

**UNFCCC**  
**Capacity Building Decision Booklet**

<b>Decisions from Bonn (CP.23/CMP.13)</b>	<b>2017</b>
<b>Decisions from Marrakech (CP.22/CMP.12)</b>	<b>2016</b>
<b>Decisions from Paris (CP.21/CMP.11)</b>	<b>2015</b>
<b>Decisions from Lima (CP.20/CMP.10)</b>	<b>2014</b>
<b>Decisions from Warsaw (CP.19/CMP.9)</b>	<b>2013</b>
<b>Decisions from Doha (CP.18/CMP.8)</b>	<b>2012</b>
<b>Decisions from Durban (CP.17)</b>	<b>2011</b>
<b>Decisions from Cancun (CP.16/CMP.6)</b>	<b>2010</b>
<b>Decisions from Copenhagen (CP.15/CMP.5)</b>	<b>2009</b>
<b>Decisions from Poznań (CP.14/CMP.4)</b>	<b>2008</b>
<b>Decisions from Bali (CMP.3)</b>	<b>2007</b>
<b>Decisions from Nairobi (CP.12/CMP.2)</b>	<b>2006</b>
<b>Decisions from Montreal (CP.11/CMP.1)</b>	<b>2005</b>
<b>Decisions from Buenos Aires (CP.10)</b>	<b>2004</b>
<b>Decisions from Milan (CP.9)</b>	<b>2003</b>
<b>Decisions from Marrakesh (CP.7)</b>	<b>2001</b>

Edited on 6 March 2018  
By Climate Finance & Capacity Building Sub-programme

This copy belongs to: \_\_\_\_\_



# Table of Contents

<b>Capacity building decisions from Bonn</b>	<b>CP.23/CMP.13</b>
Annual technical progress report of the Paris Committee on Capacity-building for 2017.....	<b>16/CP.23</b>
Fourth review of the implementation of the framework for capacity-building in countries with economies in transition	<b>17/CP.23</b>
Fourth review of the implementation of the framework for capacity-building in countries with economies in transition under the Kyoto Protocol.....	<b>4/CMP.13</b>
<b>Capacity building decisions from Marrakech</b>	<b>CP.22/CMP.12</b>
Paris Committee on Capacity-building.....	<b>2/CP.22</b>
Third comprehensive review of the implementation of the framework for capacity-building in developing countries under the Convention	<b>16/CP.22</b>
Third comprehensive review of the implementation of the framework for capacity-building in developing countries under the Kyoto Protocol.....	<b>6/CMP.12</b>
<b>Capacity building decisions from Paris</b>	<b>CP.21/CMP.11</b>
Adoption of the Paris Agreement.....	<b>1/CP.21</b>
<i>Paris Agreement</i> .....	<b>Annex</b>
Capacity-building under the Convention	<b>14/CP.21</b>
Capacity-building under the Kyoto Protocol.....	<b>9/CMP.11</b>
<b>Capacity building decisions from Doha</b>	<b>CP.18/CMP.8</b>
Agreed outcome pursuant to the Bali Action Plan - <i>Enhanced action on capacity-building</i> .....	<b>1/CP.18- VI</b>
Capacity-building under the Convention for countries with economies in transition.....	<b>21/CP.18</b>

Capacity-building under the Kyoto Protocol  
*for developing countries*..... **10/CMP.8**

Capacity-building under the Kyoto Protocol  
*for countries with economies in transition*..... **11/CMP.8**

### **Capacity building decisions from Durban** **CP.17/CMP.7**

Outcome of the work of the Ad Hoc Working Group on  
Long-term Cooperative Action under the Convention  
*Capacity-building*..... **2/CP.17**

Capacity-building under the Convention..... **13/CP.17**

Capacity-building under the Kyoto Protocol..... **15/CMP.7**

### **Capacity building decisions from Cancun** **CP.16/CMP.6**

The Cancun Agreements: Outcome of the work of the Ad  
Hoc Working Group on Long-term Cooperative Action  
under the Convention  
*C. Capacity-building*..... **1/CP.16**

Capacity-building under the Convention for developing  
countries..... **10/CP.16**

Draft 10/CP.16 Capacity-building under the Convention  
for developing countries..... **Annex**

Capacity-building under the Kyoto Protocol for developing  
countries..... **11/CMP.6**

Draft decision 11/CMP.6 [Capacity-building under the  
Kyoto Protocol. .... **Annex**

### **Capacity building decisions from Copenhagen** **CP.15/CMP.5**

Capacity-building for developing countries under the  
Convention..... **8/CP.15**

Capacity-building for developing countries under the  
Convention..... **7/CMP.5**

<b>Capacity building decisions from Poznań</b>	<b>CP.14/CMP.4</b>
Capacity-building for developing countries under the Convention.....	<b>6/CP.14</b>
Capacity-building for developing countries under the Kyoto Protocol.....	<b>6/CMP.4</b>
<b>Capacity building decisions from Nairobi</b>	<b>CP.12/CMP.2</b>
Capacity-building under the Convention.....	<b>4/CP.12</b>
Capacity-building under the Kyoto Protocol.....	<b>6/CMP.2</b>
<b>Capacity building decisions from Montreal</b>	<b>CP.11/CMP.1</b>
Capacity-building relating to the implementation of the Kyoto Protocol in developing countries .....	<b>29/CMP.1</b>
Capacity-building relating to the implementation of the Kyoto Protocol in Parties with economies in transition .....	<b>30/CMP.1</b>
<b>Capacity building decisions from Buenos Aires</b>	<b>CP.10/</b>
Capacity-building for developing countries (non-Annex I Parties) .....	<b>2/CP.10</b>
Capacity-building for countries with economies in transition	<b>3/CP.10</b>
<b>Capacity building decisions from Milan</b>	<b>CP.9</b>
Capacity-building .....	<b>9/CP.9</b>
<b>Capacity building decisions from Marrakesh</b>	<b>CP.7</b>
The Marrakesh Accords: <i>Capacity-building in developing countries (non-Annex I Parties)</i> .....	<b>2/CP.7</b>
Framework for capacity-building in developing countries	<b>Annex</b>
Capacity-building in countries with economies in transition	<b>3/CP.7</b>
Framework for capacity-building in economies in transition	<b>Annex</b>



## **Annual technical progress report of the Paris Committee on Capacity-building for 2017**

*The Conference of the Parties,*

*Recalling* decisions 2/CP.17, 1/CP.21, 2/CP.22 and 16/CP.22,

1. *Emphasizes* the importance of addressing the capacity gaps and needs, both current and emerging, related to implementing capacity-building activities in developing country Parties;
2. *Appreciates* the work of the Paris Committee on Capacity-building in its first year;
3. *Welcomes* the annual technical progress report of the Paris Committee on Capacity-building for 2017,<sup>1</sup> taking note of the recommendations contained therein;
4. *Takes note* of the rules of procedure and working modalities of the Paris Committee on Capacity-building;<sup>2</sup>
5. *Also takes note* of the rolling workplan of the Paris Committee on Capacity-building for 2017-2019;<sup>3</sup>
6. *Invites* Parties and relevant institutions to provide support and resources to the Paris Committee on Capacity-building in implementing its 2017-2019 workplan in the light of the aim of the Paris Committee on Capacity-building, established by decision 1/CP.21;
7. *Encourages* the Paris Committee on Capacity-building, when implementing its workplan, to identify and collaborate with institutions and other stakeholders with relevant expertise, tools and resources, including bodies established under the Convention;
8. *Takes note* of the decision of the Paris Committee on Capacity-building to continue its 2017 focus area or theme of capacity-building activities for the implementation of nationally determined contributions in the context of the Paris Agreement in 2018;<sup>4</sup>

---

<sup>1</sup> FCCC/SBI/2017/11.

<sup>2</sup> FCCC/SBI/2017/11, annex II.

<sup>3</sup> FCCC/SBI/2017/11, annex IV.

<sup>4</sup>FCCC/SBI/2017/11, annex III, in particular paragraphs 60 and 61.

9. *Requests* the Subsidiary Body for Implementation to thematically align the next Durban Forum with the 2017-2018 focus area or theme of the Paris Committee on Capacity-building, taking note of the recommendation of the committee contained in its 2017 technical progress report;

10. *Also requests* the secretariat to help to identify communication modalities that would better accommodate the intersessional work of the Paris Committee on Capacity-building.

*12th plenary meeting  
17 November 2017*



## **Fourth review of the implementation of the framework for capacity-building in countries with economies in transition**

*The Conference of the Parties,*

*Recalling* decisions 3/CP.7, 9/CP.9, 3/CP.10, 2/CP.17 and 21/CP.18,

*Acknowledging* that capacity-building for countries with economies in transition is essential to enable them to implement effectively their commitments under the Convention,

*Having considered* the information provided by Parties, the submissions received in response to the invitations of the Conference of the Parties and the compilation and synthesis report in support of the fourth review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7,

1. Recognizes *that*:

(a) Significant progress has been made in building the capacity of countries with economies in transition to mitigate and adapt to climate change, and that some recipients of assistance have started to transfer their own expertise, knowledge and lessons learned on capacity-building to Parties not included in Annex I to the Convention;

(b) Parties included in Annex I to the Convention and the Global Environment Facility, within its mandate, have provided adequate resources and assistance for the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7;

(c) Support was also provided to countries with economies in transition by multilateral development banks and international financial institutions;

(d) Countries with economies in transition that are currently receiving support, notwithstanding the progress made, are in need of further capacity-building, in particular for the development and implementation of national low-carbon development strategies consistent with their national priorities and with their emission reduction targets;

2. *Reaffirms* that the scope of the needs identified in the framework for capacitybuilding in countries with economies in transition established under decision 3/CP.7 and the key factors identified in decision 3/CP.10 remain relevant and continue to be the basis for, and guide the implementation of, capacity-building activities in countries with economies in transition that are currently receiving support;

3. *Invites* Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility, multilateral and bilateral agencies, international organizations, multilateral development banks, international financial institutions and the private sector, or any further arrangements, as appropriate and within their mandates, to continue to provide support for capacity-building activities in countries with economies in transition that are currently receiving support;

4. *Decides* to conclude the fourth review, and *requests* the Subsidiary Body for Implementation, at its session to be held in June 2020, to initiate the fifth review of the implementation of the framework for capacity-building in countries with economies in transition with a view to completing this review at the twenty-sixth session of the Conference of the Parties (November 2020).

*12th plenary meeting 17  
November 2017*

## **Fourth review of the implementation of the framework for capacity-building in countries with economies in transition under the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 3/CP.7, 3/CP.10, 30/CMP.1 and 11/CMP.8,

*Acknowledging* that capacity-building for countries with economies in transition is essential to enable them to implement effectively their commitments under the Kyoto Protocol,

*Having considered* the information provided by Parties, the submissions received in response to the invitations of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the compilation and synthesis report in support of the fourth review of the implementation of the framework for capacity-building in countries with economies in transition, as established by decision 3/CP.7 and reaffirmed by decision 30/CMP.1,

*1. Recognizes* that:

(a) Significant progress has been made in building the capacity of countries with economies in transition to mitigate and adapt to climate change, and that some recipients of assistance have started to transfer their own expertise, knowledge and lessons learned on capacity-building to Parties not included in Annex I to the Convention;

(b) Parties included in Annex I to the Convention and the Global Environment Facility, within its mandate, have provided adequate resources and assistance for the implementation of the framework for capacity-building in countries with economies in transition, as established by decision 3/CP.7 and reaffirmed by decision 30/CMP. 1;

(c) Support was also provided to countries with economies in transition by multilateral development banks and international financial institutions;

(d) Countries with economies in transition that are currently receiving support, notwithstanding the progress made, are in need of further capacity-building, in particular for the development and implementation of national low-carbon development strategies consistent with their national priorities and with their emission reduction targets;

2. *Reaffirms* that the scope of the needs identified in the framework for capacity-building in countries with economies in *transition* established under decisions 3/CP.7 and 30/CMP.1 and the key factors identified in decision 3/CP.10 remain relevant and continue to be the basis for, and guide the implementation of, capacity-building activities in countries with economies in transition that are currently receiving support;

3. *Invites* Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility, multilateral and bilateral agencies, international organizations, multilateral development banks, *international* financial institutions and the private sector, or any further arrangements, as appropriate and within their mandates, to continue to provide support for capacity-building activities in countries with economies in transition that are currently receiving support;

4. *Decides* to conclude the fourth review and *requests* the Subsidiary Body for Implementation, at its fifty-second session (June 2020), to initiate the fifth review of the implementation of the framework for *capacity*-building in countries with economies in transition under the Kyoto Protocol with a view to completing this review at the sixteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (November 2020).

*11th plenary meeting  
17 November 2017*

## Paris Committee on Capacity-building

*The Conference of the Parties,*

*Recalling* decision 1/CP.21, paragraph 76, requesting the Subsidiary Body for Implementation to develop the terms of reference for the Paris Committee on Capacity-building in the context of the third comprehensive review of the implementation of the framework for capacity-building in developing countries, with a view to recommending a draft decision on this matter for consideration and adoption by the Conference of the Parties at its twenty-second session,

1. *Adopts* the terms of reference for the Paris Committee on Capacity-building established under decision 1/CP.21, paragraph 71, as contained in the annex;
2. *Reaffirms* that the objective of the Paris Committee on Capacity-building is to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhance capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention;
3. *Recalls* that the Conference of the Parties, at its twenty-fifth session (November 2019), will review the progress, need for extension, the effectiveness and enhancement of the Paris Committee on Capacity-building;
4. *Also recalls* that the Paris Committee on Capacity-building will manage and oversee the workplan for the period 2016–2020 outlined in decision 1/CP.21, paragraph 73;
5. *Requests* the Paris Committee on Capacity-building to further develop and adopt its working modalities and procedures at its first meeting;
6. *Also requests* the Subsidiary Body for Implementation to organize the first meeting of the Paris Committee on Capacity-building in conjunction with the forty-sixth sessions of the subsidiary bodies (May 2017);
7. *Takes* note of the budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in the annex;

8. *Requests* that the actions of the secretariat called for in the annex be undertaken subject to the availability of financial resources.

## **Annex**

### **Terms of reference for the Paris Committee on Capacity-building**

11. In accordance with decision 1/CP.21, paragraph 71, the objective of the Paris Committee on Capacity-building (hereinafter referred to as the Committee) is to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhancing capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention.

12. The Committee shall be composed of the following twelve members nominated by Parties, who shall serve in their personal capacity:

- (a) Two members from each of the five United Nations regional groups;
- (b) One member from the least developed countries;
- (c) One member from the small island developing States.

13. Six representatives from bodies established under the Convention and from the operating entities of the Financial Mechanism will be invited to participate in all the meetings of the Committee in line with the annual theme of the Committee.

14. Members identified in paragraph 2 above shall be nominated by their respective groups or constituencies and elected by the Conference of the Parties. Groups or constituencies are encouraged to nominate members identified in paragraph 2 above to the Committee with a view to achieving an appropriate balance of experts relevant to the aims of the Committee, taking into account the goal to achieve gender balance in accordance with decisions 36/CP.7 and 23/CP.18.

15. Members identified in paragraph 2 above shall serve a term of two years and shall be eligible to serve a maximum of two consecutive terms in office. The following rules shall apply:

(a) Half of the members shall be elected initially for a term of three years, and half shall be elected for a term of two years;

(b) Thereafter, the Conference of the Parties shall elect half of the members every year for a term of two years.

16. Representatives identified in paragraph 3 above shall be invited for a term of one year.

17. If a member of the Committee identified in paragraph 2 resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the Committee may decide, bearing in mind the proximity of the next session of the Conference of the Parties, to appoint another member from the same group or constituency to replace said member for the remainder of that member's mandate, in which case the appointment shall count as one term.

18. The Committee shall elect annually two co-chairs from among its members identified in paragraph 2 above to serve for a term of one year each.

19. If a co-chair is temporarily unable to fulfil the obligations of the office, any other member identified in paragraph 2 above designated by the Committee shall serve as co-chair.

20. If a co-chair is unable to complete the term of office, the Committee shall elect a replacement from among the members identified in paragraph 2 above to complete that term of office.

21. The Committee will meet during annual in-session meetings to be organized by the Subsidiary Body for Implementation.

22. The Committee shall decide on its annual focus area or theme, recalling decision 1/CP.21, paragraph 74, related to enhanced technical exchange on capacity-building, with the purpose of maintaining up-to-date knowledge on the successes and challenges in building capacity effectively in a particular area, and will report on this work in its annual technical progress report.

23. The Committee shall further develop and adopt its working modalities and procedures at its first meeting, and revise them as necessary.

24. The Committee may invite other bodies established under the Convention and the operating entities of the Financial Mechanism of the Convention to identify representatives to collaborate, as appropriate, on specific activities related to its work.

25. The Committee may engage with and draw upon the expertise from relevant institutions, organizations, frameworks, networks and centres outside the Convention, including at the intergovernmental, regional, national and subnational levels, where appropriate.
26. The meetings will be open to attendance by Parties and admitted observer organizations, except where otherwise decided by the Committee, with a view to encouraging a balanced regional representation of observers.
27. The Committee will prepare annual technical progress reports on its work for submission to the Conference of the Parties through the Subsidiary Body of Implementation, and will make these reports available at the sessions of the Subsidiary Body for Implementation coinciding with the sessions of the Conference of the Parties.
28. The proceedings and outputs of the Committee shall be made publicly available on the UNFCCC website.
29. The secretariat will support and facilitate the work of the Committee subject to the availability of resources.
30. The working language of the Committee will be English.
31. Members of the Committee shall reach their decisions by consensus.

*9<sup>th</sup> plenary meeting  
17 November 2016*



**Third comprehensive review of the  
implementation of the framework for  
capacity-building in developing countries  
under the Convention**

*The Conference of the Parties,*

*Recalling* decisions 2/CP.7, 1/CP.21, and 14/CP.21,

*Reaffirming* that capacity-building should be a participatory, country-driven and continuous process consistent with national priorities and circumstances,

*Also reaffirming* that capacity-building is an integral component of the means of implementation to enable developing country Parties to implement the Convention and the Paris Agreement,

*Noting with appreciation* the technical paper prepared by the secretariat on the third comprehensive review of the implementation of the framework for capacity-building in developing countries,<sup>1</sup>

*Recognizing* the active engagement of a wide spectrum of stakeholders, including State and non-State actors, in planning, implementing and monitoring activities with capacity-building components,

*Also recognizing* the significant increase of information on capacity-building activities made available by Parties, international organizations, the operating entities of the Financial Mechanism, United Nations specialized agencies and other institutions,

*Noting with appreciation* the success of the 5<sup>th</sup> meeting of the Durban Forum for in-depth discussion on capacity-building, held during the forty-fourth session of the Subsidiary Body for Implementation,

*Noting* the significant participation of non-State actors in the 5<sup>th</sup> meeting of the Durban Forum and their useful contributions to the discussions,

---

<sup>1</sup> FCCC/TP/2016/1.

*Also noting* good practices in capacity-building that foster country-driven processes, country ownership, South–South cooperation, participatory as well as iterative approaches to capacity-building,

1. *Recognizes* that, while the objective and scope of capacity-building in developing countries as contained in decision 2/CP.7 are still relevant, current and emerging areas in the context of the Convention and the Paris Agreement should also be taken into account in the further implementation of the framework for capacity-building in developing countries;

2. *Recalls* that the Paris Committee on Capacity-building aims to address both current and emerging gaps and needs in implementing capacity-building in developing country Parties and to further enhance capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention;

3. *Invites* Parties to consider how to enhance existing reporting on the impacts of capacity-building activities, good practices and lessons learned and on how these are fed back into relevant processes to enhance the implementation of capacity-building activities;

4. *Also invites* the Paris Committee on Capacity-building, in managing the 2016–2020 workplan:

(a) To take into consideration cross-cutting issues such as gender responsiveness, human rights and indigenous peoples' knowledge;

(b) To take into consideration the outcomes of the third comprehensive review of the implementation of the framework for capacity-building in developing countries;

(c) To take into consideration previous work undertaken on indicators for capacity-building;

(d) To promote and explore linkages with other constituted bodies under the Convention and the Paris Agreement, as appropriate, that include capacity-building in their scopes;

(e) To promote and explore synergies for enhanced collaboration with institutions outside the Convention and the Paris Agreement engaged in implementing capacity-building activities;

(f) To take into consideration ways of enhancing reporting on capacity-building activities, taking into account all

initiatives, actions and measures on capacity-building under the Convention and the Paris Agreement as well as existing reporting mandates, in order to achieve coherence and coordination;

5. *Further invites* Parties to foster networking and enhance their collaboration with academia and research centres, with a view to promoting individual, institutional and systemic capacity-building through education, training and public awareness;

6. Invites the Subsidiary Body for Implementation to facilitate complementarity between the Durban Forum and the Paris Committee on Capacity-building;

7. Also invites Parties to cooperate in order to enhance the capacity of developing country Parties to implement the Convention and the Paris Agreement, and further invites developed country Parties to enhance support for capacity-building actions in developing country Parties;

8. Further invites relevant intergovernmental and non-governmental organizations, as well as the private sector, academia and other stakeholders, to continue incorporating into their work programmes the scope of needs referred to in paragraph 1 above as contained in decision 2/CP.7;

9. Invites United Nations agencies, multilateral organizations and relevant admitted observer organizations engaged in providing capacity-building support to developing countries to provide information to the secretariat to be uploaded on the capacity-building portal;<sup>2</sup>

10. *Also invites* Parties to submit, by 9 March 2017, their views on potential topics for the 6th meeting of the Durban Forum; 3

11. Further invites Parties to submit, by 9 March 2017, their views on the fourth review of the implementation of the framework for capacity-building in countries with economies in transition, to be conducted at the forty-sixth session of the Subsidiary Body for Implementation (May 2017) and concluded at the twenty-third session of the Conference of the Parties (November 2017);<sup>4</sup>

12. *Decides* to conclude the third comprehensive review of the implementation of the framework for capacity-building in

---

<sup>2</sup> Available at <<http://unfccc.int/capacitybuilding/core/activities.html>>.

<sup>3</sup> Parties should submit their views via the submissions portal at <<http://www.unfccc.int/5900>>.

<sup>4</sup> As footnote 3 above.

developing countries under the Convention and to initiate the fourth comprehensive review thereof at the fiftieth session of the Subsidiary Body for Implementation (June 2019), with a view to completing that review at the twenty-fifth session of the Conference of the Parties (November 2019);

13. *Takes note* of the budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraph 4 above;

14. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

*9<sup>th</sup> plenary meeting  
17 November 2016*

---

## **Third comprehensive review of the implementation of the framework for capacity-building in developing countries under the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

Recalling decisions 29/CMP.1, 6/CMP.4, 15/CMP.7, 2/CP.7 and 2/CP.17,

1. *Recognizes* that, while the objective and scope of the needs and priority areas identified in the framework for capacity-building in developing countries established under decision 2/CP.7 and the priority areas for capacity-building relating to the participation of developing countries in project activities under the clean development mechanism as contained in decision 29/CMP.1 are still relevant, current and emerging areas should also be taken into consideration in the further implementation of capacity-building activities in developing countries;

2. *Invites* Parties to continue to implement the framework for capacity-building in developing countries under the Kyoto Protocol by:

(a) Enhancing consultations with all stakeholders throughout the development of projects;

(b) Enhancing the capacity of stakeholders to identify, attract, apply for and manage different types of public and private financial resources;

(c) Strengthening networking and information sharing, including among developing countries, especially through South-South cooperation;

(d) Strengthening the capacity of designated national authorities through regional collaboration centers;

3. *Also invites* Parties to consider how to enhance existing reporting on the impacts of capacity-building activities, good practices and lessons learned and on how they are fed back into

relevant processes to enhance the implementation of capacity-building activities;

4. *Further invites* all Parties to cooperate to enhance the capacity of developing country Parties to implement the Kyoto Protocol, and developed country Parties to enhance support for capacity-building actions in developing country Parties;

5. *Invites* relevant intergovernmental and non-governmental organizations, as well as the private sector, academia and other stakeholders, to continue incorporating into their work programmes the scope of capacity-building needs as contained in decisions 29/CMP.1 and 6/CMP.4;

6. *Decides* to conclude the third comprehensive review of the implementation of the framework for capacity-building in developing countries under the Kyoto Protocol and to initiate the fourth comprehensive review thereof at the fifty-second session of the Subsidiary Body for Implementation, with a view to completing that review at the seventeenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;

7. *Invites* Parties, observers and other stakeholders to submit, by 9 March 2017, their views on the fourth review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7, to be conducted at the forty-sixth session of the Subsidiary Body for Implementation (May 2017) and concluded at the thirteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (November 2017);<sup>1, 2</sup>

8. *Also invites* Parties and observers to submit, by 9 March 2017, suggestions for potential topics related to the Kyoto Protocol for the 6<sup>th</sup> meeting of the Durban Forum;<sup>3</sup>

9. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

*9<sup>th</sup> plenary meeting  
17 November 2016*

---

<sup>1</sup> As per decision 11/CMP.8, paragraph 4.

<sup>2</sup> Parties should submit their views via the submission portal at <<http://www.unfccc.int/5900>>. Observers and other stakeholders should e-mail their submissions to <[secretariat@unfccc.int](mailto:secretariat@unfccc.int)>.

<sup>3</sup> As footnote 2 above

Subsidiary Body for Implementation  
Forty-fifth session  
Agenda item 14(c)  
Capacity-building in developing countries  
Paris Committee on Capacity-building

**Paris Committee on Capacity-building  
Draft conclusions proposed by the Chair**

1. The Subsidiary Body for Implementation (SBI) agreed that in 2017 the first focus area or theme for the Paris Committee on Capacity-building will be on capacity-building activities for the implementation of nationally determined contributions in the context of the Paris Agreement.
2. The SBI also agreed that representatives of the following operating entities of the Financial Mechanism and the constituted bodies established under the Convention will be invited to participate in the first meeting of the Paris Committee on Capacity-building, which will be held in conjunction with the forty-sixth sessions of the subsidiary bodies (May 2017):
  - (e) The Global Environment Facility;
  - (f) The Green Climate Fund;
  - (g) The Adaptation Committee;
  - (h) The Least Developed Countries Expert Group;
  - (i) The Standing Committee on Finance;
  - (j) The Technology Executive Committee.
3. The SBI further agreed that representatives of other bodies established under the Convention and the operating entities of the Financial Mechanism are invited to identify representatives to collaborate, as appropriate, on specific activities related to the work of the Paris Committee on Capacity-building, and particularly encourages a representative of the Climate Technology Centre and Network to participate in the first meeting of the Paris Committee on Capacity-building.





Decision 1/CP.21  
(FCCC/CP/2015/10/Add.1)

## **Adoption of the Paris Agreement**

*The Conference of the Parties,*

*Recalling* decision 1/CP.17 on the establishment of the Ad Hoc Working Group on the Durban Platform for Enhanced Action,

*Also recalling* Articles 2, 3 and 4 of the Convention,

*Further recalling relevant* decisions of the Conference of the Parties, including decisions 1/CP.16, 2/CP.18, 1/CP.19 and 1/CP.20,

*Welcoming* the adoption of United Nations General Assembly resolution A/RES/70/1, “Transforming our world: the 2030 Agenda for Sustainable Development”, in particular its goal 13, and the adoption of the Addis Ababa Action Agenda of the third International Conference on Financing for Development and the adoption of the Sendai Framework for Disaster Risk Reduction,

*Recognizing* that climate change represents an urgent and potentially irreversible threat to human societies and the planet and thus requires the widest possible cooperation by all countries, and their participation in an effective and appropriate international response, with a view to accelerating the reduction of global greenhouse gas emissions,

*Also recognizing* that deep reductions in global emissions will be required in order to achieve the ultimate objective of the Convention and *emphasizing* the need for urgency in addressing climate change,

*Acknowledging* that climate change is a common concern of humankind, Parties should, when taking action to address climate change, respect, promote and consider their respective obligations on human rights, the right to health, the rights of indigenous peoples, local communities, migrants, children, persons with disabilities and people in vulnerable situations and the right to development, as well as gender equality, empowerment of women and intergenerational equity,

*Also acknowledging* the specific needs and concerns of developing country Parties arising from the impact of the

implementation of response measures and, in this regard, decisions 5/CP.7, 1/CP.10, 1/CP.16 and 8/CP.17,

*Emphasizing* with serious concern the urgent need to address the significant gap between the aggregate effect of Parties' mitigation pledges in terms of global annual emissions of greenhouse gases by 2020 and aggregate emission pathways consistent with holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5 °C above pre-industrial levels,

*Also emphasizing* that enhanced pre-2020 ambition can lay a solid foundation for enhanced post-2020 ambition,

*Stressing* the urgency of accelerating the implementation of the Convention and its Kyoto Protocol in order to enhance pre-2020 ambition,

*Recognizing* the urgent need to enhance the provision of finance, technology and capacity-building support by developed country Parties, in a predictable manner, to enable enhanced pre-2020 action by developing country Parties,

*Emphasizing* the enduring benefits of ambitious and early action, including major reductions in the cost of future mitigation and adaptation efforts,

*Acknowledging* the need to promote universal access to sustainable energy in developing countries, in particular in Africa, through the enhanced deployment of renewable energy,

*Agreeing* to uphold and promote regional and international cooperation in order to mobilize stronger and more ambitious climate action by all Parties and non-Party stakeholders, including civil society, the private sector, financial institutions, cities and other subnational authorities, local communities and indigenous peoples,

## **I. Adoption**

1. *Decides* to adopt the Paris Agreement under the United Nations Framework Convention on Climate Change (hereinafter referred to as “the Agreement”) as contained in the annex;

2. *Requests* the Secretary-General of the United Nations to be the Depositary of the Agreement and to have it open for signature in New York, United States of America, from 22 April 2016 to 21 April 2017;

3. *Invites* the Secretary-General to convene a high-level signature ceremony for the Agreement on 22 April 2016;
4. *Also invites* all Parties to the Convention to sign the Agreement at the ceremony to be convened by the Secretary-General, or at their earliest opportunity, and to deposit their respective instruments of ratification, acceptance, approval or accession, where appropriate, as soon as possible;
5. *Recognizes* that Parties to the Convention may provisionally apply all of the provisions of the Agreement pending its entry into force, and *requests* Parties to provide notification of any such provisional application to the Depositary;
6. *Notes* that the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action, in accordance with decision 1/CP.17, paragraph 4, has been completed;
7. *Decides* to establish the Ad Hoc Working Group on the Paris Agreement under the same arrangement, mutatis mutandis, as those concerning the election of officers to the Bureau of the Ad Hoc Working Group on the Durban Platform for Enhanced Action;<sup>1</sup>
8. *Also decides* that the Ad Hoc Working Group on the Paris Agreement shall prepare for the entry into force of the Agreement and for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
9. *Further decides* to oversee the implementation of the work programme resulting from the relevant requests contained in this decision;
10. *Requests* the Ad Hoc Working Group on the Paris Agreement to report regularly to the Conference of the Parties on the progress of its work and to complete its work by the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
11. *Decides* that the Ad Hoc Working Group on the Paris Agreement shall hold its sessions starting in 2016 in conjunction with the sessions of the Convention subsidiary bodies and shall prepare draft decisions to be recommended through the Conference of the Parties to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement for consideration and adoption at its first session;

---

<sup>1</sup> Endorsed by decision 2/CP.18, paragraph 2.

## II. Intended nationally determined contributions

12. *Welcomes* the intended nationally determined contributions that have been communicated by Parties in accordance with decision 1/CP.19, paragraph 2(b);

13. *Reiterates* its invitation to all Parties that have not yet done so to communicate to the secretariat their intended nationally determined contributions towards achieving the objective of the Convention as set out in its Article 2 as soon as possible and well in advance of the twenty-second session of the Conference of the Parties (November 2016) and in a manner that facilitates the clarity, transparency and understanding of the intended nationally determined contributions;

14. *Requests* the secretariat to continue to publish the intended nationally determined contributions communicated by Parties on the UNFCCC website;

15. *Reiterates* its call to developed country Parties, the operating entities of the Financial Mechanism and any other organizations in a position to do so to provide support for the preparation and communication of the intended nationally determined contributions of Parties that may need such support;

16. *Takes note* of the synthesis report on the aggregate effect of intended nationally determined contributions communicated by Parties by 1 October 2015, contained in document FCCC/CP/2015/7;

17. *Notes with concern* that the estimated aggregate greenhouse gas emission levels in 2025 and 2030 resulting from the intended nationally determined contributions do not fall within least-cost 2 °C scenarios but rather lead to a projected level of 55 gigatonnes in 2030, and *also notes* that much greater emission reduction efforts will be required than those associated with the intended nationally determined contributions in order to hold the increase in the global average temperature to below 2 °C above pre-industrial levels by reducing emissions to 40 gigatonnes or to 1.5 °C above pre-industrial levels by reducing to a level to be identified in the special report referred to in paragraph 21 below;

18. *Further notes*, in this context, the adaptation needs expressed by many developing country Parties in their intended nationally determined contributions;

19. *Requests* the secretariat to update the synthesis report referred to in paragraph 16 above so as to cover all the information in the

intended nationally determined contributions communicated by Parties pursuant to decision 1/CP.20 by 4 April 2016 and to make it available by 2 May 2016;

20. *Decides* to convene a facilitative dialogue among Parties in 2018 to take stock of the collective efforts of Parties in relation to progress towards the long-term goal referred to in Article 4, paragraph 1, of the Agreement and to inform the preparation of nationally determined contributions pursuant to Article 4, paragraph 8, of the Agreement;

21. *Invites* the Intergovernmental Panel on Climate Change to provide a special report in 2018 on the impacts of global warming of 1.5 °C above pre-industrial levels and related global greenhouse gas emission pathways;

### **III. Decisions to give effect to the Agreement**

#### Mitigation

22. *Also invites* Parties to communicate their first nationally determined contribution no later than when the Party submits its respective instrument of ratification, acceptance, approval or accession of the Paris Agreement; if a Party has communicated an intended nationally determined contribution prior to joining the Agreement, that Party shall be considered to have satisfied this provision unless that Party decides otherwise;

23. *Requests* those Parties whose intended nationally determined contribution pursuant to decision 1/CP.20 contains a time frame up to 2025 to communicate by 2020 a new nationally determined contribution and to do so every five years thereafter pursuant to Article 4, paragraph 9, of the Agreement;

24. *Also requests* those Parties whose intended nationally determined contribution pursuant to decision 1/CP.20 contains a time frame up to 2030 to communicate or update by 2020 these contributions and to do so every five years thereafter pursuant to Article 4, paragraph 9, of the Agreement;

25. *Decides* that Parties shall submit to the secretariat their nationally determined contributions referred to in Article 4 of the Agreement at least 9 to 12 months in advance of the relevant session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement with a view to facilitating the clarity,

transparency and understanding of these contributions, including through a synthesis report prepared by the secretariat;

26. *Requests* the Ad Hoc Working Group on the Paris Agreement to develop further guidance on features of the nationally determined contributions for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

27. *Agrees* that the information to be provided by Parties communicating their nationally determined contributions, in order to facilitate clarity, transparency and understanding, may include, as appropriate, inter alia, quantifiable information on the reference point (including, as appropriate, a base year), time frames and/or periods for implementation, scope and coverage, planning processes, assumptions and methodological approaches including those for estimating and accounting for anthropogenic greenhouse gas emissions and, as appropriate, removals, and how the Party considers that its nationally determined contribution is fair and ambitious, in the light of its national circumstances, and how it contributes towards achieving the objective of the Convention as set out in its Article 2;

28. *Requests* the Ad Hoc Working Group on the Paris Agreement to develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of nationally determined contributions for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

29. *Also requests* the Subsidiary Body for Implementation to develop modalities and procedures for the operation and use of the public registry referred to in Article 4, paragraph 12, of the Agreement, for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

30. *Further requests* the secretariat to make available an interim public registry in the first half of 2016 for the recording of nationally determined contributions submitted in accordance with Article 4 of the Agreement, pending the adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement of the modalities and procedures referred to in paragraph 29 above;

31. *Requests* the Ad Hoc Working Group on the Paris Agreement to elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance

for accounting for Parties' nationally determined contributions, as referred to in Article 4, paragraph 13, of the Agreement, for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session, which ensures that:

(a) Parties account for anthropogenic emissions and removals in accordance with methodologies and common metrics assessed by the Intergovernmental Panel on Climate Change and adopted by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;

(b) Parties ensure methodological consistency, including on baselines, between the communication and implementation of nationally determined contributions;

(c) Parties strive to include all categories of anthropogenic emissions or removals in their nationally determined contributions and, once a source, sink or activity is included, continue to include it;

(d) Parties shall provide an explanation of why any categories of anthropogenic emissions or removals are excluded;

32. *Decides* that Parties shall apply the guidance referred to in paragraph 31 above to the second and subsequent nationally determined contributions and that Parties may elect to apply such guidance to their first nationally determined contribution;

33. *Also decides* that the forum on the impact of the implementation of response measures, under the subsidiary bodies, shall continue, and shall serve the Agreement;

34. *Further decides* that the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation shall recommend, for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Agreement by enhancing cooperation amongst Parties on understanding the impacts of mitigation actions under the Agreement and the exchange of information, experiences, and best practices amongst Parties to raise their resilience to these impacts;

35. *Invites* Parties to communicate, by 2020, to the secretariat mid-century, long-term low greenhouse gas emission development strategies in accordance with Article 4, paragraph 19, of the

Agreement, and *requests* the secretariat to publish on the UNFCCC website Parties' low greenhouse gas emission development strategies as communicated;

36. *Requests* the Subsidiary Body for Scientific and Technological Advice to develop and recommend the guidance referred to under Article 6, paragraph 2, of the Agreement for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their nationally determined contributions under the Agreement;

37. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement adopt rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Agreement on the basis of:

(a) Voluntary participation authorized by each Party involved;

(b) Real, measurable, and long-term benefits related to the mitigation of climate change;

(c) Specific scopes of activities;

(d) Reductions in emissions that are additional to any that would otherwise occur;

(e) Verification and certification of emission reductions resulting from mitigation activities by designated operational entities;

(f) Experience gained with and lessons learned from existing mechanisms and approaches adopted under the Convention and its related legal instruments;

38. *Requests* the Subsidiary Body for Scientific and Technological Advice to develop and recommend rules, modalities and procedures for the mechanism referred to in paragraph 37 above for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

39. *Also requests* the Subsidiary Body for Scientific and Technological Advice to undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6, paragraph 8, of the Agreement, with the objective of considering how to enhance linkages and create synergy



between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, and how to facilitate the implementation and coordination of non-market approaches;

40. *Further requests* the Subsidiary Body for Scientific and Technological Advice to recommend a draft decision on the work programme referred to in paragraph 39 above, taking into account the views of Parties, for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

#### Adaptation

41. *Requests* the Adaptation Committee and the Least Developed Countries Expert Group to jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7, paragraph 3, of the Agreement, and make recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

42. *Also requests* the Adaptation Committee, taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session:

(a) To review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying ways to enhance the coherence of their work, as appropriate, in order to respond adequately to the needs of Parties;

(b) To consider methodologies for assessing adaptation needs with a view to assisting developing country Parties, without placing an undue burden on them;

43. *Invites* all relevant United Nations agencies and international, regional and national financial institutions to provide information to Parties through the secretariat on how their development assistance and climate finance programmes incorporate climate-proofing and climate resilience measures;

44. *Requests* Parties to strengthen regional cooperation on adaptation where appropriate and, where necessary, establish regional centres and networks, in particular in developing countries, taking into account decision 1/CP.16, paragraph 30;

45. *Also requests* the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Standing Committee on Finance and other relevant institutions, to develop methodologies, and make recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session on:

(a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement;

(b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7, paragraph 14(c), of the Agreement;

46. *Further requests* the Green Climate Fund to expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them;

#### Loss and damage

47. Decides on the continuation of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts, following the review in 2016;

48. Requests the Executive Committee of the Warsaw International Mechanism to establish a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer, in order to facilitate the efforts of Parties to develop and implement comprehensive risk management strategies;

49. *Also requests* the Executive Committee of the Warsaw International Mechanism to establish, according to its procedures and mandate, a task force to complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention including the Adaptation Committee and the Least Developed Countries Expert Group, as well as relevant organizations and expert bodies outside the Convention, to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change;

50. Further requests the Executive Committee of the Warsaw International Mechanism to initiate its work, at its next meeting, to operationalize the provisions referred to in paragraphs 48 and 49 above, and to report on progress thereon in its annual report;

51. Agrees that Article 8 of the Agreement does not involve or provide a basis for any liability or compensation;

#### Finance

52. *Decides* that, in the implementation of the Agreement, financial resources provided to developing country Parties should enhance the implementation of their policies, strategies, regulations and action plans and their climate change actions with respect to both mitigation and adaptation to contribute to the achievement of the purpose of the Agreement as defined in its Article 2;

53. *Also decides* that, in accordance with Article 9, paragraph 3, of the Agreement, developed countries intend to continue their existing collective mobilization goal through 2025 in the context of meaningful mitigation actions and transparency on implementation; prior to 2025 the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement shall set a new collective quantified goal from a floor of USD 100 billion per year, taking into account the needs and priorities of developing countries;

54. *Recognizes* the importance of adequate and predictable financial resources, including for results-based payments, as appropriate, for the implementation of policy approaches and positive incentives for reducing emissions from deforestation and forest degradation, and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks; as well as alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests; while reaffirming the importance of non-carbon benefits associated with such approaches; encouraging the coordination of support from, inter alia, public and private, bilateral and multilateral sources, such as the Green Climate Fund, and alternative sources in accordance with relevant decisions by the Conference of the Parties;

55. *Decides* to initiate, at its twenty-second session, a process to identify the information to be provided by Parties, in accordance with Article 9, paragraph 5, of the Agreement with a view to providing a recommendation for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

56. *Also decides* to ensure that the provision of information in accordance with Article 9, paragraph 7, of the Agreement shall be undertaken in accordance with the modalities, procedures and guidelines referred to in paragraph 91 below;

57. *Requests* the Subsidiary Body for Scientific and Technological Advice to develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Agreement for consideration by the Conference of the Parties at its twenty-fourth session (November 2018), with a view to making a recommendation for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

58. *Decides* that the Green Climate Fund and the Global Environment Facility, the entities entrusted with the operation of the Financial Mechanism of the Convention, as well as the Least Developed Countries Fund and the Special Climate Change Fund, administered by the Global Environment Facility, shall serve the Agreement;

59. *Recognizes* that the Adaptation Fund may serve the Agreement, subject to relevant decisions by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;

60. *Invites* the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to consider the issue referred to in paragraph 59 above and make a recommendation to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

61. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement shall provide guidance to the entities entrusted with the operation of the Financial Mechanism of the Convention on the policies, programme priorities and eligibility criteria related to the Agreement for transmission by the Conference of the Parties;

62. *Decides* that the guidance to the entities entrusted with the operations of the Financial Mechanism of the Convention in relevant decisions of the Conference of the Parties, including those agreed before adoption of the Agreement, shall apply *mutatis mutandis* to the Agreement;

63. *Also decides* that the Standing Committee on Finance shall serve the Agreement in line with its functions and responsibilities established under the Conference of the Parties;

64. *Urges* the institutions serving the Agreement to enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate;

#### Technology development and transfer

65. *Takes note of* the interim report of the Technology Executive Committee on guidance on enhanced implementation of the results of technology needs assessments as contained in document FCCC/SB/2015/INF.3;

66. *Decides* to strengthen the Technology Mechanism and *requests* the Technology Executive Committee and the Climate Technology Centre and Network, in supporting the implementation of the Agreement, to undertake further work relating to, inter alia:

(a) Technology research, development and demonstration;

(b) The development and enhancement of endogenous capacities and technologies;

67. *Requests* the Subsidiary Body for Scientific and Technological Advice to initiate, at its forty-fourth session (May 2016), the elaboration of the technology framework established under Article 10, paragraph 4, of the Agreement and to report on its findings to the Conference of the Parties, with a view to the Conference of the Parties making a recommendation on the framework to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement for consideration and adoption at its first session, taking into consideration that the framework should facilitate, inter alia:

(a) The undertaking and updating of technology needs assessments, as well as the enhanced implementation of their results, particularly technology action plans and project ideas, through the preparation of bankable projects;

(b) The provision of enhanced financial and technical support for the implementation of the results of the technology needs assessments;

(c) The assessment of technologies that are ready for transfer;

(d) The enhancement of enabling environments for and the addressing of barriers to the development and transfer of socially and environmentally *sound technologies*;

68. *Decides* that the Technology Executive Committee and the Climate Technology Centre and Network shall report to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, through the subsidiary bodies, on their activities to support the implementation of the Agreement;

69. *Also decides* to undertake a periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Agreement on matters relating to technology development and transfer;

70. *Requests the* Subsidiary Body for Implementation to initiate, at its forty-fourth session, the elaboration of the scope of and modalities for the periodic assessment referred to in paragraph 69 above, taking into account the review of the Climate Technology Centre and Network as referred to in decision 2/CP.17, annex VII, paragraph 20, and the modalities for the global stocktake referred to in Article 14 of the Agreement, for consideration and adoption by the Conference of the Parties at its twenty-fifth session (November 2019);

#### Capacity-building

71. *Decides* to establish the Paris Committee on Capacity-building whose aim will be to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhancing capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention;

72. *Also decides* that the Paris Committee on Capacity-building will manage and oversee the workplan referred to in paragraph 73 below;

73. *Further decides* to launch a workplan for the period 2016–2020 with the following activities:

(a) Assessing how to increase synergies through cooperation and avoid duplication among existing bodies established under the Convention that implement capacity-building activities,

including through collaborating with institutions under and outside the Convention;

(b) Identifying capacity gaps and needs and recommending ways to address them;

(c) Promoting the development and dissemination of tools and methodologies for the implementation of capacity-building;

(d) Fostering global, regional, national and subnational cooperation;

(e) Identifying and collecting good practices, challenges, experiences and lessons learned from work on capacity-building by bodies established under the Convention;

(f) Exploring how developing country Parties can take ownership of building and *maintaining capacity over time and space*;

(g) Identifying opportunities to strengthen capacity at the national, regional and subnational level;

(h) Fostering dialogue, coordination, collaboration and coherence among relevant processes and initiatives under the Convention, including through exchanging information on capacity-building activities and strategies of bodies established under the Convention;

(i) Providing guidance to the secretariat on the maintenance and further development of the web-based capacity-building portal;

74. *Decides* that the Paris Committee on Capacity-building will annually focus on an area or theme related to enhanced technical exchange on capacity-building, with the purpose of maintaining up-to-date knowledge on the successes and challenges in building capacity effectively in a particular area;

75. *Requests* the Subsidiary Body for Implementation to organize annual in-session meetings of the Paris Committee on Capacity-building;

76. *Also requests* the Subsidiary Body for Implementation to develop the terms of reference for the Paris Committee on Capacity-building, in the context of the third comprehensive review of the implementation of the capacity-building framework, also taking into account paragraphs 71–75 above and paragraphs 79 and 80 below, with a view to recommending a draft decision on this matter for

consideration and adoption by the Conference of the Parties at its twenty-second session;

77. *Invites* Parties to submit their views on the membership of the Paris Committee on Capacity-building by 9 March 2016;<sup>2</sup>

78. *Requests* the secretariat to compile the submissions referred to in paragraph 77 above into a miscellaneous document for consideration by the Subsidiary Body for Implementation at its forty-fourth session;

79. *Decides* that the inputs to the Paris Committee on Capacity-building will include, inter alia, submissions, the outcome of the third comprehensive review of the implementation of the capacity-building framework, the secretariat's annual synthesis report on the implementation of the framework for capacity-building in developing countries, the secretariat's compilation and synthesis report on capacity-building work of bodies established under the Convention and its Kyoto Protocol, and reports on the Durban Forum and the capacity-building portal;

80. *Requests* the Paris Committee on Capacity-building to prepare annual technical progress reports on its work, and to make these reports available at the sessions of the Subsidiary Body for Implementation coinciding with the sessions of the Conference of the Parties;

81. *Decides*, at its twenty-fifth session, to review the progress, need for extension, the effectiveness and enhancement of the Paris Committee on Capacity-building and to take any action it considers appropriate, with a view to making recommendations to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session on enhancing institutional arrangements for capacity-building consistent with Article 11, paragraph 5, of the Agreement;

82. *Calls upon* all Parties to ensure that education, training and public awareness, as reflected in Article 6 of the Convention and in Article 12 of the Agreement, are adequately considered in their contribution to capacity-building;

83. *Invites* the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, at its first session, to explore ways of enhancing the implementation of training, public awareness,

---

<sup>2</sup> Parties should submit their views via the submissions portal at <<http://www.unfccc.int/5900>>.



public participation and public access to information so as to enhance actions under the Agreement;

Transparency of action and support

84. *Decides* to establish a Capacity-building Initiative for Transparency in order to build institutional and technical capacity, both pre- and post-2020; this initiative will support developing country Parties, upon request, in meeting enhanced transparency requirements as defined in Article 13 of the Agreement in a timely manner;

85. *Also decides* that the Capacity-building Initiative for Transparency will aim:

(a) To strengthen national institutions for transparency-related activities in line with national priorities;

(b) To provide relevant tools, training and assistance for meeting the provisions stipulated in Article 13 of the Agreement;

(c) To assist in the improvement of transparency over time;

86. *Urges and requests* the Global Environment Facility to make arrangements to support the establishment and operation of the Capacity-building Initiative for Transparency as a priority reporting-related need, including through voluntary contributions to support developing country Parties in the sixth replenishment of the Global Environment Facility and future replenishment cycles, to complement existing support under the Global Environment Facility;

87. *Decides* to assess the implementation of the Capacity-building Initiative for Transparency in the context of the seventh review of the Financial Mechanism;

88. *Requests* that the Global Environment Facility, as an operating entity of the Financial Mechanism, include in its annual report to the Conference of the Parties the progress of work in the design, development and implementation of the Capacity-building Initiative for Transparency referred to in paragraph 84 above starting in 2016;

89. *Decides* that, in accordance with Article 13, paragraph 2, of the Agreement, developing country Parties shall be provided flexibility in the implementation of the provisions of that Article, including in the scope, frequency and level of detail of reporting, and in the scope of review, and that the scope of review could provide for in-country reviews to be optional, while such flexibilities shall be

reflected in the development of modalities, procedures and guidelines referred to in paragraph 91 below;

90. *Also decides* that all Parties, except for the least developed country Parties and small island developing States, shall submit the information referred to in Article 13, paragraphs 7, 8, 9 and 10, of the Agreement, as appropriate, no less frequently than on a biennial basis, and that the least developed country Parties and small island developing States may submit this information at their discretion;

91. *Requests* the Ad Hoc Working Group on the Paris Agreement to develop recommendations for modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration by the Conference of the Parties, at its twenty-fourth session, with a view to forwarding them to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement for consideration and adoption at its first session;

92. *Also requests* the Ad Hoc Working Group on the Paris Agreement, in developing the recommendations for the modalities, procedures and guidelines referred to in paragraph 91 above, to take into account, inter alia:

(a) The importance of facilitating improved reporting and transparency over time;

(b) The need to provide flexibility to those developing country Parties that need it in the light of their capacities;

(c) The need to promote transparency, accuracy, completeness, consistency and comparability;

(d) The need to avoid duplication as well as undue burden on Parties and the secretariat;

(e) The need to ensure that Parties maintain at least the frequency and quality of reporting in accordance with their respective obligations under the Convention;

(f) The need to ensure that double counting is avoided;

(g) The need to ensure environmental integrity;

93. *Further requests* the Ad Hoc Working Group on the Paris Agreement, in developing the modalities, procedures and guidelines referred to in paragraph 91 above, to draw on the experiences from

and take into account other ongoing relevant processes under the Convention;

94. *Requests* the Ad Hoc Working Group on the Paris Agreement, in developing the modalities, procedures and guidelines referred to in paragraph 91 above, to consider, inter alia:

(a) The types of flexibility available to those developing country Parties that need it on the basis of their capacities;

(b) The consistency between the methodology communicated in the nationally determined contribution and the methodology for reporting on progress made towards achieving individual Parties' respective nationally determined contribution;

(c) That Parties report information on adaptation action and planning including, if appropriate, their national adaptation plans, with a view to collectively exchanging information and sharing lessons learned;

(d) Support provided, enhancing delivery of support for both adaptation and mitigation through, inter alia, the common tabular formats for reporting support, and taking into account issues considered by the Subsidiary Body for Scientific and Technological Advice on methodologies for reporting on financial information, and enhancing the reporting by developing country Parties on support received, including the use, impact and estimated results thereof;

(e) Information in the biennial assessments and other reports of the Standing Committee on Finance and other relevant bodies under the Convention;

(f) Information on the social and economic impact of response measures;

95. *Also requests* the Ad Hoc Working Group on the Paris Agreement, in developing recommendations for the modalities, procedures and guidelines referred to in paragraph 91 above, to enhance the transparency of support provided in accordance with Article 9 of the Agreement;

96. *Further requests* the Ad Hoc Working Group on the Paris Agreement to report on the progress of work on the modalities, procedures and guidelines referred to in paragraph 91 above to future sessions of the Conference of the Parties, and that this work be concluded no later than 2018;

97. *Decides* that the modalities, procedures and guidelines developed under paragraph 91 above shall be applied upon the entry into force of the Paris Agreement;

98. *Also decides* that the modalities, procedures and guidelines of this transparency framework shall build upon and eventually supersede the measurement, reporting and verification system established by decision 1/CP.16, paragraphs 40–47 and 60–64, and decision 2/CP.17, paragraphs 12–62, immediately following the submission of the final biennial reports and biennial update reports;

#### Global stocktake

99. *Requests* the Ad Hoc Working Group on the Paris Agreement to identify the sources of input for the global stocktake referred to in Article 14 of the Agreement and to report to the Conference of the Parties, with a view to the Conference of the Parties making a recommendation to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement for consideration and adoption at its first session, including, but not limited to:

(a) Information on:

(i) The overall effect of the nationally determined contributions communicated by Parties;

(ii) The state of adaptation efforts, support, experiences and priorities from the communications referred to in Article 7, paragraphs 10 and 11, of the Agreement, and reports referred to in Article 13, paragraph 8, of the Agreement;

(iii) The mobilization and provision of support;

(b) The latest reports of the Intergovernmental Panel on Climate Change;

(c) Reports of the subsidiary bodies;

100. *Also requests* the Subsidiary Body for Scientific and Technological Advice to provide advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake of the implementation of the Agreement pursuant to its Article 14 and to report on this matter to the Ad Hoc Working Group on the Paris Agreement at its second session;

101. *Further requests* the Ad Hoc Working Group on the Paris Agreement to develop modalities for the global stocktake referred to in Article 14 of the Agreement and to report to the Conference of the Parties, with a view to the Conference of the Parties making a

recommendation to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement for consideration and adoption at its first session;

#### Facilitating implementation and compliance

102. *Decides* that the committee referred to in Article 15, paragraph 2, of the Agreement shall consist of 12 members with recognized competence in relevant scientific, technical, socioeconomic or legal fields, to be elected by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on the basis of equitable geographical representation, with two members each from the five regional groups of the United Nations and one member each from the small island developing States and the least developed countries, while taking into account the goal of gender balance;

103. *Requests* the Ad Hoc Working Group on the Paris Agreement to develop the modalities and procedures for the effective operation of the committee referred to in Article 15, paragraph 2, of the Agreement, with a view to the Ad Hoc Working Group on the Paris Agreement completing its work on such modalities and procedures for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;

#### Final clauses

104. *Also requests* the secretariat, solely for the purposes of Article 21 of the Agreement, to make available on its website on the date of adoption of the Agreement as well as in the report of the Conference of the Parties on its twenty-first session, information on the most up-to-date total and per cent of greenhouse gas emissions communicated by Parties to the Convention in their national communications, greenhouse gas inventory reports, biennial reports or biennial update reports;

## **IV. Enhanced action prior to 2020**

105. *Resolves* to ensure the highest possible mitigation efforts in the pre-2020 period, including by:

(a) Urging all Parties to the Kyoto Protocol that have not already done so to ratify and implement the Doha Amendment to the Kyoto Protocol;

(b) Urging all Parties that have not already done so to make and implement a mitigation pledge under the Cancun Agreements;

(c) Reiterating its resolve, as set out in decision 1/CP.19, paragraphs 3 and 4, to accelerate the full implementation of the decisions constituting the agreed outcome pursuant to decision 1/CP.13 and enhance ambition in the pre-2020 period in order to ensure the highest possible mitigation efforts under the Convention by all Parties;

(d) Inviting developing country Parties that have not submitted their first biennial update reports to do so as soon as possible;

(e) Urging all Parties to participate in the existing measurement, reporting and verification processes under the Cancun Agreements, in a timely manner, with a view to demonstrating progress made in the implementation of their mitigation pledges;

106. *Encourages* Parties to promote the voluntary cancellation by Party and non-Party stakeholders, without double counting, of units issued under the Kyoto Protocol, including certified emission reductions that are valid for the second commitment period;

107. *Urges* host and purchasing Parties to report transparently on internationally transferred mitigation outcomes, including outcomes used to meet international pledges, and emission units issued under the Kyoto Protocol with a view to promoting environmental integrity and avoiding double counting;

108. *Recognizes* the social, economic and environmental value of voluntary mitigation actions and their co-benefits for adaptation, health and sustainable development;

109. *Resolves* to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decision 1/CP.19, paragraph 5(a), and decision 1/CP.20, paragraph 19, taking into account the latest scientific knowledge, including by:

(a) Encouraging Parties, Convention bodies and international organizations to engage in this process, including, as appropriate, in cooperation with relevant non-Party stakeholders, to share their experiences and suggestions, including from regional events, and to cooperate in facilitating the implementation of policies, practices and actions identified during this process in accordance with national sustainable development priorities;

(b) Striving to improve, in consultation with Parties, access to and participation in this process by developing country Party and non-Party experts;

(c) Requesting the Technology Executive Committee and the Climate Technology Centre and Network in accordance with their respective mandates:

(i) To engage in the technical expert meetings and enhance their efforts to facilitate and support Parties in scaling up the implementation of policies, practices and actions identified during this process;

(ii) To provide regular updates during the technical expert meetings on the progress made in facilitating the implementation of policies, practices and actions previously identified during this process;

(iii) To include information on their activities under this process in their joint annual report to the Conference of the Parties;

(d) Encouraging Parties to make effective use of the Climate Technology Centre and Network to obtain assistance to develop economically, environmentally and socially viable project proposals in the high mitigation potential areas identified in this process;

110. *Encourages* the operating entities of the Financial Mechanism of the Convention to engage in the technical expert meetings and to inform participants of their contribution to facilitating progress in the implementation of policies, practices and actions identified during the technical examination process;

111. *Requests* the secretariat to organize the process referred to in paragraph 109 above and disseminate its results, including by:

(a) Organizing, in consultation with the Technology Executive Committee and relevant expert organizations, regular technical expert meetings focusing on specific policies, practices and actions representing best practices and with the potential to be scalable and replicable;

(b) Updating, on an annual basis, following the meetings referred to in paragraph 111(a) above and in time to serve as input to the summary for policymakers referred to in paragraph 111(c) below, a technical paper on the mitigation benefits and co-benefits of policies, practices and actions for enhancing mitigation ambition, as

well as on options for supporting their implementation, information on which should be made available in a user-friendly online format;

(c) Preparing, in consultation with the champions referred to in paragraph 121 below, a summary for policymakers, with information on specific policies, practices and actions representing best practices and with the potential to be scalable and replicable, and on options to support their implementation, as well as on relevant collaborative initiatives, and publishing the summary at least two months in advance of each session of the Conference of the Parties as input for the high-level event referred to in paragraph 120 below;

112. *Decides* that the process referred to in paragraph 109 above should be organized jointly by the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice and should take place on an ongoing basis until 2020;

113. *Also decides* to conduct in 2017 an assessment of the process referred to in paragraph 109 above so as to improve its effectiveness;

114. *Resolves* to enhance the provision of urgent and adequate finance, technology and capacity-building support by developed country Parties in order to enhance the level of ambition of pre-2020 action by Parties, and in this regard *strongly urges* developed country Parties to scale up their level of financial support, with a concrete road map to achieve the goal of jointly providing USD 100 billion annually by 2020 for mitigation and adaptation while significantly increasing adaptation finance from current levels and to further provide appropriate technology and capacity-building support;

115. *Decides* to conduct a facilitative dialogue in conjunction with the twenty-second session of the Conference of the Parties to assess the progress in implementing decision 1/CP.19, paragraphs 3 and 4, and identify relevant opportunities to enhance the provision of financial resources, including for technology development and transfer, and capacity-building support, with a view to identifying ways to enhance the ambition of mitigation efforts by all Parties, including identifying relevant opportunities to enhance the provision and mobilization of support and enabling environments;

116. *Acknowledges* with appreciation the results of the Lima-Paris Action Agenda, which build on the climate summit convened on 23 September 2014 by the Secretary-General of the United Nations;



117. *Welcomes* the efforts of non-Party stakeholders to scale up their climate actions, and *encourages* the registration of those actions in the Non-State Actor Zone for Climate Action platform;<sup>3</sup>

118. *Encourages* Parties to work closely with non-Party stakeholders to catalyse efforts to strengthen mitigation and adaptation action;

119. *Also encourages* non-Party stakeholders to increase their engagement in the processes referred to in paragraph 109 above and paragraph 124 below;

120. *Agrees* to convene, pursuant to decision 1/CP.20, paragraph 21, building on the Lima-Paris Action Agenda and in conjunction with each session of the Conference of the Parties during the period 2016–2020, a high-level event that:

(a) Further strengthens high-level engagement on the implementation of policy options and actions arising from the processes referred to in paragraph 109 above and paragraph 124 below, drawing on the summary for policymakers referred to in paragraph 111(c) above;

(b) Provides an opportunity for announcing new or strengthened voluntary efforts, initiatives and coalitions, including the implementation of policies, practices and actions arising from the processes referred to in paragraph 109 above and paragraph 124 below and presented in the summary for policymakers referred to in paragraph 111(c) above;

(c) Takes stock of related progress and recognizes new or strengthened voluntary efforts, initiatives and coalitions;

(d) Provides meaningful and regular opportunities for the effective high-level engagement of dignitaries of Parties, international organizations, international cooperative initiatives and non-Party stakeholders;

121. *Decides* that two high-level champions shall be appointed to act on behalf of the President of the Conference of the Parties to facilitate through strengthened high-level engagement in the period 2016–2020 the successful execution of existing efforts and the scaling-up and introduction of new or strengthened voluntary efforts, initiatives and coalitions, including by:

---

<sup>3</sup> <<http://climateaction.unfccc.int/>>.

(a) Working with the Executive Secretary and the current and incoming Presidents of the Conference of the Parties to coordinate the annual high-level event referred to in paragraph 120 above;

(b) Engaging with interested Parties and non-Party stakeholders, including to further the voluntary initiatives of the Lima-Paris Action Agenda;

(c) Providing guidance to the secretariat on the organization of technical expert meetings referred to in paragraph 111(a) above and paragraph 129(a) below;

122. *Also decides* that the high-level champions referred to in paragraph 121 above should normally serve for a term of two years, with their terms overlapping for a full year to ensure continuity, such that:

(a) The President of the twenty-first session of the Conference of the Parties should appoint one champion, who should serve for one year from the date of the appointment until the last day of the twenty-second session of the Conference of the Parties;

(b) The President of the twenty-second session of the Conference of the Parties should appoint one champion who should serve for two years from the date of the appointment until the last day of the twenty-third session of the Conference of the Parties (November 2017);

(c) Thereafter, each subsequent President of the Conference of the Parties should appoint one champion who should serve for two years and succeed the previously appointed champion whose term has ended;

123. *Invites* all interested Parties and relevant organizations to provide support for the work of the champions referred to in paragraph 121 above;

124. *Decides* to launch, in the period 2016–2020, a technical examination process on adaptation;

125. *Also decides* that the process referred to in paragraph 124 above will endeavour to identify concrete opportunities for strengthening resilience, reducing vulnerabilities and increasing the understanding and implementation of adaptation actions;

126. *Further decides* that the process referred to in paragraph 124 above should be organized jointly by the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice, and conducted by the Adaptation Committee;

127. *Decides* that the process referred to in paragraph 124 above will be pursued by:

(a) Facilitating the sharing of good practices, experiences and lessons learned;

(b) Identifying actions that could significantly enhance the implementation of adaptation actions, including actions that could enhance economic diversification and have mitigation co-benefits;

(c) Promoting cooperative action on adaptation;

(d) Identifying opportunities to strengthen enabling environments and enhance the provision of support for adaptation in the context of specific policies, practices and actions;

128. *Also decides* that the technical examination process on adaptation referred to in paragraph 124 above will take into account the process, modalities, outputs, outcomes and lessons learned from the technical examination process on mitigation referred to in paragraph 109 above;

129. *Requests* the secretariat to support the process referred to in paragraph 124 above by:

(a) Organizing regular technical expert meetings focusing on specific policies, strategies and actions;

(b) Preparing annually, on the basis of the meetings referred to in paragraph 129(a) above and in time to serve as an input to the summary for policymakers referred to in paragraph 111(c) above, a technical paper on opportunities to enhance adaptation action, as well as options to support their implementation, information on which should be made available in a user-friendly online format;

130. *Decides* that in conducting the process referred to in paragraph 124 above, the Adaptation Committee will engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value;

131. *Also decides* to conduct, in conjunction with the assessment referred to in paragraph 113 above, an assessment of the process referred to in paragraph 124 above, so as to improve its effectiveness;

132. *Invites* Parties and observer organizations to submit information on the opportunities referred to in paragraph 125 above by 3 February 2016;

## V. Non-Party stakeholders

133. *Welcomes* the efforts of all non-Party stakeholders to address and respond to climate change, including those of civil society, the private sector, financial institutions, cities and other subnational authorities;

134. *Invites* the non-Party stakeholders referred to in paragraph 133 above to scale up their efforts and support actions to reduce emissions and/or to build resilience and decrease vulnerability to the adverse effects of climate change and demonstrate these efforts via the Non-State Actor Zone for Climate Action platform<sup>4</sup> referred to in paragraph 117 above;

135. *Recognizes* the need to strengthen knowledge, technologies, practices and efforts of local communities and indigenous peoples related to addressing and responding to climate change, and *establishes* a platform for the exchange of experiences and sharing of best practices on mitigation and adaptation in a holistic and integrated manner;

136. *Also recognizes* the important role of providing incentives for emission reduction activities, including tools such as domestic policies and carbon pricing;

## VI. Administrative and budgetary matters

137. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat referred to in this decision and *requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources;

138. *Emphasizes* the urgency of making additional resources available for the implementation of the relevant actions, including actions referred to in this decision, and the implementation of the work programme referred to in paragraph 9 above;

139. *Urges* Parties to make voluntary contributions for the timely implementation of this decision.

---

<sup>4</sup> <<http://climateaction.unfccc.int/>>.

# Annex

## Paris Agreement

*The Parties to this Agreement,*

*Being* Parties to the United Nations Framework Convention on Climate Change, hereinafter referred to as “the Convention”,

*Pursuant* to the Durban Platform for Enhanced Action established by decision 1/CP.17 of the Conference of the Parties to the Convention at its seventeenth session,

*In pursuit* of the objective of the Convention, and being guided by its principles, including the principle of equity and common but differentiated responsibilities and respective capabilities, in the light of different national circumstances,

*Recognizing* the need for an effective and progressive response to the urgent threat of climate change on the basis of the best available scientific knowledge,

*Also recognizing* the specific needs and special circumstances of developing country Parties, especially those that are particularly vulnerable to the adverse effects of climate change, as provided for in the Convention,

*Taking full account* of the specific needs and special situations of the least developed countries with regard to funding and transfer of technology,

*Recognizing* that Parties may be affected not only by climate change, but also by the impacts of the measures taken in response to it,

*Emphasizing* the intrinsic relationship that climate change actions, responses and impacts have with equitable access to sustainable development and eradication of poverty,

*Recognizing* the fundamental priority of safeguarding food security and ending hunger, and the particular vulnerabilities of food production systems to the adverse impacts of climate change,

*Taking into account* the imperatives of a just transition of the workforce and the creation of decent work and quality jobs in accordance with nationally defined development priorities,

*Acknowledging* that climate change is a common concern of humankind, Parties should, when taking action to address climate change, respect, promote and consider their respective obligations on human rights, the right to health, the rights of indigenous peoples,

local communities, migrants, children, persons with disabilities and people in vulnerable situations and the right to development, as well as gender equality, empowerment of women and intergenerational equity,

*Recognizing* the importance of the conservation and enhancement, as appropriate, of sinks and reservoirs of the greenhouse gases referred to in the Convention,

*Noting* the importance of ensuring the integrity of all ecosystems, including oceans, and the protection of biodiversity, recognized by some cultures as Mother Earth, and noting the importance for some of the concept of “climate justice”, when taking action to address climate change,

*Affirming* the importance of education, training, public awareness, public participation, public access to information and cooperation at all levels on the matters addressed in this Agreement,

*Recognizing* the importance of the engagements of all levels of government and various actors, in accordance with respective national legislations of Parties, in addressing climate change,

*Also recognizing* that sustainable lifestyles and sustainable patterns of consumption and production, with developed country Parties taking the lead, play an important role in addressing climate change,

Have agreed as follows:

## **Article 1**

For the purpose of this Agreement, the definitions contained in Article 1 of the Convention shall apply. In addition:

1. “Convention” means the United Nations Framework Convention on Climate Change, adopted in New York on 9 May 1992;
2. “Conference of the Parties” means the Conference of the Parties to the Convention;
3. “Party” means a Party to this Agreement.

## **Article 2**

1. This Agreement, in enhancing the implementation of the Convention, including its objective, aims to strengthen the global response to the threat of climate change, in the context of sustainable development and efforts to eradicate poverty, including by:

(a) Holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5 °C above pre-industrial levels, recognizing that this would significantly reduce the risks and impacts of climate change;

(b) Increasing the ability to adapt to the adverse impacts of climate change and foster climate resilience and low greenhouse gas emissions development, in a manner that does not threaten food production; and

(c) Making finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development.

2. This Agreement will be implemented to reflect equity and the principle of common but differentiated responsibilities and respective capabilities, in the light of different national circumstances.

### **Article 3**

As nationally determined contributions to the global response to climate change, all Parties are to undertake and communicate ambitious efforts as defined in Articles 4, 7, 9, 10, 11 and 13 with the view to achieving the purpose of this Agreement as set out in Article 2. The efforts of all Parties will represent a progression over time, while recognizing the need to support developing country Parties for the effective implementation of this Agreement.

### **Article 4**

1. In order to achieve the long-term temperature goal set out in Article 2, Parties aim to reach global peaking of greenhouse gas emissions as soon as possible, recognizing that peaking will take longer for developing country Parties, and to undertake rapid reductions thereafter in accordance with best available science, so as to achieve a balance between anthropogenic emissions by sources and removals by sinks of greenhouse gases in the second half of this century, on the basis of equity, and in the context of sustainable development and efforts to eradicate poverty.

2. Each Party shall prepare, communicate and maintain successive nationally determined contributions that it intends to achieve. Parties shall pursue domestic mitigation measures, with the aim of achieving the objectives of such contributions.

3. Each Party's successive nationally determined contribution will represent a progression beyond the Party's then current nationally determined contribution and reflect its highest possible ambition, reflecting its common but differentiated responsibilities and respective capabilities, in the light of different national circumstances.

4. Developed country Parties should continue taking the lead by undertaking economy-wide absolute emission reduction targets. Developing country Parties should continue enhancing their mitigation efforts, and are encouraged to move over time towards economy-wide emission reduction or limitation targets in the light of different national circumstances.

5. Support shall be provided to developing country Parties for the implementation of this Article, in accordance with Articles 9, 10 and 11, recognizing that enhanced support for developing country Parties will allow for higher ambition in their actions.

6. The least developed countries and small island developing States may prepare and communicate strategies, plans and actions for low greenhouse gas emissions development reflecting their special circumstances.

7. Mitigation co-benefits resulting from Parties' adaptation actions and/or economic diversification plans can contribute to mitigation outcomes under this Article.

8. In communicating their nationally determined contributions, all Parties shall provide the information necessary for clarity, transparency and understanding in accordance with decision 1/CP.21 and any relevant decisions of the Conference of the Parties serving as the meeting of the Parties to this Agreement.

9. Each Party shall communicate a nationally determined contribution every five years in accordance with decision 1/CP.21 and any relevant decisions of the Conference of the Parties serving as the meeting of the Parties to this Agreement and be informed by the outcomes of the global stocktake referred to in Article 14.

10. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall consider common time frames for nationally determined contributions at its first session.

11. A Party may at any time adjust its existing nationally determined contribution with a view to enhancing its level of ambition, in accordance with guidance adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement.



12. Nationally determined contributions communicated by Parties shall be recorded in a public registry maintained by the secretariat.

13. Parties shall account for their nationally determined contributions. In accounting for anthropogenic emissions and removals corresponding to their nationally determined contributions, Parties shall promote environmental integrity, transparency, accuracy, completeness, comparability and consistency, and ensure the avoidance of double counting, in accordance with guidance adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

14. In the context of their nationally determined contributions, when recognizing and implementing mitigation actions with respect to anthropogenic emissions and removals, Parties should take into account, as appropriate, existing methods and guidance under the Convention, in the light of the provisions of paragraph 13 of this Article.

15. Parties shall take into consideration in the implementation of this Agreement the concerns of Parties with economies most affected by the impacts of response measures, particularly developing country Parties.

16. Parties, including regional economic integration organizations and their member States, that have reached an agreement to act jointly under paragraph 2 of this Article shall notify the secretariat of the terms of that agreement, including the emission level allocated to each Party within the relevant time period, when they communicate their nationally determined contributions. The secretariat shall in turn inform the Parties and signatories to the Convention of the terms of that agreement.

17. Each party to such an agreement shall be responsible for its emission level as set out in the agreement referred to in paragraph 16 of this Article in accordance with paragraphs 13 and 14 of this Article and Articles 13 and 15.

18. If Parties acting jointly do so in the framework of, and together with, a regional economic integration organization which is itself a Party to this Agreement, each member State of that regional economic integration organization individually, and together with the regional economic integration organization, shall be responsible for its emission level as set out in the agreement communicated under paragraph 16 of this Article in accordance with paragraphs 13 and 14 of this Article and Articles 13 and 15.

19. All Parties should strive to formulate and communicate long-term low greenhouse gas emission development strategies, mindful of Article 2 taking into account their common but differentiated responsibilities and respective capabilities, in the light of different national circumstances.

### **Article 5**

1. Parties should take action to conserve and enhance, as appropriate, sinks and reservoirs of greenhouse gases as referred to in Article 4, *paragraph 1(d)*, of the Convention, including forests.

2. Parties are encouraged to take action to implement and support, including through results-based payments, the existing framework as set out in related guidance and decisions already agreed under the Convention for: policy approaches and positive incentives for activities relating to reducing emissions from deforestation and forest degradation, and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries; and alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests, while reaffirming the importance of incentivizing, as appropriate, non-carbon benefits associated with such approaches.

### **Article 6**

1. Parties recognize that some Parties choose to pursue voluntary cooperation in the implementation of their nationally determined contributions to allow for higher ambition in their mitigation and adaptation actions and to promote sustainable development and environmental integrity.

2. Parties shall, where engaging on a voluntary basis in cooperative approaches that involve the use of internationally transferred mitigation outcomes towards nationally determined contributions, promote sustainable development and ensure environmental integrity and transparency, including in governance, and shall apply robust accounting to ensure, inter alia, the avoidance of double counting, consistent with guidance adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

3. The use of internationally transferred mitigation outcomes to achieve nationally determined contributions under this Agreement shall be voluntary and authorized by participating Parties.

4. A mechanism to contribute to the mitigation of greenhouse gas emissions and support sustainable development is hereby established under the authority and guidance of the Conference of the Parties serving as the meeting of the Parties to this Agreement for use by Parties on a voluntary basis. It shall be supervised by a body designated by the Conference of the Parties serving as the meeting of the Parties to this Agreement, and shall aim:

(a) To promote the mitigation of greenhouse gas emissions while fostering sustainable development;

(b) To incentivize and facilitate participation in the mitigation of greenhouse gas emissions by public and private entities authorized by a Party;

(c) To contribute to the reduction of emission levels in the host Party, which will benefit from mitigation activities resulting in emission reductions that can also be used by another Party to fulfil its nationally determined contribution; and

(d) To deliver an overall mitigation in global emissions.

5. Emission reductions resulting from the mechanism referred to in paragraph 4 of this Article shall not be used to demonstrate achievement of the host Party's nationally determined contribution if used by another Party to demonstrate achievement of its nationally determined contribution.

6. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall ensure that a share of the proceeds from activities under the mechanism referred to in paragraph 4 of this Article is used to cover administrative expenses as well as to assist developing country Parties that are particularly vulnerable to the adverse effects of climate change to meet the costs of adaptation.

7. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall adopt rules, modalities and procedures for the mechanism referred to in paragraph 4 of this Article at its first session.

8. Parties recognize the importance of integrated, holistic and balanced non-market approaches being available to Parties to assist in the implementation of their nationally determined contributions, in the context of sustainable development and poverty eradication, in a coordinated and effective manner, including through, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, as appropriate. These approaches shall aim to:

- (a) Promote mitigation and adaptation ambition;
- (b) Enhance public and private sector participation in the implementation of nationally determined contributions; and
- (c) Enable opportunities for coordination across instruments and relevant institutional arrangements.

9. A framework for non-market approaches to sustainable development is hereby defined to promote the non-market approaches referred to in paragraph 8 of this Article.

### **Article 7**

1. Parties hereby establish the global goal on adaptation of enhancing adaptive capacity, strengthening resilience and reducing vulnerability to climate change, with a view to contributing to sustainable development and ensuring an adequate adaptation response in the context of the temperature goal referred to in Article 2.

2. Parties recognize that adaptation is a global challenge faced by all with local, subnational, national, regional and international dimensions, and that it is a key component of and makes a contribution to the long-term global response to climate change to protect people, livelihoods and ecosystems, taking into account the urgent and immediate needs of those developing country Parties that are particularly vulnerable to the adverse effects of climate change.

3. The adaptation efforts of developing country Parties shall be recognized, in accordance with the modalities to be adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement at its first session.

4. Parties recognize that the current need for adaptation is significant and that greater levels of mitigation can reduce the need for additional adaptation efforts, and that greater adaptation needs can involve greater adaptation costs.

5. Parties acknowledge that adaptation action should follow a country-driven, gender-responsive, participatory and fully transparent approach, taking into consideration vulnerable groups, communities and ecosystems, and should be based on and guided by the best available science and, as appropriate, traditional knowledge, knowledge of indigenous peoples and local knowledge systems, with a view to integrating adaptation into relevant socioeconomic and environmental policies and actions, where appropriate.

6. Parties recognize the importance of support for and international cooperation on adaptation efforts and the importance of taking into account the needs of developing country Parties, especially those that are particularly vulnerable to the adverse effects of climate change.

7. Parties should strengthen their cooperation on enhancing action on adaptation, taking into account the Cancun Adaptation Framework, including with regard to:

(a) Sharing information, good practices, experiences and lessons learned, including, as appropriate, as these relate to science, planning, policies and implementation in relation to adaptation actions;

(b) Strengthening institutional arrangements, including those under the Convention that serve this Agreement, to support the synthesis of relevant information and knowledge, and the provision of technical support and guidance to Parties;

(c) Strengthening scientific knowledge on climate, including research, systematic observation of the climate system and early warning systems, in a manner that informs climate services and supports decision-making;

(d) Assisting developing country Parties in identifying effective adaptation practices, adaptation needs, priorities, support provided and received for adaptation actions and efforts, and challenges and gaps, in a manner consistent with encouraging good practices; and

(e) Improving the effectiveness and durability of adaptation actions.

8. United Nations specialized organizations and agencies are encouraged to support the efforts of Parties to implement the actions referred to in paragraph 7 of this Article, taking into account the provisions of paragraph 5 of this Article.

9. Each Party shall, as appropriate, engage in adaptation planning processes and the implementation of actions, including the development or enhancement of relevant plans, policies and/or contributions, which may include:

(a) The implementation of adaptation actions, undertakings and/or efforts;

(b) The process to formulate and implement national adaptation plans;

(c) The assessment of climate change impacts and vulnerability, with a view to formulating nationally determined prioritized actions, taking into account vulnerable people, places and ecosystems;

(d) Monitoring and evaluating and learning from adaptation plans, policies, programmes and actions; and

(e) Building the resilience of socioeconomic and ecological systems, including through economic diversification and sustainable management of natural resources.

10. Each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties.

11. The adaptation communication referred to in paragraph 10 of this Article shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution as referred to in Article 4, paragraph 2, and/or a national communication.

12. The adaptation communications referred to in paragraph 10 of this Article shall be recorded in a public registry maintained by the secretariat.

13. Continuous and enhanced international support shall be provided to developing country Parties for the implementation of paragraphs 7, 9, 10 and 11 of this Article, in accordance with the provisions of Articles 9, 10 and 11.

14. The global stocktake referred to in Article 14 shall, inter alia:

(a) Recognize adaptation efforts of developing country Parties;

(b) Enhance the implementation of adaptation action taking into account the adaptation communication referred to in paragraph 10 of this Article;

(c) Review the adequacy and effectiveness of adaptation and support provided for adaptation; and

(d) Review the overall progress made in achieving the global goal on adaptation referred to in paragraph 1 of this Article.

## **Article 8**

1. Parties recognize the importance of averting, minimizing and addressing loss and damage associated with the adverse effects of climate change, including extreme weather events and slow onset events, and the role of sustainable development in reducing the risk of loss and damage.

2. The Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts shall be subject to the authority and guidance of the Conference of the Parties serving as the meeting of the Parties to this Agreement and may be enhanced and strengthened, as determined by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

3. Parties should enhance understanding, action and support, including through the Warsaw International Mechanism, as appropriate, on a cooperative and facilitative basis with respect to loss and damage associated with the adverse effects of climate change.

4. Accordingly, areas of cooperation and facilitation to enhance understanding, action and support may include:

- (a) Early warning systems;
- (b) Emergency preparedness;
- (c) Slow onset events;
- (d) Events that may involve irreversible and permanent loss and damage;
- (e) Comprehensive risk assessment and management;
- (f) Risk insurance facilities, climate risk pooling and other insurance solutions;
- (g) Non-economic losses; and
- (h) Resilience of communities, livelihoods and ecosystems.

5. The Warsaw International Mechanism shall collaborate with existing bodies and expert groups under the Agreement, as well as relevant organizations and expert bodies outside the Agreement.

## **Article 9**

1. Developed country Parties shall provide financial resources to assist developing country Parties with respect to both mitigation and

adaptation in continuation of their existing obligations under the Convention.

2. Other Parties are encouraged to provide or continue to provide such support voluntarily.

3. As part of a global effort, developed country Parties should continue to take the lead in mobilizing climate finance from a wide variety of sources, instruments and channels, noting the significant role of public funds, through a variety of actions, including supporting country-driven strategies, and taking into account the needs and priorities of developing country Parties. Such mobilization of climate finance should represent a progression beyond previous efforts.

4. The provision of scaled-up financial resources should aim to achieve a balance between adaptation and mitigation, taking into account country-driven strategies, and the priorities and needs of developing country Parties, especially those that are particularly vulnerable to the adverse effects of climate change and have significant capacity constraints, such as the least developed countries and small island developing States, considering the need for public and grant-based resources for adaptation.

5. Developed country Parties shall biennially communicate indicative quantitative and qualitative information related to paragraphs 1 and 3 of this Article, as applicable, including, as available, projected levels of public financial resources to be provided to developing country Parties. Other Parties providing resources are encouraged to communicate biennially such information on a voluntary basis.

6. The global stocktake referred to in Article 14 shall take into account the relevant information provided by developed country Parties and/or Agreement bodies on efforts related to climate finance.

7. Developed country Parties shall provide transparent and consistent information on support for developing country Parties provided and mobilized through public interventions biennially in accordance with the modalities, procedures and guidelines to be adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement, at its first session, as stipulated in Article 13, paragraph 13. Other Parties are encouraged to do so.

8. The Financial Mechanism of the Convention, including its operating entities, shall serve as the financial mechanism of this Agreement.



9. The institutions serving this Agreement, including the operating entities of the Financial Mechanism of the Convention, shall aim to ensure efficient access to financial resources through simplified approval procedures and enhanced readiness support for developing country Parties, in particular for the least developed countries and small island developing States, in the context of their national climate strategies and plans.

### **Article 10**

1. Parties share a long-term vision on the importance of fully realizing technology development and transfer in order to improve resilience to climate change and to reduce greenhouse gas emissions.

2. Parties, noting the importance of technology for the implementation of mitigation and adaptation actions under this Agreement and recognizing existing technology deployment and dissemination efforts, shall strengthen cooperative action on technology development and transfer.

3. The Technology Mechanism established under the Convention shall serve this Agreement.

4. A technology framework is hereby established to provide overarching guidance to the work of the Technology Mechanism in promoting and facilitating enhanced action on technology development and transfer in order to support the implementation of this Agreement, in pursuit of the long-term vision referred to in paragraph 1 of this Article.

5. Accelerating, encouraging and enabling innovation is critical for an effective, long-term global response to climate change and promoting economic growth and sustainable development. Such effort shall be, as appropriate, supported, including by the Technology Mechanism and, through financial means, by the Financial Mechanism of the Convention, for collaborative approaches to research and development, and facilitating access to technology, in particular for early stages of the technology cycle, to developing country Parties.

6. Support, including financial support, shall be provided to developing country Parties for the implementation of this Article, including for strengthening cooperative action on technology development and transfer at different stages of the technology cycle, with a view to achieving a balance between support for mitigation and adaptation. The global stocktake referred to in Article 14 shall take into account available information on efforts related to support

on technology development and transfer for developing country Parties.

## **Article 11**

1. Capacity-building under this Agreement should enhance the capacity and ability of developing country Parties, in particular countries with the least capacity, such as the least developed countries, and those that are particularly vulnerable to the adverse effects of climate change, such as small island developing States, to take effective climate change action, including, inter alia, to implement adaptation and mitigation actions, and should facilitate technology development, dissemination and deployment, access to climate finance, relevant aspects of education, training and public awareness, and the transparent, timely and accurate communication of information.

2. Capacity-building should be country-driven, based on and responsive to national needs, and foster country ownership of Parties, in particular, for developing country Parties, including at the national, subnational and local levels. Capacity-building should be guided by lessons learned, including those from capacity-building activities under the Convention, and should be an effective, iterative process that is participatory, cross-cutting and gender-responsive.

3. All Parties should cooperate to enhance the capacity of developing country Parties to implement this Agreement. Developed country Parties should enhance support for capacity-building actions in developing country Parties.

4. All Parties enhancing the capacity of developing country Parties to implement this Agreement, including through regional, bilateral and multilateral approaches, shall regularly communicate on these actions or measures on capacity-building. Developing country Parties should regularly communicate progress made on implementing capacity-building plans, policies, actions or measures to implement this Agreement.

5. Capacity-building activities shall be enhanced through appropriate institutional arrangements to support the implementation of this Agreement, including the appropriate institutional arrangements established under the Convention that serve this Agreement. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall, at its first session, consider and adopt a decision on the initial institutional arrangements for capacity-building.

## **Article 12**

Parties shall cooperate in taking measures, as appropriate, to enhance climate change education, training, public awareness, public participation and public access to information, recognizing the importance of these steps with respect to enhancing actions under this Agreement.

## **Article 13**

1. In order to build mutual trust and confidence and to promote effective implementation, an enhanced transparency framework for action and support, with built-in flexibility which takes into account Parties' different capacities and builds upon collective experience is hereby established.

2. The transparency framework shall provide flexibility in the implementation of the provisions of this Article to those developing country Parties that need it in the light of their capacities. The modalities, procedures and guidelines referred to in paragraph 13 of this Article shall reflect such flexibility.

3. The transparency framework shall build on and enhance the transparency arrangements under the Convention, recognizing the special circumstances of the least developed countries and small island developing States, and be implemented in a facilitative, non-intrusive, non-punitive manner, respectful of national sovereignty, and avoid placing undue burden on Parties.

4. The transparency arrangements under the Convention, including national communications, biennial reports and biennial update reports, international assessment and review and international consultation and analysis, shall form part of the experience drawn upon for the development of the modalities, procedures and guidelines under paragraph 13 of this Article.

5. The purpose of the framework for transparency of action is to provide a clear understanding of climate change action in the light of the objective of the Convention as set out in its Article 2, including clarity and tracking of progress towards achieving Parties' individual nationally determined contributions under Article 4, and Parties' adaptation actions under Article 7, including good practices, priorities, needs and gaps, to inform the global stocktake under Article 14.

6. The purpose of the framework for transparency of support is to provide clarity on support provided and received by relevant

individual Parties in the context of climate change actions under Articles 4, 7, 9, 10 and 11, and, to the extent possible, to provide a full overview of aggregate financial support provided, to inform the global stocktake under Article 14.

7. Each Party shall regularly provide the following information:

(a) A national inventory report of anthropogenic emissions by sources and removals by sinks of greenhouse gases, prepared using good practice methodologies accepted by the Intergovernmental Panel on Climate Change and agreed upon by the Conference of the Parties serving as the meeting of the Parties to this Agreement; and

(b) Information necessary to track progress made in implementing and achieving its nationally determined contribution under Article 4.

8. Each Party should also provide information related to climate change impacts and adaptation under Article 7, as appropriate.

9. Developed country Parties shall, and other Parties that provide support should, provide information on financial, technology transfer and capacity-building support provided to developing country Parties under Articles 9, 10 and 11.

10. Developing country Parties should provide information on financial, technology transfer and capacity-building support needed and received under Articles 9, 10 and 11.

11. Information submitted by each Party under paragraphs 7 and 9 of this Article shall undergo a technical expert review, in accordance with decision 1/CP.21. For those developing country Parties that need it in the light of their capacities, the review process shall include assistance in identifying capacity-building needs. In addition, each Party shall participate in a facilitative, multilateral consideration of progress with respect to efforts under Article 9, and its respective implementation and achievement of its nationally determined contribution.

12. The technical expert review under this paragraph shall consist of a consideration of the Party's support provided, as relevant, and its implementation and achievement of its nationally determined contribution. The review shall also identify areas of improvement for the Party, and include a review of the consistency of the information with the modalities, procedures and guidelines referred to in paragraph 13 of this Article, taking into account the flexibility accorded to the Party under paragraph 2 of this Article. The review

shall pay particular attention to the respective national capabilities and circumstances of developing country Parties.

13. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall, at its first session, building on experience from the arrangements related to transparency under the Convention, and elaborating on the provisions in this Article, adopt common modalities, procedures and guidelines, as appropriate, for the transparency of action and support.

14. Support shall be provided to developing countries for the implementation of this Article.

15. Support shall also be provided for the building of transparency-related capacity of developing country Parties on a continuous basis.

#### **Article 14**

1. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall periodically take stock of the implementation of this Agreement to assess the collective progress towards achieving the purpose of this Agreement and its long-term goals (referred to as the “global stocktake”). It shall do so in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science.

2. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall undertake its first global stocktake in 2023 and every five years thereafter unless otherwise decided by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

3. The outcome of the global stocktake shall inform Parties in updating and enhancing, in a nationally determined manner, their actions and support in accordance with the relevant provisions of this Agreement, as well as in enhancing international cooperation for climate action.

#### **Article 15**

1. A mechanism to facilitate implementation of and promote compliance with the provisions of this Agreement is hereby established.

2. The mechanism referred to in paragraph 1 of this Article shall consist of a committee that shall be expert-based and facilitative in

nature and function in a manner that is transparent, non-adversarial and non-punitive. The committee shall pay particular attention to the respective national capabilities and circumstances of Parties.

3. The committee shall operate under the modalities and procedures adopted by the Conference of the Parties serving as the meeting of the Parties to this Agreement at its first session and report annually to the Conference of the Parties serving as the meeting of the Parties to this Agreement.

## **Article 16**

1. The Conference of the Parties, the supreme body of the Convention, shall serve as the meeting of the Parties to this Agreement.

2. Parties to the Convention that are not Parties to this Agreement may participate as observers in the proceedings of any session of the Conference of the Parties serving as the meeting of the Parties to this Agreement. When the Conference of the Parties serves as the meeting of the Parties to this Agreement, decisions under this Agreement shall be taken only by those that are Parties to this Agreement.

3. When the Conference of the Parties serves as the meeting of the Parties to this Agreement, any member of the Bureau of the Conference of the Parties representing a Party to the Convention but, at that time, not a Party to this Agreement, shall be replaced by an additional member to be elected by and from amongst the Parties to this Agreement.

4. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall keep under regular review the implementation of this Agreement and shall make, within its mandate, the decisions necessary to promote its effective implementation. It shall perform the functions assigned to it by this Agreement and shall:

(a) Establish such subsidiary bodies as deemed necessary for the implementation of this Agreement; and

(b) Exercise such other functions as may be required for the implementation of this Agreement.

5. The rules of procedure of the Conference of the Parties and the financial procedures applied under the Convention shall be applied *mutatis mutandis* under this Agreement, except as may be

otherwise decided by consensus by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

6. The first session of the Conference of the Parties serving as the meeting of the Parties to this Agreement shall be convened by the secretariat in conjunction with the first session of the Conference of the Parties that is scheduled after the date of entry into force of this Agreement. Subsequent ordinary sessions of the Conference of the Parties serving as the meeting of the Parties to this Agreement shall be held in conjunction with ordinary sessions of the Conference of the Parties, unless otherwise decided by the Conference of the Parties serving as the meeting of the Parties to this Agreement.

7. Extraordinary sessions of the Conference of the Parties serving as the meeting of the Parties to this Agreement shall be held at such other times as may be deemed necessary by the Conference of the Parties serving as the meeting of the Parties to this Agreement or at the written request of any Party, provided that, within six months of the request being communicated to the Parties by the secretariat, it is supported by at least one third of the Parties.

8. The United Nations and its specialized agencies and the International Atomic Energy Agency, as well as any State member thereof or observers thereto not party to the Convention, may be represented at sessions of the Conference of the Parties serving as the meeting of the Parties to this Agreement as observers. Any body or agency, whether national or international, governmental or non-governmental, which is qualified in matters covered by this Agreement and which has informed the secretariat of its wish to be represented at a session of the Conference of the Parties serving as the meeting of the Parties to this Agreement as an observer, may be so admitted unless at least one third of the Parties present object. The admission and participation of observers shall be subject to the rules of procedure referred to in paragraph 5 of this Article.

## **Article 17**

1. The secretariat established by Article 8 of the Convention shall serve as the secretariat of this Agreement.

2. Article 8, paragraph 2, of the Convention on the functions of the secretariat, and Article 8, paragraph 3, of the Convention, on the arrangements made for the functioning of the secretariat, shall apply *mutatis mutandis* to this Agreement. The secretariat shall, in addition, exercise the functions assigned to it under this Agreement and by the

Conference of the Parties serving as the meeting of the Parties to this Agreement.

### **Article 18**

1. The Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation established by Articles 9 and 10 of the Convention shall serve, respectively, as the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation of this Agreement. The provisions of the Convention relating to the functioning of these two bodies shall apply *mutatis mutandis* to this Agreement. Sessions of the meetings of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation of this Agreement shall be held in conjunction with the meetings of, respectively, the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation of the Convention.

2. Parties to the Convention that are not Parties to this Agreement may participate as observers in the proceedings of any session of the subsidiary bodies. When the subsidiary bodies serve as the subsidiary bodies of this Agreement, decisions under this Agreement shall be taken only by those that are Parties to this Agreement.

3. When the subsidiary bodies established by Articles 9 and 10 of the Convention exercise their functions with regard to matters concerning this Agreement, any member of the bureaux of those subsidiary bodies representing a Party to the Convention but, at that time, not a Party to this Agreement, shall be replaced by an additional member to be elected by and from amongst the Parties to this Agreement.

### **Article 19**

1. Subsidiary bodies or other institutional arrangements established by or under the Convention, other than those referred to in this Agreement, shall serve this Agreement upon a decision of the Conference of the Parties serving as the meeting of the Parties to this Agreement. The Conference of the Parties serving as the meeting of the Parties to this Agreement shall specify the functions to be exercised by such subsidiary bodies or arrangements.

2. The Conference of the Parties serving as the meeting of the Parties to this Agreement may provide further guidance to such subsidiary bodies and institutional arrangements.



## **Article 20**

1. This Agreement shall be open for signature and subject to ratification, acceptance or approval by States and regional economic integration organizations that are Parties to the Convention. It shall be open for signature at the United Nations Headquarters in New York from 22 April 2016 to 21 April 2017. Thereafter, this Agreement shall be open for accession from the day following the date on which it is closed for signature. Instruments of ratification, acceptance, approval or accession shall be deposited with the Depositary.

2. Any regional economic integration organization that becomes a Party to this Agreement without any of its member States being a Party shall be bound by all the obligations under this Agreement. In the case of regional economic integration organizations with one or more member States that are Parties to this Agreement, the organization and its member States shall decide on their respective responsibilities for the performance of their obligations under this Agreement. In such cases, the organization and the member States shall not be entitled to exercise rights under this Agreement concurrently.

3. In their instruments of ratification, acceptance, approval or accession, regional economic integration organizations shall declare the extent of their competence with respect to the matters governed by this Agreement. These organizations shall also inform the Depositary, who shall in turn inform the Parties, of any substantial modification in the extent of their competence.

## **Article 21**

1. This Agreement shall enter into force on the thirtieth day after the date on which at least 55 Parties to the Convention accounting in total for at least an estimated 55 per cent of the total global greenhouse gas emissions have deposited their instruments of ratification, acceptance, approval or accession.

2. Solely for the limited purpose of paragraph 1 of this Article, “total global greenhouse gas emissions” means the most up-to-date amount communicated on or before the date of adoption of this Agreement by the Parties to the Convention.

3. For each State or regional economic integration organization that ratifies, accepts or approves this Agreement or accedes thereto after the conditions set out in paragraph 1 of this Article for entry into force have been fulfilled, this Agreement shall enter into force

on the thirtieth day after the date of deposit by such State or regional economic integration organization of its instrument of ratification, acceptance, approval or accession.

4. For the purposes of paragraph 1 of this Article, any instrument deposited by a regional economic integration organization shall not be counted as additional to those deposited by its member States.

#### **Article 22**

The provisions of Article 15 of the Convention on the adoption of amendments to the Convention shall apply *mutatis mutandis* to this Agreement.

#### **Article 23**

1. The provisions of Article 16 of the Convention on the adoption and amendment of annexes to the Convention shall apply *mutatis mutandis* to this Agreement.

2. Annexes to this Agreement shall form an integral part thereof and, unless otherwise expressly provided for, a reference to this Agreement constitutes at the same time a reference to any annexes thereto. Such annexes shall be restricted to lists, forms and any other material of a descriptive nature that is of a scientific, technical, procedural or administrative character.

#### **Article 24**

The provisions of Article 14 of the Convention on settlement of disputes shall apply *mutatis mutandis* to this Agreement.

#### **Article 25**

1. Each Party shall have one vote, except as provided for in paragraph 2 of this Article.

2. Regional economic integration organizations, in matters within their competence, shall exercise their right to vote with a number of votes equal to the number of their member States that are Parties to this Agreement. Such an organization shall not exercise its right to vote if any of its member States exercises its right, and vice versa.

#### **Article 26**

The Secretary-General of the United Nations shall be the Depositary of this Agreement.

## **Article 27**

No reservations may be made to this Agreement.

## **Article 28**

1. At any time after three years from the date on which this Agreement has entered into force for a Party, that Party may withdraw from this Agreement by giving written notification to the Depository.
2. Any such withdrawal shall take effect upon expiry of one year from the date of receipt by the Depository of the notification of withdrawal, or on such later date as may be specified in the notification of withdrawal.
3. Any Party that withdraws from the Convention shall be considered as also having withdrawn from this Agreement.

## **Article 29**

The original of this Agreement, of which the Arabic, Chinese, English, French, Russian and Spanish texts are equally authentic, shall be deposited with the Secretary-General of the United Nations.

DONE at Paris this twelfth day of December two thousand and fifteen.

IN WITNESS WHEREOF, the undersigned, being duly authorized to that effect, have signed this Agreement.

---

## Capacity-building under the Convention

*The Conference of the Parties,*

*Recalling* decisions 2/CP.7, 4/CP.12, 1/CP.16, 2/CP.17 and 1/CP.18,

1. *Adopts* the terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 (hereinafter referred to as the capacity-building framework) as contained in the annex;
2. *Requests* the Subsidiary Body for Implementation to conduct the comprehensive review at its forty-fourth session (May 2016) on the basis of the terms of reference referred to in paragraph 1 above, with a view to recommending a draft decision on this matter for consideration and adoption by the Conference of Parties at its twenty-second session (November 2016);
3. *Also requests* the secretariat to prepare a technical paper based on the terms of reference referred to in paragraph 1 above as input to the comprehensive review;
4. *Invites* Parties and observer organizations to submit their views on the comprehensive review by 9 March 2016<sup>1</sup> for compilation by the secretariat into a miscellaneous document;
5. *Encourages* Parties to continue to provide information through the appropriate channels, including annual submissions on the implementation of the capacity-building framework, national communications, biennial reports and biennial update reports, on the progress made in enhancing capacity to address climate change;
6. *Urges* relevant bodies established under the Convention to continue to undertake capacity-building activities in their work, as appropriate;
7. *Stresses* that the Durban Forum is a platform to share experiences and exchange ideas, best practices and lessons learned

---

<sup>1</sup> Parties should submit their views via the submissions portal at <<http://www.unfccc.int/5900>>. Observer organizations should e-mail their submissions to <[secretariat@unfccc.int](mailto:secretariat@unfccc.int)>.

regarding the implementation of capacity-building activities with the participation of Parties, representatives of the relevant bodies established under the Convention, and relevant experts and practitioners;

8. *Invites* representatives of the bodies established under the Convention, operating entities of the Financial Mechanism of the Convention, intergovernmental and non-governmental organizations, and relevant experts and practitioners to integrate into their work programmes and activities the lessons learned at, and the main outcomes of, the meetings of the Durban Forum;

9. *Decides* that the 5<sup>th</sup> meeting of the Durban Forum, to be held during the forty-fourth session of the Subsidiary Body for Implementation, will explore potential ways for enhancing capacity-building by sharing information and varied experiences;

10. *Invites* United Nations agencies and multilateral organizations to provide information to the secretariat for the capacity-building portal;

11. *Also invites* Parties to submit by 9 March 2016,<sup>2</sup> as part of their annual submissions pursuant to decision 4/CP.12, suggestions on additional potential topics for the 5<sup>th</sup> meeting of the Durban Forum and their views on possible ways to enhance the information included in the capacity-building portal.

---

<sup>2</sup> <<http://www.unfccc.int/5900>>.

# **Annex**

## **Terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries**

### **I. Mandate**

1. The Conference of the Parties (COP) decided to initiate the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 (hereinafter referred to as the capacity-building framework) at the forty-second session of the Subsidiary Body for Implementation (SBI) with a view to completing the review at COP 22.<sup>1</sup>

2. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) decided to initiate the third comprehensive review of the implementation of the capacity-building framework at SBI 42 with a view to completing the review at CMP 12.<sup>2</sup>

### **II. Objectives**

3. The third comprehensive review of the implementation of the capacity-building framework has the following objectives:

(a) To explore ways to enhance the implementation of capacity-building activities by reviewing the current institutional arrangements related to capacity-building, including the thematic bodies under the Convention, with a view to making recommendations for the enhancement of these arrangements, as appropriate;

(b) To take stock of progress in and assess the effectiveness of the implementation of the capacity-building framework;

(c) To examine possible gaps between the provisions of decisions of the COP and the CMP and the implementation of capacity-building activities;

---

<sup>1</sup> Decision 13/CP.17, paragraph 7.

<sup>2</sup> Decision 15/CMP.7, paragraph 8.

(d) To identify lessons learned and best practices with a view to developing options for the enhanced implementation of the capacity-building framework, taking into account additional needs and priorities for capacity-building;

(e) To effectively review gaps and challenges in addressing the implementation of priority areas as contained in the capacity-building framework and in meeting capacity-building needs to enhance action on mitigation, adaptation and technology transfer;

(f) To effectively review capacity gaps and challenges in accessing climate finance;

(g) To provide recommendations to the SBI on ways to effectively address the capacity-building gaps at the individual, institutional and systemic levels, including those identified by the first<sup>3</sup> and second<sup>4</sup> comprehensive reviews of the implementation of the capacity-building framework;

(h) To explore potential ways to further enhance the implementation of capacity-building activities at the national level;

(i) To identify major actors supporting the implementation of the capacity-building framework within and outside the arrangements established under the Convention and its Kyoto Protocol;

(j) To review the operation of the Durban Forum and identify potential ways to enhance it.

### **III. General principles of the comprehensive review process**

4. The third comprehensive review of the implementation of the capacity-building framework should be conducted on the basis of the guiding principles and approaches outlined in decision 2/CP.7, annex, chapter B, and should take into account relevant provisions in related COP<sup>5</sup> and CMP<sup>6</sup> decisions on capacity-building.

---

<sup>3</sup> Decision 2/CP.10.

<sup>4</sup> Decisions 13/CP.17 and 15/CMP.7.

<sup>5</sup> Decisions 4/CP.9, 9/CP.9, 2/CP.10, 4/CP.12, 6/CP.14, 10/CP.16, 1/CP.16, 2/CP.17, 13/CP.17 and 1/CP.18.

<sup>6</sup> Decisions 7/CMP.1, 29/CMP.1, 6/CMP.2, 6/CMP.4, 11/CMP.6, 15/CMP.7 and 10/CMP.8.

## IV. Information sources

5. Information to be used in the third comprehensive review of the implementation of the capacity-building framework should be drawn from, inter alia:

- (a) Submissions from Parties;
- (b) Findings of the first<sup>7</sup> and second<sup>8</sup> comprehensive reviews of the implementation of the capacity-building framework;
- (c) Annual synthesis reports on the implementation of the capacity-building framework prepared in accordance with the steps for the regular monitoring and evaluation of capacity-building work as contained in decisions 4/CP.12 and 6/CMP.2;
- (d) Relevant national reports (such as national communications, biennial reports, biennial update reports, national adaptation programmes of action and their updates, outcomes of the national adaptation plan process, and national capacity self-assessments);
- (e) Reports and submissions from the Global Environment Facility and its implementing agencies, United Nations entities and other relevant organizations;
- (f) Information contained in the capacity-building portal;<sup>9</sup>
- (g) Summary reports on the meetings of the Durban Forum on capacity-building;
- (h) Reports of relevant bodies established under the Convention and its Kyoto Protocol;
- (i) Interviews, surveys and focused discussions with national focal points for Article 6 of the Convention and other relevant national focal points;
- (j) Other relevant existing documents prepared by the secretariat.

## V. Modalities of work

6. Drawing upon the information sources listed in chapter IV above and taking into account the objectives listed in chapter II

---

<sup>7</sup> Decision 2/CP.10.

<sup>8</sup> Decisions 13/CP.17 and 15/CMP.7.

<sup>9</sup> <[http://unfccc.int/cooperation\\_and\\_support/capacity\\_building/items/7204.php](http://unfccc.int/cooperation_and_support/capacity_building/items/7204.php)>.



above, the secretariat will prepare, for consideration at SBI 44, a report on the implementation of the capacity-building framework, including:

- (a) Descriptions of capacity-building programmes and activities;
  - (b) Identification of needs and gaps and an assessment of factors that influence the effectiveness of capacity-building activities in developing countries;
  - (c) Key results and impacts;
  - (d) Information on the extent and variety of stakeholders within developing countries (governmental and non-governmental organizations, the private sector, community organizations, etc.) involved in, and benefiting from, capacity-building activities;
  - (e) The availability of and access to resources and the effectiveness of their deployment;
  - (f) Recommendations for the further implementation of the capacity-building framework;
  - (g) Assessment of the different baselines and performance indicators for capacity-building.
-

## Capacity-building under the Kyoto Protocol

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 29/CMP.1, 6/CMP.2, 6/CMP.4, 15/CMP.7, 10/CMP.8, 2/CP.7 and 2/CP.17,

1. *Requests* the Subsidiary Body for Implementation to conduct the third comprehensive review of the implementation of the framework for capacity-building in developing countries, established under decision 2/CP.7 and reaffirmed under decision 29/CMP.1, at its forty-fourth session (May 2016), on the basis of the terms of reference contained in the annex to decision 14/CP.21,<sup>1</sup> with a view to recommending a draft decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its twelfth session (November 2016);

2. *Decides* that the 5<sup>th</sup> meeting of the Durban Forum, to be held during the forty-fourth session of the Subsidiary Body for Implementation, will explore potential ways for enhancing capacity-building by sharing information and varied experiences related to the Kyoto Protocol;

3. *Invites* Parties to submit via the submissions portal,<sup>2</sup> by 9 March 2016, as part of their annual submissions pursuant to decision 6/CMP.2, suggestions for additional potential topics related to the Kyoto Protocol for the 5<sup>th</sup> meeting of the Durban Forum.

---

<sup>1</sup> FCCC/CP/2015/L.6.

<sup>2</sup> <<http://www.unfccc.int/5900>>.

Decision 1/CP.18  
(FCCC/CP/2012/8/Add.3)

## **Agreed outcome pursuant to the Bali Action Plan**

*The Conference of the Parties,*

*Recalling* decisions 1/CP.13 (Bali Action Plan), 1/CP.15, 1/CP.16 and 2/CP.17,

*Acknowledging* the significant achievements of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention towards enabling the full, effective and sustained implementation of the Convention,

*Welcoming* the new institutional arrangements and processes established as a result of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention and the progress made in making them operational and effective,

*Determined* to fully implement the agreements reached and further enhance long-term cooperative action under the Convention in order to achieve its ultimate objective,

*Welcoming* decision 1/CMP.8 on the Doha Amendment to the Kyoto Protocol pursuant to its Article 3, paragraph 9, as well as decision 2/CP.18 on Advancing the Durban Platform,

*Noting* that this decision, together with decisions adopted by the Conference of the Parties at its sixteenth and seventeenth sessions, constitutes the agreed outcome pursuant to decision 1/CP.13,

## **VI. Enhanced action on capacity-building**

*Recalling* decisions 2/CP.7, 2/CP.10, 4/CP.12, 1/CP.16, 2/CP.17 and 13/CP.17,

*Recognizing* the success of the first meeting of the Durban Forum for in-depth discussion on capacity-building, held during the thirty-sixth session of the Subsidiary Body for Implementation,

*Also recognizing* the important role that the Durban Forum plays in enhancing the monitoring and review of the effectiveness of capacity-building,

74. *Decides* that the second meeting of the Durban Forum, to be held during the thirty-eighth session of the Subsidiary Body for Implementation, shall explore potential ways to further enhance the implementation of capacity-building at the national level;

75. *Invites* Parties to submit to the secretariat, by 18 February 2013, the following:

(a) Information on the activities that they have undertaken pursuant to decisions 2/CP.7, 2/CP.10, 1/CP.16 and 2/CP.17, including, inter alia, information on needs and gaps, experiences and lessons learned;

(b) Their views on specific issues to be considered at the second meeting of the Durban Forum;

(c) Their views on the potential enhancement of the organization of the Durban Forum;

76. *Also invites* intergovernmental and non-governmental organizations and the private sector to submit to the secretariat, by 18 February 2013, information on activities undertaken in support of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7, including their experiences and lessons learned;

77. *Requests* the Subsidiary Body for Implementation:

(a) To take into account the information and views contained in the submissions referred to in paragraph 75 above in organizing the second and subsequent meetings of the Durban Forum

(b) To explore potential ways to further enhance the implementation of capacity-building at the national level, including through the Durban Forum;

78. *Also requests* the secretariat to continue to prepare the reports referred to in decision 2/CP.7, paragraph 9(c), and decision 4/CP.12, paragraph 1(c), as well as the compilation and synthesis reports referred to in decision 2/CP.17, paragraphs 146 and 150, and to make the reports available to the Subsidiary Body for Implementation at its sessions coinciding with the meetings of the Durban Forum, in order to facilitate the discussions at those meetings;

*9th plenary meeting  
7 December 2012*

## **Capacity-building under the Convention for countries with economies in transition**

*The Conference of the Parties,*

*Recalling* decisions 3/CP.7, 9/CP.9, 3/CP.10 and 2/CP.17,

*Acknowledging* that capacity-building for countries with economies in transition is essential to enable them to implement effectively their commitments under the Convention,

*Having considered* the information in documents prepared by the secretariat in support of the third review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7,<sup>1</sup>

1. *Recognizes* that:

(a) Significant progress has been made in building the capacity of countries with economies in transition to mitigate and adapt to climate change; some countries with economies in transition have not only been the recipients of assistance but have also started to transfer their own expertise, knowledge and lessons learned on capacity-building to Parties not included in Annex I to the Convention;

(b) Parties included in Annex I to the Convention have provided adequate resources and assistance for the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7;

(c) Notwithstanding the progress made, those countries with economies in transition that are currently receiving support are in need of further capacity-building, in particular for the development and implementation of their national low-carbon development strategies consistent with their national priorities and with their emission reduction targets;

2. *Reaffirms* that the scope of the needs identified in the framework for capacity-building in countries with economies in transition established under decision 3/CP.7 and the key factors identified in decision 3/CP.10 remain relevant and continue to be the

---

<sup>1</sup> FCCC/SBI/2012/10 and FCCC/SBI/2012/MISC.5.

basis for, and guide the implementation of, capacity-building activities in those countries with economies in transition that are currently receiving support;

3. *Invites* Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility within its mandate, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities in those countries with economies in transition that are currently receiving support;

4. *Decides* to conclude the third review and to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition at the forty-sixth session of the Subsidiary Body for Implementation, with a view to completing this review at the twenty-third session of the Conference of the Parties;

5. *Invites* Parties and relevant organizations to submit to the secretariat, by February 2016, information on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support; Parties could include this information as part of their annual submissions on capacity-building in accordance with decision 4/CP.12, paragraph 1(a);

6. *Requests* the secretariat to compile and synthesize the information referred to in paragraph 5 above and make it available for consideration by the Subsidiary Body for Implementation at its forty-sixth session.

*9th plenary meeting  
7 December 2012*

## **Capacity-building under the Kyoto Protocol for developing countries**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 29/CMP.1, 6/CMP.4, 15/CMP.7, 2/CP.7 and 2/CP.17, paragraph 144,

1. *Decides* that the Durban Forum for in-depth discussion on capacity-building, established by decision 2/CP.17, is an appropriate arrangement for sharing experiences and exchanging ideas, best practices and lessons learned regarding the implementation of capacity-building activities related to the Kyoto Protocol with the participation of Parties, representatives of the relevant bodies established under the Convention and relevant experts and practitioners;
2. *Encourages* Parties to further improve the implementation of capacity-building activities related to the implementation of the Kyoto Protocol and to report on the effectiveness and sustainability of related capacity-building progress;
3. *Invites* Parties to submit their views as part of their annual submissions in accordance with decision 4/CP.12, by 18 February 2013, on specific thematic issues related to capacity-building for the implementation of the Kyoto Protocol in developing countries, to be considered at the 2nd meeting of the Durban Forum, to be held at the thirty-eighth session of the Subsidiary Body for Implementation;
4. *Requests* the Subsidiary Body for Implementation to take into account the views expressed in the submissions referred to in paragraph 3 above in organizing the 2nd meeting of the Durban Forum.

*9th plenary meeting  
7 December 2012*

## **Capacity-building under the Kyoto Protocol for countries with economies in transition**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 3/CP.7, 3/CP.10 and 30/CMP.1,

*Acknowledging* that capacity-building for countries with economies in transition is essential to enable them to implement effectively their commitments under the Kyoto Protocol,

*Having considered* the information in documents prepared by the secretariat in support of the third review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7,<sup>1</sup>

1. *Recognizes* that:

(a) Significant progress has been made in building the capacity of countries with economies in transition, in particular as relates to their participation in joint implementation projects; some countries with economies in transition have not only been the recipients of assistance but have also started to transfer their own expertise, knowledge and lessons learned on capacity-building to Parties not included in Annex I to the Convention;

(b) Parties in a position to do so have provided adequate resources and assistance for the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7;

(c) Notwithstanding the progress made, those countries with economies in transition that are currently receiving support are in need of further capacity;

2. *Reaffirms* that the scope of the needs identified in the framework for capacity-building in countries with economies in transition established under decision 3/CP.7 and the key factors identified in decision 3/CP.10 remain relevant and continue to be the basis for, and guide the implementation of, capacity-building activities relating to the implementation of the Kyoto Protocol in

---

<sup>1</sup> FCCC/SBI/2012/10 and FCCC/SBI/2012/MISC.5.



those countries with economies in transition that are currently receiving support;

3. *Invites* Parties included in Annex II to the Convention and other Parties in a position to do so, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities relating to the implementation of the Kyoto Protocol in those countries with economies in transition that are currently receiving support;

4. *Decides* to conclude the third review and to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition at the forty-sixth session of the Subsidiary Body for Implementation, with a view to completing this review at the thirteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;

5. *Invites* Parties and relevant organizations to submit to the secretariat, by February 2016, information on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support; Parties could include this information as part of their annual submissions on capacity-building in accordance with decision 4/CP.12, paragraph 1(a);

6. *Requests* the secretariat to compile and synthesize the information referred to in paragraph 5 above and make it available for consideration by the Subsidiary Body for Implementation at its forty-sixth session.

*9th plenary meeting  
7 December 2012*



## **Outcome of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention**

*The Conference of the Parties,*

### **VI. Capacity-building**

The Conference of the Parties,

*Recalling* decisions 2/CP.7, 2/CP.10, 4/CP.12 and 1/CP.16,

*Also recalling* decision 1/CP.16, paragraphs 136 and 137, which request the consideration of ways to further enhance the monitoring and review of the effectiveness of capacity-building, and to further elaborate the modalities regarding institutional arrangements for capacity-building, for consideration by the Conference of the Parties at its seventeenth session,

*Reaffirming* that capacity-building is essential in enabling developing country Parties to participate fully in addressing the challenges of climate change, and to implement effectively their commitments under the Convention,

*Also reaffirming* that capacity-building should be a continuous, progressive and iterative process that is participatory, country-driven and consistent with national priorities and circumstances,

*Further reaffirming* the importance of taking into account gender aspects and acknowledging the role and needs of youth and persons with disabilities in capacity-building activities,

*Acknowledging* that capacity-building is cross-cutting in nature and an integral part of enhanced action on mitigation, adaptation, technology development and transfer, and access to financial resources,

*Noting with appreciation* the progress made across the bodies established under the Convention and the operating entities of the financial mechanism, including those agreed to in decision 1/CP.16, in integrating capacity-building into enhanced action on mitigation,

adaptation, technology development and transfer, and access to financial resources,

*Also noting* decision 1/CP.16, paragraph 65, which encourages Parties to develop low-carbon development strategies or plans in the context of sustainable development, welcoming those Parties that have already begun the process of developing these strategies, and noting the important capacity-building outcomes that this process and related partnerships can provide,

*Further noting* that, while progress has been made, gaps still remain in addressing the priority issues identified in the framework for capacity-building in developing countries as contained in decision 2/CP.7,

144. *Requests* the Subsidiary Body for Implementation to further enhance the monitoring and review of the effectiveness of capacity-building by organizing an annual in-session Durban Forum for in-depth discussion on capacity-building with the participation of Parties, representatives of the relevant bodies established under the Convention, and relevant experts and practitioners, with a view to sharing their experiences and exchanging ideas, best practices and lessons learned regarding the implementation of capacity-building activities;

145. *Decides* that the Durban Forum should include as inputs, inter alia, any capacity-building elements contained in the reports prepared since the most recent session of the Durban Forum by the relevant bodies established under the Convention;

146. *Requests* the secretariat to compile and synthesize the reports prepared since the most recent session of the Durban Forum by the relevant bodies established under the Convention;

147. *Also requests* the secretariat to prepare a summary report on the Durban Forum for consideration by the Subsidiary Body for Implementation;

148. *Encourages* Parties to continue to provide information through the appropriate channels, including national communications, on the progress made in enhancing the capacity to address climate change;

149. *Invites* developing country Parties to report on progress made and measures taken in implementing and improving their enabling environments to build national capacity for mitigation and adaptation, and to include the needs relevant to enhancing the progress made on such measures in their communications on capacity-building priorities;

150. *Requests* the secretariat to continue to compile and synthesize the information provided by Annex I Parties and to summarize the information provided by non-Annex I Parties in their national communications and submissions, and to compile and synthesize information on capacity-building activities, including lessons learned, provided by the relevant bodies established under the Convention and by international and regional organizations;

151. *Also requests* the Subsidiary Body for Implementation, in its consideration of the third and subsequent comprehensive reviews of the implementation of the framework for capacity-building in developing countries, to include the reports of relevant bodies established under the Convention, as well as the summary reports on the Durban Forum referred to in paragraph 147 above, as additional inputs to these reviews;

152. *Encourages* the relevant bodies established under the Convention, including, inter alia, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention, the Least Developed Countries Expert Group and the Global Environment Facility as an operating entity of the financial mechanism, to continue to elaborate and carry out work on capacity-building in an integrated manner, as appropriate, within their respective mandates;

153. *Recognizes* that there may be ways to further enhance the monitoring and review of the effectiveness of capacity-building;

154. *Decides* that, in addition to the topics outlined in paragraph 144 above, the first meeting of the Durban Forum, organized during the thirty-sixth session of the Subsidiary Body for Implementation, shall explore potential ways to further enhance monitoring and review of the effectiveness of capacity-building;

155. *Also decides* that the financial resources for enhanced action on capacity-building in developing country Parties should be provided by Parties included in Annex II to the Convention and other Parties in a position to do so through the current and any future operating entities of the financial mechanism, as well as through various bilateral, regional and other multilateral channels, as appropriate;

156. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources;

*10th plenary meeting  
11 December 2011*

## Capacity-building under the Convention

*The Conference of the Parties,*

*Recalling* decisions 2/CP.7, 2/CP.10, 4/CP.12, 1/CP.16 and 10/CP.16,

*Acknowledging* that capacity-building for developing countries is essential to enable them to participate fully in, and implement effectively their commitments under, the Convention,

*Having considered* the information in documents prepared by the secretariat in support of the second comprehensive review of the implementation of the framework for capacity-building in developing countries,<sup>1</sup>

*Noting* that while progress has been made, gaps still remain in addressing the priority issues identified in the framework for capacity-building in developing countries as contained in decision 2/CP.7,

*Reaffirming* that capacity-building should be a continuous, progressive and iterative process that is participatory, country-driven and consistent with national priorities and circumstances,

*Also reaffirming* the importance of taking into account gender aspects and acknowledging the role and needs of youth and persons with disabilities in capacity-building activities,

1. *Decides* that the scope of needs and priority areas identified in the framework for capacity-building in developing countries as contained in decision 2/CP.7 and the key factors identified in decision 2/CP.10 remain relevant and continue to be the basis for and guide the implementation of capacity-building activities in developing countries;

2. *Also decides* that capacity-building priorities and needs in developing countries, including those emerging from decision 1/CP.16, should be taken into account in the further implementation of the framework for capacity-building in developing countries;

---

<sup>1</sup> FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8, FCCC/SBI/2009/MISC.12/Rev.1, FCCC/SBI/2009/4, FCCC/SBI/2009/5, FCCC/CP/2011/7 and FCCC/SBI/2011/15.

3. *Invites* relevant United Nations agencies and intergovernmental organizations to continue providing support for capacity-building efforts in developing countries, emphasizing and stressing the need for the full involvement of developing countries in the conception and development of such activities;

4. *Also invites* Parties included in Annex II to the Convention and other Parties that are in a position to do so, multilateral, bilateral and international agencies and the private sector to continue providing financial resources to support capacity-building action in developing countries;

5. *Further invites* Parties to enhance reporting on best practices related to capacity-building in their national communications, submissions and other relevant documents, with a view to furthering learning and broadening the impact of capacity-building activities;

6. *Decides* that further implementation of the framework for capacity-building in developing countries should be improved at the systemic, institutional and individual levels as appropriate, by the following:

(a) Ensuring consultations with stakeholders throughout the entire process of activities, from the design of activities to their implementation and monitoring and evaluation;

(b) Enhancing integration of climate change issues and capacity-building needs into national development strategies, plans and budgets;

(c) Increased country-driven coordination of capacity-building activities;

(d) Strengthened networking and information sharing among developing countries, especially through South–South and triangular cooperation;

7. *Also decides* to conclude the second comprehensive review and to initiate the third comprehensive review of the implementation of the framework for capacity-building in developing countries, taking into account decisions 1/CP.16 and 2/CP.17 as they relate to capacity-building, at the forty-second session of the Subsidiary Body for Implementation (June 2015) with a view to completing the review at the twenty-second session of the Conference of the Parties (November–December 2016).

*10th plenary meeting  
9 December 2011*

Decision 15/CMP.7  
(FCCC/KP/CMP/2011/10/Add.2)

## Capacity-building under the Kyoto Protocol

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 6/CMP.4 and 11/CMP.6,

*Acknowledging* that capacity-building for developing countries is essential to enable them to participate in the implementation of the Kyoto Protocol,

*Having considered* the information in documents prepared by the secretariat in support of the second comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7,<sup>1</sup>

*Noting* that key needs remain to be addressed to enable developing countries, in particular the least developed countries, African countries and small island developing States, to effectively participate in the clean development mechanism,

*Reaffirming* the importance of taking into account gender aspects and acknowledging the role and needs of youth and persons with disabilities in capacity-building activities,

*Noting* that a range of the priority areas identified in decisions 29/CMP.1 and 2/CP.7 are being addressed by Parties and multilateral and bilateral agencies, especially as they relate to building capacity to develop and implement clean development mechanism project activities,

*Recalling* the commitment of Parties to the Kyoto Protocol, welcoming the role the private sector plays in implementing the capacity-building activities identified in decisions 29/CMP.1 and 2/CP.7 and encouraging the private sector to continue its work in this field,

1. *Decides* that the scope of needs and priority areas identified in the framework for capacity-building in developing countries

---

<sup>1</sup> FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8, FCCC/SBI/2009/MISC.12/Rev.1, FCCC/SBI/2009/4, FCCC/SBI/2009/5, FCCC/SBI/2011/15 and FCCC/KP/CMP/2011/3 (Parts I and II).



established under decision 2/CP.7, and the priority areas for capacity-building relating to the participation of developing countries in the clean development mechanism contained in decision 29/CMP.1, remain relevant and continue to be the basis for and to guide the implementation of capacity-building activities in developing countries;

2. *Encourages* Parties to further improve the implementation of capacity-building activities relating to the implementation of the Kyoto Protocol and to report on the effectiveness and sustainability of related capacity-building programmes;

3. *Invites* Parties that are in a position to do so, multilateral, bilateral and international agencies and the private sector to continue to provide technical and financial resources in a coordinated manner to support capacity-building activities in developing countries as they relate to the implementation of the Kyoto Protocol, addressing the following challenges, inter alia:

(a) The geographical distribution of clean development mechanism project activities;

(b) The lack of technical expertise to estimate changes in carbon stock in soils;

(c) The need to train and retain experts to plan and implement project activities;

4. *Invites* Parties included in Annex II to the Convention in a position to do so to provide capacity-building support for the planning and implementation of clean development mechanism project activities at the national and regional levels, as appropriate;

5. *Decides* that the further implementation of the framework for capacity-building in developing countries should be improved at the systemic, institutional and individual levels, as appropriate, by:

(a) Ensuring consultations with stakeholders throughout the entire process, from the design of the clean development mechanism project activities to their implementation;

(b) Enhancing the integration of capacity-building needs relating to participation in the Kyoto Protocol into national development strategies and plans;

(c) Increased country-driven coordination of capacity-building activities;

(d) Strengthened networking and information sharing among developing countries, especially through South–South and triangular cooperation;

6. *Encourages* cooperative efforts between developing country Parties and developed country Parties to conceptualize and implement capacity-building activities relating to participation in the clean development mechanism;

7. *Also encourages* relevant intergovernmental and non-governmental organizations, in particular the United Nations Development Programme, the United Nations Environment Programme, the World Bank, the African Development Bank, the Economic Commission for Africa, the United Nations Conference on Trade and Development, the United Nations Institute for Training and Research and the UNFCCC secretariat, to continue enhancing and coordinating their capacity-building activities under the Nairobi Framework,<sup>2</sup> including support for building skills;

8. *Decides* to conclude the second comprehensive review and initiate the third comprehensive review of the implementation of the framework for capacity-building in developing countries, taking into account decisions 1/CP.16 and 2/CP.17 as they relate to capacity-building, at the forty-second session of the Subsidiary Body for Implementation (June 2015), with a view to completing the review at the twelfth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (November–December 2016).

*10th plenary meeting  
9 December 2011*

---

<sup>2</sup> <[http://cdm.unfccc.int/Nairobi\\_Framework/index.html](http://cdm.unfccc.int/Nairobi_Framework/index.html)>.

Decision 1/CP.16  
(FCCC/CP/2010/7/Add.1)

**The Cancun Agreements: Outcome of the work of the  
Ad Hoc Working Group on Long-term Cooperative  
Action under the Convention**

*The Conference of the Parties,*

*Recalling* its decision 1/CP.13 (the Bali Action Plan) and decision 1/CP.15,

*Seeking* to secure progress in a balanced manner, with the understanding that, through this decision, not all aspects of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention are concluded, and that nothing in this decision shall prejudice prospects for, or the content of, a legally binding outcome in the future,

*Reaffirming* the commitment to enable the full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012, in order to achieve the ultimate objective of the Convention,

*Recalling* the principles, provisions and commitments set forth in the Convention, in particular its Articles 3 and 4,

*Recognizing* that climate change represents an urgent and potentially irreversible threat to human societies and the planet, and thus requires to be urgently addressed by all Parties,

*Affirming* the legitimate needs of developing country Parties for the achievement of sustained economic growth and the eradication of poverty, so as to be able to deal with climate change,

*Noting* resolution 10/4 of the United Nations Human Rights Council on human rights and climate change, which recognizes that the adverse effects of climate change have a range of direct and indirect implications for the effective enjoyment of human rights and that the effects of climate change will be felt most acutely by those segments of the population that are already vulnerable owing to geography, gender, age, indigenous or minority status, or disability,

## IV. Finance, technology and capacity-building

### C. Capacity-building

*Reaffirming* that capacity-building is essential to enable developing country Parties to participate fully in addressing the challenges of climate change, and to implement effectively their commitments under the Convention,

*Recalling* the provisions related to capacity-building for developing country Parties contained in relevant decisions adopted by the Conference of the Parties, especially decision 2/CP.7,

*Taking into account* that the scope of capacity-building and related needs as contained in the annex to decision 2/CP.7 and the key factors identified in decision 2/CP.10 remain valid,

*Acknowledging* that capacity-building is cross-cutting in nature and an integral part of enhanced action on mitigation, adaptation, technology development and transfer, and access to financial resources,

*Also acknowledging* that, in addition, there may be specific capacity-building activities that require support to enable developing countries to undertake the enhanced implementation of the Convention,

*Reaffirming* that capacity-building should be a continuous, progressive and iterative process that is participatory, country-driven and consistent with national priorities and circumstances,

130. *Decides* that capacity-building support to developing country Parties should be enhanced with a view to strengthening endogenous capacities at the subnational, national or regional levels, as appropriate, taking into account gender aspects, to contribute to the achievement of the full, effective and sustained implementation of the Convention, by, inter alia:

(a) Strengthening relevant institutions at various levels, including focal points and national coordinating bodies and organizations;

(b) Strengthening networks for the generation, sharing and management of information and knowledge, including through North–South, South–South and triangular cooperation;

(c) Strengthening climate change communication, education, training and public awareness at all levels;

(d) Strengthening integrated approaches and the participation of various stakeholders in relevant social, economic and environmental policies and actions;

(e) Supporting existing and emerging capacity-building needs identified in the areas of mitigation, adaptation, technology development and transfer, and access to financial resources;

131. *Also decides* that financial resources for enhanced action on capacity-building in developing country Parties should be provided by Parties included in Annex II to the Convention and other Parties in a position to do so through the current and any future operating entities of the financial mechanism, as well as through various bilateral, regional and other multilateral channels, as appropriate;

132. *Encourages* developed country Parties to continue to report through their national communications, in accordance with the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, on the support they have provided for capacity-building in developing country Parties;

133. *Invites* developed country Parties in a position to do so to provide information, through annual submissions to the secretariat and other appropriate channels, on the support they have provided for capacity-building in developing country Parties;

134. *Encourages* developing country Parties to continue to report through their national communications, in accordance with the “Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention”, on progress made in enhancing their capacity to address climate change, including on the use of the support received;

135. *Invites* developing country Parties in a position to do so to provide information, through annual submissions to the secretariat and other appropriate channels, on progress made in enhancing their capacity to address climate change, including on the use of the support received;

136. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to consider ways to further enhance the monitoring and review of the effectiveness of capacity-

building, for consideration by the Conference of the Parties at its seventeenth session;

137. *Also requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to further elaborate the modalities regarding institutional arrangements for capacity-building, for consideration by the Conference of the Parties at its seventeenth session;

## **Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties**

*Recalling* decision 26/CP.7 that amended the list in Annex II to the Convention by deleting the name of Turkey,

*Recalling* decision 26/CP.7 that invited Parties to recognize the special circumstances of Turkey, which place Turkey in a situation different from that of other Parties included in Annex I to the Convention,

*Recognizing* that Turkey is in a situation different from that of other Parties included in Annex I to the Convention,

*Noting* that Turkey is not included in Annex II to the Convention and as such is not subject to the commitments of Article 4, paragraphs 3–5, of the Convention and that Turkey is eligible for support under Article 4, paragraph 5, of the Convention,

*Taking note* of the submission from Turkey contained in document FCCC/AWGLCA/2010/MISC.8,

142. *Requests* the Ad Hoc Working Group on Long-term Cooperative Action under the Convention to continue consideration of these issues with a view to promoting access by Turkey to finance, technology and capacity-building in order to enhance its ability to better implement the Convention;

*9th plenary meeting  
10–11 December 2010*

## **Capacity-building under the Convention for developing countries**

*The Conference of the Parties,*

*Recalling* decision 8/CP.15,

1. *Requests* the Subsidiary Body for Implementation to continue its consideration of the second comprehensive review of the implementation of the framework for capacity- building in developing countries at its thirty-fourth session on the basis of the draft text contained in the annex to this decision, with a view to preparing a draft decision on the outcome of this review for adoption by the Conference of Parties at its seventeenth session;

2. *Decides* to complete the consideration of the second comprehensive review at its seventeenth session.

[English only]

---

## Annex

### Draft decision -/CP.16

## Capacity-building under the Convention for developing countries

*[The Conference of the Parties,*

*Recalling* decisions 2/CP.7, 2/CP.10, 4/CP.12, 6/CP.14 and 8/CP.15,

*Acknowledging* that capacity-building for developing countries is essential to enable them to participate fully in, and implement effectively their commitments under, the Convention,

*Reaffirming* that decision 2/CP.7 remains effective and should continue to guide the implementation of capacity-building activities in developing countries,

*Noting* that a range of the priority issues identified in the framework for capacity-building in developing countries is being supported by Parties included in Annex II of the Convention, the Global Environment Facility and other multilateral, bilateral and international agencies, [the private sector] and intergovernmental and non-governmental organizations,

*[Also noting* that gaps still remain and the availability of and access to financial and technical resources is still an issue to be addressed, in order to progress qualitatively and quantitatively on the capacity-building implementation,]

*[Acknowledging* that capacity-building is a country-driven and learning-by-doing process that responds to the specific needs and priorities of the countries concerned,

*Having considered* the information in documents prepared by the secretariat in support of the second comprehensive review of the implementation of the framework for capacity-building in developing countries and submissions by Parties on the issue,<sup>1]</sup>

1. *Decides* that the scope of needs and priority areas identified in the framework for capacity-building in developing countries, as

---

<sup>1</sup> FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8, FCCC/SBI/2009/MISC.12/Rev.1, FCCC/SBI/2009/4, FCCC/SBI/2009/5 and FCCC/SBI/2009/10.



contained in decision 2/CP.7, and the key factors identified in decision 2/CP.10 are still relevant;

2. *Further decides* that new capacity-building needs and priorities in developing countries emerging from the processes and initiatives launched after the completion of the first comprehensive review as well as from the negotiations under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention will need to be taken into account in the further implementation of the framework for capacity-building in developing countries;

3. *Also decides* that further implementation of the framework for capacity-building in developing countries should be improved at the systemic, institutional and individual levels as appropriate, by:

(a) Ensuring consultations with stakeholders throughout the entire process of activities, from the design of activities to their implementation and monitoring and evaluation;

(b) Enhancing integration of climate change issues and capacity-building needs into national development strategies, plans and budgets;

(c) Increased country-driven coordination of capacity-building activities;

(d) Strengthened networking and information sharing among developing countries, especially through South-South and triangular cooperation;

(e) [Building on existing skills and capacities [, where available,] [, as appropriate,] related to development [and implementation of capacity-building activities] [and delivery of reporting, including national communications [and inventories]];

(e bis) Developing and/or strengthening skills and capacities related to the implementation of climate change related activities;]

(f) [Strengthening local, national and regional research institutions;]

[4. Decides to establish an expert group on capacity-building with the terms of reference contained in the annex to this decision;]

[5. *Further decides* that the next and subsequent comprehensive reviews of the framework for capacity-building in developing countries will be undertaken using simple[, practical and cost-effective] [and effective] performance indicators developed by the expert group referred to in paragraph 7 above;]

6. *Requests* the secretariat to improve the process for regularly gathering and disseminating information on capacity-building activities in developing countries, recognizing the usefulness of information on capacity-building deriving from the compilation and synthesis of national communications, annual submissions by Parties and other documents relevant to this effort, in collaboration with the Global Environment Facility and its agencies and bilateral and multilateral agencies, as appropriate;]

7. *Invites* Parties to enhance reporting on best practices related to capacity-building in their national communications, submissions and other relevant documents, with a view to furthering learning and broadening the impact of capacity-building activities;

8. [*Requests*] [Reiterates the request to] the Global Environment Facility, as an operating entity of the financial mechanism, to [increase] [continue to provide financial] [its] support to capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9;

9. *Urges* Parties included in Annex II to the Convention and other Parties that are in a position to do so, multilateral, bilateral and international agencies and the private sector to continue providing financial resources to support capacity-building action in developing countries;

10. *Invites* relevant United Nations agencies and intergovernmental organizations to continue providing support for capacity-building efforts in developing countries, emphasizing and stressing the need for full involvement of developing countries in the conception and development of such activities;

11. *Requests* the Subsidiary Body of Implementation, at its fortieth session, to initiate a third comprehensive review of the implementation of the framework for capacity-building in developing countries, with a view to completing the review at the twenty-first session of the Conference of the Parties.]

*9th plenary meeting  
10.11 December 2010*

## **Capacity-building under the Kyoto Protocol for developing countries**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decision 7/CMP.5,

1. *Requests* the Subsidiary Body for Implementation to continue its consideration of the second comprehensive review of the implementation of the framework for capacity-building in developing countries at its thirty-fourth session on the basis of the draft text contained in the annex to this decision, with a view to preparing a draft decision on the outcome of this review for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its seventh session;
2. *Decides* to complete the consideration of the second comprehensive review at its seventh session.

# Annex

## Draft decision -/CMP.6

### [Capacity-building under the Kyoto Protocol

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 6/CMP.4 and 7/CMP.5,

*Acknowledging* that capacity-building for developing countries is essential to enable them to participate in the implementation of the Kyoto Protocol,

*Noting* that a range of the priority areas identified in decisions 29/CMP.1 and 2/CP.7 are being addressed by Parties, multilateral and bilateral agencies [and the private sector], especially building capacity to develop and implement clean development mechanism project activities,

*Also noting* the [importance of the private sector] [role [that may be] [to be] played by the private sector] [additional role that may be played by the private sector] in building capacity to develop and implement clean development mechanism project activities,

*Acknowledging* the work undertaken in the context of the Nairobi Framework to catalyse the clean development mechanism in Africa [and the need to move the process further,]

*Also noting* that key needs remain to be addressed to enable developing countries, in particular the least developed countries, African countries and small island developing States [and other vulnerable regions and countries], to effectively participate in the clean development mechanism,

*Having considered* the information in documents prepared by the secretariat in support of the second comprehensive review of the implementation of the framework for capacity-building in developing countries,<sup>1</sup>

---

<sup>1</sup> FCCC/SBI/2009/MISC.1, FCCC/SBI/2009/MISC.2, FCCC/SBI/2009/MISC.8, FCCC/SBI/2009/MISC.12/Rev.1, FCCC/SBI/2009/4, FCCC/SBI/2009/5 and FCCC/SBI/2009/10.

1. *Decides* that the scope of capacity-building needs, as contained in the framework for capacity-building in developing countries,<sup>2</sup> the key factors identified in decision 2/CP.10 and the priority areas for capacity-building relating to the participation of developing countries in the clean development mechanism contained in decision 29/CMP.1 are still relevant;

2. *Encourages* Parties to further improve the implementation of capacity-building activities relating to the implementation of the Kyoto Protocol and to report on the effectiveness and sustainability of related capacity-building programmes;

3. [*Urges*] [*Invites*] Parties that are in a position to do so, multilateral, bilateral and international agencies and the private sector to continue to provide technical and financial resources in a coordinated manner to support capacity-building activities in developing countries as they relates to the implementation of the Kyoto Protocol, addressing the following challenges, inter alia:

(a) *Geographical* distribution of clean development mechanism project activities;

(b) Lack of *technical* expertise to estimate changes in carbon stock in soil;

(c) The need to train and retain experts to plan and implement project activities;

4. *Invites* Parties included in Annex II of the Convention in a position to do so to provide capacity-building support for the planning and implementation of clean development mechanism project activities at the national and regional levels, as appropriate;

5. *Decides* that further implementation of the framework for capacity-building in developing countries should be improved at the systemic, institutional and individual level, as appropriate, by:

(a) *Ensuring* consultations with stakeholders throughout the entire process, from the design of clean development mechanism project activities to their implementation;

(b) *Enhancing* integration of capacity-building needs relating to participation in the Kyoto Protocol into national development strategies and plans;

---

<sup>2</sup> Decision 2/CP.7.

(c) *Increased* country-driven coordination of capacity-building activities;

(d) *Strengthened* networking and information sharing among developing countries, especially through South-South and triangular cooperation;

6. *Encourages* cooperative efforts between developing country Parties and developed country Parties to conceptualize and implement capacity-building activities relating to participation in the clean development mechanism;

7. *Encourages* relevant intergovernmental and non-governmental organizations, in particular the United Nations Development Programme, the United Nations Environment Programme, the World Bank Group, the African Development Bank and the secretariat of the UNFCCC, to continue enhancing and coordinating their capacity-building activities under the Nairobi Framework,<sup>3</sup> including support for building skills;

8. *Decides* to initiate a third comprehensive review of the implementation of the framework for capacity-building in developing countries at the fortieth session of the Subsidiary Body for Implementation, with a view to completing it at the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;

9. *Requests* the Subsidiary Body for Implementation to develop terms of reference for the third comprehensive review at its fortieth session.]

*10th plenary meeting  
10.11 December 2010*

---

<sup>3</sup> <[http://cdm.unfccc.int/Nairobi\\_Framework/index.html](http://cdm.unfccc.int/Nairobi_Framework/index.html)>.

## Capacity-building under the Convention

*The Conference of the Parties,*

*Recalling* decision 6/CP.14,

1. *Requests* the Subsidiary Body for Implementation to continue its consideration of the second *comprehensive* review of the implementation of the framework for capacity-building in developing *countries* at its thirty-second session, with a view to preparing a draft decision on the outcome of this review for *adoption* by the Conference of Parties at its sixteenth session;
2. *Decides* to complete *the* consideration of the second comprehensive review at its sixteenth *session*.

*9th plenary meeting  
18–19 December 2009*

---

## Decision 7/CMP.5

(FCCC/KP/CMP/2009/21/Add.1)

### **Capacity-building under the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decision 6/CMP.4,

1. *Requests the* Subsidiary Body for Implementation to continue its consideration of the second *comprehensive* review of the implementation of the framework for capacity-building in developing countries at its thirty-second session, with a view to preparing a draft decision on the outcome of this review for *adoption* by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its *sixth* session;

2. *Decides* to complete the consideration of the second comprehensive review at its sixth *session*.

*12th plenary meeting  
18–19 December 2009*



## Capacity-building for developing countries under the Convention

*The Conference of the Parties,*

*Recalling* decisions 4/CP.9, 9/CP.9 and 4/CP.12,

*Reaffirming* that decision 2/CP.7 is the basis for and guides the implementation of capacity-building activities in developing countries,

*Further recalling* decision 2/CP.10 to initiate a second comprehensive review of the implementation of the framework for capacity-building in developing countries at the twenty-eighth session of the Subsidiary Body for Implementation with a view to completing this review at the fifteenth session of the Conference of the Parties,

*Having considered* the conclusions of the Subsidiary Body for Implementation at its twenty-eighth session relating to capacity-building for developing countries under the Convention,<sup>1</sup>

*Having taken note* of the terms of reference for the second comprehensive review of the implementation of the framework for capacity-building in developing countries,<sup>2</sup>

1. *Requests* the Subsidiary Body for Implementation to prepare, at its thirtieth session, in accordance with the terms of reference for the second comprehensive review of the implementation of the framework for capacity-building in developing countries, a draft decision on the outcome of this review for adoption by the Conference of the Parties at its fifteenth session;

2. *Decides* to take into account, in the second comprehensive review, recommendations made by the Subsidiary Body for Implementation at its thirtieth session on further steps to regularly monitor and evaluate capacity-building activities undertaken pursuant to decisions 2/CP.7 and 4/CP.12.

*7th plenary meeting  
12 December 2008*

---

<sup>1</sup> FCCC/SBI/2008/8, paragraphs 69.75.

<sup>2</sup> FCCC/SBI/2008/8, annex IV.

## Decision 6/CMP.4

(FCCC/KP/CMP/2008/11/Add.1)

# Capacity-building for developing countries under the Kyoto Protocol

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* decisions 4/CP.9, 9/CP.9, 7/CMP.1 and 6/CMP.2,

*Further recalling* decision 2/CP.7 to establish a framework for capacity-building in developing countries and to conduct a comprehensive review of the implementation of this framework at the ninth session of the Conference of Parties, and every five years thereafter,

*Reaffirming* that decision 2/CP.7 is the basis for and guides the implementation of capacity-building activities in developing countries,

*Recalling decision* 29/CMP.1, which reaffirms that the framework for capacity-building in developing countries should guide capacity-building relating to the implementation of the Kyoto Protocol in developing countries,

*Further recalling* decision 2/CP.10 to initiate a second comprehensive review of the implementation of the framework for capacity-building in developing countries at the twenty-eighth session of the Subsidiary Body for Implementation with a view to completing this review at the fifteenth session of the Conference of the Parties,

*Acknowledging* that the comprehensive review of the implementation of the framework for capacity-building in developing countries under the Convention is also applicable for capacity-building relating to the implementation of the Kyoto Protocol,

*Having considered* the conclusions of the Subsidiary Body for Implementation at its twenty-eighth session relating to capacity-building for developing countries under the Kyoto Protocol,<sup>1</sup>

---

<sup>1</sup> FCCC/SBI/2008/8, paragraphs 79.86.

*Having taken note of the terms of reference for the second comprehensive review of the implementation of the framework for capacity-building in developing countries,*<sup>2</sup>

1. *Requests* the Subsidiary Body for Implementation to prepare, at its thirtieth session, in accordance with the terms of reference for the second comprehensive review of the implementation of the framework for capacity-building in developing countries, a draft decision on the outcome of this review for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its fifth session;

2. *Decides* to take into account, in the second comprehensive review, recommendations made by the Subsidiary Body for Implementation at its thirtieth session on further steps to regularly monitor and review progress in the implementation of capacity-building activities undertaken pursuant to decisions 2/CP.7, 29/CMP.1 and 6/CMP.2.

*9th plenary meeting  
12 December 2008*

---

---

<sup>2</sup> FCCC/SBI/2008/8, annex IV.



## Capacity-building under the Convention

*The Conference of the Parties,*

*Being guided* by Articles 4.1, 4.3, 4.4, 4.5 and 4.7, in the context of Article 3, and Articles 5 and 6 of the Convention,

*Recalling* the provisions related to capacity-building for developing countries contained in its decisions 4/CP.9 and 9/CP.9,

*Recalling* its decisions 2/CP.7 and 2/CP.10 calling on the Conference of the Parties, through the Subsidiary Body for Implementation, to regularly monitor the progress of the implementation of the capacity-building framework annexed to decision 2/CP.7 and the Subsidiary Body for Implementation to report to the Conference of the Parties at each of its sessions,

*Recognizing* that the purpose of regular monitoring should be to facilitate assessment of progress made, identification of gaps, and effectiveness of the implementation of the capacity-building framework and to support the comprehensive review,

*Welcoming* financial support from the Global Environment Facility as an operating entity of the financial mechanism in implementation of the capacity-building framework, while noting the need to provide adequate resources to support the effective implementation of the framework,

*Noting* the need for reporting on activities undertaken by multilateral, bilateral and private sector entities with respect to capacity-building pursuant to decision 2/CP.7,

*Reaffirming* that the first step of the monitoring was the establishment of the capacity-building framework,

*Acknowledging* that the implementation of the capacity-building framework is ongoing,

1. *Decides* that the following additional steps will be taken annually to regularly monitor the implementation of the capacity-building framework pursuant to decisions 2/CP.7 and 2/CP.10:

(a) Parties to be invited to submit information on the activities that they have undertaken pursuant to decisions 2/CP.7 and 2/CP.10, which should include, inter alia, such elements as needs and gaps, experiences and lessons learned;

(b) The Global Environment Facility to provide a report on its progress in support of the implementation of the framework in its reports to the Conference of the Parties;

(c) The secretariat to produce a synthesis report in accordance with paragraph 9 of decision 2/CP.7, drawing upon information contained in national adaptation programmes of action, technology needs assessments and national capacity self-assessment and the information contained in subparagraph (a) above;

(d) Parties to consider the Global Environment Facility report mentioned in subparagraph (b) above and the synthesis report described in subparagraph (c) above as a basis for regular monitoring and as a contribution to the comprehensive review of the capacity-building framework;

2. *Requests* the secretariat to organize, before the thirteenth session of the Conference of the Parties, in collaboration with the Global Environment Facility and subject to the availability of resources, an expert workshop:

(a) To exchange views on experiences in monitoring and evaluation of capacity-building by Parties, and where relevant, multilateral, bilateral agencies as well as intergovernmental and non-governmental organizations;

(b) To discuss the work of the Global Environmental Facility with regard to the development of capacity-building performance indicators relevant for monitoring the implementation of the capacity-building framework;

3. *Requests* the secretariat to report on the outcome of the workshop for consideration by the Subsidiary Body for Implementation at its twenty-seventh session;

4. *Requests* the secretariat to develop a possible structured format for the synthesis report, for consideration by the Subsidiary Body for Implementation at its twenty-sixth session;

5. *Reiterates* its request to the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors for capacity-building as laid out in paragraph 1 of decision 2/CP.10 when supporting capacity-building activities in developing countries;

6. *Reiterates* its request to the Global Environment Facility to continue to provide financial resources to support the development of the information sources named in paragraph 1 (c), above, as appropriate, in accordance with decisions 2/CP.7, 6/CP.7, 4/CP.9, 2/CP.10 and 8/CP.10.

*7th plenary meeting  
17 November 2006*

---

## Decision 6/CMP.2

(FCCC/KP/CMP/2006/10/Add.1)

### **Capacity-building under the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* the provisions relating to the capacity-building framework for developing countries contained in decisions 2/CP.7 and 29/CMP.1, and related decisions 4/CP.9, 9/CP.9, 2/CP.10, 7/CMP.1,

*Recalling* decisions 2/CP.7 and 2/CP.10 calling on the Conference of Parties through the Subsidiary Body for Implementation, to regularly monitor the progress of the implementation of the framework and report to the Conference of the Parties at each of its sessions,

*Recalling* its decision 29/CMP.1 that required the secretariat to provide reports to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on the efforts made to implement the framework for capacity-building,

*Noting* the efforts in capacity-building under the Kyoto Protocol by various Parties and organizations, and the value of sharing best practices and lessons learned at the first informal designated national authorities forum of the clean development mechanism that was convened in Bonn, Germany, in October 2006,

*Recognizing* that the purpose of regular monitoring should be to facilitate assessment of progress made, identification of gaps and effectiveness of the implementation of the capacity-building framework and to support the comprehensive review,

*Taking note* of the relevant sections of the annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol contained in document FCCC/KP/CMP/2006/4 and Add.1,

*Reaffirming* that the first step of the monitoring process was the establishment of the capacity-building framework, reaffirmed in decision 29/CMP.1,



*Acknowledging* that the implementation of the capacity-building framework is ongoing,

1. *Decides* that the following additional steps will be taken annually to regularly monitor the implementation of activities pursuant to decision 29/CMP.1:

(a) *Parties* to be invited to submit information on the activities that they have undertaken pursuant to decision 29/CMP.1;

(b) *Relevant* multilateral and bilateral agencies and the private sector to be invited to provide reports on their support of the implementation of the framework undertaken pursuant to decision 29/CMP.1, in accordance with national priorities and with the knowledge of relevant national authorities;

(c) The secretariat is to produce a synthesis report on progress made in the implementation of activities pursuant to decision 29/CMP.1, drawing upon information contained in subparagraph (a) and (b) above, as well as information on activities of the Executive Board to the clean development mechanism relating to regional distribution of clean development mechanism project activities and related capacity-building;

(d) *Parties* to consider the synthesis report as described in subparagraph (c) above as a basis for regular monitoring and as a contribution to the comprehensive review of the capacity-building framework;

2. *Encourages* Parties and relevant United Nations agencies and other organizations to focus on institutional and technical capacity-building activities that are specific to the clean development mechanism consistent with decision 29/CMP.1, with a view to enhancing the capacities of developing countries, in particular those regions and countries with few or no project activities;

3. *Reiterates* the request to Parties to continue with measures to assist Parties not included in Annex I to the Convention, in particular least developed countries and small island developing States, and bearing in mind the difficulties of Africa to attract clean development mechanism projects, to carry out capacity-building activities as defined in decision 29/CMP.1, in order to facilitate their participation in the clean development mechanism;

4. *Encourages* Parties and, as appropriate, relevant international governmental organizations, non-governmental organizations, private sector organizations and others, to facilitate learning by doing and to further strengthen their efforts to support the identification, development and implementation of project activities in Parties not included in Annex I to the Convention wishing to participate in the clean development mechanism.

*10th plenary meeting  
17 November 2006*

---

## **Capacity-building relating to the implementation of the Kyoto Protocol in developing countries**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* Article 10, paragraphs (c), (d) and (e) of the Kyoto Protocol and other relevant provisions committing Parties to cooperate in capacity-building,

*Recalling* decision 2/CP.7 establishing a framework for capacity-building in developing countries,

*Noting* decision 2/CP.10 inviting Parties to submit to the secretariat, by 15 February 2006, views on how the Subsidiary Body for Implementation can monitor regularly the implementation of the framework for capacity-building for consideration by the Subsidiary Body for Implementation at its twenty-fourth session (May 2006) and by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its second session (November 2006),

*Noting* that the entry into force of the Kyoto Protocol on 16 February 2005 has increased interest and activities in the clean development mechanism in developing countries and consequently the need for capacity-building,

*Acknowledging* inputs from Parties responding to the request for views, made by the Subsidiary Body for Implementation at its seventeenth session, on additional priority areas for capacity-building relating to the implementation of the Kyoto Protocol,

*Emphasizing* that capacity-building is a continuous, progressive and iterative process, the implementation of which should be based on the priorities of developing countries,

*Noting* the need to seek synergy in providing capacity-building among Parties, the financial mechanism, bilateral and multilateral agencies, and the private sector,

1. *Decides* that the framework for capacity-building adopted by decision 2/CP.7 is applicable to the implementation of the Kyoto

Protocol and reaffirms the framework to guide capacity-building activities relating to the implementation of the Kyoto Protocol in developing countries;

2. *Decides* that capacity-building relating to the implementation of the Kyoto Protocol in developing countries within the scope of the framework reaffirmed in this decision should be provided to enhance the ability of developing countries to participate effectively in project activities under the clean development mechanism, such as those priority areas listed below;

(a) Institutional capacity-building to assist developing countries in establishing and strengthening designated national authorities

(b) Increasing awareness, training and networking of developing country designated national authorities, non-governmental organizations, the private sector and all relevant stakeholders, particularly for the development of skills relating to the clean development mechanism project cycle

(c) Supporting and facilitating communication, cooperation and networking between developing country designated national authorities, and clean development mechanism Executive Board, and other clean development mechanism actors

(d) Providing support for broader participation in the clean development mechanism, including participation in designated national authority forums in conjunction with sessions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the subsidiary bodies

(e) Improving geographical distribution, knowing well the difficulties of Africa to attract clean development mechanism projects, by supporting the development of clean development mechanism projects in least developed countries and small island developing States, through training, clean development mechanism market analysis and forums

(f) Enhancing capacity to formulate mitigation activities and policies and to integrate them with other policy instruments within the framework of sustainable development;

3. *Decides* that the capacity-building framework reaffirmed by this decision should receive urgent attention from Parties included in Annex II to the Convention, and, as appropriate, multilateral and

bilateral agencies and the private sector, and should be implemented taking into account decision 2/CP.7;

4. *Requests* the secretariat to provide reports to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on the efforts made to implement the framework for capacity-building reaffirmed by this decision and to take into account this decision in preparing its synthesis report on the steps taken to monitor regularly capacity-building activities pursuant to decision 2/CP.7 as elaborated in decision 2/CP.10.

*9th plenary meeting  
9–10 December 2005*

---

## **Capacity-building relating to the implementation of the Kyoto Protocol in Parties with economies in transition**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* Articles 2, 3, 5, 6, 7 and 10 of the Kyoto Protocol,

*Recalling* decision 3/CP.7 establishing a framework for capacity-building in Parties with economies in transition,

*Noting* decision 3/CP.10 to review the status of implementation of decision 3/CP.7 at the twenty-seventh session of the Subsidiary Body for Implementation (December 2007) in preparation for the first commitment period of the Kyoto Protocol,

*Noting* the need to seek synergy with other capacity-building activities under relevant conventions and processes, and among Parties, bilateral and multilateral agencies, and the private sector,

*Acknowledging* views expressed by Parties with economies in transition at a capacity-building workshop for the implementation of the Convention and the Kyoto Protocol, held in Budapest, Hungary, on 26 and 27 October 2005,

1. *Decides* that the framework for capacity-building adopted by decision 3/CP.7 is applicable to the implementation of the Kyoto Protocol and endorses this framework to guide capacity-building activities relating to the implementation of the Kyoto Protocol in Parties with economies in transition;

2. *Requests* that the capacity-building needs in Parties with economies in transition resulting from the entry into force of the Kyoto Protocol on 16 February 2005 receive urgent attention from Parties included in Annex II to the Convention in a position to do so, and, as appropriate, multilateral and bilateral agencies, and the private sector, and that it be implemented taking into account decision 3.CP.7;

3. *Requests* the secretariat to report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on the review of the framework annexed to decision 3/CP.7 that will be considered by the Subsidiary Body for Implementation at its twenty-seventh session in accordance with decision 3/CP.10.

*9th plenary meeting  
9–10 December*

---





## **Capacity-building for developing countries (non-Annex I Parties)**

*The Conference of the Parties,*

*Recalling* its decision 2/CP.7 to conduct a comprehensive review of the implementation of the framework for capacity-building in developing countries, and decision 9/CP.9 to complete the review at its tenth session and to conduct further comprehensive reviews every five years thereafter,

*Reaffirming* that decision 2/CP.7 should continue to be the basis for and guide the implementation of capacity-building activities in developing countries, and that it remains effective,

*Reaffirming also* that the guiding principles, the approaches and initial scope for the capacity-building framework, as contained in the annex to decision 2/CP.7, are still valid and important in advancing the purpose of the capacity-building framework for developing countries and in contributing to the objective of the Convention,

*Noting* that whereas a range of the priority issues identified in the capacity-building framework are being addressed by the Global Environment Facility and its implementing agencies, as well as other multilateral and bilateral agencies, significant gaps still remain to be filled and access to financial resources remains an issue to be addressed,

*Noting also* that the preparation of national communications and of national adaptation programmes of action in least developed countries and of a range of other activities has contributed to the development of individual level capacity within and across institutions and has trained individuals from different sectors, including non-governmental actors,

*Noting* that Parties, in general, continue to involve different stakeholders, such as non-governmental organizations, academic institutions, and, in some cases, the private sector, in implementing capacity-building activities,

*Acknowledging* that activities, projects and programmes implemented should continue to put emphasis on defining realistic results, identifying programme beneficiaries, monitoring the progress made towards expected results, identifying and managing risks and providing information on results achieved,

*Welcoming* the strategic approach of the Global Environment Facility towards enhancing capacity-building with the aim of providing adequate support for nationally determined and prioritized capacity-building needs,

*Having taken note* of the terms of reference of the first comprehensive review of the implementation of the framework for capacity-building in developing countries, contained in annex III to the report of the Subsidiary Body for Implementation at its eighteenth session,

*Acknowledging* all inputs into the comprehensive review, such as national communications and submissions from Parties, multilateral organizations and the secretariat, as well as from the various assessments, document FCCC/SBI/2004/9 as well as the meeting of capacity-building practitioners held on 3 December 2004 in Buenos Aires, Argentina,

1. *Decides* that the scope of capacity-building needs, as contained in the framework for capacity-building in developing countries, annexed to decision 2/CP.7, is still relevant and that the following are key factors that should be taken into account and could assist in the further implementation of decision 2/CP.7:

(a) To make institutional capacity-building a priority for the creation and strengthening of basic institutional infrastructure

(b) To raise awareness at various levels on climate change issues and increase the involvement of national governmental organizations in capacity-building activities

(c) To develop and, where appropriate, promote exchange of best practices, experiences, and information on capacity-building activities undertaken by various Parties, including financial resources, case studies and tools for capacity-building

(d) To ensure effectiveness of capacity-building activities so that:

(i) They enhance the ability of developing country Parties to implement the Convention and to participate effectively in the Kyoto Protocol process

(ii) Initial and subsequent national communications and national adaptation programmes of action provide a good measure of successful capacity-building as it relates to the implementation of the Convention

(iii) Capacity-building is integrated as a priority by policy and decision makers

(iv) Long-term sustainability of capacity-building activities is achieved through integration in planning processes

(e) Financial and technical resources should be made available, through an operating entity of the financial mechanism and, as appropriate, through multilateral and bilateral agencies and the private sector, to assist developing countries, in particular least developed countries and small island developing States among them, in the implementation of this framework

(f) To further apply learning-by-doing approaches for capacity-building by supporting various types of capacity-building activities, projects and programmes at the national and local levels

(g) To continue to improve international donor coordination in the provision of financial resources, and to harmonize donor support in alignment with national priorities, plans and strategies To ensure that resources are made available for the implementation of capacity-building activities

(h) To strengthen institutional arrangements at the national level to coordinate implementation consistent with decision 2/CP.7 as a way of promoting integration of climate change issues into the national planning processes so as to increase effectiveness and sustainability of outcomes;

2. *Encourages* Parties to further improve the implementation of capacity-building activities by taking into account key factors identified in paragraph 1 above, and to report on the effectiveness and sustainability of capacity-building programmes in their national communications and other relevant documents;

3. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, to take into account the key factors identified in paragraph 1 of this decision in the context of the

projects of the operating entity of the financial mechanism, when supporting capacity-building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;<sup>1</sup>

4. *Invites* Parties included in Annex II to the Convention, multilateral, bilateral and international agencies and the private sector that are in a position to do so, to continue providing financial resources to support the capacity-building framework, as annexed to decision 2/CP.7;

5. *Invites* relevant intergovernmental and non-governmental organizations, and in particular the United Nations Development Programme, the World Bank and the United Nations Environment Programme, to incorporate into their own work programmes, scope of needs identified in the capacity-building framework, taking into account the key factors identified in paragraph 1 above, and to cooperate with each other, with the support and facilitation of the secretariat, in order to ensure efficient and coordinated support to capacity-building efforts by developing country Parties;

6. *Requests* the Global Environment Facility to include in its report to the Conference of Parties, at its eleventh session (November–December 2005), information on how it is responding to this decision;

7. *Decides* to initiate a second comprehensive review of the implementation of the capacity-building framework in developing countries, based on paragraph 8 of this decision and information provided by Parties, the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international organizations, at the twenty-eighth session of the Subsidiary Body for Implementation (June 2008) with a view to completing it at the fifteenth session of the Conference of the Parties (November–December 2009);

8. *Invites* Parties to submit to the secretariat, by 15 February 2006, their views on steps to be taken by the Subsidiary Body for Implementation to monitor regularly capacity-building activities undertaken pursuant to decision 2/CP.7, for consideration at the twenty-fourth session of the Subsidiary Body for Implementation (May 2006);

9. *Requests* the secretariat:

---

<sup>1</sup> GEF/C.22/8 at <<http://www.gefweb.org>>.

(a) To continue to cooperate with the operating entity of the financial mechanism of the Convention, its implementing agencies, and bilateral and other multilateral and international organizations to facilitate the implementation of the capacity-building framework;

(b) To continue to cooperate with the secretariats of the Convention on Biological Diversity and the United Nations Convention to Combat Desertification, and other pertinent United Nations Conventions to maximize synergy in implementing capacity-building activities through, for example, sharing information, knowledge, experiences and lessons learned in implementation of the respective Conventions;

(c) To prepare a synthesis report on the steps to be taken to monitor regularly capacity-building activities pursuant to decision 2/CP.7 based on views from Parties as indicated in paragraph 8 and taking into account the work of the Global Environment Facility on capacity-building performance indicators for the climate change focal area consistent with decision 4/CP.9 and make this report available to the Subsidiary Body for Implementation at its twenty-fourth session;

(d) To prepare reports, based on inputs from Parties, the Global Environment Facility and its implementing agencies, as well as from other relevant organizations and institutions, for consideration by the Subsidiary Body for Implementation at its thirty-first session (November–December 2009), to allow the Conference of Parties to complete its second comprehensive review of the capacity-building framework;

(e) To disseminate, in cooperation with the Global Environment Facility and its implementing agencies, an information document on best practices, lessons learned in capacity-building projects and programmes, and to facilitate its publication through the UNFCCC web site.

*6th plenary meeting  
17–18 December 2004*

Decision 3/CP.10  
(FCCC/CP/2004/10/Add.1)

## **Capacity-building for countries with economies in transition**

*The Conference of the Parties,*

*Recalling* the provisions relating to the capacity-building framework for countries with economies in transition, contained in its decision 3/CP.7, and to the review of the effectiveness of its implementation, contained in decision 9/CP.9,

*Noting* that capacity-building priorities contained in the framework for capacity-building in Parties with economies in transition are still pertinent,

*Noting also* the results, useful experiences and lessons learned from developing and implementing capacity-building activities at the country and regional levels, for example, the national capacity self-assessments funded by the Global Environment Facility,

*Noting further* that Parties with economies in transition still need support, and that many common issues and lessons learned from the developing countries are also applicable to the Parties with economies in transition,

*Acknowledging* the key challenges encountered by Parties with economies in transition in implementing capacity-building activities, such as insufficient financial and human resources, the need for capacity to be sustainable, lack of active stakeholder participation, the need for increasing support from key decision makers, and the inability to integrate climate change into national policies,

1. *Decides* that the scope of capacity-building needs as contained in the framework for capacity-building in countries with economies in transition, annexed to decision 3/CP.7, is still relevant and that the following are key factors that could assist in implementing decision 3/CP.7:

(a) **Enhancement** of enabling environments to promote the sustainability and effectiveness of capacity-building activities relating to the implementation of the Convention;

(b) **Improvement** of information sharing through, for example, databases and other means of sharing experiences and best practices;

(c) **Enhancement** of training, education and public awareness relating to climate change;

(d) **Cooperation** and coordination relating to capacity-building among the Parties with economies in transition;

(e) **Enhancement** of the national capacities and expertise in the governments of Parties with economies in transition, including improving institutional arrangements and national coordination;

(f) **Improvement** of the abilities of Parties with economies in transition to participate effectively in international negotiations in the climate change process, including the Convention and its Kyoto Protocol;

(g) **Participation** in, and access to, capacity-building activities by all stakeholders, including governments, civil society and the private sector;

2. *Invites* the Global Environment Facility within its mandate, Parties included in Annex II to the Convention (Annex II Parties), and multilateral, bilateral and other international organizations that are in a position to do so, to provide financial support for capacity-building activities in Parties with economies in transition, as outlined in decision 3/CP.7;

3. *Invites* the Global Environment Facility within its mandate and its implementing agencies, Annex II Parties and multilateral, bilateral and other international organizations to provide information regarding opportunities for technical and financial support for capacity-building activities in Parties with economies in transition;

4. *Encourages* Parties with economies in transition to use the outcomes and results of national capacity self-assessments in prioritizing their capacity-building activities at the country level, and to improve the capacity of experts and institutions to implement the action plans derived from their national capacity self-assessment projects;

5. *Encourages* Parties with economies in transition and Annex II Parties to exchange information on human and institutional capacities relating to general priority areas identified in decision 3/CP.7;

6. *Encourages* Parties with economies in transition to strengthen national institutions to build capacity through training, public education and awareness programmes for addressing the various issues relating to the implementation of the Convention and its Kyoto Protocol;

7. *Decides* to review the status of implementation of decision 3/CP.7 at the twenty-seventh session of the Subsidiary Body for Implementation (November 2007) in preparation for the first commitment period of the Kyoto Protocol, making use of information provided by Parties with economies in transition and Annex II Parties in their national communications and other relevant documents and information to be provided by the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international agencies;

8. *Requests* the secretariat to prepare a compilation and synthesis of information provided by Parties with economies in transition and Annex II Parties for the above-mentioned review and make it available for consideration by the Subsidiary Body for Implementation at its twenty-seventh session;

9. *Invites* the Global Environment Facility and its implementing agencies, and bilateral, multilateral and other international organizations, to provide information for the review of decision 3/CP.7 as indicated in paragraphs 7 and 8 above.

*6th plenary meeting  
17–18 December 2004*



Decision 9/CP.9  
(FCCC/CP/2003/6/Add.1)

## Capacity-building

*The Conference of the Parties,*

*Recalling* its decision 2/CP.7 to conduct a comprehensive review of the implementation of the framework for capacity-building in developing countries at its ninth session and every five years thereafter,

*Recalling further* its decision 3/CP.7 to review at regular intervals the effectiveness of the implementation of the framework for capacity-building in countries with economies in transition,

*Noting* that capacity-building is a country-driven process, addressing specific needs and conditions of developing countries and reflecting their national strategies for sustainable development,

*Welcoming* the secretariat paper containing an analysis of the implementation of the framework for capacity-building in developing countries,<sup>1</sup>

*Welcoming also* the strategic approach of the Global Environment Facility towards enhancing capacity-building with the aim of providing adequate support for nationally determined and prioritized capacity-building needs,

*Having considered* the conclusions of the Subsidiary Body for Implementation at its eighteenth session relating to capacity-building,<sup>2</sup>

*Having taken note* of the terms of reference of the first comprehensive review of the implementation of the framework for capacity-building in developing countries, contained in annex III to the report of the Subsidiary Body for Implementation at its eighteenth session,<sup>3</sup>

---

<sup>1</sup> FCCC/SBI/2003/14.

<sup>2</sup> FCCC/SBI/2003/8, paragraph 22.

<sup>3</sup> FCCC/SBI/2003/8, annex III.

1. *Decides* to complete the first comprehensive review of the implementation of the framework for capacity-building in developing countries by its tenth session and to conduct further comprehensive reviews every five years thereafter;

2. *Decides* on the following actions and steps necessary to complete this first comprehensive review:

(a) To request the secretariat to prepare a paper, with technical appendices, on the range and effectiveness of capacity-building activities in developing countries aimed at implementing decision 2/CP.7, for consideration by the Subsidiary Body for Implementation at its twentieth session, this paper and its technical appendices to be based on the terms of reference contained in annex III of the report of the Subsidiary Body for Implementation at its eighteenth session;

(b) To invite Parties to submit to the secretariat, by 15 February 2004, additional information in a format to be guided by the above-mentioned terms of reference as an input to the paper and its technical appendices;

3. *Requests* the Chair of the Subsidiary Body for Implementation, subject to the availability of resources, and upon consideration by Parties of the secretariat paper and its technical appendices, to convene a technical meeting with the aim of contributing to the comprehensive review at the tenth session of the Conference of the Parties;

4. *Decides* to complete the review of the effectiveness of the implementation of the framework for capacity-building in countries with economies in transition, annexed to decision 3/CP.7, by its tenth session, and that further reviews should be based on the review of national communications of these countries;

5. *Encourages* Parties with economies in transition, in preparing their national communications, to provide information relating to the implementation of the framework for capacity-building in their countries, taking into account the following:

(a) Provision of enabling environments to promote the sustainability and effectiveness of capacity-building activities relating to the implementation of the ultimate objective of the Convention;

- (b) Best practices in capacity-building;
- (c) Capacity-building needs, priorities and options;
- (d) Information on how capacity-building activities are being implemented;
- (e) Cooperation relating to capacity-building among Parties with economies in transition;
- (f) Mobilization and sustainability of national capacities, including the institutional leadership necessary for national coordination and the effectiveness of capacity-building activities;
- (g) Participation in, and access to, capacity-building activities by all stakeholders, including governments, civil society and the private sector, as appropriate;
- (h) Financial and other resources needed to implement capacity-building activities;

6. *Requests* the secretariat to prepare a compilation and synthesis report on capacity-building activities in countries with economies in transition, based on information included in their national communications, if available, and on information provided by the Global Environment Facility and other relevant organizations, for consideration by the Subsidiary Body for Implementation at its twentieth session.

*8th plenary meeting  
12 December 2003*



## THE MARRAKESH ACCORDS

### Capacity-building in developing countries (non-Annex I Parties)

*The Conference of the Parties,*

*Being guided* by Articles 4.1, 4.3, 4.4, 4.5 and 4.7, in the context of Article 3, and Articles 5 and 6 of the Convention,

*Recalling* the provisions related to capacity-building for developing countries contained in its decisions 11/CP.1, 10/CP.2, 11/CP.2, 9/CP.3, 2/CP.4, 4/CP.4, 5/CP.4, 6/CP.4, 7/CP.4, 12/CP.4 and 14/CP.4,

*Noting* Article 10, paragraphs (c), (d) and (e), and Article 11 of the Kyoto Protocol,

*Recalling also* the paragraphs on capacity-building of Agenda 21 and the Programme for the Further Implementation of Agenda 21,

*Reaffirming* its decision 10/CP.5,

*Reaffirming also* that capacity-building for developing countries is essential to enable them to participate fully in, and to implement effectively their commitments under, the Convention,

*Recalling further* its decision 5/CP.6, containing the Bonn Agreements on the implementation of the Buenos Aires Plan of Action,

1. *Adopts* the framework for capacity-building in developing countries annexed to this decision;
2. *Decides* that this framework should guide capacity-building activities related to the implementation of the Convention and effective participation in the Kyoto Protocol process;
3. *Decides* to give immediate effect to this framework in order to assist developing countries to implement the Convention and to effectively participate in the Kyoto Protocol process;

4. *Notes* that areas for capacity-building identified under the Convention are relevant to the preparation of developing country Parties for their effective participation in the Kyoto Protocol process;
5. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, to report on its progress in support of the implementation of this framework in its reports to the Conference of the Parties;
6. *Urges* the operating entity of the financial mechanism to adopt a streamlined and expedited approach in financing activities within this framework;
7. *Invites* bilateral and multilateral agencies, and other intergovernmental organizations and institutions, to inform the Conference of the Parties, through the secretariat, of capacity-building activities conducted to assist developing country Parties with their implementation of the framework;
8. *Encourages* bilateral and multilateral agencies, and other intergovernmental organizations and institutions, to consult with developing countries in formulating programmes and action plans to support capacity-building activities in accordance with the annexed framework;
9. *Requests* the secretariat, in accordance with this framework for capacity-building, and consistent with Article 8 of the Convention, to undertake the following tasks:
  - (a) To cooperate with the operating entity of the financial mechanism, its implementing agencies and other entities for capacity-building, to facilitate the implementation of this framework;
  - (b) To collect, process, compile and disseminate, in both printed and electronic formats, the information needed by the Conference of the Parties or its subsidiary bodies to review the progress in the implementation of this framework for capacity-building, drawing in particular on information contained in:
    - (c) National communications of developing country Parties relating to capacity-building activities;
    - (ii) National communications of Parties included in Annex II to the Convention on activities and programmes undertaken to facilitate capacity-building in developing countries related to the implementation of this framework;

(iii) Reports from the Global Environment Facility and other agencies;

(d) To provide reports to the Conference of the Parties at each of its sessions on activities to implement this framework;

10. *Decides* that the Subsidiary Body for Implementation will regularly monitor the progress of the implementation of this framework, taking into account the information provided under paragraphs 9(b) and 9(c) above, and reporting to the Conference of the Parties at each of its sessions;

11. *Decides* to conduct a comprehensive review of the implementation of this framework at the ninth session of the Conference of the Parties, and every five years thereafter;

12. *Invites* Parties to provide information through national communications and other reports to enable the Subsidiary Body for Implementation to monitor progress in the implementation of this framework;

13. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its first session, adopt a decision containing a framework on capacity-building that reaffirms the framework annexed to the present decision with additional reference to priority areas for capacity-building relating to the implementation of the Kyoto Protocol.

# Annex

(FCCC/CP/2001/13/Add.1)

## Framework for capacity-building in developing countries

### A. Purposes

1. The present framework for capacity-building in developing countries sets out the scope of, and provides the basis for action on, capacity-building related to the implementation of the Convention and preparation for the effective participation of developing countries in the Kyoto Protocol process that will, in a coordinated manner, assist them in promoting sustainable development while meeting the objective of the Convention. It should serve as a guide for the Global Environment Facility as an operating entity of the financial mechanism, and be considered by multilateral and bilateral organizations in their capacity-building activities related to the implementation of the Convention and preparation for their effective participation in the Kyoto Protocol process;

### b. Guiding principles and approaches

2. This framework for capacity-building in developing countries is guided and informed by, *inter alia*, Articles 4.1, 4.3, 4.4, 4.5 and 4.7, in the context of Article 3, and Articles 5, 6 and 11.1 of the Convention, and relevant provisions contained in decisions 11/CP.1, 10/CP.2, 11/CP.2, 9/CP.3, 2/CP.4, 4/CP.4, 5/CP.4, 6/CP.4, 7/CP.4, 12/CP.4, 14/CP.4, and 10/CP.5,<sup>1</sup> and takes into account Article 10, paragraphs (c), (d), and (e), and Article 11 of the Kyoto Protocol.

3. Capacity-building activities related to the implementation of the Convention by developing countries and to the preparation for their effective participation in the Kyoto Protocol process should build on work already undertaken by developing countries, as well as

---

<sup>1</sup> For the full texts of decisions adopted by the Conference of the Parties at its first, second, third, fourth and fifth sessions, see documents FCCC/CP/1995/7/Add.1, FCCC/CP/1996/15/Add.1, FCCC/CP/1997/7/Add.1, FCCC/CP/1998/16/Add.1 and FCCC/CP/1999/6/Add.1, respectively.



on the work undertaken with support from multilateral and bilateral organizations.

4. The capacity-building needs already identified in the various decisions of the Conference of the Parties should continue to be comprehensively and promptly addressed to promote sustainable development in developing countries through the effective implementation of the Convention and preparation for their effective participation in the Kyoto Protocol process.

5. There is no “one size fits all” formula for capacity-building. Capacity-building must be country-driven, addressing the specific needs and conditions of developing countries and reflecting their national sustainable development strategies, priorities and initiatives. It is primarily to be undertaken by and in developing countries in accordance with the provisions of the Convention.

6. Capacity-building is a continuous, progressive and iterative process, the implementation of which should be based on the priorities of developing countries.

7. Capacity-building activities should be undertaken in an effective, efficient, integrated and programmatic manner, taking into consideration the specific national circumstances of developing countries.

8. Capacity-building activities undertaken within this framework should maximize synergies between the Convention and other global environmental agreements, as appropriate.

9. Capacity-building is crucial to developing countries, especially those that are particularly vulnerable to the adverse effects of climate change. The special circumstances of least developed countries and small island developing States need to be taken into account in the implementation of this framework. They include:

- (a) Fragile ecosystems;
- (b) High population pressure and isolated geographic locations;
- (c) Weak economies, low incomes, high levels of poverty and a lack of foreign investment;
- (d) Land degradation, desertification;
- (e) Undeveloped services, *inter alia*, meteorologic and hydrological services and water resources management;

(f) Lack of early warning systems for natural disaster management;

(g) Inadequate food security.

10. Capacity-building involves “learning by doing”. Demonstration projects may be used in identifying and learning about the specific capacities that need to be further developed in developing countries.

11. Existing national institutions have an important role to play in supporting capacity-building activities in developing countries. Such centres can incorporate traditional skills, knowledge and practices, to provide appropriate services in developing countries and facilitate information sharing. Whenever possible and effective, therefore, capacity-building should mobilize these existing national, subregional and regional institutions and the private sector in developing countries, and build on existing processes and endogenous capacities.

12. National coordinating mechanisms and focal points and national coordinating entities have an important role to play in ensuring coordination at the country and regional levels and may serve as the focal point for coordinating capacity-building activities.

13. Multilateral and bilateral bodies are encouraged to take account of this framework in their consultations with developing countries when supporting capacity-building activities related to the implementation of the Convention and the preparation for the effective participation by developing countries in the Kyoto Protocol process.

### **c. Objective and scope of capacity-building**

#### *Objective*

14. Capacity-building should assist developing countries to build, develop, strengthen, enhance, and improve their capabilities to achieve the objective of the Convention through the implementation of the provisions of the Convention and the preparation for their effective participation in the Kyoto Protocol process.

## *Scope*

15. The following is the initial scope of needs and areas for capacity-building in developing countries as broadly identified in the annex to decision 10/CP.5, in the compilation and synthesis document prepared by the secretariat,<sup>2</sup> and in submissions by Parties:<sup>3</sup>

- (a) Institutional capacity-building, including the strengthening or establishment, as appropriate, of national climate change secretariats or national focal points;
- (b) Enhancement and/or creation of an enabling environment;
- (c) National communications;
- (d) National climate change programmes;
- (e) Greenhouse gas inventories, emission database management, and systems for collecting, managing and utilizing activity data and emission factors;
- (f) Vulnerability and adaptation assessment;
- (g) Capacity-building for implementation of adaptation measures;
- (h) Assessment for implementation of mitigation options;
- (i) Research and systematic observation, including meteorological, hydrological and climatological services;
- (j) Development and transfer of technology;
- (k) Improved decision-making, including assistance for participation in international negotiations;
- (l) Clean development mechanism;
- (m) Needs arising out of the implementation of Article 4, paragraphs 8 and 9, of the Convention;
- (n) Education, training and public awareness;
- (o) Information and networking, including the establishment of databases.

---

<sup>2</sup> FCCC/SB/2000/INF.1.

<sup>3</sup> FCCC/SB/2000/INF.5.

16. Other capacity-building needs and possible responses are being identified by the Parties in their discussions of other issues. The decisions resulting from these discussions, as well as other activities related to the implementation of the Convention and preparation for the effective participation by developing countries in the Kyoto Protocol process, should continue to inform the scope and implementation of this framework.

*Specific scope for capacity-building in least developed countries*

17. The least developed countries, and small island developing States amongst them, are among the most vulnerable to extreme weather events and the adverse effects of climate change. They also have the least capacity to cope with and adapt to the adverse effects of climate change. The following is the initial assessment of needs and priority areas for capacity-building in these countries:

(a) Strengthening existing and, where needed, establishing national climate change secretariats or focal points to enable the effective implementation of the Convention and effective participation in the Kyoto Protocol process, including preparation of national communications;

(b) Developing an integrated implementation programme which takes into account the role of research and training in capacity-building;

(c) Developing and enhancing technical capacities and skills to carry out and effectively integrate vulnerability and adaptation assessments into sustainable development programmes and develop national adaptation programmes of action;

(d) Strengthening existing and, where needed, establishing national research and training institutions in order to ensure the sustainability of the capacity-building programmes;

(e) Strengthening the capacity of meteorological and hydrological services to collect, analyse, interpret and disseminate weather and climate information to support implementation of national adaptation programmes of action;

(f) Enhancing public awareness (level of understanding and human capacity development).

## **d. Implementation**

*Actions to enhance the implementation of this framework, taking into account the initial scope outlined in paragraphs 15 to 17 above*

18. All Parties should improve the coordination and effectiveness of capacity-building efforts through dialogue between and among Annex II Parties, developing country Parties, and bilateral and multilateral institutions. All Parties should support the operation of this framework and promote conditions conducive to the sustainability and effectiveness of capacity-building activities.

19. In implementing this framework, developing country Parties should:

(a) Continue to identify their specific needs, options and priorities for capacity-building on a country-driven basis, taking into account existing capacities and past and current activities;

(b) Promote South-South cooperation by utilizing the services of institutions in developing countries that can support capacity-building activities at the national, subregional and regional levels, wherever possible and effective;

(c) Promote the participation of a wide range of stakeholders, including governments at all levels, national and international organizations, civil society and the private sector, as appropriate;

(d) Promote the coordination and sustainability of activities undertaken within this framework, including the efforts of national coordinating mechanisms, focal points, and national coordinating entities;

(e) Facilitate the dissemination and sharing of information on capacity-building activities conducted by developing countries for better coordination and South-South cooperation.

20. In implementing this framework, Annex II Parties should:

(a) Provide additional financial and technical resources to assist developing countries, in particular the least developed countries and small island developing States among them, in the implementation of this framework, including promptly available financial and technical resources to enable them to undertake

country-level needs assessments and to develop specific capacity-building activities consistent with this framework;

(b) Respond to the capacity-building needs and priorities of developing countries, in particular the least developed countries and small island developing States among them, in a coordinated and timely manner, and support activities implemented at the national and, as appropriate, subregional and regional levels;

(c) Give particular attention to the needs of least developed countries and small island developing States among them.

### *Financing and operation*

21. Financial and technical resources should be made available, through an operating entity of the financial mechanism and, as appropriate, through multilateral and bilateral agencies and the private sector, to assist developing countries, in particular the least developed countries and small island developing States among them, in the implementation of this framework.

22. In response to this framework, the operating entity of the financial mechanism should elaborate a country-driven strategy for its capacity-building activities.

23. Multilateral and bilateral agencies are encouraged to take constructive action to support capacity-building activities in this framework through streamlined and coordinated approaches and in a timely manner.

24. Financial and other assistance is to be made available to developing countries, in particular to the least developed countries and small island developing States among them, to enable them to continue to determine, assess and prioritize their needs for capacity-building in a simple, timely manner and to assist them in strengthening existing institutions and, when needed, to establish the institutional arrangements to implement effective capacity-building activities.

25. The capacity-building activities undertaken within this framework are to be country-driven and implemented primarily at the country level.

26. In order to facilitate the exchange of information and cooperation, developing countries, in collaboration with relevant institutions, should identify regional, subregional and sectoral

activities that can effectively and efficiently address common capacity-building needs.

27. The results of activities conducted by the Global Environment Facility as a multilateral financial institution, including the Capacity Development Initiative, as well as activities undertaken by multilateral, bilateral and private sector entities, may be considered in further developing capacity-building activities within this framework at the regional and subregional levels.

#### *Time frame*

28. This framework for capacity-building should be implemented promptly, taking into account the immediate, medium- and long-term priority needs identified by developing countries.

29. Developing countries which have already identified their capacity-building priorities through ongoing work aimed at the implementation of the Convention should be able to promptly implement capacity-building activities under this framework.

30. The immediate priority needs of developing countries, in particular the least developed countries and small island developing States among them, should be addressed urgently in the implementation of this framework.

#### *Review of progress*

31. The Conference of the Parties, through the Subsidiary Body for Implementation, shall regularly monitor and review the progress in the implementation of this framework.

32. The Global Environment Facility, as an operating entity of the financial mechanism, is requested to report on its progress in support of the implementation of this framework in its reports to the Conference of the Parties.

#### *Role of the secretariat*

33. In accordance with this framework for capacity-building, the secretariat is requested, consistent with Article 8 of the Convention, to undertake the following tasks:

(a) To cooperate with the operating entity of the financial mechanism, its implementing agencies and other entities for capacity-building to facilitate the implementation of this framework;

(b) To collect, process, compile and disseminate the information needed by the Conference of the Parties or its subsidiary bodies to review the progress made in the implementation of this framework for capacity-building.

*8th plenary meeting  
10 November 2001*

---



Decision 3/CP.7  
(FCCC/CP/2001/13/Add.1)

## **Capacity-building in countries with economies in transition**

*The Conference of the Parties,*

*Recalling its decision 11/CP.5,*

*Recalling Articles 4.1, 4.2, 4.5 and 4.6, 5, 6 and 12 of the Convention,*

*Noting Articles 2, 3, 5, 6, 7, 10 and 17 of the Kyoto Protocol,*

*Further recalling its decisions 9/CP.2, 6/CP.4 and 7/CP.4,*

*Having considered the relevant recommendations of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation,<sup>1</sup>*

*Recalling further its decision 5/CP.6, containing the Bonn Agreements on the implementation of the Buenos Aires Plan of Action,*

1. Adopts the framework for capacity-building activities in countries with economies in transition contained in the annex below;
2. *Decides* to give immediate effect to this framework, in order to assist Parties with economies in transition to implement the Convention;
3. *Notes* that many areas for capacity-building identified under the Convention are also relevant to the preparation of Parties with economies in transition for participation in the Kyoto Protocol when it enters into force;
4. *Decides* to review the effectiveness of the implementation of the framework at regular intervals;
5. *Invites* Parties included in Annex II to the Convention (Annex II Parties) and Parties with economies in transition to provide information to enable the Conference of the Parties and the subsidiary bodies to monitor progress in the implementation of this

---

<sup>1</sup> FCCC/SBSTA/2000/10 and FCCC/SBI/2000/10.

framework, consistent with guidelines for the preparation of national communications;

6. *Urges* Annex II Parties, through multilateral agencies, including through the Global Environment Facility within its mandate, and bilateral agencies and the private sector, as appropriate, to make available financial and technical support for the implementation of this framework for capacity-building, including assistance for the development of national action plans of Parties with economies in transition consistent with their priorities;

7. *Further urges* multilateral and bilateral agencies to coordinate their activities in support of the implementation of this framework for capacity-building;

8. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its first session, adopt a decision endorsing a framework for capacity-building under the Convention that parallels the framework contained in the annex below, with additional reference to priority areas for capacity-building relating to implementation of the Kyoto Protocol;

9. *Requests* the secretariat, consistent with Article 8 of the Convention:

(a) To cooperate with multilateral and bilateral institutions to facilitate the implementation of this framework;

(b) To collect, process, compile and disseminate the information needed by the Conference of the Parties and the subsidiary bodies to monitor progress in the implementation of this framework.

8th plenary meeting  
10 November 2001

# Annex

## Framework for capacity-building in countries with economies in transition

### A. Purpose

1. The purpose of this framework for capacity-building is to set out the scope and basis for action for capacity-building activities in countries with economies in transition (EIT Parties) under the Convention and for the preparation of EIT Parties for their participation in the Kyoto Protocol when it enters into force.

### B. Guiding principles and approaches

2. This framework for capacity-building in EIT Parties is guided and informed by, *inter alia*, Articles 4.1, 4.2, 4.5 and 4.6, 5, 6 and 12 of the Convention and relevant provisions contained in decisions 9/CP.2, 6/CP.4, 7/CP.4 and 11/CP.5,<sup>2</sup> and takes account of Articles 2, 3, 5, 6, 7 and 17 of the Kyoto Protocol.

3. As Parties included in Annex I, EIT Parties have quantified emission limitation and reduction commitments that impose challenges to their existing capacities to implement the Convention. As Parties undergoing the process of transition to a market economy, they need to enhance their ability to address climate change issues. Capacity-building is therefore critical to the effective implementation by EIT Parties of their commitments under the Convention and the preparation of EIT Parties for their participation in the Kyoto Protocol when it enters into force.

4. Capacity-building for EIT Parties must be country-driven, be consistent with their national sustainable development strategies, reflect their national initiatives and priorities, respond to needs determined and prioritized by EIT Parties themselves, and be primarily undertaken by and in EIT Parties in partnership with other Parties and relevant organizations, as appropriate, in accordance with the provisions of the Convention.

---

<sup>2</sup> For the full texts of decisions adopted by the Conference of the Parties at its second, fourth and fifth sessions, see FCCC/CP/1996/15/Add.1, FCCC/CP/1998/16/Add.1 and FCCC/CP/1999/6/Add.1 respectively.

5. Capacity-building should contribute to the effective implementation of the Convention by EIT Parties and the preparation of EIT Parties for their participation in the Kyoto Protocol when it enters into force.
6. Capacity-building efforts are more effective when they take place within an enabling environment conducive to the development of human, institutional and technical capacity.
7. Capacity-building should be results-oriented and implemented in an integrated and programmatic manner to facilitate its monitoring and evaluation, cost-effectiveness and efficiency.
8. Capacity-building is a continuous process aimed at strengthening or establishing, as appropriate, relevant institutions, organizational structures, and human resources in order to strengthen expertise relevant to paragraph 3 of this framework.
9. Capacities should be developed and strengthened in a manner and under conditions that will work towards sustainability and support the short- and long-term objectives and priorities of EIT Parties under the Convention.
10. Capacity-building involves “learning by doing”. Capacity-building activities should be designed and implemented in a flexible manner.
11. Capacity-building should improve the coordination and effectiveness of existing efforts and promote the participation of, and dialogue between, a wide range of actors and constituencies, including governments at all levels, international organizations, civil society and the private sector.
12. Wherever possible, capacity-building should utilize existing institutions and bodies and build on existing processes and endogenous capacities.
13. National focal points and other institutions, such as research centres and universities and other relevant organizations, should play an important role in providing capacity-building services and facilitating the flow of knowledge, best practices and information.
14. Capacity-building should be designed so that it results in the development, strengthening and enhancement of institutional capacities, human resources, knowledge and information, methodologies and practices, and the participation and networking of

EIT Parties to promote sustainable development, and for the purpose set out in paragraph 1 of this framework.

15. Capacity-building in support of achieving the objectives of the Convention should maximize synergies between the Convention and other global environmental agreements, as appropriate.

16. Capacity-building is more effective when it is coordinated at all levels (national, regional and international) through dialogue between and among Annex I Parties, and when past and existing efforts are taken into account.

## **C. Objective and scope of capacity-building**

### *Objective*

17. To build the capacity of EIT Parties to enable them to effectively implement the objective of the Convention and to prepare for their participation in the Kyoto Protocol when it enters into force.

### *Scope*

18. To ensure that capacity-building efforts are country-driven, each EIT Party should, within the scope of capacity-building, determine its specific objectives, needs, priorities, and options to implement the Convention and to prepare for its participation in the Kyoto Protocol when it enters into force, consistent with its national sustainable development strategy, taking into account existing capacities and past and current activities undertaken by the country itself, and in partnership with bilateral and multilateral institutions and the private sector.

19. The needs for capacity-building in EIT Parties were first identified in the compilation and synthesis document prepared by the secretariat<sup>3</sup> based on the submissions of EIT Parties.<sup>4</sup> The general areas and needs for capacity-building are listed below. This scope for capacity-building may be revised as further information is made available and as needs and priorities are further identified.

---

<sup>3</sup> FCCC/SB/2000/INF.2.

<sup>4</sup> FCCC/SB/2000/INF.7.

20. General priority areas for capacity-building identified by EIT Parties related to the implementation of the Convention, which may also be relevant to their preparation for participation in the Kyoto Protocol, are to be identified in their national action plan for capacity-building, and include:

- (a) National greenhouse gas (GHG) inventories;
- (b) Projections of GHG emissions;
- (c) Policies and measures, and the estimation of their effects;
- (d) Impact assessment and adaptation;
- (e) Research and systematic observation;
- (f) Education, training and public awareness;
- (g) Transfer of environmentally sound technologies;
- (h) National communications and national climate action plans;
- (i) National systems for estimation of GHG emissions;
- (j) Modalities for accounting relating to targets, timetables and national registries;
- (k) Reporting obligations;
- (l) Joint implementation projects and emissions trading.

21. In order to maximize available resources for capacity-building and to facilitate exchange and cooperation among EIT Parties, multilateral and bilateral agencies in consultation with EIT Parties should assist, as appropriate, the efforts of EIT Parties themselves to identify, develop and implement national, regional, subregional and sectoral activities that meet the capacity-building needs of EIT Parties. The results of the current and next phase of the Capacity Development Initiative of the Global Environment Facility (GEF) could provide valuable inputs for these activities.

## D. Implementation

### *Responsibilities for implementation*

22. In implementing the activities undertaken within this framework for capacity-building, EIT and Annex II Parties have the following mutual responsibilities:

(a) To improve the coordination and effectiveness of existing efforts;

(b) To provide information to enable the Conference of the Parties to monitor progress in the implementation of this framework for capacity-building.

23. In the implementation of this framework for capacity-building, EIT Parties have the following responsibilities:

(a) To provide an enabling environment to promote the sustainability and effectiveness of capacity-building activities relating to the implementation of the ultimate objective of the Convention;

(b) To identify their specific needs, priorities and options for capacity-building on a country-driven basis, taking into account existing capacities and past and current activities;

(c) To identify and provide information on their own capacity-building activities;

(d) To promote cooperation among EIT Parties as well as to report to the Conference of the Parties on these activities in their national communications;

(e) To ensure the mobilization and sustainability of national capacities, including the institutional leadership necessary for national coordination and the effectiveness of capacity-building activities;

(f) To promote the participation in and access to capacity-building activities of all stakeholders, including governments, civil society and the private sector, as appropriate.

24. In cooperating with EIT Parties to support the implementation of this framework for capacity-building, Annex II Parties have the following responsibilities:

(a) To assist EIT Parties, including by making available financial and other resources, to undertake country-level needs assessments to enable them to effectively implement the Convention

and, as appropriate, to prepare them for participation in the Kyoto Protocol when it enters into force;

(b) To assist EIT Parties, including through the provision of financial and other resources, to implement options for capacity-building consistent with their specific priorities and this framework.

### *Financing*

25. The Annex II Parties, through multilateral agencies, including through the Global Environment Facility within its mandate, and bilateral agencies and the private sector as appropriate, are requested to make available financial and technical support to assist EIT Parties in the implementation of this framework for capacity-building.

### *Time frame*

26. The implementation of activities undertaken within this framework for capacity-building should commence as soon as possible.

### *Monitoring of progress*

27. The Conference of the Parties, through the subsidiary bodies, shall monitor the effectiveness of the implementation of this framework for capacity-building.

28. Information to enable the Conference of the Parties to monitor the effectiveness of the implementation of this framework should be reported by Parties. Other institutions involved in capacity-building in EIT Parties are invited to provide information for this purpose.

### *Role of the secretariat*

29. In accordance with this framework for capacity-building, the secretariat is requested, consistent with Article 8 of the Convention, to undertake the following tasks:

(a) To cooperate with multilateral and bilateral institutions to facilitate the implementation of this framework;

(b) To collect, process, compile and disseminate the information needed by the Conference of the Parties or its subsidiary bodies to monitor progress in the implementation of this framework for capacity-building.