



CC/22/2020/2 12 October 2020

# Status of submission and review of reports under the Kyoto Protocol

### Note by the secretariat

1. This note provides information on the status of submission and review of the supplementary information necessary to demonstrate compliance by relevant Parties with their commitments under the Protocol, in accordance with Articles 7 and 8 of the Kyoto Protocol, including the annual greenhouse gas (GHG) inventories submitted in 2019 and 2020 by Parties included in Annex I (hereinafter, Annex I Parties)<sup>1</sup> in accordance with decision 15/CMP.1, as at 2 September 2020, and the seventh national communications (NC7s) by Annex I Parties, in accordance with decision 15/CMP.1 in conjunctions with decisions 3/CMP.11 and 4/CMP.11.

### Annex I Parties in the second commitment period (2013–2020)

2. There are 42 Annex I Parties to the Kyoto Protocol.<sup>2</sup> Thirty-eight of them have a quantified emission limitation or reduction commitment (QELRC) inscribed in the third column of the table in Annex I to the Doha Amendment as contained in Annex I to decision 1/CMP.8<sup>3</sup> for the second commitment period. Supplementary information is submitted by these Parties in their annual greenhouse gas inventory submissions, pursuant to Article 7, paragraph 1, of the Kyoto Protocol, and in accordance with decisions 15/CMP.1, 2/CMP.8, 6/CMP.9 and 3/CMP.11.

3. Japan, New Zealand and the Russian Federation are Annex I Parties that had a QELRC for the first commitment period but do not have a QELRC for the second commitment period. However, the CMP decided, through decision 3/CMP.11, that these Parties continue to have certain limited reporting obligations in relation to elements of the information usually reported under Article 7, paragraph 1, of the Protocol.<sup>4</sup> In addition, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article 3, appear to be applicable to these Parties, including in the context of supplementary information in their NC7s.<sup>5</sup>

4. Belarus, Cyprus, Kazakhstan<sup>6</sup> and Malta did not have a QELRC for the first commitment period but have QELRCs for the second commitment period. Their reporting obligations under Article 7, paragraph 1, of the Protocol are triggered in relation to the second commitment period. As at 2 September 2020, Belarus had not submitted its report to facilitate the calculation of the assigned amount for the second commitment period.<sup>7</sup>

5. Turkey does not have a QELRC for the first or the second commitment periods. In the absence of commitments under Article 3 of the Protocol, its reporting obligations under Article 7, paragraph 1, of the Protocol do not appear to be triggered. At the same time, the reporting obligations under Article 7, paragraph 2, where these are related to commitments under the Protocol other than those under Article

<sup>&</sup>lt;sup>1</sup> For the purposes of this document, the term "Annex I Parties" refers to Parties to the Kyoto Protocol considered Parties included in Annex I in accordance with Article 1, paragraph 7, of the Protocol.

<sup>&</sup>lt;sup>2</sup> Forty-three Annex I Parties ratified the Kyoto Protocol. However, on 15 December 2011, the Depositary received written notification of Canada's withdrawal from the Kyoto Protocol. This action became effective for Canada on 15 December 2012.

<sup>&</sup>lt;sup>3</sup> Hereinafter, the Doha Amendment.

<sup>&</sup>lt;sup>4</sup> For example, see decision 3/CMP.11, paragraphs 8, 14 and decision 3/CMP.11, annex III, paragraph 4.

<sup>&</sup>lt;sup>5</sup> Decision 7/CMP.8.

<sup>&</sup>lt;sup>6</sup> Following the entry into force of the Kyoto Protocol for Kazakhstan on 17 September 2009, Kazakhstan is considered an Annex I Party for the purposes of the Protocol while remaining a Party not included in Annex I to the Convention for the purposes of the Convention (FCCC/KP/CMP/2009/21, paragraph 91).

<sup>&</sup>lt;sup>7</sup> All the reports to facilitate the calculation of the assigned amount for the second commitment period received by the secretariat are available <u>here</u>.





CC/22/2020/2 12 October 2020

3, appear to be applicable to this Party, including in the context of supplementary information in its NC7. $^{8}$ 

#### Greenhouse gas inventory submissions 2019

6. As indicated in the previous note on the status of submission and review of reports under the Kyoto Protocol,<sup>9</sup> the secretariat received 42 annual inventory submissions for 2019 from Annex I Parties to the Kyoto Protocol. Table 2 updates the information on submission dates. Forty of these submissions<sup>10</sup> contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol, in accordance with decision 15/CMP.1 in conjunction with decision 3/CMP.11.

7. The secretariat coordinated reviews of 22 of submissions by Annex I Parties indicated in paragraph 6 above.<sup>11</sup> Six reviews were conducted as in-country reviews,<sup>12</sup> four submissions were reviewed through desk reviews<sup>13</sup> and twelve submissions were reviewed through centralized reviews<sup>14</sup>. The reviews took place between 2 September and 12 October 2019.

8. As at 12 October 2020, all review reports have been published on the UNFCCC website.<sup>15</sup> Fourteen reports (or 64 per cent of the total number of reports) were published by 15 April 2020, i.e. within the deadline of one year from the due date of submission. All published reports were forwarded to the Compliance Committee.

<sup>&</sup>lt;sup>8</sup> Decision 7/CMP.8.

<sup>&</sup>lt;sup>9</sup> See CC/21/2019/3, paras 14-15.

<sup>&</sup>lt;sup>10</sup> The 2019 submissions of Belarus and Turkey were reviewed under the Convention (see also paragraphs 4 and 5 above).

<sup>&</sup>lt;sup>11</sup> In addition, the secretariat organized reviews of 2 Parties under the Convention, United States and Canada. The secretariat organized the 2019 review cycle taking into consideration the secretariat's work programme for the biennium 2018–2019 (document FCCC/SBI/2017/4 and the revised work programme for the biennium 2018-2019 (document FCCC/SBI/2017/INF.13)).

<sup>&</sup>lt;sup>12</sup> Greece, Italy, Lithuania, Monaco, New Zealand and United Kingdom.

<sup>&</sup>lt;sup>13</sup> Czechia, Iceland, Slovakia and Sweden.

<sup>&</sup>lt;sup>14</sup> Australia, Belarus, Cyprus, France, Hungary, Kazakhstan, Malta, Netherlands, Spain, Switzerland, Turkey and Ukraine.

<sup>&</sup>lt;sup>15</sup> The review reports for the 2019 submissions are available <u>here</u>.

## Table 1

### Annual 2019 submissions by Annex I Parties: status of submission, review dates and status of preparation of the review reports <sup>a</sup>

Annex I Party	CRF, NIR and S submission dates		Resubmissions date	Language of NIR	Review dates (2019) and modality	Review report publication date	Document symbol
Australia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	24 May 2019 24 May 2019 24 May 2019 24 May 2019		English	02–07 Sep 2019 Centralized review	18 May 2020	FCCC/ARR/2019/AUS
Austria	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 15 April 2019 15 April 2019	- - -	English	No review		
Belarus	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	12 April 2019 <sup>b</sup> 12 April 2019 <sup>b</sup> not applicable <sup>c</sup> not submitted <sup>a, ,b, c</sup>		Russian	23–28 Sep 2019 Centralized review	04 June 2020	FCCC/ARR/2019/BLR
Belgium	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	•	- - 29 April 2019	English	No review		
Bulgaria	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 12 April 2019 12 April 2019 12 April 2019	11 January 2020  	English	No review		
Croatia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	•	23 May 2019 23 May 2019 	English	No review		
Cyprus	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	16 April 2019 16 April 2019 not applicable <sup>c</sup> 29 March 2019	15 May 2019 and 25 October 2019 15 May 2019 –	English	02–07 Sep 2019 Centralized review	11 Dec 2019	FCCC/ARR/2019/CYP
Czechia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	12 April 2019 12 April 2019 not submitted <sup>a</sup> 12 April 2019	- - 29 April 2019	English	16–21 Sep 2019 Desk review	23 Jan 2020	FCCC/ARR/2019/CZE

Annex I Party	CRF, NIR and S. submission dates		Resubmissions date	Language of NIR	Review dates (2019) and modality	Review report publication date	Document symbol
Denmark	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	•	_ 28 May 2019 _ _	English	No review		
Estonia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:		– – 17 May 2019 –	English	No review		
European Union	NIR: SEF-CP1-2018:		27 May 2019 27 May 2019  15 April 2019	English	No review		
Finland	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:		_ 11 April 2019 _ _	English	No review		
France	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:		- - -	French	09–14 Sep 2019 Centralized review	07 Feb 2020	FCCC/ARR/2019/FRA
Germany	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:		– – 18 April 2019 –	English	No review		
Greece	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:		- - -	English	30 Sep–05 Oct 2019 In country review	31 Mar 2020	FCCC/ARR/2019/GRC
Hungary	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	-	– – – 02 May 2019	English	02–07 Sep 2019 Centralized review	14 Feb 2020	FCCC/ARR/2019/HUN
Iceland	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	14 April 2019 14 April 2019 not submitted <sup>a</sup> 11 April 2019	01 November 2019  	English	16–21 Sep 2019 Desk review	19 Mar 2020	FCCC/ARR/2019/ISL

Annex I Party	CRF, NIR and S submission date.	0	Resubmissions date	Language of NIR	Review dates (2019) and modality	Review report publication date	Document symbol
Ireland	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	10 April 2019 10 April 2019 09 April 2019 09 April 2019		English	No review		
Italy		05 April 2019 15 April 2019 15 April 2019 15 April 2019		English	07–12 Oct 2019 In country review	29 May 2020	FCCC/ARR/2019/ITA
Japan	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 15 April 2019 15 April 2019	- - -	English	No review		
Kazakhstan	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 19 July 2019 not applicable <sup>c</sup> not submitted <sup>a</sup>	18 November 2019 	Russian	23–28 Sep 2019 Centralized review	02 Sep 2020	FCCC/ARR/2019/KAZ
Latvia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	12 April 2019 12 April 2019 12 April 2019 12 April 2019 12 April 2019	– – 10 May 2019 and 22 May 2019 10 May 2019	English	No review		
Liechtenstein	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 15 April 2019 15 April 2019		English	No review		
Lithuania	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	12 April 2019 16 April 2019 not submitted <sup>a</sup> 12 April 2019	05 September 2019   09 May 2019	English	02–07 Sep 2019 In country review	04 Feb 2020	FCCC/ARR/2019/LTU
Luxembourg	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	04 April 2019 15 April 2019 04 April 2019 04 April 2019	– – 02 May 2019	English	No review		
Malta	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	10 May 2019 17 April 2019 not applicable <sup>c</sup> 12 April 2019	09 March 2020  	English	09–14 Sep 2019 Centralized review	15 May 2020	FCCC/ARR/2019/MLT

Annex I Party	CRF, NIR and S submission date.		Resubmissions date	Language of NIR	Review dates (2019) and modality	Review report publication date	Document symbol
Monaco	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 27 March 2019 27 March 2019	13 September 2019 	French	09–14 Sep 2019 In country review	20 March 2020	FCCC/ARR/2019/MCO
Netherlands	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 not submitted <sup>a</sup> 15 April 2019	– – – 20 August 2020	English	16–21 Sep 2019 Centralized review	22 April 2020	FCCC/ARR/2019/NLD
New Zealand	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	10 April 2019 11 April 2019 10 April 2019 10 April 2019	- - -	English	07–12 Oct 2019 In country review	02 June 2020	FCCC/ARR/2019/NZL
Norway	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	12 April 2019 12 April 2019 12 April 2019 12 April 2019	– – – 30 April 2019	English	No review		
Poland	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	09 April 2019 09 April 2019 09 April 2019 09 April 2019	23 May 2019 23 May 2019 09 May 2019 09 May 2019	English	No review		
Portugal	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	02 April 2019 02 April 2019 02 April 2019 02 April 2019 02 April 2019	08 May 2019 08 May 2019 	English	No review		
Romania	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 not submitted <sup>a</sup> 15 April 2019	07 May 2019 07 May 2019 - 07 May 2019	English	No review		
Russian Federation	CRF: NIR: SEF-CP1-2014: SEF-CP2-2014: SEF-CP1-2015: SEF-CP2-2015: SEF-CP2-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 15 April 2019 Not applicable <sup>e</sup>	- - - - -	Russian	No review		

Annex I Party	CRF, NIR and S submission date.		Resubmissions date	Language of NIR	Review dates (2019) and modality	Review report publication date	Document symbol
Slovakia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	11 April 2019 11 April 2019 11 April 2019 11 April 2019 11 April 2019	16 October 2019 30 April 2019 	English	23–28 Sep 2019 Desk review	03 March 2020	FCCC/ARR/2019/SVK
Slovenia	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	10 April 2019 15 April 2019 not submitted <sup>a</sup> 10 April 2019		English	No review		
Spain	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	02 April 2019 02 April 2019 02 April 2019 02 April 2019 02 April 2019	- - 10 May 2019	Spanish	16–21 Sep 2019 Centralized review	20 March 2020	FCCC/ARR/2019/ESP
Sweden	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	12 April 2019 12 April 2019 not submitted <sup>a</sup> 12 April 2019	- 25 April 2019 - 23 May 2019	English	23–28 Sep 2019 Desk review	30 Jan 2020	FCCC/ARR/2019/SWE
Switzerland	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 15 April 2019 15 April 2019 15 April 2019	15 April 2019  	English	09–14 Sep 2019 Centralized review	09 April 2020	FCCC/ARR/2019/CHE
Turkey	CRF: NIR: SEF:	13 April 2019 <sup>b</sup> 13 April 2019 <sup>b</sup> not applicable <sup>b, d</sup>		English	07–12 Oct 2019 Centralized review	26 May 2020	FCCC/ARR/2019/TUR
Ukraine	CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	16 May 2019 16 May 2019 not submitted <sup>a</sup> 16 May 2019	10 June 2019 	Russian	16–21 Sep 2019 Centralized review	25 March 2020	FCCC/ARR/2019/UKR
United Kingdom of Great Britain and Northern Ireland	1 CRF: NIR: SEF-CP1-2018: SEF-CP2-2018:	15 April 2019 15 April 2019 not submitted <sup>a</sup> 15 April 2019		English	30 Sep–05 Oct 2019 In country review	07 Feb 2020	FCCC/ARR/2019/GBR

Abbreviations: NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format. <sup>a</sup> As at 22 September 2020. <sup>b</sup> Submission made under the Convention only.

<sup>c</sup> See paragraph 4 above.
<sup>d</sup> See paragraph 5 above.

<sup>e</sup> The Russian Federation closed its registry on 30 December 2015. According to paragraph 14 decision 3/CMP.11 Parties without QELRC in the second commitment period of the Kyoto Protocol only need to submit the standard electronic format table if its registry is connected to the international transaction log at any time during the relevant calendar year.





CC/22/2020/3 6 October 2020

#### Greenhouse gas inventory submissions 2020

9. As at 12 October 2020, the secretariat received 42 annual inventory submissions for 2020 from Annex I Parties (see table 3 below). 24 status reports have been prepared and published on the UNFCCC website.<sup>16</sup> Forty of the submissions<sup>17</sup> contain supplementary information under Article 7, paragraph 1, of the Kyoto Protocol provided in accordance with decision 15/CMP.1 in conjunction with decision 3/CMP.11.

The secretariat is coordinating reviews under the Kyoto Protocol of 24 of the submissions referred to in paragraph 9 above.<sup>18, 19</sup> Originally the plan was to undertake 2 desk reviews, 4 centralized reviews and 10 in-country reviews. Due to the COVID-19 pandemic the planned centralized and in-country reviews will be conducted as remote centralized reviews. Therefore, twenty submissions will be reviewed as remote centralized reviews and four as desk reviews.<sup>20</sup> The reviews are planned for the period from 31 August to 21 November 2020.

<sup>&</sup>lt;sup>16</sup> Available <u>here</u>.

<sup>&</sup>lt;sup>17</sup> 2020 submissions of Belarus and Turkey were made under the Convention (see also paragraph 4 and 5).

<sup>&</sup>lt;sup>18</sup> The secretariat organized the 2020 review cycle taking into consideration the secretariat's work programme for the biennium 2020–2021 (decision 17/CP.25) and the proposed programme budget for the biennium 2020–2021 submitted by the Executive Secretary, documents FCCC/SBI/2019/4 and Add.1–2).

<sup>&</sup>lt;sup>19</sup> In addition, the secretariat is also coordinating the review of United States which is a Party included in Annex I to the Convention but are not Party to the Kyoto Protocol.

<sup>&</sup>lt;sup>20</sup> Remote centralized reviews are planned for Australia, Austria, Belgium, Bulgaria, Cyprus, Croatia, Denmark, Estonia, Germany, Hungary, Ireland, Japan, Latvia, Liechtenstein, Poland, Portugal, Romania, Russia Federation, Slovenia and Sweden. Desk reviews are planned for the European Union, Finland, Luxembourg and Norway.







## CC/22/2020/3 6 October 2020

#### Table 2

# Annual 2020 submissions by Annex I Parties: status of submissions <sup>a</sup>

Annex I Party	CRF, NIR and SE submission dates	F original	Resubmission date(s)	Language of NIR	Review dates (2020) and modality
Australia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	-		English	16-21 Nov 2020 Remote centralized review
Austria	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:			English	21-26 Sep 2020 Remote centralized review
Belarus	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 <sup>b</sup> 15 April 2020 <sup>b</sup> not applicable <sup>c</sup> not submitted <sup><i>a</i>, <i>b</i>, .c</sup>		Russian	No review
Belgium	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:			English	26-31 Oct 2020 Remote centralized review
Bulgaria	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		_ _ _ _	English	26-31 Oct 2020 Remote centralized review
Croatia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	10 April 2020 10 April 2020 10 April 2020	_ _ _ _	English	26-31 Oct 2020 Remote centralized review
Cyprus	CRF: NIR: SEF-CP1-2020: SEF-CP2-2020:	15 April 2020 15 April 2020 not applicable <sup>c</sup>	26 May 2020 26 May 2020 	English	02-07 Nov 2020 Remote centralized review
Czechia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	-	 07 May 2020 	English	No review
Denmark	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		25 May 2020 25 May 2020 	English	02-07 Nov 2020 Remote centralized review
Estonia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		_ _ _ _	English	02-07 Nov 2020 Remote centralized review
European Union	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 18 August 2020 14 April 2020	27 May 2020 27 May 2020  29 April 2020	English	09-14 Nov 2020 Desk review
Finland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	09 April 2020 09April 2020 not submitted <sup>a</sup>	15 April 2020	English	31 Aug-05 Sep 2020 Desk review





## CC/22/2020/3 6 October 2020

Annex I Party	CRF, NIR and SE submission dates	F original	Resubmission date(s)	Language of NIR	Review dates (2020) and modality
France	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	1	- - -	French	No review
Germany	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		- - - -	English	02-07 Nov 2020 Remote centralized review
Greece	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		- - -	English	No review
Hungary	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		- - -	English	26-31 Oct 2020 Remote centralized review
Iceland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		 15 June 2020 	English	No review
Ireland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	-	- - -	English	12-17 Oct 2020 Remote centralized review
Italy	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:			English	No review
Japan	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		- - -	English	07-12 Sep 2020 Remote centralized review
Kazakhstan	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		- - -	Russian	No review
Latvia	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		– 11 May 2020 –	English	12-17 Oct 2020 Remote centralized review
Liechtenstein	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	15 April 2020 15 April 2020 not submitted <sup>a</sup>	- - -	English	07-12 Sep 2020 Remote centralized review
Lithuania	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:		- - -	English	No review
Luxembourg	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:	-	_ 27 May 2020 _	English	09-14 Nov 2020 Desk review





## CC/22/2020/3 6 October 2020

Annex I Party	CRF, NIR and SE submission dates	F original	Resubmission date(s)	Language of NIR	Review dates (2020) and modality
Malta	CRF:	08 April 2020	_	English	No review
	NIR:	13 April 2020	_	-	
	SEF-CP1-2019:	•	_		
	SEF-CP2-2019:	07 April 2020	_		
Monaco	CRF:	15 April 2020	_	French	No review
	NIR:	15 April 2020	_		
	SEF-CP1-2019:	16 March 2020	-		
	SEF-CP2-2019:	16 March 2020	_		
Netherlands	CRF:	15 April 2020	_	English	No review
	NIR:	15 April 2020	_	C	
	SEF-CP1-2019:	not submitted <sup>a</sup>	_		
	SEF-CP2-2019:	15 April 2020	-		
New Zealand <sup>f</sup>	CRF:	15 April 2020	_	English	No review
	NIR:	15 April 2020	_		
	SEF-CP1-2019:	-	_		
	SEF-CP2-2019:		_		
Norway	CRF:	03 April 2020	_	English	31 Aug-05 Sep 2020
ittoritug	NIR:	03 April 2020	_	English	Desk review
	SEF-CP1-2019:		_		Desk review
	SEF-CP2-2019:		_		
Poland	CRF:	-	_	English	16-21 Nov 2020
i olalid	NIR:	15 April 2020 15 April 2020	-	Eligiisii	Remote centralized
	SEF-CP1-2019:		-		review
	SEF-CP2-2019:		_		
		-		F 1' 1	26.21.0 + 2020
Portugal	CRF:	03 April 2020	-	English	26-31 Oct 2020 Remote centralized
	NIR: SEF-CP1-2019:	03 April 2020	-		review
	SEF-CP1-2019. SEF-CP2-2019:		_		leview
		-		F 1' 1	02.07 N 2020
Romania	CRF:	14 April 2020	6 May 2020	English	02-07 Nov 2020
	NIR: SEF-CP1-2019:	14 April 2020	6 May 2020		Remote centralized review
	SEF-CP1-2019. SEF-CP2-2019:		6 May 2020		leview
<u> </u>		-	•		26.21.0
Russian Federation		15 April 2020	26 May 2020	Russian	26-31 Oct 2020
	NIR:	15 April 2020 not submitted <sup>a, e</sup>	-		Remote centralized review
		not submitted <sup>a, e</sup>	-		leview
<u> </u>			_	<b>T</b>	
Slovakia	CRF:	14 April 2020	-	English	No review
	NIR:	14 April 2020	21 May 2020		
	SEF-CP1-2019: SEF-CP2-2019:		—		
		-	_		
Slovenia	CRF:	13April 2020	-	English	12-17 Oct 2020
	NIR:	15 April 2020	-		Remote centralized
	SEF-CP1-2019:		-		review
	SEF-CP2-2019:	13 April 2020	-		
Spain	CRF:	06 April 2020	-	Spanish	No review
	NIR:	06 April 2020	25 May 2020		
	SEF-CP1-2019:		-		
	SEF-CP2-2019:	06 April 2020	25 May 2020		
Sweden	CRF:	14 April 2020	-	English	21-26 Sep 2020
	NIR:	14 April 2020	-	-	Remote centralized
	SEF-CP1-2019:	14 April 2020	-		review





#### CC/22/2020/3 6 October 2020

Annex I Party	CRF, NIR and SE submission dates	F original	Resubmission date(s)	Language of NIR	Review dates (2020) and modality
Switzerland	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:			English	No review
Turkey	CRF: NIR: SEF:	13 April 2020 <sup>b</sup> 13 April 2020 <sup>b</sup> not applicable <sup>b, d</sup>		English	No review
Ukraine	CRF: NIR: SEF-CP1-2019: SEF-CP2-2019:			English	No review
United Kingdom of Great Britain and Northern Ireland	NIR:		_ _ _ _	English	No review

Abbreviations: NIR = national inventory report; CRF = common reporting format; SEF = standard electronic format.

<sup>a</sup> As at 22 September 2020.

<sup>b</sup> Submission made under the Convention only.

<sup>c</sup> See paragraph 4 above.

<sup>d</sup> See paragraph 5 above.

<sup>e</sup> The Russian Federation closed its registry on 30 December 2015. According to paragraph 14 decision 3/CMP.11, Parties without QELRC in the second commitment period of the Kyoto Protocol only need to submit the standard electronic format table if its registry is connected to the international transaction log at any time during the relevant calendar year.

<sup>f</sup> New Zealand had a QELRC in the first commitment period but does not have a QELRC for the second commitment period. Therefore, it is not required to submit an initial report (see paragraph 3). However, it submitted a report to facilitate the calculation of its emissions budget for the period 2013–2020 containing information relating to its base year as well as other relevant information. The Subsidiary Body for Scientific and Technological Advice, at its forty-first session, took note that a Party included in Annex I to the Convention that is a Party to the Kyoto Protocol with a QELRC in the first but not the second commitment period may request the expert review team to review, as part of its review of that Party's annual inventory for the first year of the second commitment period, information relating to that Party's base year. The review of the report of New Zealand was conducted in accordance with the "Guidelines for review under Article 8 of the Kyoto Protocol".





CC/22/2020/3 6 October 2020

# Reports to facilitate the calculation of the assigned amount for the second commitment period of the Kyoto Protocol

10. In 2016, the secretariat received 35 reports to facilitate the calculation of the assigned amounts for the second commitment period.<sup>21</sup> The secretariat organized the reviews of these reports in conjunction with the reviews of the 2016 submissions. The secretariat received the reports to facilitate the assigned amount of Kazakhstan and Monaco on 4 July 2017 and 4 August 2017, respectively. The secretariat organized the reviews of these reports in conjunction with the reviews of these reports in conjunction with the reviews of the 2018 submissions (for Kazakhstan). As at 12 October 2020 Belarus had not submitted its report to facilitate the calculation of the assigned amount for the second commitment period. All the published review reports<sup>22</sup> are available on the secretariat's website.<sup>23</sup>

### Submission of national communications

11. In accordance with decision 9/CP.16 and following the requirements of Article 12, paragraphs 1 and 2, of the Convention, Parties included in Annex I to the Convention are required to submit to the secretariat a seventh national communication by 1 January 2018. In accordance with decision 7/CMP.8, Annex I Parties to the Kyoto Protocol are to include in their national communications the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol.<sup>24</sup>

12. The "Guidelines for review under Article 8 of the Kyoto Protocol" (at paragraph 139 of the annex to decision 22/CMP.1 in conjunction with decision 4/CMP.11) stipulate that "If a Party included in Annex I expects difficulties with the timeliness of its national communication submission, it should inform the secretariat before the due date of the submission. If the national communication is not submitted within six weeks after the due date, the delay shall be brought to the attention of the COP/MOP and the Compliance Committee and made public".

13. As at 12 October 2020, the secretariat received NC7 from 41 Annex I Parties. Belarus submitted its NC7 on 3 September 2019. Ukraine has not submitted its NC7 yet.

14. Until 12 October 2020, the secretariat coordinated reviews of 40 Annex I Parties following the Article 8 guidelines of the Kyoto Protocol. Thirty of the individual reviews of these submissions were scheduled as in-country reviews from February 2018 to April 2019 and the other ten were reviewed in centralized reviews in Bonn, Germany. Once completed, technical review reports were published on the UNFCCC website and forwarded to the Compliance Committee. The review of NC7 of Belarus had been scheduled for 11–16 May 2020 as in-country review but had to be postponed to 2021 due to the global pandemic.

15. Information on the status of submission of NC7 as at 6 October 2020 is included in table 1.

<sup>&</sup>lt;sup>21</sup> New Zealand, the Russian Federation and Japan have no obligations to submit a report to facilitate the calculation of the assigned amounts for the second commitment period (see paragraph 3). New Zealand submitted a report to facilitate the calculation of its emissions budget for the period 2013 to 2020 (see also footnote "f" to table 3).

<sup>&</sup>lt;sup>22</sup> Pursuant to the annex to decision 22/CMP.1 in conjunction with decision 4/CMP.11.

<sup>&</sup>lt;sup>23</sup> https://unfccc.int/process/transparency-and-reporting/reporting-and-review-under-the-kyotoprotocol/second-commitment-period/initial-reports.

<sup>&</sup>lt;sup>24</sup> The COP also requested Kazakhstan to submit its national communications in accordance with the Guidelines for the preparation of national communications by Parties included in Annex I to the Convention (see FCCC/CP/2006/5, paragraph 96, and FCCC/CP/2008/7, paragraph 68).







## CC/22/2020/3 6 October 2020

# Table 3: Submission of seventh national communications and notifications on difficulties with the timeliness of submission

Annex I Party	NC7 received	Language of NC7	Review dates	Date of publication	Document symbols
Australia	28 Dec. 2017	English	28 May-2 June 2018	2 Oct. 2018	FCCC/IDR.7/AUS FCCC/TRR.3/AUS
Austria	8 Feb. 2018	English	28 Jan2 Feb. 2019	23 May 2019	FCCC/IDR.7/AUT FCCC/TRR.3/AUT
Belarus	3 Sept. 2019	Russian	Postponed to 2021		
Belgium	20 Dec. 2017	English	25-30 March 2019	12 July 2019	FCCC/IDR.7/BEL FCCC/TRR.3/BEL
Bulgaria	29 Dec. 2017	English	4-9 Feb. 2019	24 May 2019	FCCC/IDR.7/BGR FCCC/TRR.3/BGR
Croatia	2 May 2018	English	21-26 May 2018	19 Nov. 2018	FCCC/IDR.7/HRV FCCC/TRR.3/HRV
Cyprus	22 Feb. 2018	English	21-26 May 2018	8 Oct. 2018	FCCC/IDR.7/CYP FCCC/TRR.3/CYP
Czechia	22 Dec. 2017	English	12-17 March 2018	9 July 2018	FCCC/IDR.7/CZE FCCC/TRR.3/CZE
Denmark	1 Jan. 2018	English	24-29 Sept. 2018	6 Feb. 2019	FCCC/IDR.7/DNK FCCC/TRR.3/DNK
Estonia	30 Dec. 2017	English	12-17 March 2018	3 July 2018	FCCC/IDR.7/EST FCCC/TRR.3/EST
European Union	19 Dec. 2017	English	5-10 March 2018	20 Aug. 2018	FCCC/IDR.7/EU FCCC/TRR.3/EU
Finland	13 Dec. 2017	English	19-24 March 2018	27 Nov. 2018	FCCC/IDR.7/FIN FCCC/TRR.3/FIN
France	29 Dec. 2017	French	9-14 April 2018	15 Aug. 2018	FCCC/IDR.7/FRA FCCC/TRR.3/FRA
Germany	20 Dec. 2017	English	12-17 March 2018	28 Aug. 2018	FCCC/IDR.7/DEU FCCC/TRR.3/DEU
Greece	22 Dec. 2017	English	4-9 Feb. 2019	23 May 2019	FCCC/IDR.7/GRC FCCC/TRR.3/GRC
Hungary	10 Jan. 2018	English	19-24 March 2018	2 Aug. 2018	FCCC/IDR.7/HUN FCCC/TRR.3/HUN
Iceland	16 Mar. 2018	English	24-29 Sept. 2018	1 Feb. 2019	FCCC/IDR.7/ISL FCCC/TRR.3/ISL
Ireland	30 Mar. 2018	English	5-10 Nov. 2018	13 March 2019	FCCC/IDR.7/IRL FCCC/TRR.3/IRL
Italy	22 Dec. 2017	English	21-26 May 2018	12 Nov. 2018	FCCC/IDR.7/ITA FCCC/TRR.3/ITA





## CC/22/2020/3 6 October 2020

Annex I Party	NC7 received	Language of NC7	Review dates	Date of publication	Document symbols
Japan	22 Dec. 2017	English	28 May-2 June 2018	24 Jan. 2019	FCCC/IDR.7/JPN FCCC/TRR.3/JPN
Kazakhstan	31 Dec. 2017	English	1-6 April 2019	26 July 2019 29 July 2019	FCCC/IDR.7/KAZ FCCC/TRR.3/KAZ
Latvia	29 Dec. 2017	English	12-17 March 2018	26 July 2018	FCCC/IDR.7/LVA FCCC/TRR.3/LVA
Liechtenstein	20 Dec. 2017	English	12-17 March 2018	29 June 2018	FCCC/IDR.7/LIE FCCC/TRR.3/LIE
Lithuania	29 Dec. 2017	English	12-17 March 2018	11 July 2018	FCCC/IDR.7/LTU FCCC/TRR.3/LTU
Luxembourg	11 Feb. 2018	English	12-17 Nov. 2018	13 March 2019	FCCC/IDR.7/LUX FCCC/TRR.3/LUX
Malta	12 Feb. 2018	English	21-26 May 2018	8 Nov. 2018	FCCC/IDR.7/MLT FCCC/TRR.3/MLT
Monaco	12 Feb. 2018	French	12-17 March 2018	4 July 2018	FCCC/IDR.7/MCO FCCC/TRR.3/MCO
Netherlands	29 Dec. 2017	English	5-10 March 2018	1 Aug. 2018	FCCC/IDR.7/NLD FCCC/TRR.3/NLD
New Zealand	21 Dec. 2017	English	18-23 Feb. 2019	7 June 2019	FCCC/IDR.7/NZL FCCC/TRR.3/NZL
Norway	29 Jan. 2018	English	16-21 April 2018	5 Sept. 2018	FCCC/IDR.7/NOR FCCC/TRR.3/NOR
Poland	29 Dec. 2017	English	11-16 June 2018	5 Feb. 2019	FCCC/IDR.7/POL FCCC/TRR.3/POL
Portugal	29 Dec. 2017	English	18-23 Feb. 2019	12 June 2019	FCCC/IDR.7/PRT FCCC/TRR.3/PRT
Romania	27 Dec. 2017	English	4-9 June 2018	23 Nov. 2018	FCCC/IDR.7/ROU FCCC/TRR.3/ROU
Russian Federation	29 Dec. 2017	Russian	1-6 Oct. 2018	22 Jan. 2019	FCCC/IDR.7/RUS FCCC/TRR.3/RUS
Slovakia	15 Dec. 2017	English	12-17 March 2018	11 July 2018	FCCC/IDR.7/SVK FCCC/TRR.3/SVK
Slovenia	13 Mar. 2018	English	21-26 May 2018	21 Sept. 2018	FCCC/IDR.7/SVN FCCC/TRR.3/SVN
Spain	22 Dec. 2017	Spanish	28 May-2 June 2018	29 Nov. 2018	FCCC/IDR.7/ESP FCCC/TRR.3/ESP
Sweden	22 Dec. 2017	English	17-22 Sept. 2018	31 Jan. 2019	FCCC/IDR.7/SWE FCCC/TRR.3/SWE





## CC/22/2020/3 6 October 2020

Annex I Party	NC7 received	Language of NC7	Review dates	Date of publication	Document symbols
Switzerland	19 Dec. 2017	English	18-23 Mar. 2019	7 Aug. 2019	FCCC/IDR.7/CHE FCCC/TRR.3/CHE
Turkey	28 Dec. 2018	English	18-23 Mar. 2019	17 June 2019	FCCC/IDR.7/TUR FCCC/TRR.3/TUR
Ukraine					
United Kingdom of Great Britain and Northern Ireland	30 Dec. 2017	English	4-9 June 2018	19 Nov. 2018	FCCC/IDR.7/GBR FCCC/TRR.3/GBR

*Abbreviation:* NC = national communication.

- - - - -