

Regional Climate Week

Asia-Pacific

Johor, Malaysia – 13-17 November 2023



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Exercise on Initial Report



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Reporting Obligations

- Participating Parties are *subject to the reporting requirements of Article 6.2*, if the participating Party:
 - Host Party **authorizes** internationally transferred mitigation outcomes (ITMOs)
 - Host Party that **approves entities** in activities for which ITMOs are **authorized**.



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Article 6.2 Reporting. Initial Report

A country participating in a cooperative approach does not have to report anything relating to Art. 6.2 ex ante, i.e. before it is engaged in the transfer of ITMOs. However, when a country is on the verge of transferring ITMOs, it **does have to submit an initial report** showing that it fulfils the participation requirements.

The initial report consists of four sections:

The initial report contains 4 main sections:

"no later than the authorization of ITMO"

The **first section** requires that the participating country confirms it fulfils the participation requirements).

The **second section** contains information about the NDC (target, types of targets, reference levels, scope, and coverage, implementation periods, intention to use cooperative approaches, etc).

The **third section** concerns the accounting of ITMOs and accounting of the NDC, and contains information on ITMO metrics, the method for applying **corresponding adjustments**, and the **method for quantification** of the NDC.

The **fourth section** includes information specific to the cooperative approach: Authorization by the participating country. Description and duration of the cooperative approach. Expected mitigation per year of the cooperative approach. Countries involved in the cooperative approach. Authorized entities. Description of how the cooperative approach ensures environmental integrity.



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Article 6.2 Reporting. Initial Report (trigger and timeline)

(i) Trigger:

- the point in time when a Party becomes a participating Party by **authorizing the cooperative approach** as per paragraph 18 in Decision 2/CMA.3

(ii) Timeline (when the IR is submitted):

- After **authorizing the cooperative approach -> trigger.**
- Not later than the **authorization of ITMO.**



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Initial Reporting Outline



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Outline for the initial report and updated initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

Note: References to paragraphs in the outline below are to paragraphs in the annex to decision 2/CMA.3.

- I. Participation responsibilities.
- II. Description of the Party's NDC.
- III. ITMO metrics, method for applying corresponding adjustments and method for quantification of the NDC.
- IV. Information on each cooperative approach (para. 18(g–i), para. 19).



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I. Participation responsibilities

- A. Demonstration in relation to the Party's responsibility to ensure that it is a **Party to the Paris Agreement**.
- B. Information in relation to the **prepared, communicated and is maintaining an NDC** Party's fulfilment of its responsibility to ensure that it has in accordance with Article 4, paragraph 2.
- C. Information in relation to the Party's **arrangements for authorizing the use of ITMOs** towards achievement of NDCs pursuant to Article 6, paragraph 3.
- D. Information in relation to the Party's **arrangements** that are consistent with the Article 6, paragraph 2, guidance and relevant decisions of the CMA **for tracking ITMOs**.
- E. Information in relation to the Party's provision of the **most recent national inventory report** required in accordance with decision 18/CMA.1
- F. Information in relation to how the Party's **participation contributes to the implementation of its NDC and LT-LEDS**, if it has submitted one, and the long-term goals of the Paris Agreement



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Outline for the initial report and updated initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

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II. Description of the Party's NDC

as referred to in decision 18/CMA.1, annex, paragraph 64, where a participating Party has not yet submitted a biennial transparency report (para.18(b))

Option 1 {Illustrative table as per decision 5/CMA.3, annex II, appendix):

[Illustrative elements of information Party wish to provide in a tabular format:

(see next slide)

Option 2 {Illustrative textual description} Illustrative elements of information Party may wish to provide:

(see next slide)

plus:

Link to the BTR or description of NDC as referred to in paragraph 64 of annex to decision 18/CMA.1]



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Description of a Party's nationally determined contribution under Article 4 of the Paris Agreement, including updates

	Description
Target(s) and description, including target type(s) , as applicable	
Target year(s) or period(s), and whether they are single-year or multi-year target(s), as applicable	
Reference point(s) , level(s), baseline(s), base year(s) or starting point(s), and their respective value(s), as applicable	
Time frame(s) and/or periods for implementation, as applicable	
Scope and coverage , including, as relevant, sectors, categories, activities, sources and sinks, pools and gases, as applicable	
Intention to use cooperative approaches that involve the use of ITMOs under Article 6 towards NDCs under Article 4 of the Paris Agreement, as applicable	Note: The last two rows, on the intention to use cooperative approaches and any updates, are irrelevant given that a Party would submit an initial report or updated initial report when it intends to use cooperative approaches and other elements of the outline cover this information.
Any updates or clarifications of previously reported information, as applicable	

Note: This table is to be used by Parties on a voluntary basis



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Outline for the initial report and updated initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

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III. ITMO metrics, method for applying corresponding adjustments and method for quantification of the NDC

A. ITMO metrics.

B. Method for applying corresponding adjustments as per decision 2/CMA.3, annex, chapter III.B (Application of corresponding adjustments) for multi- or single-year NDCs that will be applied consistently throughout the period of NDC implementation and where the method is a multi-year emissions trajectory, trajectories or budget, description of the method

C. Party's mitigation information in its NDC quantified in t CO₂ eq, including the sectors, sources, GHGs and time periods covered by the NDC, the reference level of emissions and removals for the relevant year or period, and the target level for its NDC or, where this is not possible, the methodology for quantifying the NDC in t CO₂ eq

D. Quantified NDC, or the portion in the relevant non-GHG indicator, **in a non-GHG metric** determined by each participating Party, if applicable

E. For a first or first updated NDC consisting of policies and measures that is not quantified, **quantified emission level resulting from the policies and measures** that are relevant to the implementation of the cooperative approach and its mitigation activities for the categories of anthropogenic emissions by sources and removals by sinks, as identified by the first transferring Party pursuant to paragraph 10, and the time periods covered by the NDC

Outline for the initial report and updated initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

Note: References to paragraphs in the outline below are to paragraphs in the annex to decision 2/CMA.3.

- I. Participation responsibilities.
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IV. Information on each cooperative approach

(para. 18(g-i), para. 19)

Note: For each **further** cooperative approach, each Participating Party shall submit information referred to in paragraph 18(g-i) of annex to decision 2/CMA.3 in an updated initial report (paragraph 19 of annex to decision 2/CMA.3).

- | | |
|---|--|
| <p>A. Name [and identification number]</p> <p>B. Copy of the authorization by the participating Party (para. 18(g))</p> <p>C. Description (para. 18(g))</p> <p>D. Duration (para. 18(g))</p> <p>E. Expected mitigation for each year of the duration of the cooperative approach (para. 18(g))</p> | <p>F. Participating Parties involved (para. 18(g))</p> <p>G. Authorized entities (para. 18(g))</p> <p>H. Description of how the cooperative approach ensures environmental integrity (para. 18(h), to be updated by para. 22(b))</p> <p>I. Additional description of the cooperative approach (para 18(i))</p> <ul style="list-style-type: none">• Annex(es) |
|---|--|



Exercise and discussion

Quick walk through all sections of the Initial Report example

Draft of input for Section

Information on each cooperative approach
→ Participants form groups, at least 1 participant per group with an ongoing Art.6.2 activity or started discussion on an Art.6.2 activity



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Example

Initial report referred to in decision 2/CMA.3, annex, chapter IV.A (Initial report)

Party	Switzerland
NDC period	2021-2030
Report type	<input checked="" type="checkbox"/> Initial report <input type="checkbox"/> Updated initial report
Report number for the NDC period	1
Version	1.0
Date	17/05/2023
Names of cooperative approaches included in this report	Promotion of climate smart agriculture practices for sustainable rice cultivation in Ghana Operation of e-buses on privately owned, scheduled public bus routes in the Bangkok Metropolitan area by Energy Absolute Electrification of Vanuatu's Inhabited Islands through Solar Power ITMO Programme

I. Participation responsibilities (para. 18(a))

A. Information on how the Party ensures that it is a Party to the Paris Agreement (para. 18(a), para. 4(a), to be updated by para. 21(a))

Switzerland has ratified the Paris Agreement on October 6th 2017 and is a Party to the Paris Agreement.

B. Information on how the Party ensures that it has prepared, communicated and is maintaining an NDC in accordance with Article 4, paragraph 2 (para. 18(a), para. 4(b), to be updated by para. 21(a))

Switzerland has submitted the latest version of its updated NDC on December 17th 2021.¹ Switzerland's national CO₂ Acts (2022-24 in force; 2025-2030² under Parliamentary debate) anchor Switzerland's emission reduction targets and define domestic measures.

C. Information on how the Party ensures it has arrangements in place for authorizing the use of ITMOs towards achievement of NDCs pursuant to Article 6, paragraph 3 (para. 18(a), para. 4(c), to be updated by para. 21(a))

Switzerland's competent authority for authorizing the use of ITMOs is the Federal Department of Environment, Transport, Energy and Communications acting through the Federal Office for the Environment (FOEN). The competence is delegated to the FOEN through the bilateral agreements as well as Switzerland's national CO₂ Act. The bilateral agreements and the national CO₂ legislation include eligibility requirements for the authorizations.

D. Information on how the Party ensures it has arrangements in place that are consistent with the Article 6, paragraph 2, guidance and relevant decisions of the CMA for tracking ITMOs (para. 18(a), para. 4(d), to be updated by para. 21(a))

Switzerland uses the Swiss Emissions Trading Registry³ for the tracking of ITMOs which are recognized by Switzerland under Article 6.2. The ITMOs, which have been first transferred from Switzerland's partner countries will be issued in the Swiss Emissions Trading Registry as



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