



**Conference of the Parties serving as the meeting
of the Parties to the Paris Agreement**

**Report of the Conference of the Parties serving as the
meeting of the Parties to the Paris Agreement on the
third part of its first session, held in Katowice from
2 to 15 December 2018**

Part one: Proceedings

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Part two: Action taken by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at the third part of its first session

Decisions adopted by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement

FCCC/PA/CMA/2018/3/Add.1

Decisions

- 3/CMA.1 Matters relating to the implementation of the Paris Agreement
- 4/CMA.1 Further guidance in relation to the mitigation section of decision 1/CP.21
- 5/CMA.1 Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement
- 6/CMA.1 Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement
- 7/CMA.1 Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures
- 8/CMA.1 Matters relating to Article 6 of the Paris Agreement and paragraphs 36–40 of decision 1/CP.21
- 9/CMA.1 Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement
- 10/CMA.1 Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement
- 11/CMA.1 Matters referred to in paragraphs 41, 42 and 45 of decision 1/CP.21
- 12/CMA.1 Identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement

FCCC/PA/CMA/2018/3/Add.2

Decisions

- 13/CMA.1 Matters relating to the Adaptation Fund
- 14/CMA.1 Setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53
- 15/CMA.1 Technology framework under Article 10, paragraph 4, of the Paris Agreement
- 16/CMA.1 Scope of and modalities for the periodic assessment referred to in paragraph 69 of decision 1/CP.21
- 17/CMA.1 Ways of enhancing the implementation of education, training, public awareness, public participation and public access to information so as to enhance actions under the Paris Agreement
- 18/CMA.1 Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement
- 19/CMA.1 Matters relating to Article 14 of the Paris Agreement and paragraphs 99–101 of decision 1/CP.21

20/CMA.1 Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement

Resolution

3/CMA.1 Expression of gratitude to the Government of the Republic of Poland and the people of the city of Katowice

I. Opening of the session

(Agenda item 1)

1. The third part of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), convened pursuant to Article 16, paragraph 6, of the Paris Agreement, was held at the International Conference Centre in Katowice, Poland, from 2 to 14 December 2018. The 21st meeting¹ of the session was convened by Mr. Michał Kurtyka, President of CMA 1.3, on Sunday, 2 December.²

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda sub-item 2(a))

2. The CMA adopted the agenda for its first session at its 5th meeting, on 16 November 2016.³ The adopted agenda and annotations are contained in document FCCC/PA/CMA/2018/1.

B. Application of the rules of procedure of the Conference of the Parties

(Agenda sub-item 2(b))

3. In accordance with Article 16, paragraph 5, of the Paris Agreement and decision 2/CMA.1, the draft rules of procedure of the Conference of the Parties (COP) shall be applied *mutatis mutandis* under the Paris Agreement.

C. Election of additional officers

(Agenda sub-item 2(c))

4. The CMA considered this sub-item at its 21st meeting and at its 28th meeting, on 15 December.

5. At the 21st meeting, statements were made by representatives of three Parties, including one on behalf of the Alliance of Small Island States.⁴ The President took note of the interventions and recalled that the COP, at its 1st meeting, on 2 December, had agreed to consider the report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts under its agenda item 7, “Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts”.⁵ Therefore, the action proposed in document FCCC/PA/CMA/2018/1, footnote 6, would be undertaken by the COP.

6. At the 28th meeting, the President informed the CMA that two members of the Bureau did not represent Parties to the Paris Agreement. The CMA was therefore required to elect two additional members of the Bureau representing Parties to the Paris Agreement. On a proposal by the President, the COP elected Mr. Amjad Abdulla (Maldives) as an additional Vice-President to the Bureau representing the Asia-Pacific States. The President expressed

¹ Meetings of the CMA referred to in this report are plenary meetings.

² CMA 1.3 was held in conjunction with the twenty-fourth session of the Conference of the Parties (COP) and the fourteenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP). The proceedings of the COP and the CMP are contained in separate reports (FCCC/CP/2018/10 and FCCC/KP/CMP/2018/8, respectively). The proceedings of the joint meetings of the COP, the CMP and the CMA convened during the sessions are contained in the report of the COP and cross-referenced in the reports of the CMP and the CMA.

³ FCCC/PA/CMA/2016/3, paragraph 4.

⁴ The three interventions are available at <https://unfccc-cop24.streamworld.de/webcast/first-plenary-meetings-of-the-cop-and-cmp-and-resu>.

⁵ FCCC/CP/2018/10, paragraph 83.

his appreciation to the outgoing Bureau member, Mr. Khalid Abuleif (Saudi Arabia), for his guidance and support during the past year.

7. At the same meeting, the President noted that the nomination for an additional Vice-President from the Eastern European States was outstanding.⁶ He urged Parties to continue their consultations and to submit the outstanding nomination to the Executive Secretary as soon as possible and by 28 February 2019 at the latest. Once the nomination has been received by the Executive Secretary, the member will be deemed to have been elected at CMA 1.3, in accordance with established practice.⁷

D. Organization of work

(Agenda sub-item 2(d))

8. At the 21st meeting, the President referred the CMA to the annotations to the provisional agenda and proposed that the CMA follow the organization of work agreed by the COP.⁸

9. The President noted that the subsidiary bodies would conclude their work on 8 December.

10. The CMA agreed to proceed on the basis of the proposal made by the President.

11. On 2 December, a joint plenary meeting of the COP, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), the CMA, the Subsidiary Body for Scientific and Technological Advice, the Subsidiary Body for Implementation and the Ad Hoc Working Group on the Paris Agreement was held to hear opening statements.⁹

12. The President convened two informal stocktaking plenaries, on 11 and 13 December, to review progress and to share the plans for advancing the work in order to reach a successful outcome.¹⁰

E. Status of ratification of the Paris Agreement

(Agenda sub-item 2(e))

13. At the 21st meeting, the President informed the CMA that, as at 1 December 2018, 184 Parties to the Convention had ratified the Paris Agreement.

14. On a proposal by the President, the CMA took note of this information and invited Parties to the Convention that have not yet ratified the Paris Agreement to expedite their ratification. No additional instruments of acceptance were received during the session.

F. Adoption of the report on credentials

(Agenda sub-item 2(f))

15. At the 28th meeting, on 14 December, the President referred to the report on credentials,¹¹ which indicates that the Bureau, in accordance with rule 20 of the draft rules of procedure being applied, examined and approved the credentials of the representatives of Parties.

16. At the same meeting, on the basis of the report of the Bureau and additional credentials presented, the CMA accepted the credentials of Parties attending the session.¹²

⁶ See document FCCC/CP/2018/10, paragraph 15.

⁷ See document FCCC/CP/2018/10, paragraph 16, for a list of the members of the Bureau of COP 24, CMP 14 and CMA 1.3.

⁸ See document FCCC/CP/2018/10, paragraphs 19–31 and 35.

⁹ See document FCCC/CP/2018/10, paragraphs 30 and 31.

¹⁰ Webcasts are available at <https://unfccc-cop24.streamworld.de/webcast/presidency-stocktaking-meeting> and <https://unfccc-cop24.streamworld.de/webcast/stocktaking-plenary>.

¹¹ FCCC/CP/2018/9–FCCC/KP/CMP/2018/7–FCCC/PA/CMA/2018/2.

¹² For a list of the additional credentials presented after the issuance of the credentials report, see document FCCC/CP/2018/10, paragraph 45.

G. Attendance

17. Information on participation in the sessions in Katowice is available on the UNFCCC website.¹³

18. According to decision 2/CMA.1, the admission of organizations as observers as decided by the COP also applies to the CMA. Observer organizations newly admitted to the sessions of the COP, the CMP and the CMA are listed in document FCCC/CP/2018/2.¹⁴

H. Documentation

19. The documents that were before CMA 1.3 are available on the UNFCCC website.¹⁵

III. Matters relating to the implementation of the Paris Agreement

(Agenda item 3)

20. At the 21st meeting, the CMA noted that the COP would take up its agenda item 4, “Preparations for the implementation of the Paris Agreement and the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement”, in the second week of the conference, after the subsidiary bodies had completed their work.

21. On a proposal by the President, the CMA agreed to return to this agenda item after the COP had taken up its agenda item 4.

22. At its 26th meeting, on 14 December, the CMA noted that the COP had adopted decision 1/CP.24, entitled “Preparations for the implementation of the Paris Agreement and the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement”, and had forwarded the draft decisions listed therein for consideration and adoption by the CMA.

23. At the same meeting, the President recalled that the Subsidiary Body for Implementation had also recommended two draft decisions for consideration and adoption by the CMA (see para. 24(c) and (n) below).

24. On a proposal by the President, the CMA adopted decision 3/CMA.1, entitled “Matters relating to the implementation of the Paris Agreement”, in which it decided to adopt the following decisions, commonly referred to by the President as the Katowice Rulebook:

(a) Decision 4/CMA.1, entitled “Further guidance in relation to the mitigation section of decision 1/CP.21”;

(b) Decision 5/CMA.1, entitled “Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement”;

(c) Decision 6/CMA.1, entitled “Common time frames for nationally determined contributions referred to in Article 4, paragraph 10, of the Paris Agreement”;

(d) Decision 7/CMA.1, entitled “Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures”;¹⁶

¹³ For the full list of participants attending the sessions, see document FCCC/CP/2018/INF.3. For a list of the Parties to the Paris Agreement, see the United Nations Treaty Collection website, available at https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XXVII-7-d&chapter=27&clang=en.

¹⁴ For the complete proceedings related to the COP agenda sub-item on the admission of organizations as observers, see document FCCC/CP/2018/10, paragraphs 17 and 18. <https://unfccc.int/event/cma-1-3>.

¹⁶ By this decision Parties were invited to submit nominations for members to serve on the Katowice Committee on Impacts by 15 April 2019.

- (e) Decision 8/CMA.1, entitled “Matters relating to Article 6 of the Paris Agreement and paragraphs 36–40 of decision 1/CP.21”;
- (f) Decision 9/CMA.1, entitled “Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement”;
- (g) Decision 10/CMA.1, entitled “Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement”;
- (h) Decision 11/CMA.1, entitled “Matters referred to in paragraphs 41, 42 and 45 of decision 1/CP.21”;
- (i) Decision 12/CMA.1, entitled “Identification of the information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement”;
- (j) Decision 13/CMA.1, entitled “Matters relating to the Adaptation Fund”;
- (k) Decision 14/CMA.1, entitled “Setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53”;
- (l) Decision 15/CMA.1, entitled “Technology framework under Article 10, paragraph 4, of the Paris Agreement”;
- (m) Decision 16/CMA.1, entitled “Scope of and modalities for the periodic assessment referred to in paragraph 69 of decision 1/CP.21”;
- (n) Decision 17/CMA.1, entitled “Ways of enhancing the implementation of education, training, public awareness, public participation and public access to information so as to enhance actions under the Paris Agreement”;
- (o) Decision 18/CMA.1, entitled “Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement”;¹⁷
- (p) Decision 19/CMA.1, entitled “Matters relating to Article 14 of the Paris Agreement and paragraphs 99–101 of decision 1/CP.21”;
- (q) Decision 20/CMA.1, entitled “Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement”.

25. The Executive Secretary congratulated the CMA on the occasion of the adoption of decision 3/CMA.1 and read a message from the Secretary-General of the United Nations.¹⁸

IV. High-level segment

(Agenda item 4)¹⁹

V. Other matters

(Agenda item 5)

26. At its 28th meeting, the CMA noted that no other matters had been raised under this agenda item.

¹⁷ The outcome on “Modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9, paragraph 7, of the Paris Agreement” is incorporated in chapter V of the annex to decision 18/CMA.1.

¹⁸ Available at <https://unfccc-cop24.streamworld.de/webcast/26th-plenary-meeting-of-the-cma-upon-completion-of>.

¹⁹ See document FCCC/CP/2018/10, paragraphs 139–144.

VI. Conclusion of the session

(Agenda item 6)

27. At the joint 11th meeting of the COP, 9th meeting of the CMP and 27th meeting of the CMA, on 14 December, groups of Parties and observer organizations made closing statements.²⁰

28. At the 28th meeting of the CMA, the Deputy Executive Secretary provided a preliminary estimate of the administrative and budgetary implications of some of the decisions adopted during the session, in accordance with rule 15 of the draft rules of procedure being applied.

29. He informed the CMA that several provisions contained in the decisions adopted by the CMA at this session relating to the implementation of the Paris Agreement have resource implications over and above the core budget for the biennium 2018–2019. He noted that the total additional resource requirements for activities to be undertaken in 2019 as a result of those decisions under the Trust Fund for Supplementary Activities amounted to EUR 2.1 million:

- (a) EUR 370,000 for activities resulting from decision 3/CMA.1;
- (b) EUR 50,000 for activities resulting from decision 5/CMA.1;
- (c) EUR 230,000 for activities resulting from decision 7/CMA.1, bearing in mind that the forum on the impact of the implementation of response measures serves all three governing bodies and that additional resources may be required should further activities under the Convention and its Kyoto Protocol be mandated at the next sessions of the subsidiary bodies;
- (d) EUR 400,000 for activities resulting from decision 8/CMA.1;
- (e) EUR 10,000 for activities resulting from decision 9/CMA.1;
- (f) EUR 50,000 for activities resulting from decision 10/CMA.1;
- (g) EUR 150,000 for activities resulting from decision 12/CMA.1;
- (h) EUR 115,000 for activities resulting from decision 13/CMA.1;
- (i) EUR 100,000 for activities resulting from decision 16/CMA.1;
- (j) EUR 390,000 for activities resulting from decision 18/CMA.1;
- (k) EUR 110,000 for activities resulting from chapter V of the annex to decision 18/CMA.1;
- (l) EUR 120,000 for activities resulting from decision 19/CMA.1.

30. The total estimated budgetary requirements for activities to be undertaken in the biennium 2020–2021 in support of the intergovernmental process as a result of the decisions adopted by the CMA at this session amount to approximately EUR 20 million. The representative noted that these amounts are preliminary and based on the information available at the time.

31. The Deputy Executive Secretary stated that the secretariat is relying on Parties' continued generosity in providing funding for these activities in a timely and predictable manner, as without such contributions the secretariat will not be able to undertake the requested activities.

²⁰ See document FCCC/CP/2018/10, paragraphs 148 and 149.

A. Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement

(Agenda sub-item 6(a))

32. At its 28th meeting, the CMA considered the draft report on the third part of its first session and, on a proposal by the President, authorized the Rapporteur to complete the report under the guidance of the President and with the assistance of the secretariat.

B. Closure of the session

(Agenda sub-item 6(b))

33. At its 28th meeting, on a proposal by the President, the CMA adopted resolution 3/CMA.1, entitled “Expression of gratitude to the Government of the Republic of Poland and the people of the city of Katowice”.

34. The President then declared CMA 1 closed.
