The Convention and the Paris Agreement

→ Brief History
→ Principles
→ Key Articles & Obligations
# BRIEF HISTORY

<table>
<thead>
<tr>
<th>Year</th>
<th>Event</th>
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<tbody>
<tr>
<td>1979</td>
<td>1st World Climate Conference</td>
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<tr>
<td>1988</td>
<td>IPCC established</td>
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<td>1990</td>
<td>IPCC FAR and 2nd WCC: call for global treaty on climate change</td>
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<tr>
<td>1992</td>
<td>UNFCCC text adopted and opened for signature (Rio Summit)</td>
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<td>1994</td>
<td>Mar, 21: UNFCCC enters into force</td>
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<tr>
<td>1995</td>
<td>COP 1 Berlin Mandate; IPCC SAR</td>
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<td>1997</td>
<td>COP 3 Kyoto Protocol text adopted</td>
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<td>2001</td>
<td>COP 7 Marrakesh Accords; IPCC TAR</td>
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<tr>
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<tr>
<td>2005</td>
<td>Kyoto Protocol enters into force; CMP1, AWG-KP Dialogue (LCA)</td>
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<tr>
<td>2007</td>
<td>COP 13 Bali Action Plan; IPCC AR4</td>
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<td>2010</td>
<td>COP 16 Cancun Agreements</td>
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<td>2012</td>
<td>COP 18 Doha Amendment to the KP</td>
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<tr>
<td>2014</td>
<td>COP 20 Lima Call for Action (INDCs); IPCC AR5</td>
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<tr>
<td>2015</td>
<td>COP 21 Paris Agreement text adopted</td>
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<tr>
<td>2016</td>
<td>Nov, 4: Paris Agreement enters into force</td>
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<td>2018</td>
<td>COP 24 Katowice Climate Package</td>
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## THE CONVENTION (UNFCCC) – *Structure*

### Introductory Articles
- Preamble
  - 1. Definitions
  - 2. *Objective*
  - 3. *Principles*

### Substantive elements and commitments
- 4. *Commitments*
- 5. Research and systematic observation
- 6. Education, training and public awareness

### Institutional arrangements
- 7. Conference of the Parties (COP)
- 8. Secretariat
- 9. SBSTA
- 10. SBI
- 11. Financial Mechanism

### Procedures
- 12. Communication of information
- 13. Resolution of questions regarding implementation
- 14. Settlement of disputes
- 15. Amendments to the Convention
- 16. Adoption and amendment of annexes to the Convention
- 17. Protocols
- 18. Right to vote
- 19. Depository
- 20. Signature
- 21. Interim arrangements
- 22. Ratification, acceptance, approval or accession
- 23. Entry into force
- 24. Reservations
- 25. Withdrawal
- 26. Authentic texts

### Annexes
- Annex I
- Annex II
Article 2 Objective

The ultimate objective of the Convention is;

→ to achieve stabilization of greenhouse gas concentrations in the atmosphere at a level that would **prevent dangerous anthropogenic interference with the climate system**.

→ **within a time frame sufficient to**;
  
  ➢ allow ecosystems to adapt naturally to climate change,
  ➢ ensure that food production is not threatened and
  ➢ enable economic development to proceed in a sustainable manner.
Article 3 Principles

- Protect the climate system for the benefit of present and future generations on the basis of equity (inter-generational equity) and in accordance with their common but differentiated responsibilities and respective capabilities (CBDRRC)

- Full consideration to be given to specific needs and special circumstances of developing country Parties

- Precautionary measures to be taken; policies and measures to deal with climate change should be cost-effective; efforts may be carried out cooperatively

- Right to sustainable development

- Cooperation to promote a supportive and open international economic system
UNFCCC Parties (197)

**Annex I Parties**
Group of 43 developed countries, that were part of the OECD in 1992, and countries with economies in transition (EITs)

**Annex II Parties**
Group of 24 highly developed countries (subset of Annex I)

**Non Annex I Parties**
Remaining 154 Parties, mostly developing countries
Article 4 Commitments of all Parties

- Develop national GHG inventories
- Formulate mitigation and adaptation measures
- Cooperate in transfer of technologies to reduce GHGs
- Promote to conserve and enhance sinks
- Take CC into account in development planning
- Cooperate in research and systematic observation
- Cooperate in exchange of relevant information
- Cooperate in education, training and public awareness
- Communicate to COP information related to implementation (as per Art.12)
Article 4 Commitments of different Party groups

Annex I
- Take the lead in reducing GHG emissions + KP targets
- Submit regular national communications and biennial reports
- Submit annual national inventory reports

Annex II
- Provide new and additional financial and other resources to developing countries for mitigation and adaptation
  - Facilitate the transfer of climate-friendly technologies

Non Annex I
- Report on adaptation and mitigation actions
- Submit national communications and biennial update reports
# PARIS AGREEMENT – Structure

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<th>General Articles</th>
<th>Substantive elements and commitments</th>
<th>Reporting, review and compliance</th>
<th>Institutional arrangements</th>
<th>Final Articles</th>
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<tr>
<td>Preamble</td>
<td>1. Definitions</td>
<td>13. Transparency of action and support</td>
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<td>2. Purpose</td>
<td>14. Global stock-take</td>
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<td>3. NDCs</td>
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<td>4. Mitigation</td>
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<td>16. Conference of the Parties (CMA)</td>
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<td></td>
<td>5. GHG sinks, reservoirs and REDD+</td>
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<td>17. Secretariat</td>
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<td>6. Cooperative approaches</td>
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<td>18. SBI and SBSTA</td>
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<td>7. Adaptation</td>
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<td>19. Other bodies and institutional arrangements</td>
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<td>8. Loss and damage</td>
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<td>9. Finance</td>
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<td>10. Technology development and transfer</td>
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<td>12. Climate change awareness and education</td>
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**Purpose of the Paris Agreement (Art.2)**

Strengthen the global response to the threat of CLIMATE CHANGE, in the context of sustainable development and efforts to eradicate poverty

- Long-term temperature goal (2°C/1.5°C)
- Climate resilience and low emissions development
- Financial flows

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### Action

- * Adaptation
- * Mitigation

### Means of implementation

- * Finance
- * Technology dev. & transfer
- * Capacity-building

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### Accountability (individual and aggregate level)

- * Transparency of action and support
- * Global stock-take (ambition mechanism)
- * Facilitating implementation and compliance

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➢ The Agreement will be implemented to reflect equity and the principle of CBRDRC, in the light of different national circumstances.
Art.3 Nationally determined contributions (NDCs)

- Global response to climate change
- Ambitious efforts undertaken and communicated by all Parties
- Efforts as defined in Articles 4, 7, 9, 10, 11 and 13
- With a view to achieving the purpose of Paris Agreement
- Efforts of Parties to present progression over time

Individual NDCs → Global Response

Purpose of the Paris Agreement
- → 2°C/1.5°C
- → Resilience and low emission
- → Climate finance

NDC
- Efforts on:
  - Mitigation
  - Adaptation
  - Finance
  - Technology
  - Capacity building
  - Transparency
### PARIS AGREEMENT - NDCs

#### Art.4 Mitigation component of NDCs

<table>
<thead>
<tr>
<th>All Parties</th>
<th>Developed Country Parties</th>
<th>LDCs and SIDS</th>
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<tbody>
<tr>
<td>— Prepare, communicate and maintain successive NDCs and pursue domestic mitigation measures to achieve the objectives of their NDCs</td>
<td>— continue taking the lead by undertaking economy-wide absolute emission reduction targets</td>
<td>— may prepare and communicate strategies, plans and actions for low GHG emissions development reflecting their special circumstances</td>
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<tr>
<td>— Successive NDCs will present a progression and reflect highest possible ambition</td>
<td>— Mitigation co-benefits from adaptation actions and/or economic diversification plans can contribute to mitigation outcomes</td>
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<tr>
<td>— Mitigation co-benefits from adaptation actions and/or economic diversification plans can contribute to mitigation outcomes</td>
<td>— Provide information necessary to facilitate clarity, transparency and understanding</td>
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<tr>
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<td>— Communicate NDCs every 5 years</td>
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<td>— Account for their NDCs (emissions and removals corresponding to their NDCs)</td>
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<td>— Account for their NDCs (emissions and removals corresponding to their NDCs)</td>
<td>— May anytime adjust existing NDC to enhance its ambition</td>
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<tr>
<td>— May anytime adjust existing NDC to enhance its ambition</td>
<td>— Continue enhancing their mitigation efforts and encouraged to move towards economy-wide emission reduction or limitation targets in the light of different national circumstances</td>
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PARIS AGREEMENT – *Sinks/REDD+ & Cooperative Approaches*

**Art.5 GHG sinks/reservoirs & REDD+**

**All Parties**
- Should take action to conserve and enhance sinks and reservoirs of GHGs, including forests
- Encouraged to take action to implement and support policies and incentives to reduce emissions from:
  - Deforestation and forest degradation
  - Conservation and sustainable management of forests
  - Enhancement of carbon stocks

**Art.6 Cooperative Approaches**

**All Parties**
- May choose to pursue voluntary cooperation in the implementation of their NDCs to allow for higher ambition in their mitigation and adaptation actions
- If these approaches involve use of internationally transferred mitigation outcomes (ITMOs) → promote SD, ensure environmental integrity, apply robust accounting, prevent double counting
- Establishes a mechanism to promote mitigation of GHGs and support sustainable development
- Defines a framework for non-market approaches to sustainable development
### Art.7 Adaptation

**All Parties**

- Should strengthen cooperation on enhancing action on adaptation;
  - Sharing of information
  - Strengthen institutional arrangements
  - Assist developing countries in identifying needs, priorities, support provided/received
  - Improve effectiveness and durability of adaptation action
- Shall engage in adaptation planning and implementation
- Should submit and update adaptation communications
- International support shall be provided to developing countries

### Art.8 Loss and Damage

**All Parties**

- recognize the importance of averting minimizing and addressing loss and damage
- Should enhance understanding, action and support with respect to loss and damage associated with the adverse effects of climate change
### PARIS AGREEMENT – Means of implementation

#### Art.9 Finance
- Developed countries shall provide financial resources to both mitigation and adaptation
- Others are encouraged to do so, voluntarily
- Developed countries should continue to take lead on mobilizing climate finance
- Calls for a balance between adaptation and mitigation funding
- Developed countries shall biennially communicate;
  - ex-ante information, &
  - Ex-post reporting of provided & mobilized support

#### Art.10 Technology
- **Technology mechanism (TEC & CTCN)**
- **Technology Framework: Themes:**
  - innovation, implementation, enabling environment and capacity building, collaboration and stakeholder engagement, support
- Support shall be provided to developing country Parties to cooperate on technology development and transfer

#### Art.11 Capacity Building
- All Parties should cooperate to enhance capacity of developing countries to implement Paris Agreement
- Developed countries should enhance support for CB actions in developing countries
- Paris Committee on Capacity Building (PCCB)

#### Art.12 Awareness & education
- Parties shall cooperate to enhance CC education, training, public awareness & participation
**PARIS AGREEMENT – Enhanced transparency framework**

**Article 13 of the Paris Agreement: transparency of action and support**

**All Parties (shall)**
- National greenhouse gas (GHG) inventory report [Article 13.7(a)]
- Progress made in implementing and achieving nationally determined contribution (NDC) [Article 13.7(b)]

**All Parties (should, as appropriate)**
- Climate change impacts and adaptation [Article 13.8]

**Developed country Parties (shall) and other Parties that provided support (should)**
- Financial, technology transfer and capacity-building support provided to developing country Parties under Article 9, 10 and 11 [Article 13.9]

**Developing country Parties (should)**
- Financial, technology transfer and capacity-building support needed and received under Articles 9, 10 and 11 [Article 13.10]

**Undergo technical expert review of information submitted under Articles 13.7 [Article 13.11]**

**Developed country Parties (shall)**
- Undergo technical expert review of information submitted under Articles 13.9 [Article 13.11]

**Multilateral facilitative consideration**
- Multilateral facilitative consideration of progress with respect to efforts under Article 9, and its respective implementation and achievement of its NDCs [Article 13.11]

* The transparency framework shall provide flexibility in the implementation of the provisions of this Article to those developing country Parties that need it in the light of their capacities [Article 13.2];
* The transparency framework shall recognize the special circumstances of the least developed countries and small island developing States [Article 13.3].
**PARIS AGREEMENT – Global Stocktake**

| **Purpose** | To periodically take stock of the implementation of Paris Agreement to assess the collective progress towards achieving the purpose of the Agreement and its long-term goals |
| **Principles** | In a comprehensive and facilitative manner | Considering mitigation, adaptation and means of implementation and support | In the light of equity and the best available science |
| **Timing** | Starting from 2023, and every 5 years thereafter |
| **Outcome** | Inform Parties in updating and enhancing, in a nationally determined manner, their actions and support, as well as enhancing international cooperation for climate action |
Purpose of the Paris Agreement

BRIEF FUTURE

New / updated NDCs; LT-LEDS

IPCC AR6

2020 2021 2022 2023 2024 2025 2026 2027 2028 2029 2030 ...

Next round of NDCs

IPCC AR7

GST 1

1st BTRs

2nd BTRs

3rd BTRs

4th BTRs

Final BURs (latest by Dec 2024)
Thank you!

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