



CC/23/2021/2 16 August 2021

Status of submission and review of reports under the Kyoto Protocol

Note by the secretariat

1. This note provides information on the status of submission and review of the supplementary information necessary to demonstrate compliance by relevant Parties with their commitments under the Protocol, in accordance with Articles 7 and 8 of the Kyoto Protocol, including the annual greenhouse gas (GHG) inventories submitted in 2020 and 2021 by Parties included in Annex I (hereinafter, Annex I Parties)¹ in accordance with decision 15/CMP.1, and the seventh national communications (NC7s) by Annex I Parties, in accordance with decision 15/CMP.1 in conjunctions with decisions 3/CMP.11 and 4/CMP.11, as at 11 August 2021.

Annex I Parties in the second commitment period (2013–2020)

- 2. There are 42 Annex I Parties to the Kyoto Protocol.² Thirty-eight of them have a quantified emission limitation or reduction commitment (QELRC) inscribed in the third column of the table in Annex I to the Doha Amendment as contained in Annex I to decision 1/CMP.8³ for the second commitment period. Supplementary information is submitted by these Parties in their annual greenhouse gas inventory submissions, pursuant to Article 7, paragraph 1, of the Kyoto Protocol, and in accordance with decisions 15/CMP.1, 2/CMP.8, 6/CMP.9 and 3/CMP.11.
- 3. Japan, New Zealand and the Russian Federation are Annex I Parties that had a QELRC for the first commitment period but do not have a QELRC for the second commitment period. However, the CMP decided, through decision 3/CMP.11, that these Parties continue to have certain limited reporting obligations in relation to elements of the information usually reported under Article 7, paragraph 1, of the Protocol.⁴ In addition, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to these Parties, including in the context of supplementary information in their NC7s.⁵
- 4. Belarus, Cyprus, Kazakhstan⁶ and Malta did not have a QELRC for the first commitment period but have QELRCs for the second commitment period. Their reporting obligations under Article 7, paragraph 1, of the Protocol are triggered in relation to the second commitment period. As at 11 August 2021, Belarus had not submitted its report to facilitate the calculation of the assigned amount for the second commitment period.⁷
- 5. Turkey does not have a QELRC for the first or the second commitment periods. In the absence of commitments under Article 3 of the Protocol, its reporting obligations under Article 7, paragraph 1, of the Protocol do not appear to be triggered. At the same time, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article

For the purposes of this document, the term "Annex I Parties" refers to Parties to the Kyoto Protocol considered Parties included in Annex I in accordance with Article 1, paragraph 7, of the Protocol.

Forty-three Annex I Parties ratified the Kyoto Protocol. However, on 15 December 2011, the Depositary received written notification of Canada's withdrawal from the Kyoto Protocol. This action became effective for Canada on 15 December 2012.

³ Hereinafter, the Doha Amendment.

⁴ For example, see decision 3/CMP.11, paras. 8, 14 and decision 3/CMP.11, annex III, para. 4.

⁵ Decision 7/CMP.8.

Following the entry into force of the Kyoto Protocol for Kazakhstan on 17 September 2009, Kazakhstan is considered an Annex I Party for the purposes of the Protocol while remaining a Party not included in Annex I to the Convention for the purposes of the Convention (FCCC/KP/CMP/2009/21, para. 91).

All the reports to facilitate the calculation of the assigned amount for the second commitment period received by the secretariat are available here.





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3, appear to be applicable to this Party, including in the context of supplementary information in its NC7.8

Greenhouse gas inventory submissions 2020

- 6. As indicated in the previous note on the status of submission and review of reports under the Kyoto Protocol, the secretariat received 42 annual inventory submissions for 2020 from Annex I Parties to the Kyoto Protocol. Table 2 updates the information on submission dates. Forty of these submissions contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol, in accordance with decision 15/CMP.1 in conjunction with decision 3/CMP.11.
- 7. The secretariat coordinated reviews of 24 of submissions by Annex I Parties indicated in paragraph 6 above. 11 Originally the plan was to undertake 2 desk reviews, 4 centralized reviews and 10 in-country reviews. Due to the COVID-19 pandemic the planned centralized reviews and in-country reviews were conducted as remote centralized reviews. Therefore, twenty submissions were reviewed as remote centralized reviews 12 and four as desk reviews 13. The reviews took place between 31 August and 14 November 2020.
- 8. As at 11 August 2021, 19 review reports have been published on the UNFCCC website¹⁴. All published reports were forwarded to the Compliance Committee.

⁹ See <u>CC/22/2020/3</u>, para 6.

⁸ Decision 7/CMP.8.

¹⁰ The 2020 submissions of Belarus and Turkey were made under the Convention (see also paragraphs 4 and 5 above).

In addition, the secretariat coordinated also the review of the United States of America which is a Party under the Convention. The secretariat organized the 2020 review cycle taking into consideration the secretariat's work programme for the biennium 2020-2021(decision 17/CP.25) and the proposed programme budget for the biennium 2020–2021 submitted by the Executive Secretary, documents FCCC/SBI/2019/4 and Add.1–2).

Australia, Austria, Belgium, Bulgaria, Cyprus, Croatia, Denmark, Estonia, Germany, Hungary, Ireland, Japan, Latvia, Liechtenstein, Poland, Portugal, Romania, Russia Federation, Slovenia and Sweden

¹³ European Union, Finland, Luxembourg and Norway.

¹⁴ The review reports for the 2020 submissions are available <u>here</u>.

Table 1

Annual 2020 submissions by Annex I Parties: status of submission, review dates and status of preparation of the review reports^a

Annex I Party	CRF, NIR and S submission date.		Resubmissions date	Language of NIR	Review dates (2020) and modality	Review report publication date	Document symbol
Australia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	27 May 2020 27 May 2020 27 May 2020 27 May 2020	- - - -	English	16–21 Nov 2020 Remote centralized review	16 Mar 2021	FCCC/ARR/2020/AUS
Austria	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	- - - -	English	21–26 Sep 2020 Remote centralized review	1 Apr 2021	FCCC/ARR/2020/AUT
Belarus	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 ^b 15 April 2020 ^b not applicable ^c not submitted ^{a, b, .c}	- - - -	Russian	No review		
Belgium	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 14 April 2020 14 April 2020	30 November 2020 	English	26–31 Oct 2020 Remote centralized review	not published yet	
Bulgaria	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	- - - -	English	26–31 Oct 2020 Remote centralized review	not published yet	
Croatia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	10 April 2020 10 April 2020 10 April 2020 10 April 2020	- - - -	English	26–31 Oct 2020 Remote centralized review	21 June 2021	FCCC/ARR/2020/CZH
Cyprus	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	15 April 2020 15 April 2020 not applicable ^c 08 April 2020	26 May 2020 26 May 2020 –	English	02–07 Nov 2020 Remote centralized review	13 Apr 2021	FCCC/ARR/2020/CYP
Czechia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 14 April 2020 14 April 2020	- 07 May 2020 - -	English	No review		

Annex I Party	CRF, NIR and S. submission dates		Resubmissions date	Language of NIR	Review dates (2020) and modality	Review report publication date	Document symbol
Denmark	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^a 15 April 2020	25 May 2020 25 May 2020 - -	English	02–07 Nov 2020 Remote centralized review	5 May 2021	FCCC/ARR/2020/DNK
Estonia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	13 April 2020 13 April 2020 not submitted ^a 09 April 2020	- - -	English	02–07 Nov 2020 Remote centralized review	14 Apr 2021	FCCC/ARR/2020/EST
European Union	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 18 August 2020 14 April 2020	27 May 2020 27 May 2020 – 29 April 2020	English	09–14 Nov 2020 Desk review	Not published yet	
Finland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	09 April 2020 09 April 2020 not submitted ^a 06 April 2020	15 April 2020 - - -	English	31 Aug-05 Sep 2020 Desk review	19 Jan 2021	FCCC/ARR/2020/FIN
France	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	- - -	French	No review		
Germany	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	18 March 2020 15 April 2020 15 April 2020 15 April 2020	- - -	English	02–07 Nov 2020 Remote centralized review	29 Apr 2021	FCCC/ARR/2020/DEU
Greece	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 not submitted ^a 14 April 2020	- - -	English	No review		
Hungary	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^a 15 April 2020	15 December 2020 - -	English	26–31 Oct 2020 Remote centralized review	16 Apr 2021	FCCC/ARR/2020/HUN
Iceland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	- - 15 June 2020 -	English	No review		

Annex I Party	CRF, NIR and S submission date		Resubmissions date	Language of NIR	Review dates (2020) and modality	Review report publication date	Document symbol
Ireland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	_ _ _ _	English	12–17 Oct 2020 Remote centralized review	17 Feb 2021	FCCC/ARR/2020/IRL
Italy	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	12 April 2020 12 April 2020 not submitted ^a 14 April 2020	- - - -	English	No review		
Japan	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 14 April 2020 14 April 2020	- - -	English	07–12 Sep 2020 Remote centralized review	17 Mar 2021	FCCC/ARR/2020/JPN
Kazakhstan	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 22 September 2020 28 May 2021 28 May 2021	_ _ _ _	Russian	No review		
Latvia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 not submitted ^a 14 April 2020	15 October 2020 11 May 2020 -	English	12–17 Oct 2020 Remote centralized review	2 Mar 2021	FCCC/ARR/2020/LVA
Liechtenstein	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^a 15 April 2020	- - -	English	07–12 Sep 2020 Remote centralized review	Not published yet	
Lithuania	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^a 15 April 2020	- - -	English	No review		
Luxembourg	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020		English	09–14 Nov 2020 Desk review	Not published yet	
Malta	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	08 April 2020 13 April 2020 07 April 2020 07 April 2020	_ _ _ _	English	No review		

Annex I Party	CRF, NIR and S submission date		Resubmissions date	Language of NIR	Review dates (2020) and modality	Review report publication date	Document symbol
Monaco	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 16 March 2020 16 March 2020	- - -	French	No review		
Netherlands	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^a 15 April 2020	- - -	English	No review		
New Zealand ^f	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	- - -	English	No review		
Norway	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	03 April 2020 03 April 2020 03 April 2020 03 April 2020	- - -	English	31 Aug–05 Sep 2020 Desk review	20 Jan 2021	FCCC/ARR/2020/NOR
Poland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 15 April 2020 15 April 2020	- - -	English	16–21 Nov 2020 Remote centralized review	5 July 2021	FCCC/ARR/2020/POL
Portugal	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	03 April 2020 03 April 2020 03 April 2020 03 April 2020	- - -	English	26–31 Oct 2020 Remote centralized review	5 May 2021	FCCC/ARR/2020/PRT
Romania	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 not submitted ^a 15 April 2020	6 May 2020 6 May 2020 – 6 May 2020	English	02–07 Nov 2020 Remote centralized review	30 June 2021	FCCC/ARR/2020/ROU
Russian Federation	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^{a, e} not submitted ^{a, e}	26 May 2020 - - -	Russian	26–31 Oct 2020 Remote centralized review	20 May 2021	FCCC/ARR/2020/RUS
Slovakia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 14 April 2020 14 April 2020	_ 21 May 2020 _ _	English	No review		

Annex I Party	CRF, NIR and S submission date.		Resubmissions date	Language of NIR	Review dates (2020) and modality	Review report publication date	Document symbol
Slovenia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	13April 2020 15 April 2020 not submitted ^a 13 April 2020	- - -	English	12–17 Oct 2020 Remote centralized review	14 May 2021	FCCC/ARR/2020/SVN
Spain	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	06 April 2020 06 April 2020 06 April 2020 06 April 2020		Spanish	No review		
Sweden	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 14 April 2020 14 April 2020	- - -	English	21–26 Sep 2020 Remote centralized review	23 Apr 2021	FCCC/ARR/2020/SWE
Switzerland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	14 April 2020 14 April 2020 14 April 2020 14 April 2020	- - -	English	No review		
Turkey	CRF: NIR: SEF:	13 April 2020 ^b 13 April 2020 ^b not applicable ^{b, d}	- - -	English	No review		
Ukraine	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	25 May 2020 25 May 2020 not submitted ^a 06 May 2020	- - -	English	No review		
United Kingdon of Great Britain and Northern Ireland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted ^a 15 April 2020	- - -	English	No review		

Abbreviations: NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

^a As at 11 August 2021.

b Submission made under the Convention only.

^c See para. 4 above.

d See para. 5 above.

^e The Russian Federation closed its registry on 30 December 2015. According to paragraph 14 decision 3/CMP.11 Parties without QELRC in the second commitment period of the Kyoto Protocol only need to submit the standard electronic format table if its registry is connected to the international transaction log at any time during the relevant calendar year.





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Greenhouse gas inventory submissions 2021

- 9. As at 11 August 2021, the secretariat received 42 annual inventory submissions for 2021 from Annex I Parties (see table 2 below). 41 status reports have been prepared and published on the UNFCCC website. Forty of the submissions contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol provided in accordance with decision 15/CMP.1 in conjunction with decision 3/CMP.11.
- 10. The secretariat is coordinating reviews under the Kyoto Protocol of 21 of the submissions referred to in paragraph 9 above.^{17, 18}. Due to the COVID-19 pandemic, centralized and in-country reviews are being conducted as remote centralized reviews. In total, 15 submissions will be reviewed as remote centralized reviews and 6 as desk reviews.¹⁹ The reviews are planned for the period from 30 August to 23 October 2021.

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¹⁵ Available <u>here</u>.

¹⁶ 2021 submissions of Belarus and Turkey were made under the Convention (see also paras. 4 and 5).

The secretariat organized the 2021 review cycle taking into consideration the secretariat's work programme for the biennium 2020–2021 (decision 17/CP.25) and the proposed programme budget for the biennium 2020–2021 submitted by the Executive Secretary, documents FCCC/SBI/2019/4 and Add.1–2).

¹⁸ In addition, the secretariat is also coordinating the review of Canada which is a Party included in Annex I to the Convention but are not Party to the Kyoto Protocol.

Remote centralized reviews are planned for Australia, Belarus, Czechia, Denmark, France, Hungary, Iceland, Italy, Kazakhstan, Lithuania, Malta, New Zealand, Slovakia, Switzerland and Turkey. Desk reviews are planned for Greece, Monaco, Netherlands, Spain, Ukraine and United Kingdom.





Table 2 Annual 2021 submissions by Annex I Parties: status of submissions a

Annex I Party	CRF, NIR and SEI submission dates	7 original	Resubmission date(s)	Language of NIR	Review dates (2021) and modality
Australia		•	- - -	English	6–11 Sep 2021 Remote centralized review
Austria	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - 20 May 2021	English	No review
Belarus	SEF-CP1-2020:	14 April 2021 ^b 19 April 2021 ^b not applicable ^c not submitted ^{a, b, .c}	- - -	Russian	18–23 Oct 2021 Remote centralized review
Belgium			- - - 11 May 2021	English	No review
Bulgaria	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - 25 May 2021	English	No review
Croatia		-	- - -	English	No review
Cyprus	CRF:	09 April 2021 13 April 2021 not applicable ^c		English	No review
Czechia			- - - 25 May 2021	English	6–11 Sep 2021 Remote centralized review
Denmark	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	6–11 Sep 2021 Remote centralized review
Estonia	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	No review
European Union	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		27 May 2021 27 May 2021 27 May 2021	English	No review
Finland	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		_ _ _ _ 06 May 2021	English	No review





Annex I Party	CRF, NIR and SEF original submission dates	Resubmission date(s)	Language of NIR	Review dates (2021) and modality
France	CRF: 21 April 20 NIR: 13 April 20 SEF-CP1-2020: 13 April 20 SEF-CP2-2020: 13 April 20)21 –)21 –	French	20–25 Sep 2021 Remote centralized review
Germany	CRF: 14 April 20 NIR: 15 April 20 SEF-CP1-2020: 15 April 20 SEF-CP2-2020: 15 April 20)21 –)21 –	English	No review
Greece	CRF: 15 April 20 NIR: 15 April 20 SEF-CP1-2020: 15 April 20 SEF-CP2-2020: 15 April 20)21 –)21 – 26 May 2021	English	27 Sep–2 Oct 2021 Desk review
Hungary	CRF: 15 April 20 NIR: 15 April 20 SEF-CP1-2020: 15 April 20 SEF-CP2-2020: 15 April 20)21 –)21 –	English	6–11 Sep 2021 Remote centralized review
celand	CRF: 15 April 20 NIR: 15 April 20 SEF-CP1-2020: 15 April 20 SEF-CP2-2020: 15 April 20)21 –)21 –	English	4–9 Oct 2021 Remote centralized review
reland	CRF: 13 April 20 NIR: 13 April 20 SEF-CP1-2020: 13 April 20 SEF-CP2-2020: 13 April 20)21 –)21 21 Jun 2021	English	No review
taly	CRF: 12 April 20 NIR: 12 April 20 SEF-CP1-2020: 13 April 20 SEF-CP2-2020: 13 April 20)21 –)21 –	English	20–25 Sep 2021 Remote centralized review
apan	CRF: 13 April 20 NIR: 13 April 20 SEF-CP1-2020: 13 April 20 SEF-CP2-2020: 13 April 20)21 –)21 02 Jul 2021	English	No review
Kazakhstan	CRF: 16 April 20 NIR: 28 May 20 SEF-CP1-2020: 28 May 20 SEF-CP2-2020: 28 May 20	21 – 21 –	Russian	18–23 Oct 2021 Remote centralized review
atvia	CRF: 13 April 20 NIR: 13 April 20 SEF-CP1-2020: not submit SEF-CP2-2020: 14 April 20)21 –)21 – ted ^a –	English	No review
iechtenstein	CRF: 14 April 20 NIR: 15 April 20 SEF-CP1-2020: not submit SEF-CP2-2020: 14 April 20)21 –)21 – ted ^a –	English	No review
ithuania	CRF: 15 April 20 NIR: 15 April 20 SEF-CP1-2020: 15 April 20 SEF-CP2-2020: 15 April 20)21 –)21 19 May 2021	English	4–9 Oct 2021 Remote centralized review
Luxembourg	CRF: 13 April 20 NIR: 14 April 20 SEF-CP1-2020: 13 April 20 SEF-CP2-2020: 13 April 20)21 –)21 –)21 10 May 2021	English	No review





Annex I Party	CRF, NIR and SE submission dates	F original	Resubmission date(s)	Language of NIR	Review dates (2021) and modality
Malta	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	•	- - -	English	4–9 Oct 2021 Remote centralized review
Monaco	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	-	_ _ _ _ 04 May 2021	French	27 Sep–2 Oct 2021 Desk review
Netherlands	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	27 Sep–2 Oct 2021 Desk review
New Zealand ^f	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	1	- - -	English	6–11 Sep 2021 Remote centralized review
Norway	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	No review
Poland	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		27 May 2021 27 May 2021 -	English	No review
Portugal	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	No review
Romania	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	•	29 April; 27 May 2021 29 April 2021 – 29 April; 8 May 2021	English	No review
Russian Federatio	n CRF: NIR: SEF-CP1-2020:	15 April 2021 15 April 2021 not submitted ^{a, e} not submitted ^{a, e}	- - -	Russian	No review
Slovakia	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	-	- - -	English	20–25 Sep 2021 Remote centralized review
Slovenia	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	No review
Spain	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- 07 May 2021 07 May 2021 07 May 2021	Spanish	27 Sep–2 Oct 2021 Desk review
Sweden	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		_ _ _ _ 04 Jun 2021	English	No review





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Annex I Party	CRF, NIR and SE submission dates	F original	Resubmission date(s)	Language of NIR	Review dates (2021) and modality
Switzerland	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	1	- - -	English	6–11 Sep 2021 Remote centralized review
Turkey	CRF: NIR: SEF:	13 April 2021 ^b 13 April 2021 ^b not applicable ^{b, d}	- - -	English	4–9 Oct 2021 Remote centralized review
Ukraine	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:		- - -	English	30 Aug–4 Sep 2021 Desk review
United Kingdom o Great Britain and Northern Ireland	NIR:		- - -	English	30 Aug–4 Sep 2021 Desk review

Abbreviations: NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

^a As at 11 August 2021.

^b Submission made under the Convention only.

^c See para. 4 above.

^d See para. 5 above.

^e The Russian Federation closed its registry on 30 December 2015. According to para. 14 decision 3/CMP.11, Parties without QELRC in the second commitment period of the Kyoto Protocol only need to submit the standard electronic format table if its registry is connected to the international transaction log at any time during the relevant calendar year.

f New Zealand had a QELRC in the first commitment period but does not have a QELRC for the second commitment period. Therefore, it is not required to submit an initial report (see paragraph 3). However, it submitted a report to facilitate the calculation of its emissions budget for the period 2013–2020 containing information relating to its base year as well as other relevant information. The Subsidiary Body for Scientific and Technological Advice, at its forty-first session, took note that a Party included in Annex I to the Convention that is a Party to the Kyoto Protocol with a QELRC in the first but not the second commitment period may request the expert review team to review, as part of its review of that Party's annual inventory for the first year of the second commitment period, information relating to that Party's base year. The review of the report of New Zealand was conducted in accordance with the "Guidelines for review under Article 8 of the Kyoto Protocol".





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Reports to facilitate the calculation of the assigned amount for the second commitment period of the Kyoto Protocol

11. In 2016, the secretariat received 35 reports to facilitate the calculation of the assigned amounts for the second commitment period.²⁰ The secretariat organized the reviews of these reports in conjunction with the reviews of the 2016 submissions. The secretariat received the reports to facilitate the assigned amount of Kazakhstan and Monaco on 4 July 2017 and 4 August 2017, respectively. The secretariat organized the reviews of these reports in conjunction with the reviews of the 2017 submissions (for Monaco) and 2018 submissions (for Kazakhstan). As at 11 August 2021 Belarus had not submitted its report to facilitate the calculation of the assigned amount for the second commitment period. All the published review reports²¹ are available on the secretariat's website.²²

Submission of national communications

- 12. In accordance with decision 9/CP.16 and following the requirements of Article 12, paragraphs 1 and 2, of the Convention, Parties included in Annex I to the Convention are required to submit to the secretariat a seventh national communication by 1 January 2018. In accordance with decision 7/CMP.8, Annex I Parties to the Kyoto Protocol are to include in their national communications the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol.²³
- 13. The "Guidelines for review under Article 8 of the Kyoto Protocol" (at paragraph 139 of the annex to decision 22/CMP.1 in conjunction with decision 4/CMP.11) stipulate that "If a Party included in Annex I expects difficulties with the timeliness of its national communication submission, it should inform the secretariat before the due date of the submission. If the national communication is not submitted within six weeks after the due date, the delay shall be brought to the attention of the COP/MOP and the Compliance Committee and made public".
- 14. As at 11 August 2021, the secretariat received NC7 from 41 Annex I Parties. Ukraine has not submitted its NC7 yet.
- 15. The secretariat coordinated reviews of 40 Annex I Parties following the Article 8 guidelines of the Kyoto Protocol. Thirty of the individual reviews of these submissions were scheduled as in-country reviews from February 2018 to April 2019 and the other ten were reviewed in centralized reviews in Bonn, Germany. Once completed, technical review reports were published on the UNFCCC website and forwarded to the Compliance Committee. The review of NC7 of Belarus, submitted in September 2019, had been scheduled for beginning of 2020 as in-country in-person review. The in-country review of Belarus NC7 had to be postponed due to the global pandemic and is planned to be conducted during the BR5/NC8 review cycle.
- 16. Information on the status of submission of NC7 as at 11 August 2021 is included in table 1.

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New Zealand, the Russian Federation and Japan have no obligations to submit a report to facilitate the calculation of the assigned amounts for the second commitment period (see para. 3). New Zealand submitted a report to facilitate the calculation of its emissions budget for the period 2013 to 2020 (see also footnote "f" to table 3).

²¹ Pursuant to the annex to decision 22/CMP.1 in conjunction with decision 4/CMP.11.

https://unfccc.int/process/transparency-and-reporting/reporting-and-review-under-the-kyoto-protocol/second-commitment-period/initial-reports.

The COP also requested Kazakhstan to submit its national communications in accordance with the Guidelines for the preparation of national communications by Parties included in Annex I to the Convention (see FCCC/CP/2006/5, paragraph 96, and FCCC/CP/2008/7, paragraph 68).





Table 3: Submission of seventh national communications and notifications on difficulties with the timeliness of submission

Annex I Party	NC7 received	Language of NC7	Review dates	Date of publication	Document symbols
Australia	28 Dec. 2017	English	28 May-2 June 2018	2 Oct. 2018	FCCC/IDR.7/AUS FCCC/TRR.3/AUS
Austria	8 Feb. 2018	English	28 Jan2 Feb. 2019	23 May 2019	FCCC/IDR.7/AUT FCCC/TRR.3/AUT
Belarus	3 Sept. 2019	Russian	In country review postponed due to pandemic until an in person review is possible		
Belgium	20 Dec. 2017	English	25-30 March 2019	12 July 2019	FCCC/IDR.7/BEL FCCC/TRR.3/BEL
Bulgaria	29 Dec. 2017	English	4-9 Feb. 2019	24 May 2019	FCCC/IDR.7/BGR FCCC/TRR.3/BGR
Croatia	2 May 2018	English	21-26 May 2018	19 Nov. 2018	FCCC/IDR.7/HRV FCCC/TRR.3/HRV
Cyprus	22 Feb. 2018	English	21-26 May 2018	8 Oct. 2018	FCCC/IDR.7/CYP FCCC/TRR.3/CYP
Czechia	22 Dec. 2017	English	12-17 March 2018	9 July 2018	FCCC/IDR.7/CZE FCCC/TRR.3/CZE
Denmark	1 Jan. 2018	English	24-29 Sept. 2018	6 Feb. 2019	FCCC/IDR.7/DNK FCCC/TRR.3/DNK
Estonia	30 Dec. 2017	English	12-17 March 2018	3 July 2018	FCCC/IDR.7/EST FCCC/TRR.3/EST
European Union	19 Dec. 2017	English	5-10 March 2018	20 Aug. 2018	FCCC/IDR.7/EU FCCC/TRR.3/EU
Finland	13 Dec. 2017	English	19-24 March 2018	27 Nov. 2018	FCCC/IDR.7/FIN FCCC/TRR.3/FIN
France	29 Dec. 2017	French	9-14 April 2018	15 Aug. 2018	FCCC/IDR.7/FRA FCCC/TRR.3/FRA
Germany	20 Dec. 2017	English	12-17 March 2018	28 Aug. 2018	FCCC/IDR.7/DEU FCCC/TRR.3/DEU
Greece	22 Dec. 2017	English	4-9 Feb. 2019	23 May 2019	FCCC/IDR.7/GRC FCCC/TRR.3/GRC
Hungary	10 Jan. 2018	English	19-24 March 2018	2 Aug. 2018	FCCC/IDR.7/HUN FCCC/TRR.3/HUN
Iceland	16 Mar. 2018	English	24-29 Sept. 2018	1 Feb. 2019	FCCC/IDR.7/ISL FCCC/TRR.3/ISL





Annex I Party	NC7 received	Language of NC7	Review dates	Date of publication	Document symbols
Ireland	30 Mar. 2018	English	5-10 Nov. 2018	13 March 2019	FCCC/IDR.7/IRL FCCC/TRR.3/IRL
Italy	22 Dec. 2017	English	21-26 May 2018	12 Nov. 2018	FCCC/IDR.7/ITA FCCC/TRR.3/ITA
Japan	22 Dec. 2017	English	28 May-2 June 2018	24 Jan. 2019	FCCC/IDR.7/JPN FCCC/TRR.3/JPN
Kazakhstan	31 Dec. 2017	English	1-6 April 2019	26 July 2019 29 July 2019	FCCC/IDR.7/KAZ FCCC/TRR.3/KAZ
Latvia	29 Dec. 2017	English	12-17 March 2018	26 July 2018	FCCC/IDR.7/LVA FCCC/TRR.3/LVA
Liechtenstein	20 Dec. 2017	English	12-17 March 2018	29 June 2018	FCCC/IDR.7/LIE FCCC/TRR.3/LIE
Lithuania	29 Dec. 2017	English	12-17 March 2018	11 July 2018	FCCC/IDR.7/LTU FCCC/TRR.3/LTU
Luxembourg	11 Feb. 2018	English	12-17 Nov. 2018	13 March 2019	FCCC/IDR.7/LUX FCCC/TRR.3/LUX
Malta	12 Feb. 2018	English	21-26 May 2018	8 Nov. 2018	FCCC/IDR.7/MLT FCCC/TRR.3/MLT
Monaco	12 Feb. 2018	French	12-17 March 2018	4 July 2018	FCCC/IDR.7/MCO FCCC/TRR.3/MCO
Netherlands	29 Dec. 2017	English	5-10 March 2018	1 Aug. 2018	FCCC/IDR.7/NLD FCCC/TRR.3/NLD
New Zealand	21 Dec. 2017	English	18-23 Feb. 2019	7 June 2019	FCCC/IDR.7/NZL FCCC/TRR.3/NZL
Norway	29 Jan. 2018	English	16-21 April 2018	5 Sept. 2018	FCCC/IDR.7/NOR FCCC/TRR.3/NOR
Poland	29 Dec. 2017	English	11-16 June 2018	5 Feb. 2019	FCCC/IDR.7/POL FCCC/TRR.3/POL
Portugal	29 Dec. 2017	English	18-23 Feb. 2019	12 June 2019	FCCC/IDR.7/PRT FCCC/TRR.3/PRT
Romania	27 Dec. 2017	English	4-9 June 2018	23 Nov. 2018	FCCC/IDR.7/ROU FCCC/TRR.3/ROU
Russian Federation	29 Dec. 2017	Russian	1-6 Oct. 2018	22 Jan. 2019	FCCC/IDR.7/RUS FCCC/TRR.3/RUS
Slovakia	15 Dec. 2017	English	12-17 March 2018	11 July 2018	FCCC/IDR.7/SVK FCCC/TRR.3/SVK
Slovenia	13 Mar. 2018	English	21-26 May 2018	21 Sept. 2018	FCCC/IDR.7/SVN FCCC/TRR.3/SVN





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Annex I Party	NC7 received	Language of NC7	Review dates	Date of publication	Document symbols
Spain	22 Dec. 2017	Spanish	28 May-2 June 2018	29 Nov. 2018	FCCC/IDR.7/ESP FCCC/TRR.3/ESP
Sweden	22 Dec. 2017	English	17-22 Sept. 2018	31 Jan. 2019	FCCC/IDR.7/SWE FCCC/TRR.3/SWE
Switzerland	19 Dec. 2017	English	18-23 Mar. 2019	7 Aug. 2019	FCCC/IDR.7/CHE FCCC/TRR.3/CHE
Turkey	28 Dec. 2018	English	18-23 Mar. 2019	17 June 2019	FCCC/IDR.7/TUR FCCC/TRR.3/TUR
Ukraine					
United Kingdom of Great Britain and Northern Ireland	30 Dec. 2017	English	4-9 June 2018	19 Nov. 2018	FCCC/IDR.7/GBR FCCC/TRR.3/GBR

Abbreviation: NC = national communication.