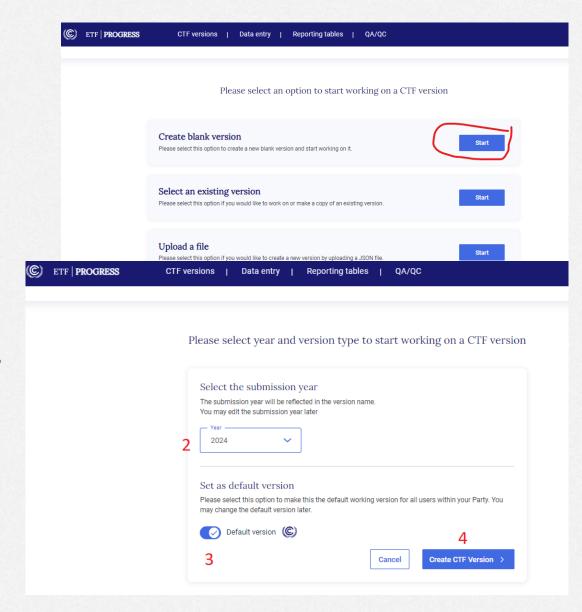


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Exercise 1: Create new version

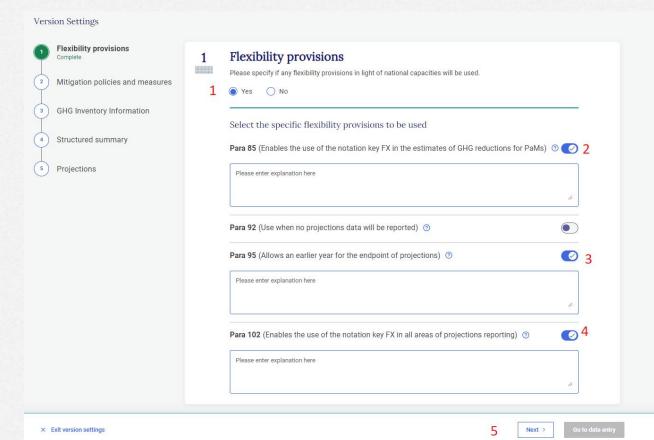
- Step 1: select "Create blank version"
- Step 2: select submission year (2024)
- Step 3: select "default version"
- Step 4: select "Create CTF version" to continue





Exercise 1: Select version settings for flexibility

- Step 1: select Yes to initial question
- Step 2: toggle para 85 on
- Step 3: toggle para 95 on
- Step 4: toggle para 102 on
- Step 5: select Next





Flexibility provisions

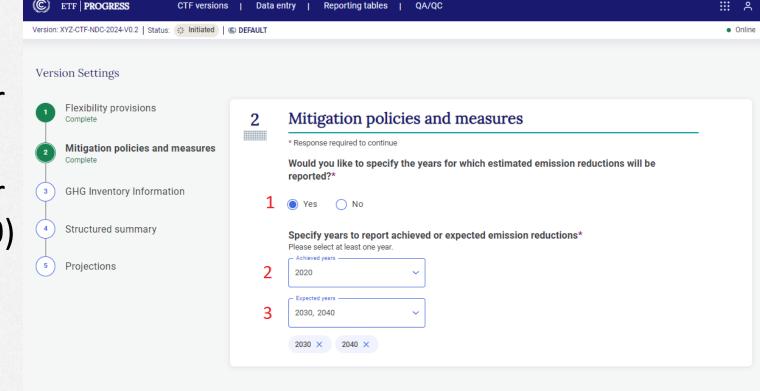
Flexibility provisions (Annex to decision 18/CMA.1)	Flexibility provisions for those developing country Parties that need it in the light of their capacities.
Para. 85 (estimated impacts of PaMs)	Each Party shall provide, to the extent possible, estimates of expected and achieved GHG emission reductions for its actions, policies and measures in the tabular format referred to in paragraph 82 above; those developing country Parties that need flexibility in the light of their capacities with respect to this provision are instead encouraged to report this information.
	Implementation in Progress tool: notation key FX is allowed for estimated impacts.
Para. 92 (projections)	Each Party shall report projections pursuant to paragraphs 93–101 below; those developing country Parties that need flexibility in the light of their capacities are instead encouraged to report these projections.
	Implementation in Progress tool: version settings, data entry grids, and reporting tables for projections are all disabled.
Para. 95 (projections)	Projections shall begin from the most recent year in the Party's national inventory report and extend at least 15 years beyond the next year ending in zero or five; those developing country Parties that need flexibility in the light of their capacities with respect to this provision have the flexibility to instead extend their projections at least to the end point of their NDC under Article 4 of the Paris Agreement.
	Implementation in Progress tool: endpoint of projections as early as NDC end year is allowed.
Para. 102 (projections)	Those developing country Parties that need flexibility in the light of their capacities with respect to paragraphs 93–101 above can instead report using a less detailed methodology or coverage.
	Implementation in Progress tool: notation key FX is allowed anywhere in projections data entry grids.



Exercise 1: Select version settings for Mitigation Policies and Measures

× Exit version settings

- Step 1: select Yes to initial question
- Step 2: select years for achieved (2020)
- Step 3: select years for estimated (2030, 2040)
- Step 4: select Next

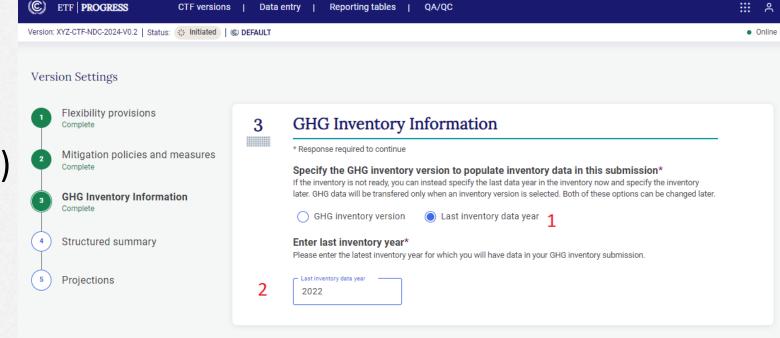


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Exercise 1: Select version settings for GHG inventory

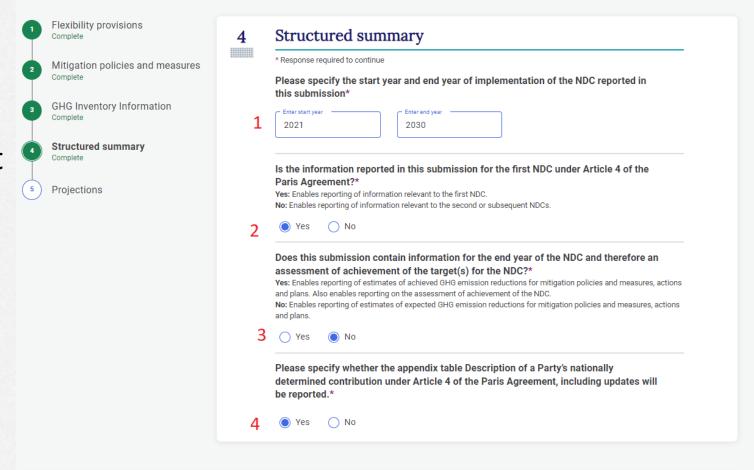
- Step 1: select "Last inventory data year"
- Step 2: enter last inventory year (2022)
- Step 3: select Next





Exercise 1: Select version settings for Structured Summary

- Step 1: enter NDC start and end years (2021, 2030)
- Step 2: select Yes for first NDC
- Step 3: select No for achievement
- Step 4: select Yes for annex table
- Step 5: select Next

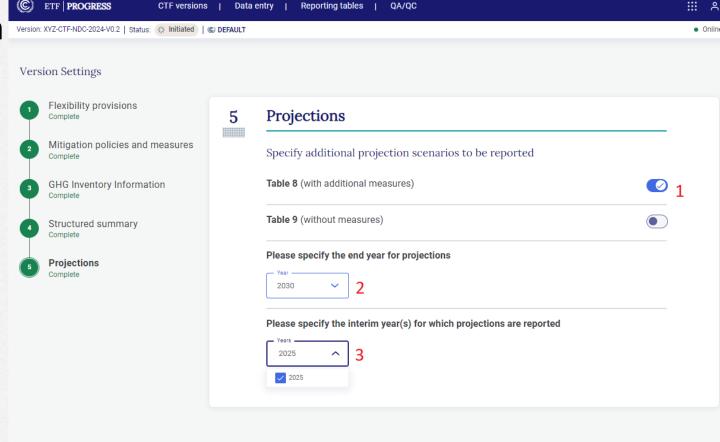




Exercise 1: Select version settings for Projections

× Exit version settings

- Step 1: toggle Table 8 on
- Step 2: select end year for projections (2030)
- Step 3: select interim years projections(2025)
- Step 4: select Go to data entry





Exercise 2: View and access CTF Versions; modify version settings

Overview

- View CTF versions
- Filter/sort CTF versions
- Edit version settings

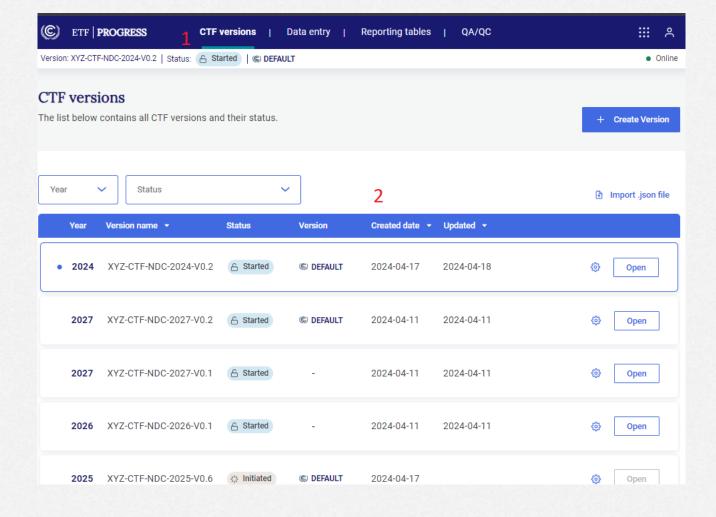


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Exercise 2: View CTF versions

- Step 1: Click on CTF versions tab
- Step 2: View the information associated with each version

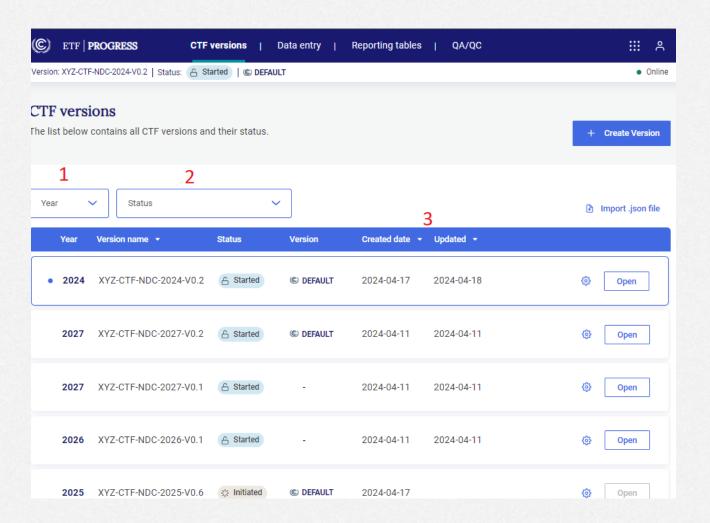




Exercise 2: Filter/sort CTF versions

- Step 1: Use the Year dropdown to view the submissions for only one year
- Step 2: Use the Status dropdown to filter by status
- Step 3: Sort the list by date created and updated

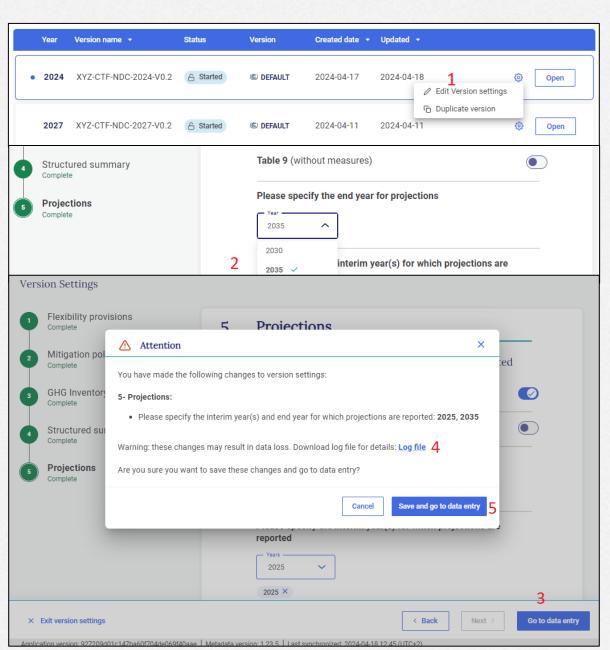




Exercise 2: Edit version settings

- Step 1: Select Edit version settings for the version you are working on
- Step 2: Go to the Projections settings and change the end year to 2035
- Step 3: Select Go to data entry
- Step 4: Download and view the log file
- Step 5: Select Save and go to data entry





Exercise 3: View and move through navigation tree and grids

Overview

- Main elements of the header and data entry screen
- Navigation tree basics



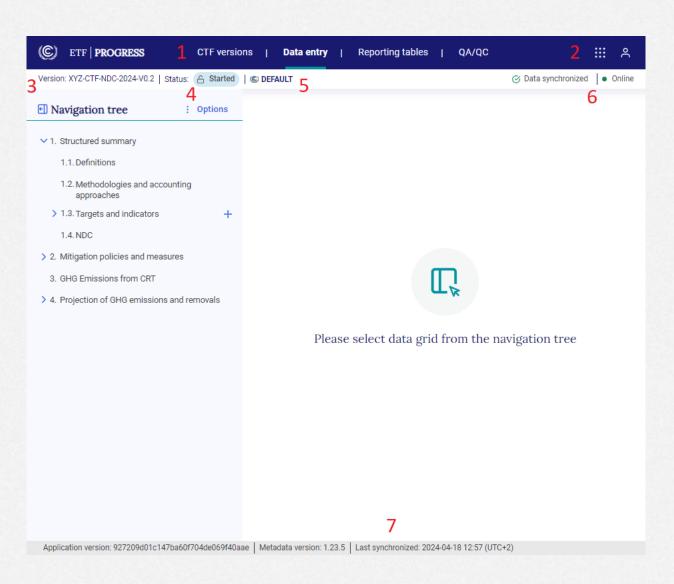
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Exercise 3: Main elements of the header and data entry screen

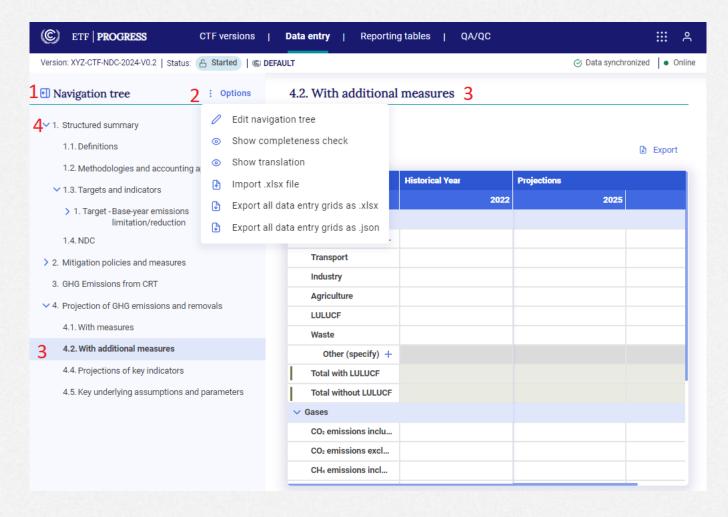
- 1: Component tabs
- 2: Application and user menus
- 3: Version number
- 4: Version status
- 5: Default version indicator
- 6: Sync/online status
- 7: Last sync time





Exercise 3: Navigation tree basics

- 1: Minimize button
- 2: Navigation tree menu
- 3: Selected data entry grid
- 4: Hide/expand widget





Exercise 4: Add and modify data for Projections

Overview

- Data entry grid basics
- Entering data
- Modifying data
- Add a custom sector
- Understanding data validation
- Copy/paste data from excel



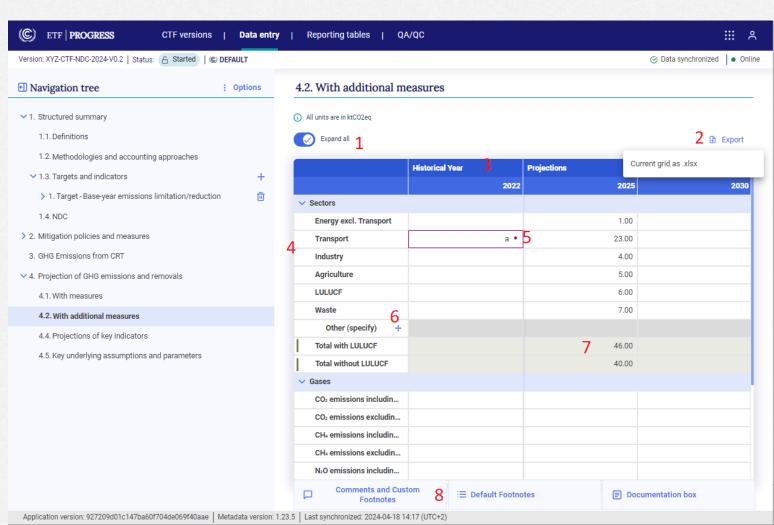
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Exercise 4: Data entry grid basics

- 1: Expand/hide
- 2: Export menu
- 3: Column headers
- 4: Row headers
- 5: Data validation error
- 6: Add custom row
- 7: Calculated cell
- 8: Comment/footnote popup menu





Exercise 4: Entering data

4.2. With additional measures

• Fill the With additional measures data entry grid as shown:

(i) All units are in ktCO2eq Expand all Export **Historical Year Projections** 2025 2022 2030 Sectors Energy excl. Transport 120.00 110.00 100.00 Transport 65.00 70.00 60.00 Industry 80.00 60.00 50.00 Agriculture 45.00 50.00 60.00 LULUCF -20.00 -20.00 -20.00Waste 20.00 15.00 10.00 Other (specify) Total with LULUCF 310.00 285.00 260.00 Total without LULUCF 330.00 305.00 280.00



Exercise 4: Entering data (continued)

• Fill the remainder of the With additional measures data entry grid as shown:

	Historical Year	Projections	
	2022	2025	2030
Sectors			
✓ Gases			
CO ₂ emissions including net CO ₂ from LUL	248.00	228.00	208.00
CO2 emissions excluding net CO2 from LU	264.00	244.00	224.00
CH₄ emissions including CH₄ from LULUCF	31.00	28.50	26.00
CH4 emissions excluding CH4 from LULUCF	33.00	30.50	28.00
$N_2 O$ emissions including $N_2 O$ from LULUCF	15.50	14.20	13.00
N₂O emissions excluding N₂O from LULUCF	16.50	15.20	14.00
HFCs	9.30	9.30	9.30
PFCs	3.10	3.10	3.10
SF ₆	3.10	3.10	3.10
NF ₃	NO	NO	NC
Other (specify) +			
Total with LULUCF	310.00	286.20	262.50
Total without LULUCF	329.00	305.20	281.50



Exercise 4: Modifying data

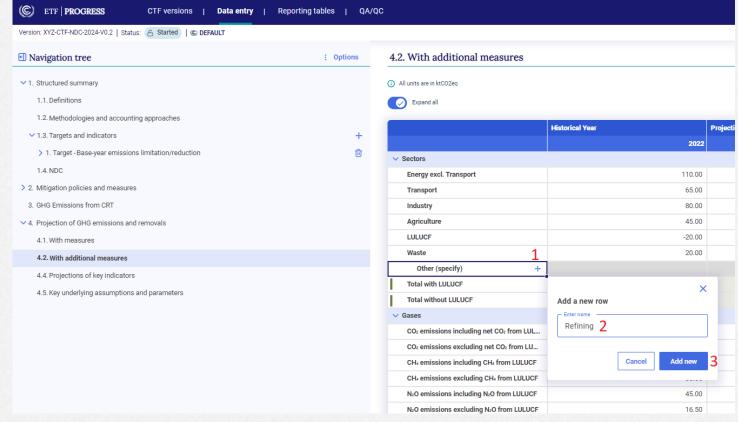
- Step 1: Single-click in a cell and overwrite it with new data
- Step 2: Double-click in a cell and edit that information:
- Step 3: Overwrite one of the green calculated cells and notice the change in color

CH4 emissions excluding CH4 from LULUCF	33.00	
N₂O emissions including N₂O from LULUCF	45	
N₂O emissions excluding N₂O from LULUCF	16.50	
N₂O emissions excluding N₂O from LULUCF	16.50	
HFCs	9.30	
PFCs	3.1	
PFCs	4.00	
NF ₃	NO	
Total with LULUCF	300.00	
Total without LULUCF	329.90	



Exercise 4: Add a custom sector

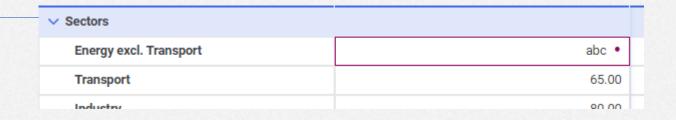
- Step 1: Click the + sign to add a custom sector
- Step 2: Enter the name
- Step 3: Click Add new



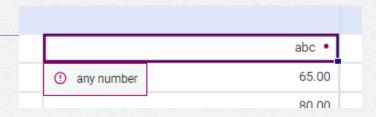


Exercise 4: Understanding data validation

 Data validation error looks like this



 Click on the dot to see what values are accepted here



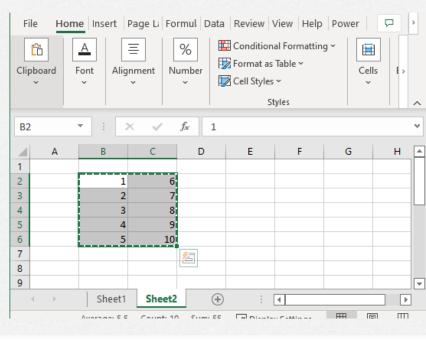
 Note that non-validated data is not saved to the server



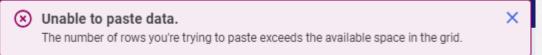
Exercise 4: Copy/paste data from excel

- Step 1: Enter some data in excel
- Step 2: Use the excel copy function
- Step 3: Press ctrl-V in the NDC Progress tool to paste
- Step 4: View the copied data
- Note that range copied cannot be larger than target range







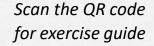


Exercise 5: Use export/import to add and modify data

Overview

- Export the With additional measures data entry grid to excel
- Add and modify data in excel
- Import excel file
- Draft mode
- Understanding the log file and error messages





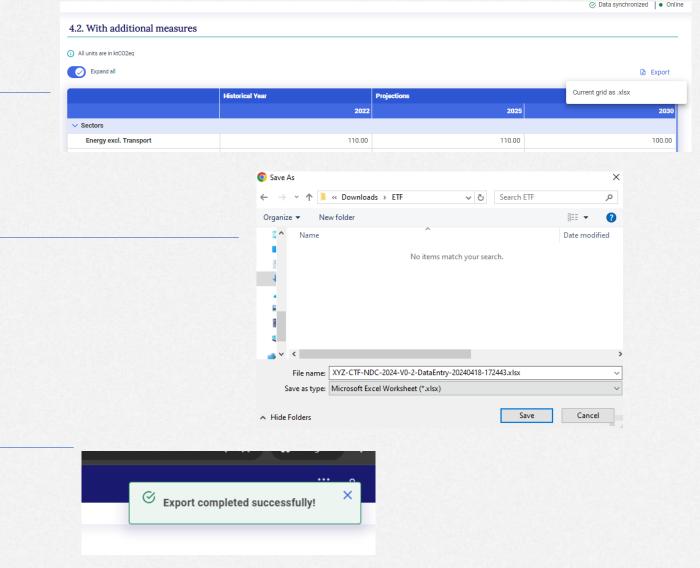


Exercise 5: Export the With measures data entry grid to excel

 Step 1: Click export and select Current grid as .xlsx

 Step 2: Click save to save with proposed file name

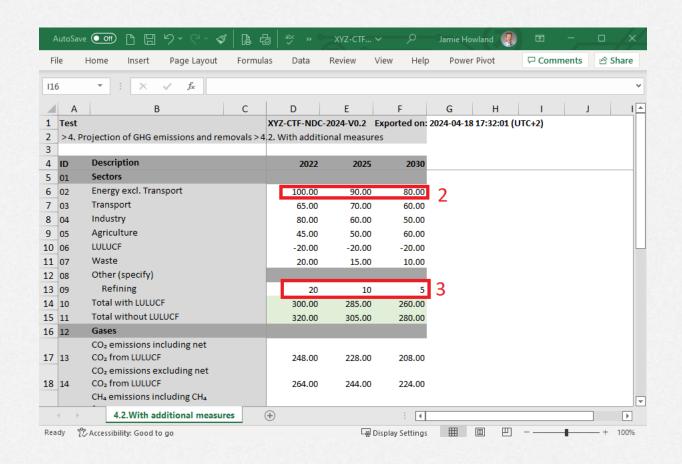
 Step 3: Note success message





Exercise 5: Add and modify data in excel

- Step 1: Open file in excel
- Step 2: Modify values in Energy sector
- Step 3: Add values to the custom sector
- Step 4: Save file





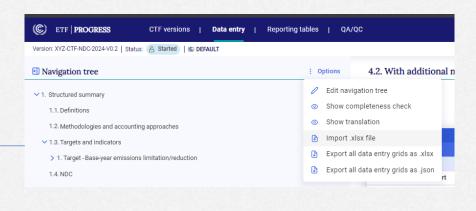
Exercise 5: Import excel file

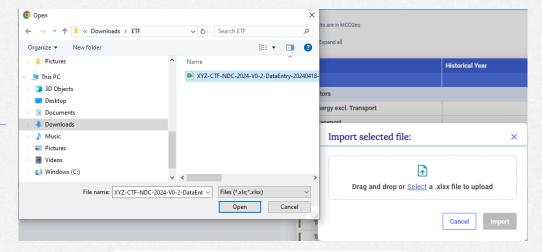
 Step 1: In navigation tree menu select import .xlsx

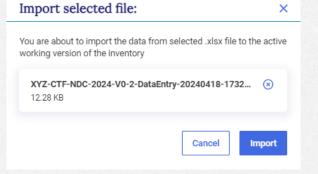
 Step 2: Drag and drop file or click select and use windows dialog to select it and click open

Step 3: Click Import





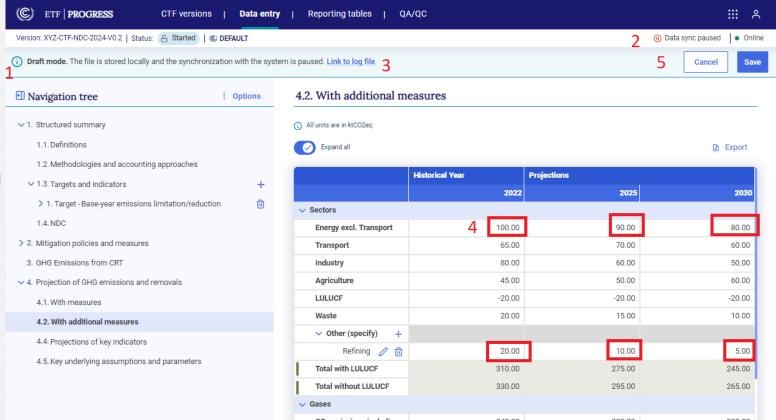




Exercise 5: Draft mode

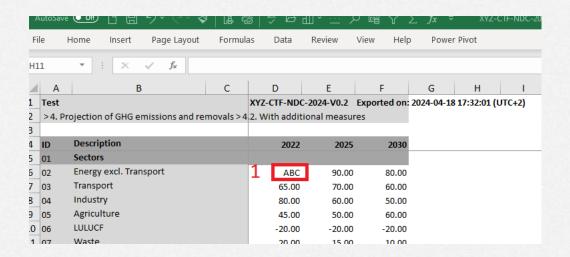
- Step 1: Note banner indicating draft mode
- Step 2: Note data sync paused
- Step 3: Click to save log file
- Step 4: Note changed data from import
- Step 5: Click save to keep imported data





Exercise 5: Understanding the log file and error messages

- Step 1: Open the excel file again and add invalid data
- Step 2: Import the excel file
- Step 3: Save the log file and open it
- Step 4: View error messages
- Step 5: Cancel out of draft mode



```
File Edit Format View Help

4/19/24 9:49 AM: Loading all records
4/19/24 9:49 AM: Cannot import value for year 2022 for 45995c2b-2241-4688-9f9e-5c1f9d0f14a0: ABC. Reason is any number.
4/19/24 9:49 AM: Saved value for year = 2022 for 6213b2e3a329f06684fe427: 20.
4/19/24 9:49 AM: Saved value for year = 2025 for 66213b2e3a329f06684fe427: 10.
4/19/24 9:49 AM: Saved value for year = 2030 for 65995c2b-2241-4688-9f9e-5c1f9d0f14a0: 80.
4/19/24 9:49 AM: Saved value for year = 2030 for 65213b2e3a329f06684fe427: 5.
4/19/24 9:49 AM: Import finished (1 314 seconds)
4/19/24 9:49 AM: Import finished (1 314 seconds)
4/19/24 9:49 AM: Import partially successful!
```



Exercise 6: Add data for Policies and Measures

Overview

- Create a new measure
- Types of data entry fields
- Fill in data using the various methods
- Create custom entries
- Create user comments and footnotes

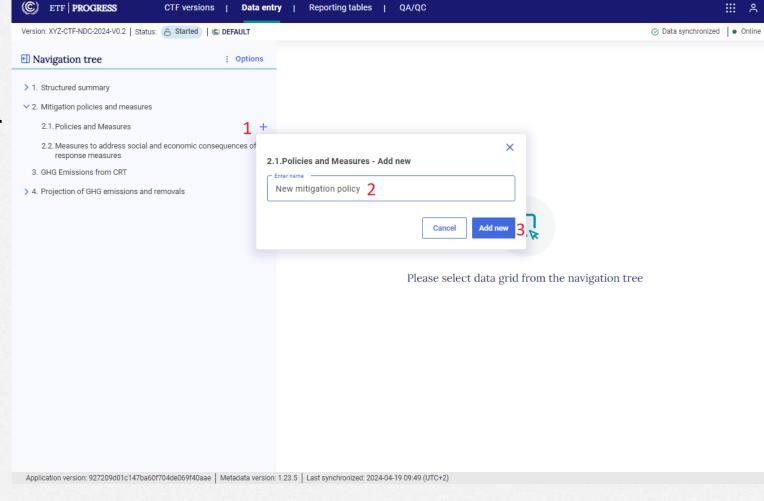


Scan the QR code for exercise guide



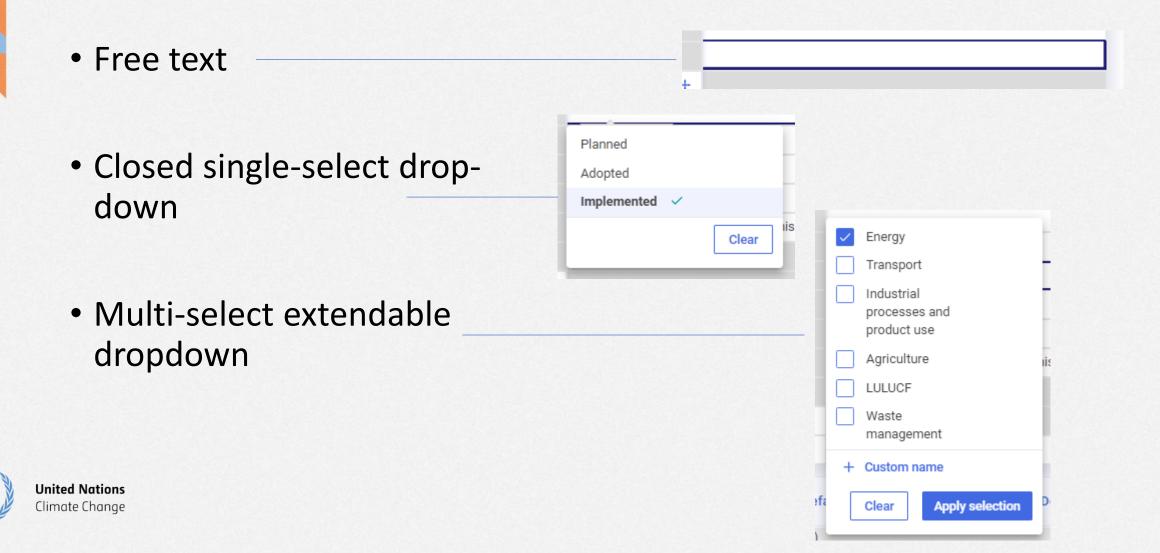
Exercise 6: Create a new measure

- Step 1: Click the + sign to add a new measure
- Step 2: Enter a name for the measure
- Step 3: Click add new





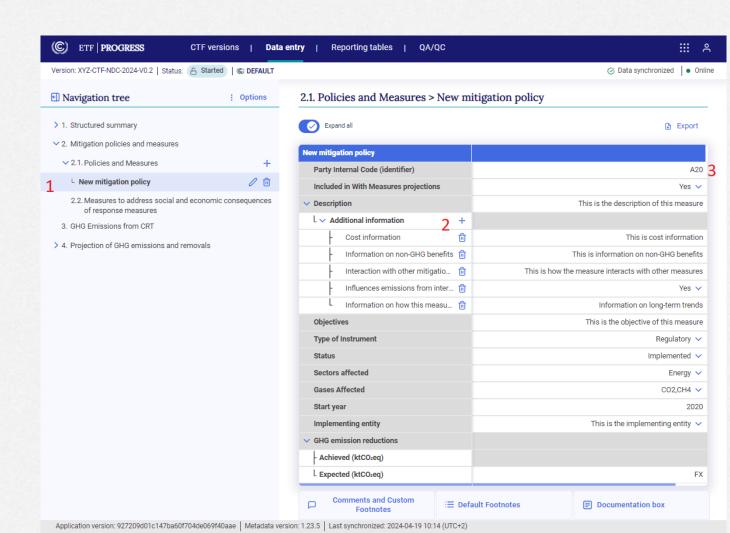
Exercise 6: Types of data entry fields



Exercise 6: Fill in data using the various methods

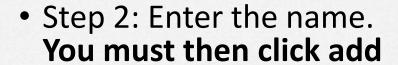
- Step 1: Select the measure in the navigation tree
- Step 2: Click the + sign to add optional additional information
- Step 3: Fill out the data entry grid



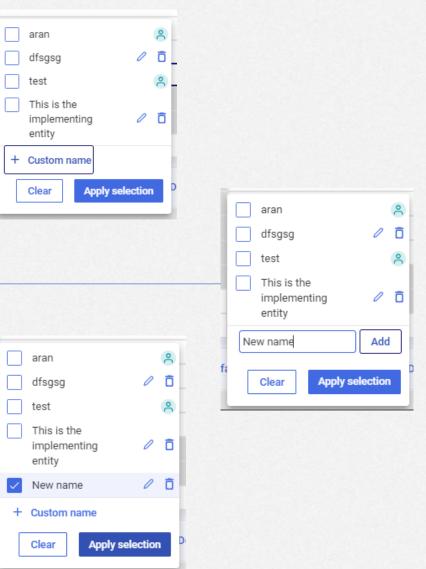


Exercise 6: Create custom entries

 Step 1: Click the + Custom name button to create a new entry



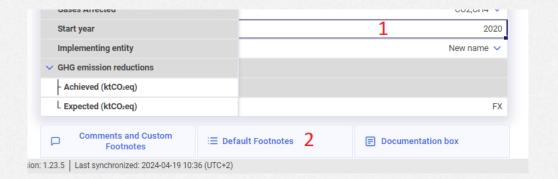
 Step 3: Ensure the new list item is checked and click – Apply selection

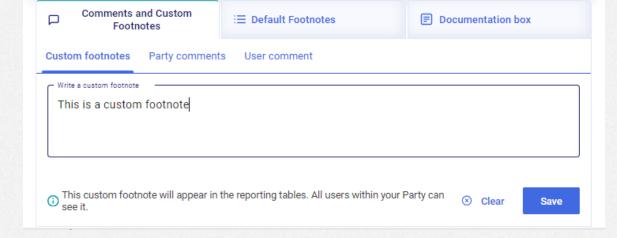




Exercise 6: Create user comments and footnotes

- Step 1: Select a cell
- Step 2: Click anywhere on the comments/footnotes tab to pop up the function
- Step 3: Add a custom footnote and press save
- Step 4: Explore the other comment options







Exercise 7: Add and modify data for Structured Summary

Overview

- Add new targets
- Enter target information
- Add indicators and their information
- Add tracking progress data
- Add progress made data
- Add a definition
- Add a methodology/accounting approach
- Add NDC information



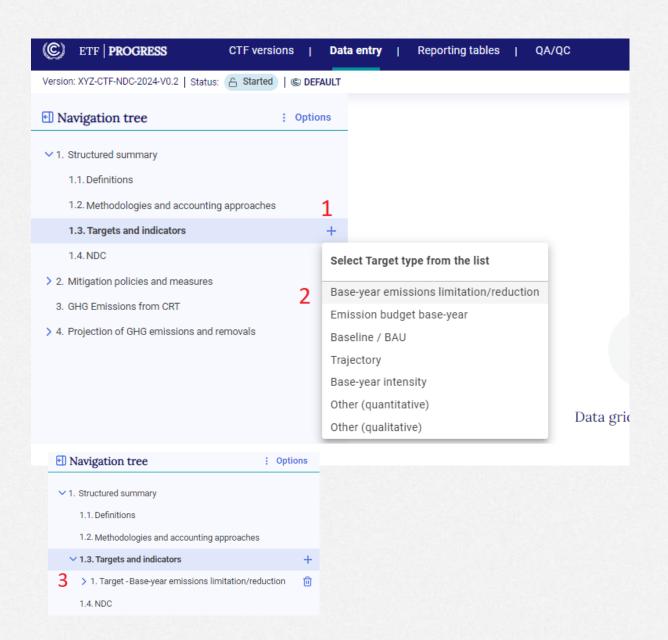
Scan the QR code for exercise guide



Exercise 7: Add new targets

- Step 1: Click the + sign on 1.3 targets and indicators
- Step 2: Select the Baseyear emissions target type
- Step 3: Confirm that the target has been created





Exercise 7: Enter target information

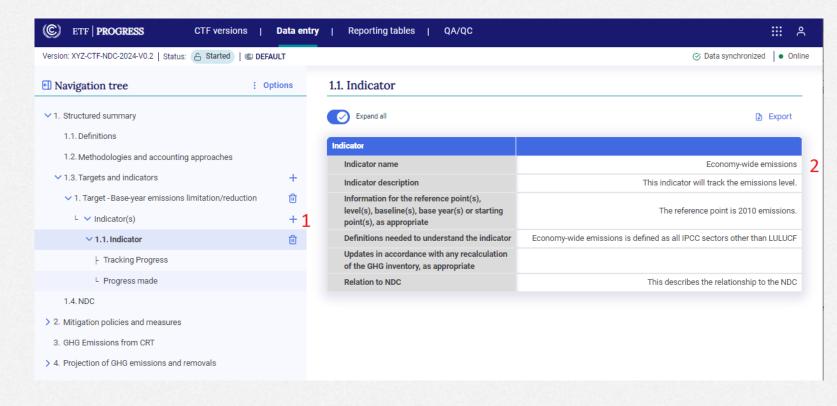
 Step 1: Enter target information as shown





Exercise 7: Add indicators and their information

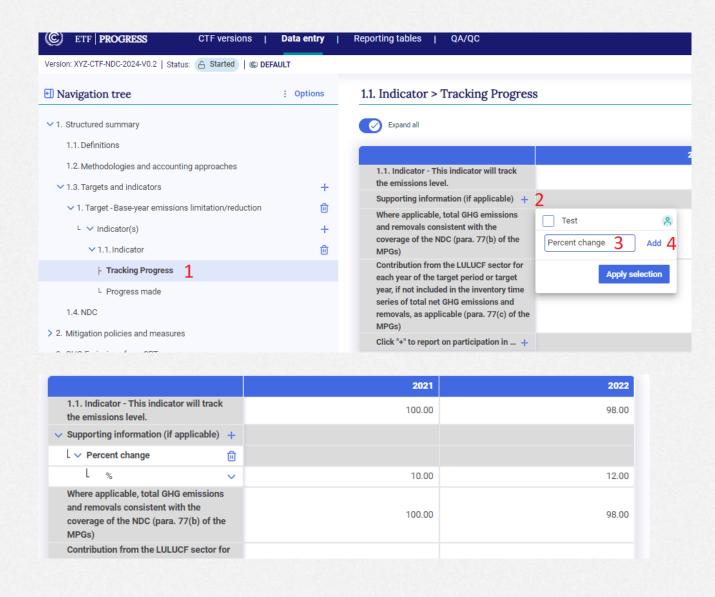
- Step 1: Click the + sign to add an indicator
- Step 2: Fill in the indicator information





Exercise 7: Add tracking progress data

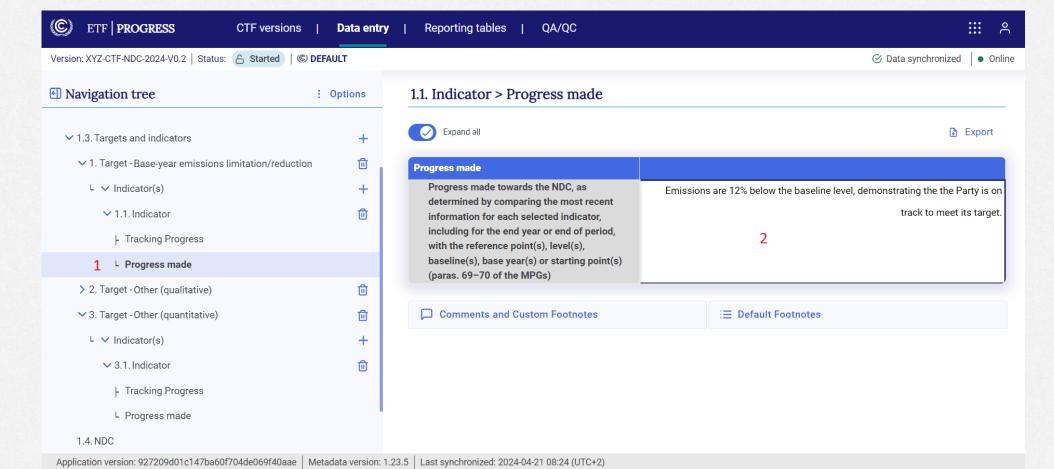
- Step 1: Select Tracking progress in the navigation tree
- Step 2: Click the + sign to add a line of supporting information
- Step 3: Enter the name
- Step 4: Click add and the apply selection
- Step 5: Fill in the tracking progress data
- Step 6: Explore the options for cooperative approaches





Exercise 7: Add Progress made data

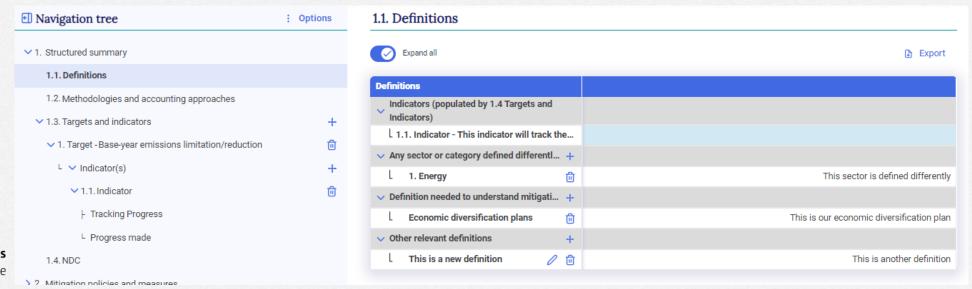
- Step 1: Select Progress made in the navigation tree
- Step 2: Add the information in the text entry box





Exercise 7: Add a definition

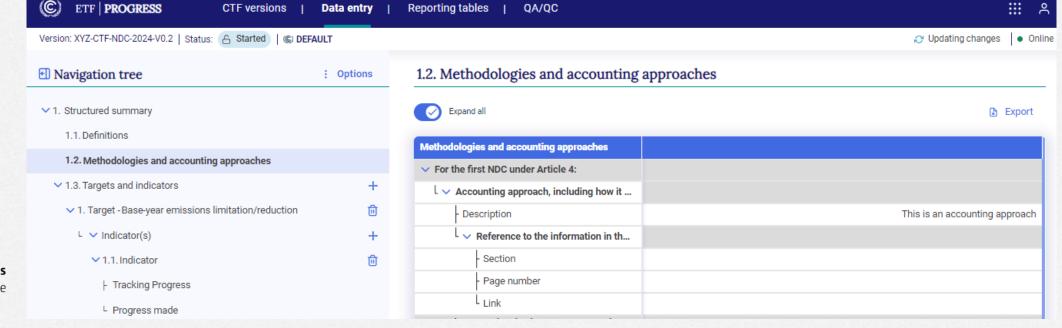
- Step 1: Navigate to Definitions
- Step 2: Add a new definition in each of the 3 categories (note that the indicator definition is entered on the indicator data entry grid.





Exercise 7: Add a methodology/accounting approach

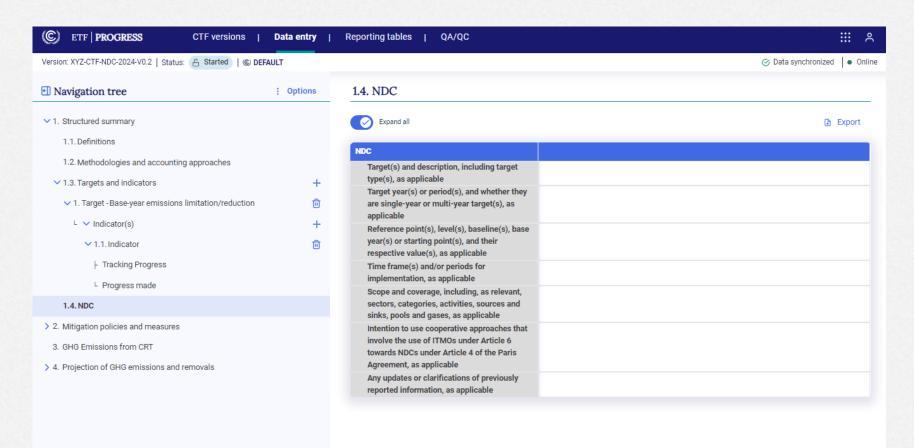
- Step 1: Navigate to Methodologies and accounting approaches
- Step 2: Fill in the description





Exercise 7: Add NDC information

Fill in the NDC information using the NDC of your Party





Exercise 8: Download reporting tables

Overview

- Select reporting tables to download
- View reporting tables

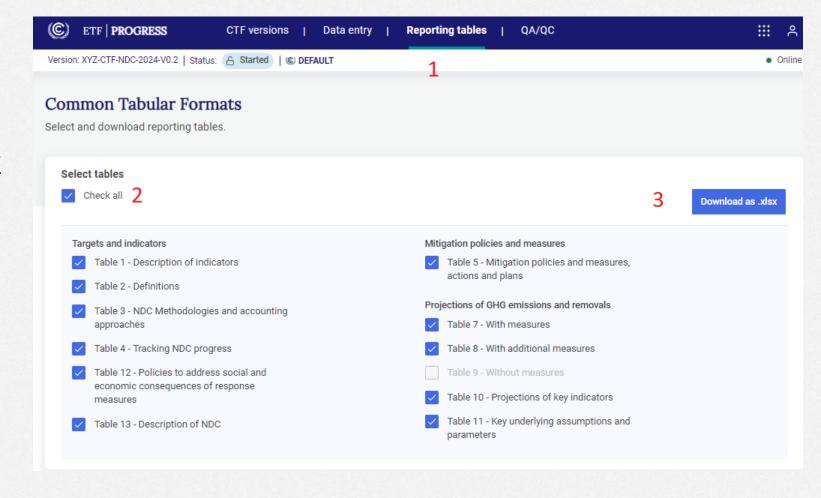


Scan the QR code for exercise guide



Exercise 8: Select reporting tables to download

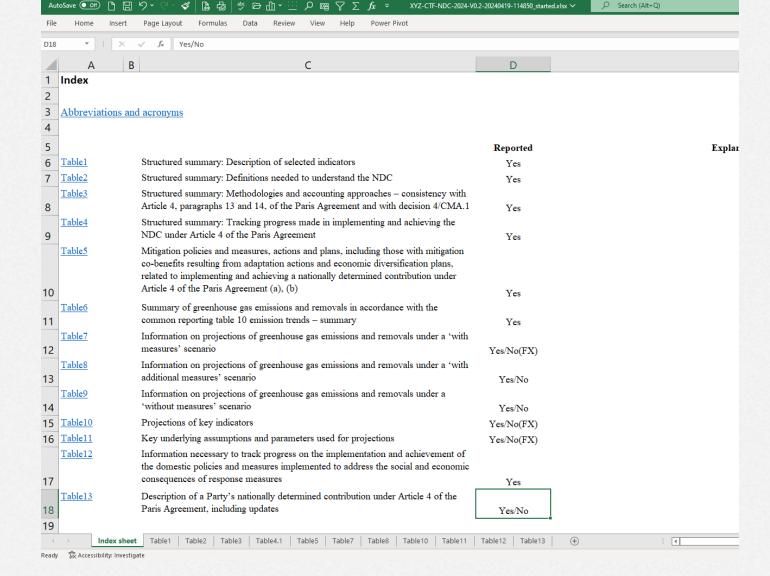
- Step 1: Select the Reporting tables tab
- Step 2: Click Check all
- Step 3: Click
 Download as .xlsx
 and hit save





Exercise 8: View reporting tables

 Open the downloaded file in excel and view all tabs





Thank you for attending!

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https://unfccc.int/Transparency

Contact us at: Tools.Support@unfccc.int



Thank you!