



## Concept Note

### Hybrid Workshops relating to Article 6.2 and 6.4 of the Paris Agreement

2–4 October 2024

Baku, Azerbaijan

#### I. Mandates

The SBSTA requested the secretariat to organize a workshop for Parties, to be held in hybrid format prior to SBSTA 61 (November 2024) in conjunction with the workshop to be organized under the SBSTA agenda sub-item entitled “Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement and referred to in decision 3/CMA.3”, to facilitate consideration of the draft text prepared at SBSTA 60, on matters related to authorization, the agreed electronic format, sequencing, application of first transfer, addressing inconsistencies, and the issues regarding registries.

The SBSTA requested the secretariat to organize a workshop for Parties, to be held in hybrid format prior to SBSTA 61 (November 2024) in conjunction with the workshop to be organized under the SBSTA agenda sub-item entitled “Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement and referred to in decision 2/CMA.3”, to facilitate consideration of the draft text prepared at SBSTA 60, on matters related to authorization and the mechanism registry.

#### II. Objective and scope of the hybrid workshops

The **objective** of the technical events is to bring together experts from Parties to exchange on technical issues referred to above and to assist Parties in understanding options in order to progress negotiation on these issues at SBSTA 61.

#### III. Participation

Parties are invited to register participants with the relevant technical background. Broadcast links will be available for observers to follow the events.

#### IV. Facilitation

The technical events will be led by facilitators, invited by the SBSTA Chair to lead the workshop discussions.



## V. Format and timing

The technical events will be run as hybrid meetings, as agreed at SBSTA 60.

Each session will commence with an introduction or presentation followed by presentations by Parties identified, including based on requests and submissions made, as appropriate. The presentations will be followed by discussions among Parties using guiding questions to support focused interventions on the following topics:

### Day 1 – Wednesday – 2 October 2024

| Time        | Topic   | Activity / Resource / Format                               |
|-------------|---|--|
| 08:30-09:00 | <b>Registration and Welcome</b> <ul style="list-style-type: none"><li>Arrival and registration</li></ul>  | Opening by SBSTA Chair (virtual)                           |
| 09:00-10:30 | <b>Session 1: Authorization (A6.2) – Scope - 1 vs 3</b><br><i>Experts from Parties are invited to present and express views on chapter II.A of the 6.2 draft text<sup>1</sup></i><br><u>Guiding Questions</u> <ul style="list-style-type: none"><li>Is “authorization” used in decision 2/CMA.3 consistently to refer to the same process/element (cooperative approach, use of ITMOs, entities) or differently to refer to different processes/elements?</li><li>Is it sufficient that “all authorizations” (cooperative approach, use of ITMOs, entities) are provided in a single document/process or at different times and if so how would be appropriate to sequence the authorization of different elements?</li></ul> | PPT by secretariat [PPT by Parties] followed by discussion |
| 10:30-11:00 | <b>Coffee break</b>   |  |
| 11:00-12:30 | <b>Session 2: Authorization (A6.2) – Content and format</b><br><i>Experts from Parties are invited to present and express views on chapter II.B, C and E of the 6.2 draft text</i><br><u>Guiding Questions</u> <ul style="list-style-type: none"><li>Should the content of the ‘copy of the authorization’ be (a) standardized mandatory form (b) standardized optional form and (c) no form/free-form?</li><li>How to avoid duplication of information and conflicting data in authorizations provided through various reporting instruments?</li></ul>  | PPT by secretariat [PPT by Parties] followed by discussion |
| 12:30-14:00 | <b>Lunch</b>  |  |
| 14:00-15:30 | <b>Session 3: The Agreed Electronic Format (A6.2) (Authorizations) (Table 2 &amp; the Action of Authorisation)</b><br><i>Experts from Parties are invited to present and express views on chapter IV.B and tables 2 and 3 of the annex of the 6.2 draft text</i><br><u>Guiding Questions</u>  | PPT by secretariat [PPT by Parties] followed by discussion |

<sup>1</sup> Available at <https://unfccc.int/documents/639562>



| Time         | Topic   | Activity / Resource / Format                               |
|--------------|---|--|
|              | <ul style="list-style-type: none"> <li>How do Parties view the difference, if any, between the information on authorizations in table 2 and the action of authorization reported in table 3?</li> <li>Is it useful if the CARP contained a repository of all ‘copies of authorizations’ and make them publicly available?</li> </ul>  |  |
| 15:30-16:00  | <i>Coffee break</i>   |  |
| 16:00-17:30  | <p><b>Session 4: Application of first transfer (A6.2)</b><br/> <i>Experts from Parties are invited to present and express views on chapter III.A and B of the 6.2 draft text</i><br/> <u>Guiding Questions</u></p> <ul style="list-style-type: none"> <li>Does Article 6.2 require all ITMOs need to be authorised for use towards achievement of NDC? If not, can ITMOs which are not authorized for NDC be internationally transferred?</li> <li>If ITMOs are only authorised for IMP or OP (decision 2/CMA.3, annex, para 1(f)) are internationally transferred, should the first transfer always be triggered in the case of an international transfer?</li> <li>If not, how should a first transferring Party be notified of the first transfer when trigger for first transfer for IMP or OP is use or the cancellation of the mitigation outcome occurring in another Party’s registry?</li> <li>Shall the transfer of an ITMO to the Adaptation Fund trigger the first transfer? Who reports the actions (AEF) of the Adaptation Fund?</li> </ul> | PPT by secretariat [PPT by Parties] followed by discussion |
| 17:30–18:00– | <p><i>Wrap-up</i></p> <ul style="list-style-type: none"> <li>Remarks by Facilitators</li> </ul>   |  |

**Day 2 – Thursday – 3 October 2024**

| Time        | Topic  | Activity / Resource / Format   |
|-------------|--|--------------------------------|
| 08:30-09:00 | <p><b>Registration</b></p> <ul style="list-style-type: none"> <li>Arrival and registration</li> </ul>  |                                |
| 09:00-10:30 | <p><b>Session 5: The Agreed Electronic Format (A6.2) (Actions)</b><br/> <i>Experts from Parties are invited to participate in a working example, led by the secretariat, on the completion of table 3</i><br/> <u>Worked examples</u></p> <ul style="list-style-type: none"> <li>How international transfers are recorded in corresponding AEFs (and consistency checked)</li> <li>How ITMOs authorized for IMP or OP are recorded “end-of-life”</li> <li>How actions in a year change the holdings</li> </ul> | Worked examples by secretariat |
| 10:30-11:00 | <i>Coffee break</i>  |                                |



| Time         | Topic   | Activity / Resource / Format                               |
|--------------|---|--|
| 11:00-12:30  | <p><b>Session 6: The Agreed Electronic Format (A6.2) (Actions)</b><br/> <i>Experts from Parties are invited to present and express views on chapter IV.B and table 3 of the annex of the 6.2 draft text</i><br/> <u>Guiding Questions</u></p> <ul style="list-style-type: none"> <li>• What information on actions included in paragraph 20, annex, 2/CMA.3 are needed to perform the consistency check of those actions between AEFs of participating Parties?</li> <li>• What other information about ITMOs needs to be recorded against each action in Table 3? Should this information be mandatory or voluntary?</li> <li>• Which actions and sub-actions as listed in chapter IV.B should be report in the AEF?</li> </ul>  | [PPT by Parties] followed by discussion                    |
| 12:30-14:00  | <b>Lunch</b>  |  |
| 14:00-15:30  | <p><b>Session 7: Sequencing - Addressing inconsistencies</b><br/> <i>Experts from Parties are invited to present and express views on chapters IV, VII and VIII of the 6.2 draft text</i><br/> <u>Guiding Questions</u></p> <ul style="list-style-type: none"> <li>• Should the submission of an AEF have any constraint based on the state of the submission and review of the initial and updated initial reports of the submitting Party?</li> <li>• How should the different types of inconsistencies be tagged? When should inconsistencies identified during the consistency check procedure be made publicly available?</li> <li>• Should further actions on ITMOs be prevented depending on the output of consistency checks? If so, which should be the scope of this restriction?</li> <li>• Should the Article 6 TERT refer to inconsistencies as different degrees, e.g., significant or persistent inconsistencies?</li> </ul> | PPT by secretariat [PPT by Parties] followed by discussion |
| 15:30-16:00  | <b>Coffee break</b>   |  |
| 16:00-17:30  | <p><b>Session 8: The Agreed Electronic Format (A6.2) (Holdings – Authorised Entities – SOP/OMGE)</b><br/> <i>Experts from Parties are invited to present and express views on tables 4, 5 and 6 of the annex of the 6.2 draft text</i><br/> <u>Guiding Questions</u></p> <ul style="list-style-type: none"> <li>• What information on holdings of ITMOs has to be reported in the AEF?</li> <li>• Should authorized entities be reported in a separate table in the AEF? If so, which information about the entities should be reported?</li> <li>• Should SOP and OMGE aggregated information be reported in the AEF? If so, which information?</li> </ul>   | [PPT by Parties] followed by discussion                    |
| 17:30–18:00– | <p><b>Wrap-up</b></p> <ul style="list-style-type: none"> <li>• Remarks by Facilitators</li> </ul>   |  |



**Day 3 – Friday – 4 October 2024**

| <b>Time</b> | <b>Topic</b>   | <b>Activity / Resource / Format</b>                              |
|-------------|--|--|
| 08:30-09:00 | <b>Registration</b> <ul style="list-style-type: none"> <li>Arrival and registration</li> </ul>   |  |
| 09:00-10:30 | <b>Session 9: Authorization (A6.4 + 6.2) Timing and Revocation</b><br><i>Experts from Parties are invited to present and express views on chapter I of the 6.4 draft text<sup>2</sup> and chapter II.D of the 6.2 draft text</i><br><u>Guiding Questions</u> <ul style="list-style-type: none"> <li>Can authorization of A6.4ERs be provided after issuance to turn an MCU into an AER? If yes, up to what point in the life cycle can the authorization be provided?</li> <li>How to address OMGE and SOP when authorization is provided post issuance?</li> <li>Should the authorization of ITMOs (6.2 and 6.4(AERs)) be revokable by the first transferring/host Party? If yes, up to what point in the life-cycle and under what circumstances?</li> </ul> | PPT by secretariat<br>[PPT by Parties]<br>followed by discussion |
| 10:30-11:00 | <b>Coffee break</b>  |  |
| 11:00-12:30 | <b>Session 10: Issues regarding registries (A6.2)</b><br><i>Experts from Parties are invited to present and express views on chapter X of the 6.2 draft text</i><br><u>Guiding Questions</u> <ul style="list-style-type: none"> <li>How do Parties view the application of “inter-registry transfers” within the interoperability provisions adopted in decision 6/CMA.4?</li> <li>Should “pulling-and-viewing” be the only option for the international registry? Does this also apply to participating Party registries?</li> <li>Should the international registry provide all the functionalities of a participating Party registry to Parties who choose to use the international registry?</li> </ul>  | [PPT by Parties]<br>followed by discussion                       |
| 12:30-14:00 | <b>Lunch</b>   |  |
| 14:00-15:30 | <b>Session 11: Mechanism registry (6.4)</b><br><i>Experts from Parties are invited to present and express views on chapter II of the 6.4 draft text</i><br><u>Guiding Questions</u> <ul style="list-style-type: none"> <li>Can participating Party registries connect to the mechanism registry? For which purposes?</li> <li>Is the 6.4 registry a 6.2 registry for Parties that only authorized A6.4ERs or is it only an underlying registry and Parties will need a separate 6.2 registry?</li> </ul>   | [PPT by Parties]<br>followed by discussion                       |

<sup>2</sup> Available at <https://unfccc.int/documents/639561>



| <b>Time</b> | <b>Topic</b>  | <b>Activity / Resource / Format</b> |
|-------------|---|-------------------------------------|
|             | <ul style="list-style-type: none"><li>Should an extension of the PACM registry be implemented as part of the international registry for authorized A6.4ERs, what functionality will it provide?</li></ul> |                                     |
| 15:30-16:00 | <i>Coffee break</i>   |                                     |
| 16:00-17:30 | <b>Session 12: Other Issues and COP Expectations</b>  | Discussion                          |
| 17:30-18:00 | <i>Wrap-up and Close of Workshop</i> <ul style="list-style-type: none"><li>Remarks by Facilitators</li></ul>  |                                     |

## VI. Other information

Guiding questions and guidelines to participation will be provided in advance of the workshop. Links for accessing the virtual room and the broadcast will be provided before the workshop. For any other information Article 6 Paris Agreement: [Paris-Agreement-Article-6@unfccc.int](mailto:Paris-Agreement-Article-6@unfccc.int)