

INITIAL BRIEFING ON THE UNFCCC BUDGET 2020-21

Bonn, Germany, February 2019



PART I: Budget process and format

1. Principles and approach to building the 2020-21 budget
2. Financial trends
3. Evolution of the role of the secretariat
4. How the budget has been built
5. Overall budget levels 2020-21
6. Q&A

PART II: Overview of secretariat workstreams

1. Programmes
2. Operations
3. Cross-cutting areas of work & Executive Direction and Management
4. Q&A



Part I: Budget process and format



Timeline: Preparation of the UNFCCC Budget 2020/2021



Enhance efficiency and transparency of the budget:

- Clarity on principles for the allocation of resources across workstreams and funding sources
- Clear, consistent and user-friendly presentation
- Enable informed decision-making by Parties



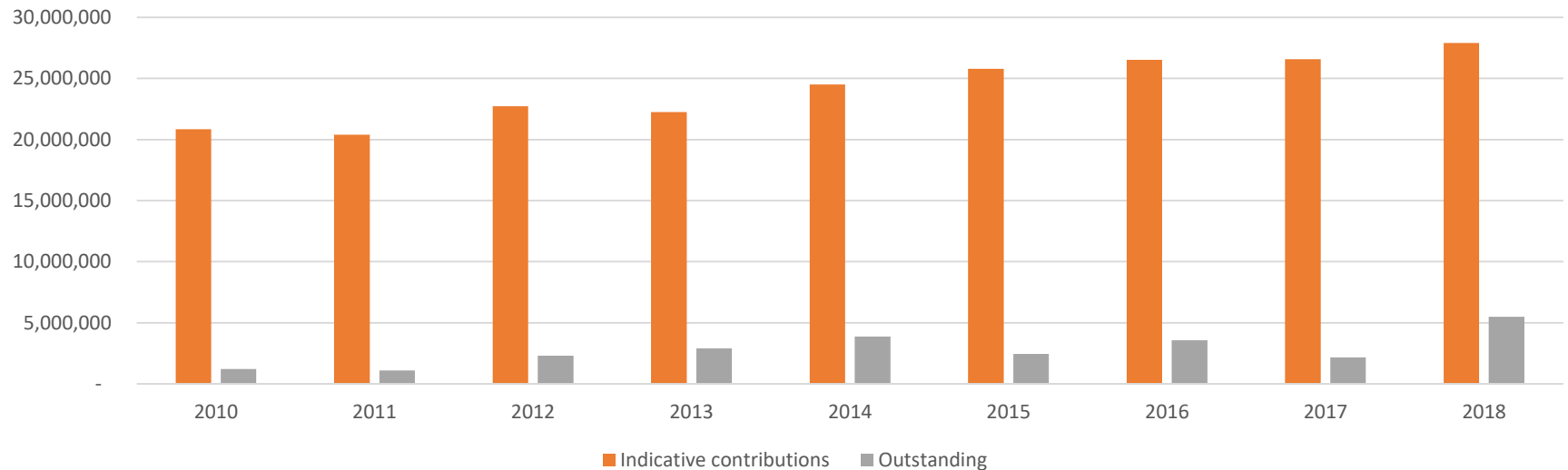
New enhanced budget format (contained in FCCC/SBI/2018/INF.4 and Add.1):

- Introduction (context, priorities and objectives for the budget period)
- Key budget decisions
- Organizational structure
- Overall funding and staffing
 - A. Core budget
 - B. Supplementary budget
 - C. Consolidated overview of core and supplementary budgets
 - D. The budget of the international transaction log
 - E. The trust fund for participation
 - F. Other trust funds and special accounts
 - G. Methodologies used to calculate costs
 - H. Work programme of the secretariat
- Annexes (containing detailed information, incl. a glossary of terms, supplementary funding requirements and a consolidated overview of core and supplementary budgets)

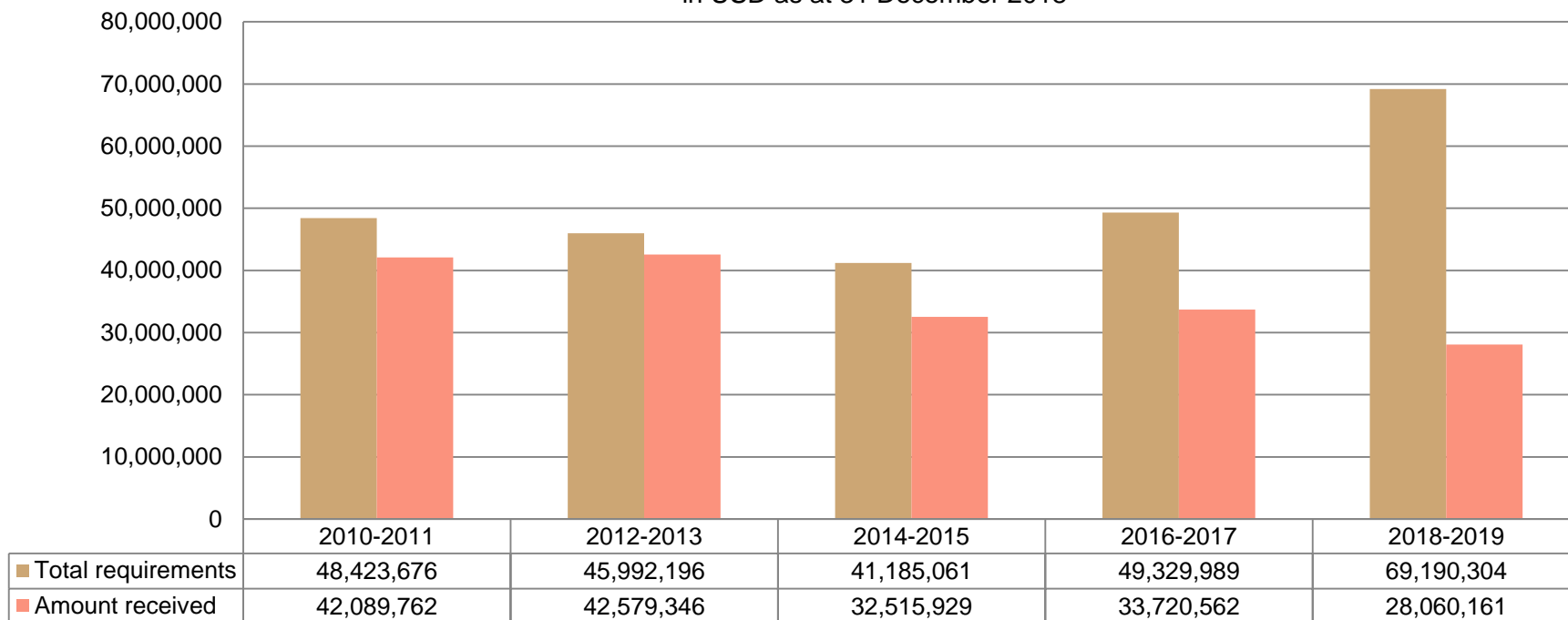
Financial trends



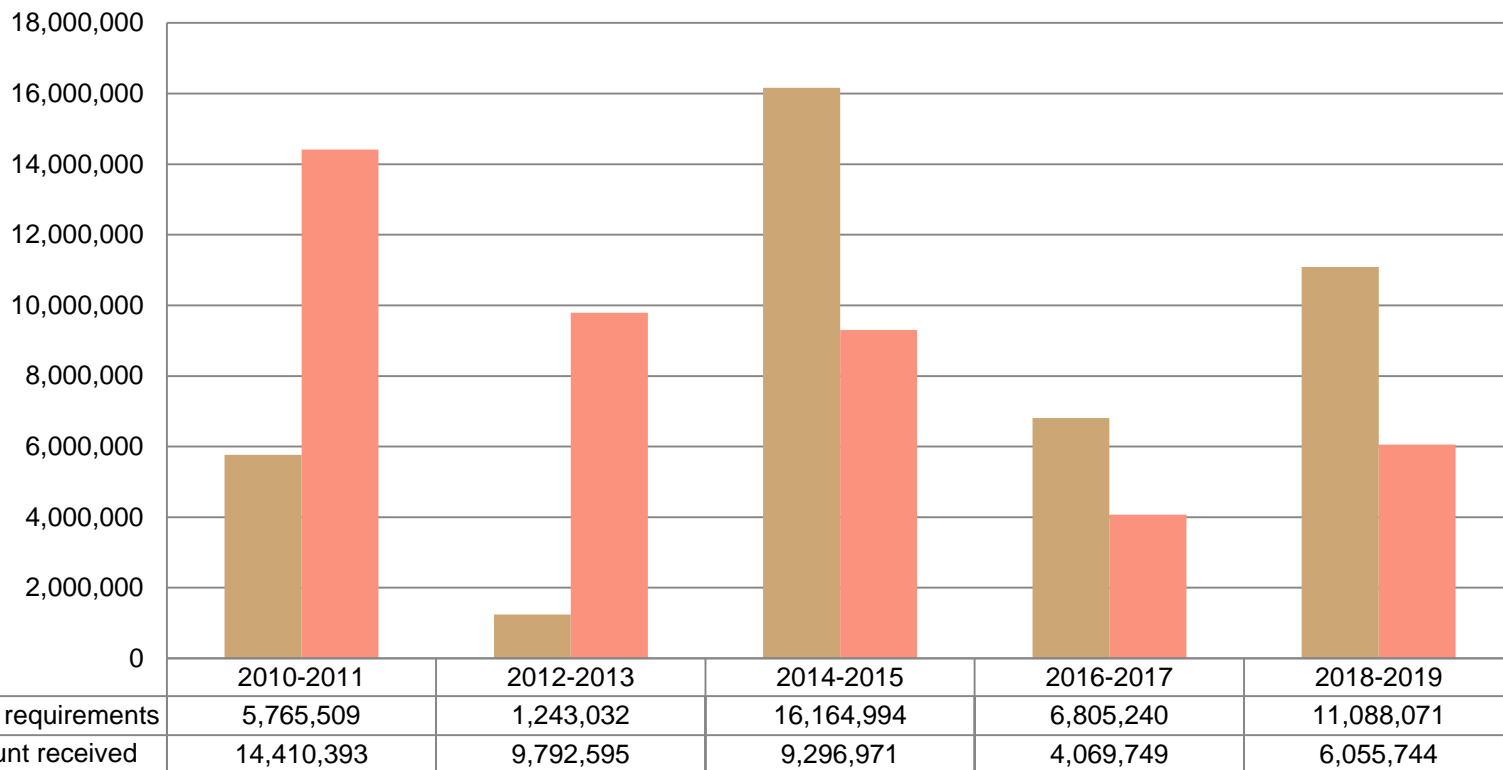
Approved contribution levels for all UNFCCC Parties as per the indicative scale and amount of the contributions outstanding as at 31 December for each year for the period 2010-2018 in EUR



Trust Fund for Supplementary Activities:
Total amount requested versus received by biennium
in USD as at 31 December 2018



Trust Fund for Participation in the UNFCCC Process:
Total amount requested versus received by biennium
in USD as at 31 December 2018



Approved core and ITL budgets, estimated requirements for supplementary activities taken note of by the COP and estimated requirements under other trust funds for the biennia 2016-2017 and 2018-2019.

Estimated requirements for 2020-2021. Amounts provided in EUR.

	2016-2017	2018-2019	est. 2020-2021
Core budget ¹	54,648,484	56,889,092	
ITL ²	5,351,356	5,204,520	
Supplementary ¹	51,647,778	53,484,420	
Other ³	55,092,602	40,313,679	
Total	166,740,220	155,891,711	161,140,400

¹ Decision 22/CP.21 for the biennium 2016-2017 and decision 21/CP. 23 for the biennium 2018-2019.

² Decision 12/CMP.11 for the biennium 2016-2017 and decision 7/CMP.13 for the biennium 2018-2019

³ Other funds include the Trust Fund for the Special Annual Contribution from the Government of Germany (Bonn Fund) and the Trust Fund for the Clean Development Mechanism. Resource requirements under the Trust Fund for Participation in the UNFCCC Process depend on the number of sessional meetings and the number of funded participants. Estimates provided in documents FCCC/SBI/2015/3/Add.1 and FCCC/SBI/2017/4.

Evolution of the role of the secretariat



Evolution of the role of the secretariat

Role	Pre KP	KP Operation	Bali-Doha	Warsaw-Katowice
<i>Intergovernmental Process</i>	1 GB 2 SBs 2 Ad-hoc FM oversight AIJ	2 GBs 2 SBs FM oversight (+AF) <u>NWP</u>	2 GBs, 2 SBs 2 Ad-hoc FM oversight (+GCF) NWP <u>Adaptation Framework</u> <u>Technology Mechanism</u> <u>MA/FSV</u> <u>RM & Durban Forums</u>	3 GBs, 2 SBs, 1 Ad-hoc, FM oversight, NWP, CAF, TM, MA/FSV (> <u>FMCP</u>) RM & Durban Forums <u>REDDplus, TEMs,</u> <u>Koronivia WP</u>
<i>Constituted Bodies</i>	<u>CGE</u>	CGE EG-TT <u>LEG</u> <u>CDM-EB</u> <u>JISC</u> <u>KP-CC</u>	CGE, LEG, CDM-EB, JISC, KP-CC <u>AC, TEC, SCF</u>	CGE, LEG, CDM-EB, JISC, KP-CC, AC, TEC, SCF, <u>PCCB, WIM Ex-Com,</u> <u>LCIPP, KCI, PA – ICC, {Art</u> <u>6}</u>
<i>Data and Information Management</i>	Conference records, NCs, Inventories	Conference records, NCs, Inventories <u>ITL, CAD</u>	Conference records, NCs, Inventories ITL, CAD <u>BRs/BURs</u> <u>NAMA Registry</u>	Conference records, NCs, Inventories ITL, CAD BRs/BURs (> <u>BTRs</u>) NAMA Registry (> <u>NDC/AC</u> <u>registry</u>)
<i>Enhance Participation</i>	Parties, Observers	Parties, Observers	Parties, Observers	Parties, Observers, <u>ACE, Gender,</u> <u>Indig Peoples,</u> <u>Non-Parties</u>



Drivers for review of secretariat structure and operations

- Remarkable growth of workstreams in response to an increasing number of mandates
- Ad-hoc adjustments and transitional measures in 2018
- United Nations reforms aimed at improving delivery and transparency
- Time has come to take stock of the changes in the external environment, look at the lessons learned in the application of the transitional measures and put in place a more sustainable organizational structure and operations

Executive
Development & Oversight

		Programmes				Operations		
		Adaptation	Mitigation	Means of Implementation	Transparency	Legal Affairs	Conference Affairs	Administrative Services Human Resources Information & Communication Technology
Intergovernmental Support & Ambition								
		→						
Communication & Engagement		←						
		→						

How the budget was built



- **Integrated budget from the ground up** on an activity-by-activity basis
- Activities were evaluated as to whether they:
 1. Fulfill essential mandates
 2. Provide support in fulfillment of permanent or long-term mandates
 3. Provide support in fulfillment of temporary or short-term mandates
 4. Provide support for complementary activities broadly mandated beneficial to achieving the UNFCCC objectives and goals
- Activities falling into categories 1 and 2 are core critical / ongoing
- Activities falling into categories 3 and 4 are project specific / time bound

- **Rigorous multi-step peer review** to ensure coherence and consistency in how similar activities are being budgeted
- Every **input was reviewed** against a number of **purpose areas**, including:
 - Support to the intergovernmental process
 - Support to constituted bodies
 - Data and information management
 - Enhance participation
- And a number of **criteria**, including:
 - Consistency with the categorization of activities
 - Reasonableness of the budget estimates
 - Avoidance of duplication or redundancies of activities

- **Core resources** expected to deliver **the basic operational support** for the workstreams and to act as anchors for supplementary work:
 - a) **Workstreams** established by Parties assigned a Manager for continuous support to the intergovernmental process
 - b) **Constituted bodies** assigned:
 - **Team Lead** to support the implementation of the body's work programme and Chair/Co-Chairs
 - Necessary resources for the **minimum number of mandated meetings** with standardized costs for participation and logistics
 - c) **Established processes** such as forums and work programmes assigned Programme Officers

Overall budget levels 2020-21

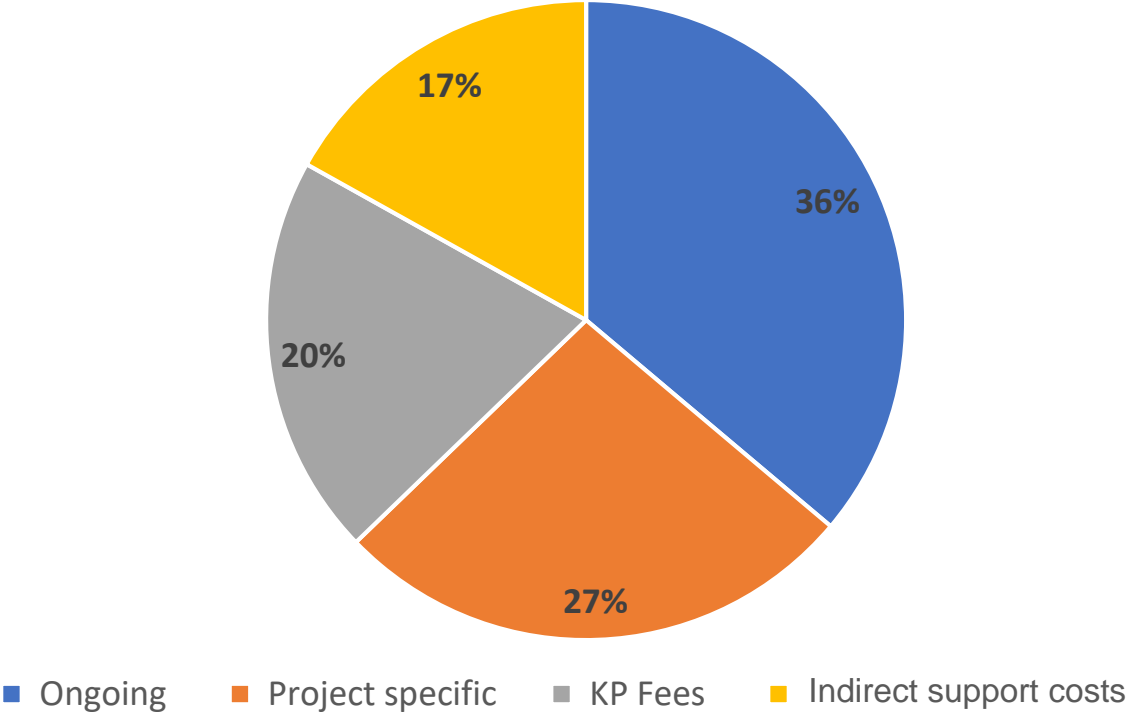


Current estimate of total 2020-2021 budgetary needs (by funding source)

Funds	Sum of Total Resources (EUR)
Core and Supplementary	101,169,416
Ongoing	58,245,954
Project specific	42,923,461
KP Fees	32,732,238
CDM-MAP	26,110,345
ITL	5,615,367
JI-MAP	1,006,526
Indirect Support Costs	27,238,746
Ongoing Support Costs	20,242,548
Project Support Costs	3,846,198
Participation Fund	3,150,000
Grand Total	161,140,400



Current estimate of total 2020-2021 budgetary needs (by funding source)

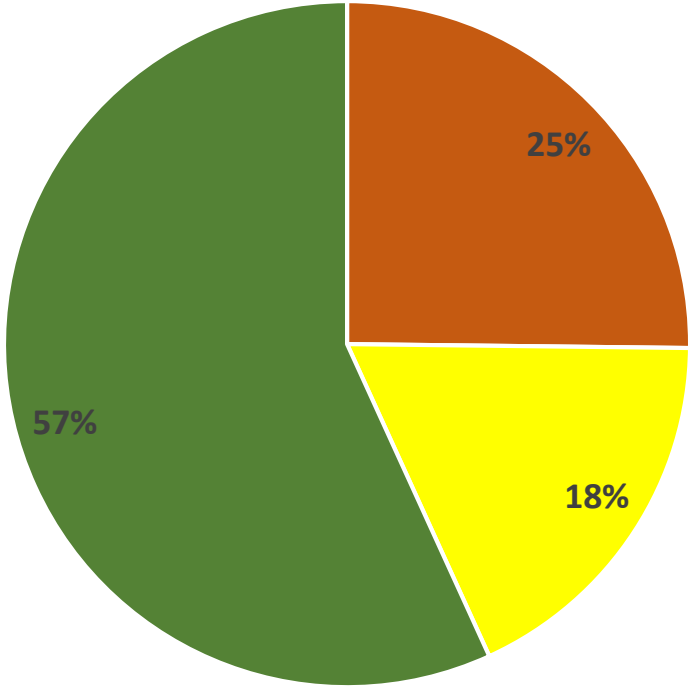


Current estimates of ongoing 2020-2021 budgetary and staffing needs

	Estimates (EUR)	Staff
Executive	4,636,599	15
Intergovernmental Support and Ambition (ISA)	4,220,350	17
Communications and Engagement (C&E)	5,808,250	24
Operations Coordination	798,000	3
Administration, Human Resources and ICT (AS/IT)	2,799,344	9
Conference Affairs (CAS)	3,705,500	15
Legal Affairs (LA)	3,186,600	11
Programmes Coordination	823,200	3
Adaptation	6,497,800	20
Mitigation	7,336,986	26
Means of Implementation	6,077,750	21
Transparency	12,346,076	44
Grand Total	58,236,454	207

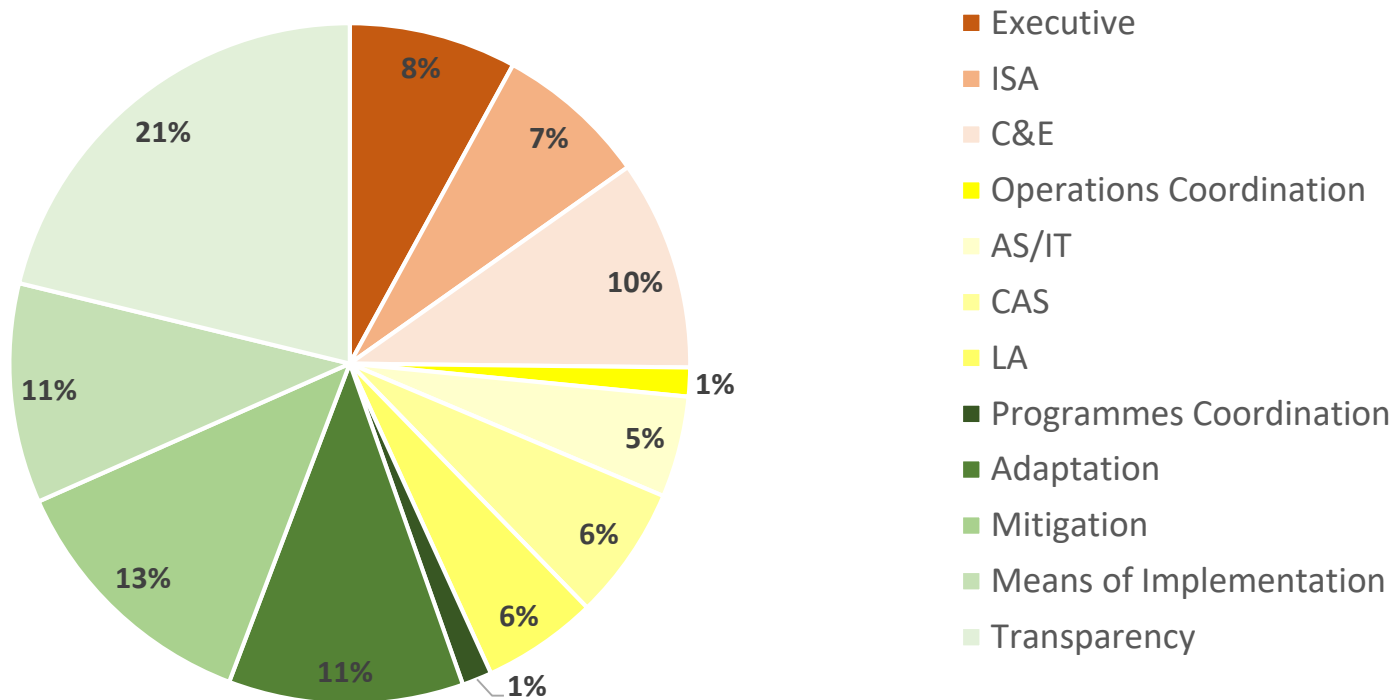


Current estimates of ongoing 2020-2021 budgetary needs

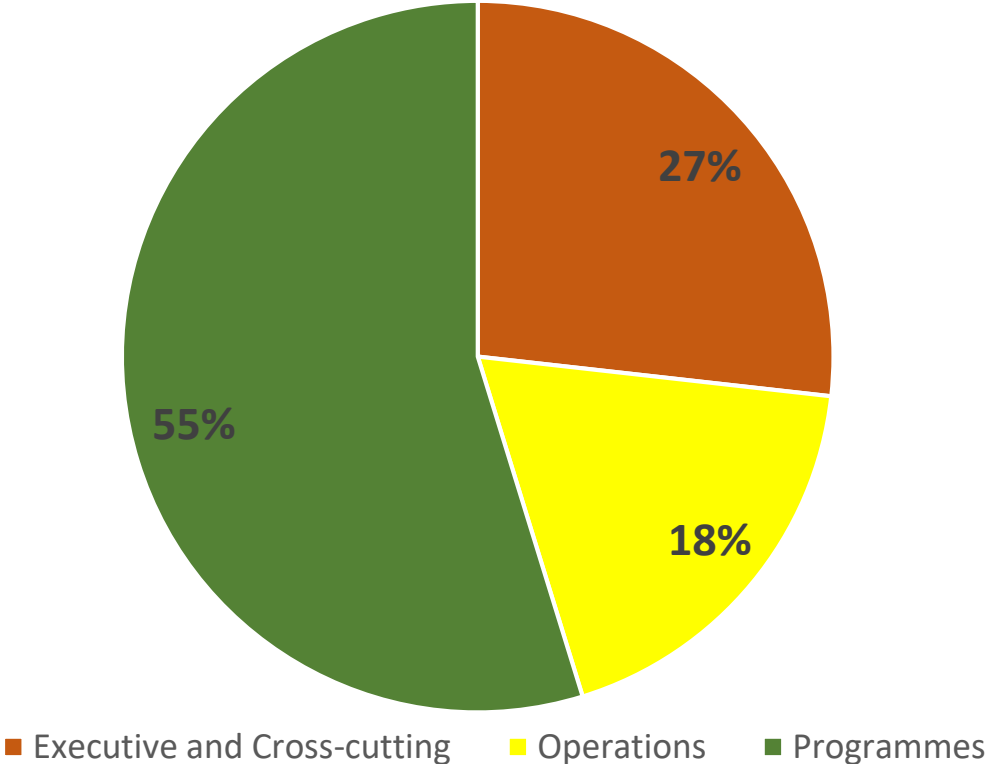


■ Executive and Cross-cutting ■ Operations ■ Programmes

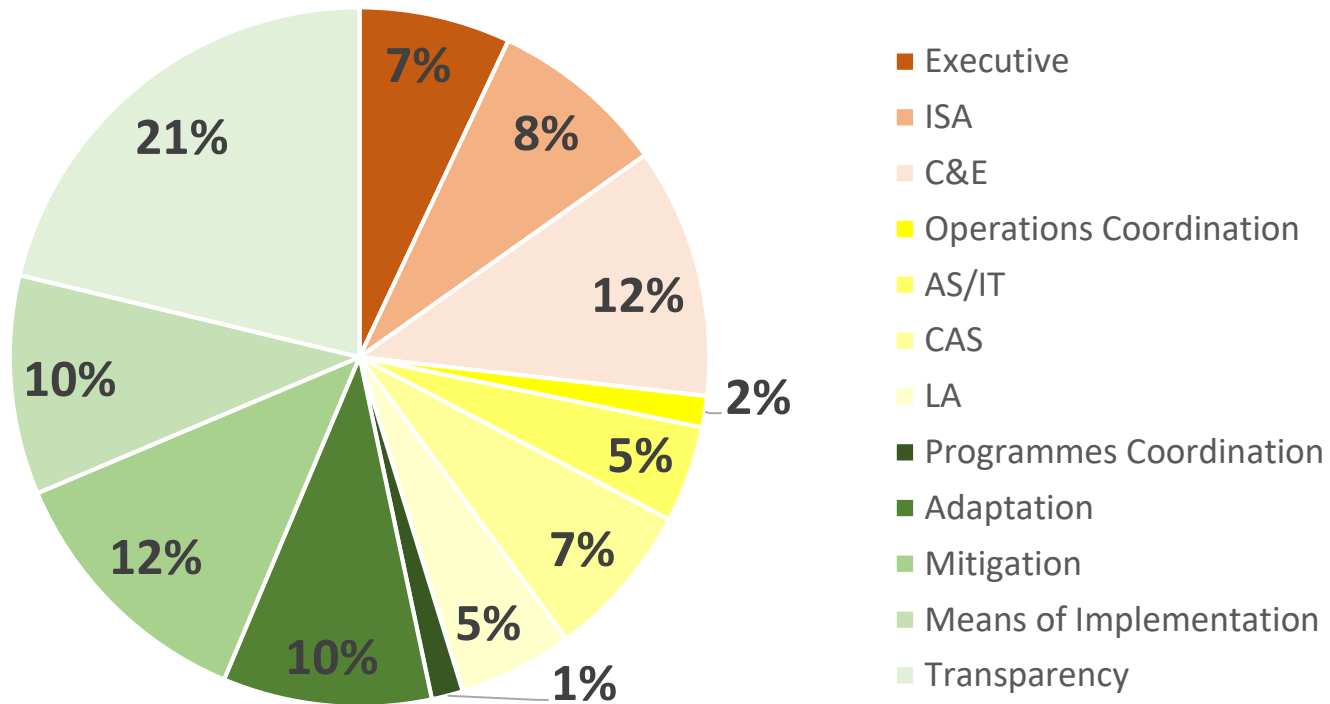
Current estimates of ongoing 2020-2021 budgetary needs



Current estimates of regular ongoing 2020-2021 staffing needs



Current estimates of regular ongoing 2020-2021 staffing needs

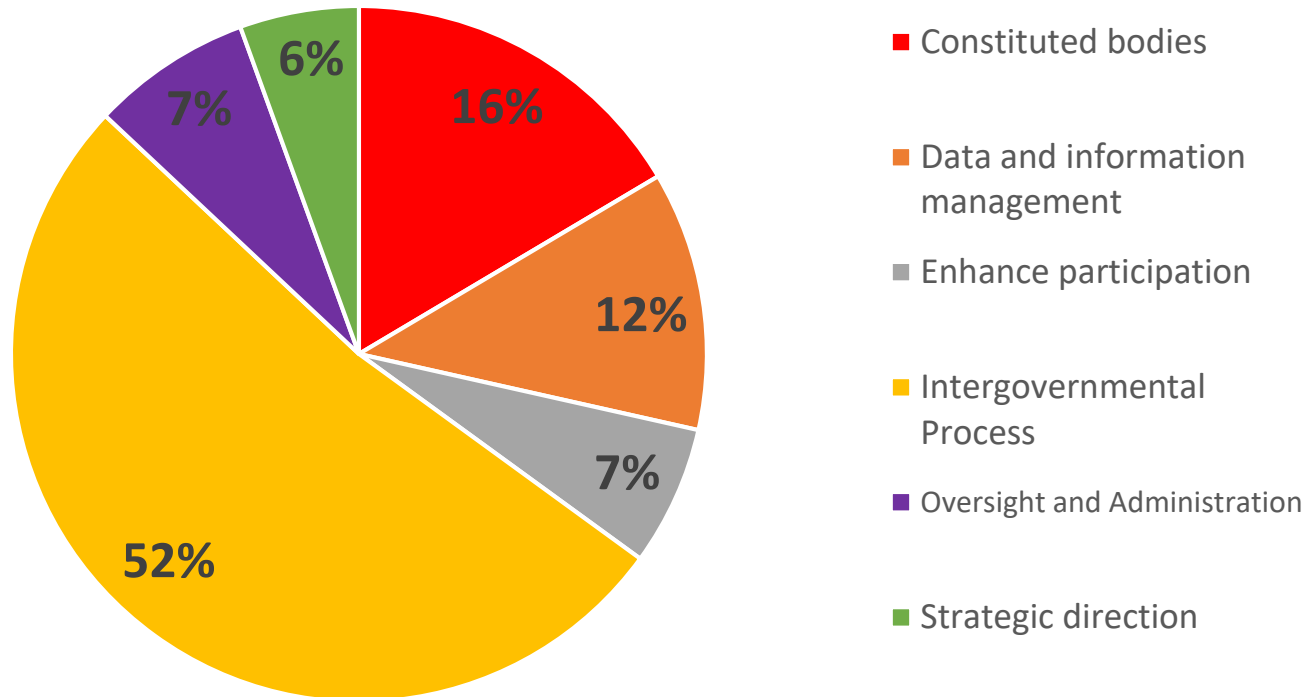


Current estimates of ongoing 2020-2021 budgetary and staffing needs (by role)

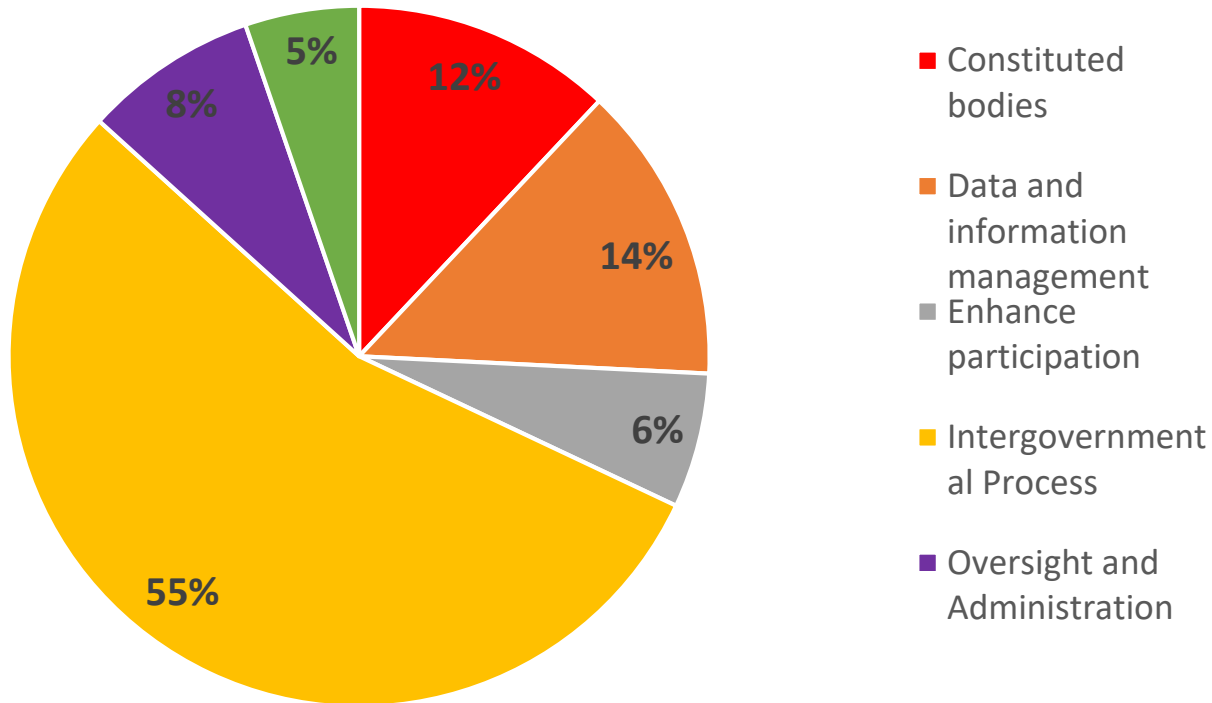
Role	Estimated	Staff
<i>Constituted bodies</i>	9,593,400	25
<i>Data and information management</i>	7,014,350	29
<i>Enhance participation</i>	3,786,336	13
<i>Intergovernmental Process</i>	30,279,893	113
<i>Oversight and Administration</i>	4,346,076	17
<i>Strategic direction</i>	3,225,900	11
Grand Total	58,245,954	207



Current estimates of ongoing 2020-21 budgetary needs (by role)



Current estimates of ongoing 2020-21 staffing needs (by role)



Questions?



Part II: Overview of the secretariat workstreams



Programmes

Adaptation

- Assessing vulnerabilities and sharing knowledge
- Building adaptive capacity and responding to impacts
- Enhancing action and reporting on progress

Mitigation

- Mitigation efforts
- Cooperative implementation
- Mitigation activity standards
- CDM/JI Operations

Means of Implementation

- Climate Finance
- Technology Development and Transfer
- Capacity-Building

Transparency

- Transparency framework implementation
- Transparency infrastructure and services

Adaptation

Assessing vulnerabilities and sharing knowledge

- Local Communities and Indigenous Peoples Platform and its Facilitative Working Group
- Nairobi work programme on impacts, vulnerability and adaptation

Building adaptive capacity and responding to impacts

- National Adaptation Plans and National Adaptation Programmes of Action
- Least Developed Countries Expert Group
- Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts and its Executive Committee

Enhancing action and reporting on progress

- Adaptation Committee
- Adaptation Communications
- Adaptation Registry



Mitigation

Mitigation efforts

- Nationally Determined Contributions
- Sectoral mitigation issues
- Response Measures

Cooperative Implementation

- Article 6 of the Paris Agreement
- Intergovernmental oversight of CDM, JI, IET
- Data Management systems - ITL, NAMA registry, NDC registry, system for corresponding adjustment under Art.6.2

Mitigation Activity Standards

- Baselines and monitoring standards
- Accreditation standards for third party verifiers
- Procedures and guidelines for compliance against CDM/JI standards
- Quality assurance for regulatory documentation

CDM/JI Operations

- CDM EB, JISC, CDM AP and CDM MP
- Operation of CDM/JI information systems
- Compliance assessment of projects, programmes and entities
- CDM Stakeholders



Means of Implementation

Climate Finance

- Standing Committee on Finance including biennial assessment and overview of climate finance flows and reports on the determination of the needs of developing country Parties
- Biennial High Level Ministerial Dialogue
- Long term climate finance workshops
- Engagement with the operating entities of the Financial Mechanism

Technology Development and Transfer

- Technology Executive Committee
- Technology Framework under the Paris Agreement
- Technology Needs Assessments
- Engagement with the Climate Technology Centre and Network
- Poznan Strategic Framework

Capacity-building

- Paris Committee on Capacity-building
- Durban Forum
- Capacity-building Portal
- Collecting, aggregating and assessing information on capacity building needs and gaps



Transparency Framework Implementation

- Biennial Reports and International Assessment and Review
- Biennial Update Reports and International Consultation and Analysis
- Greenhouse gas inventories and annual reviews
- Enhanced Transparency Framework

Transparency Infrastructure and Services

- Consultative Group of Experts
- Training and certification
- Data management systems related to transparency, including Common Reporting Format and Compilation and Accounting Database

Operations

Legal Affairs

- Legal support to intergovernmental process
- Facilitate treaty implementation
- Institutional and General Legal support

Conference Affairs Services

- Meetings Management
- Documents

AS, HR, ICT

- Administrative Services
- Human Resources
- Information and Communications Technology

Legal Affairs

Legal support to intergovernmental process

- Legal support to governing and subsidiary bodies and their agenda items
- Support for consultations of elections

Facilitate treaty implementation

- KP Compliance Committee
- Paris Agreement Implementation and Compliance Committee
- Legal support to constituted bodies and institutional arrangements
- Legal advice on questions relating to ratification, implementation of the Convention, the Kyoto Protocol and the Paris Agreement

Institutional and General Legal support

- Development and finalization of legal instruments
- Advice on secretariat institutional framework



Conference Affairs Services

Meetings Management

- Consolidate new innovative process to make registration of participants more efficient, including incorporating daily badge system
- Delivery of the full range of conference-related facilities and services
- Manage funding for participation and facilitating issuances of visas for participation

Documents

- Electronic management and processing of documents
- Ensure editing and translation capacity for all meetings



Administrative Services, Human Resources and ICT

Administrative Services

- Financial Resource Management including financial services for internal and external clients, planning, coordination and monitoring of the secretariat's budgets and statutory reporting and Management of funds
- Procurement and General Services including travel services for external and internal clients, building management and internal logistical support

Human Resources

- Strategic Human Resources management
- Recruitment / talent and performance management
- Staff development and learning
- Staff administration

Information Communication Technology

- Infrastructure, network and end-user support
- Information security and governance
- Institutional and mandated systems



Cross-cutting areas of work & Executive Direction and Management

Intergovern- mental Support and Ambition

- Body and process support
- Innovation and ambition

Communication and Engagement

- Communications and Knowledge
- Engagement on Action

Executive Direction and Management

Intergovernmental Support and Ambition

Body and Process Support

- Coordination of procedural, substantive and/or logistical support to governing, subsidiary and constituted bodies
- Protocol and External Relations
- Effective, consistent and streamlined operation of intergovernmental support

Innovation and Ambition

- Global stocktake
- Research and Systematic Observation
- Science and IPCC
- Review of temperature goal
- Track progress made against established ambition
- Coordination of innovation initiatives to drive efficiency and effectiveness



Communication and Engagement

Communications and Knowledge

- Develop strategic communication and its products
- Media management
- Coordination and guidance of internal and external communication
- Systematic management of secretariat's information, knowledge and records

Engagement on Action

- Mandated engagement activities including: gender, ACE, observers
- Marrakesh Partnership / Champions
- Mobilize UN system and other organizations and networks in support of the UNFCCC process and its outcomes
- Establish partnerships and mobilise resources





Executive Direction and Management

- Strategic direction
- Overall internal management
- Oversight of support to the intergovernmental process
- Strategic communications and outreach
- Coordination of inter-agency work
- Organizational development and oversight

Questions?



Thank you!

